

394 -2023

# CERTIFIED COPY OF ORDER

STATE OF MISSOURI }  
County of Boone } ea.

September Session of the July Adjourned

Term. 20 23

In the County Commission of said county, on the 7th day of September 20 23

the following, among other proceedings, were had, viz:

Now on this day, the County Commission of the County of Boone does hereby enter into the record, a Proclamation on Patriot Day 2023 in Remembrance of September 11, 2001.

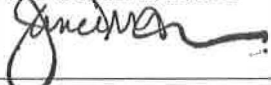
Done this 7<sup>th</sup> day of September 2023.

ATTEST:

  
Brianna L. Lennon  
Clerk of the County Commission

  
Kip Kendrick  
Presiding Commissioner

  
Justin Aldred  
District I Commissioner

  
Janet M. Thompson  
District II Commissioner

3015-2023

# CERTIFIED COPY OF ORDER

STATE OF MISSOURI }  
County of Boone } ea.

September Session of the July Adjourned

Term. 20 23

In the County Commission of said county, on the 7th day of September 20 23

the following, among other proceedings, were had, viz:

Now on this day, the County Commission of the County of Boone does hereby approve the 13<sup>th</sup> Judicial Circuit Court's request to apply for grant funding through the Violence Against Women Act (VAWA) Grant.

Done this 7<sup>th</sup> day of September 2023.

ATTEST:

*Brianna L. Lennon*  
Brianna L. Lennon  
Clerk of the County Commission

*Kip Kendrick*  
Kip Kendrick  
Presiding Commissioner

*Justin Aldred*  
Justin Aldred  
District I Commissioner

*Janet M. Thompson*  
Janet M. Thompson  
District II Commissioner

Missouri Department of  
**Public Safety**

**Application**

**160215 - 2024-2025 STOP Violence Against Women (STOP VAWA) - Final Application**

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161098 - Integrated Domestic Violence Program  
STOP Violence Against Women Grant (VAWA)

Status: Editing Submitted Date: Submitted By:

**Applicant Information**

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**Primary Contact:**

Name:\* Ms. Lori Zuroweste  
Title First Name Last Name  
Job Title:\* Domestic Assault Court Coordinator  
Email:\* Lori.zuroweste@courts.mo.gov  
Mailing Address:\* Boone County Courthouse  
Street Address 1: 705 E. Walnut St  
Street Address 2:  
\* Columbia Missouri 65201  
City State/Province Postal Code/Zip  
Phone:\* 573-886-4389 Ext.  
Fax: 573-886-4070

**Organization Information**

Applicant Agency:\* 13th Judicial Circuit Court  
Organization Type:\* Government  
Federal Tax ID#:\* 436000349  
DUNS #: 073755977  
Unique Entity ID:\* T3NHKKJW27K8  
SAM/CCR CAGE Code: 4SWR3 03/12/2024  
Valid Until Date  
Organization Website: <http://www.courts.mo.gov/hosted/circuit13/>  
Mailing Address:\* 705 E. Walnut Street  
Street Address 1:  
Street Address 2:

<b>City*</b>	Columbia City	Missouri State/Province	65201 Postal Code/Zip	4487 + 4
<b>County:*</b>	Boone			
<b>Congressional District:*</b>	09			
<b>Phone:*</b>	573-886-4060			Ext.
<b>Fax:</b>	573-886-4070			

## Contact Information

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### Authorized Official

*The Authorized Official is the individual that has the ability to legally bind the applicant agency in a contract (e.g. Board President, Presiding Commissioner, Mayor, City Administrator, University President, State Department Director).*

*\*The Authorized Official and the Project Director cannot be the same person.\**

<b>Authorized Official:*</b>	Mr.	Kip	Kendrick
	Title	First Name	Last Name
<b>Job Title:*</b>	Presiding Commissioner		
<b>Agency:*</b>	Boone County		
<b>Mailing Address:*</b>	801 East Walnut Street		
<b>Street Address 1:</b>	Room 333		
<b>Street Address 2:</b>			
<b>AOCity*</b>	Columbia City	Missouri State	65201 Zip Code
<b>Email:*</b>	kkendrick@boonecountymo.org		
<b>Phone:*</b>	573-886-4307		Ext.
<b>Fax:*</b>	573-886-4311		

### Project Director

*The Project Director is the individual that will have direct oversight of the proposed project.*

*\*The Authorized Official and the Project Director cannot be the same person.\**

*\*If the project agency is a local law enforcement agency, the Project Director shall be the chief or sheriff of that agency. Exceptions to this requirement are the St. Louis Metropolitan Police Department and the Kansas City Police Department.\**

<b>Project Director:*</b>	Ms.	Cindy	Garrett
	Title	First Name	Last Name
<b>Job Title:*</b>	Court Administrator		
<b>Agency:*</b>	Thirteenth Judicial Circuit		
<b>Mailing Address:*</b>	705 East Walnut Street		
<b>Street Address 1:</b>			
<b>Street Address 2:</b>			

**PDCity\*** Columbia Missouri 65201  
City State Zip Code

**Email:\*** cindy.l.garrett@courts.mo.gov

**Phone:\*** 573-886-4058

Ext.

**Fax:\*** 573-886-4070

**Fiscal Officer**

*The Fiscal Officer is the individual who has responsibility for accounting and audit issues at the applicant agency level (e.g. City Clerk, County Treasurer, Director of Finance, Accountant).*

**Fiscal Officer:\*** Ms. Jenna Redel  
Title First Name Last Name

**Job Title:\*** Treasurer

**Agency:\*** Boone County

**Mailing Address:\*** 801 East Walnut Street

**Street Address 1:** Room 205

**Street Address 2:**

**FOCity\*** Columbia Missouri 65201  
City State Zip Code

**Email:\*** jredel@boonecountymo.org

**Phone:\*** 573-886-4365

Ext.

**Fax\*** 573-886-4369

**Project Contact Person**

*The Project Contact Person should be the individual who is most familiar with the program this grant will fund.*

*\*This person can be the Project Director if that individual is most familiar with the program.\**

**Project Contact Person:\*** Mr. Derek Hux  
Title First Name Last Name

**Job Title:\*** Deputy Court Administrator

**Agency:\*** Thirteenth Judicial Circuit

**Mailing Address:\*** 705 East Walnut Street

**Street Address 1:**

**Street Address 2:**

**OCCity\*** Columbia Missouri 65201  
City State Zip Code

**Email:\*** derek.hux@courts.mo.gov

**Phone:\*** 573-886-4059

Ext.

**Fax:\*** 573-886-4070

**Non-Profit Chairperson**

Enter the name and address of the individual serving as the organization's board chairperson. Please provide an address other than the agency address.

*\*This section is not applicable to agencies that are not considered a 501 (c) (3) non-profit organization.\**

**Non-Profit Chairperson:**

	Title	First Name	Last Name
<b>Job Title:</b>			
<b>Agency:</b>			
<b>Mailing Address:</b>			
<b>Street Address 1:</b>			
<b>Street Address 2:</b>			
<b>NCCity</b>		Missouri	
	City	State	Zip Code
<b>Email:</b>			
<b>Phone:</b>			
		Ext.	
<b>Fax</b>			

**Project Summary**

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<b>Application Type:*</b>	Continuation
<b>Current Subaward Number(s):</b>	2022-VAWA-001
<b>Program Category:*</b>	Court
<b>Project Type:*</b>	Regional
<b>Geographic Area:*</b>	Boone and Callaway Counties, Missouri
<b>Brief Summary:*</b>	The integrated Domestic Violence Program consists of the specialized domestic violence dockets; the utilization of MEND(Men Exploring Non-Violent Directions) and EMBRACE/EMBRACE U programs (Batterer's Intervention Program that offers classes for both men and women) as part of a graduated range of sanctions for offenders; and the DACC (Domestic Assault Court Coordinator), a court employee dedicated to the domestic violence dockets, who tracks and reports on participants in both BIP programs as well as all domestic cases, acts as a liaison to domestic violence docket stakeholders, and assists with the processing of domestic violence cases.
<b>Program Income Generated:*</b>	Yes

**Statement of the Problem (2024)**

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**What is the Problem?\***

*Provide a statement describing the problem you are proposing to specifically address with these funds.*

Domestic violence is a major societal issue across the country and specifically in Missouri. The Missouri statutes in chapters 565 and 455 define domestic assault as the circumstance when a person commits an act against a family or household member, or an adult in a continuing social relationship of a romantic or intimate nature, and anyone who has a child in common regardless of whether they've been married or have resided together at the time. The extension of the definition of domestic violence victims to include "social relationships" indicates a broad approach to domestic violence. Additionally, legislative intent to treat violence in domestic relationships differently from other cases involving violence can be seen in chapter 565. For example, the act of taking away a phone, which is often not considered a crime, may be determined such when it occurs between family or household members for the purpose of isolation. Another example is the status of a victim as a family member can escalate misdemeanor cases to felony level and increase punishments for repeat offenders. According to a report in World Population Review dated April 2023, Missouri ranks in the top three states for domestic violence reports. About 41.8% of Missouri women and 35.2% of Missouri men experience intimate partner physical violence, sexual violence, or stalking.

**Why is it a Problem?\***

*Provide a brief statement describing why it is particularly a problem in the area(s) to be served by this project.*

Acknowledging the continuing problem of domestic violence in Missouri, the Missouri Attorney General's Task Force on Domestic Violence released a report, which contained 12 recommendations to combat domestic violence. The recommendations that were the most applicable to the domestic violence court include the following: legislation should be consistent in terminology utilized for domestic violence statutes; the Missouri Division of Probation and Parole should be established as the credentialing agency to establish standards for batterer intervention programs; law enforcement agencies and advocates should establish and formalize collaborative working relationships; courts should establish and formalize collaborative working relationships; courts should utilize specialized dockets to monitor compliance with conditions of probation; and judges should make greater use of Missouri Supreme Court Rule 33 as authority to set conditions of bond. The Thirteenth Judicial Circuit supports all of the Task Force's recommendations.

**What Local Law Enforcement Data Supports there is a Problem?\***

*Provide the most current local law enforcement crime data specifically related to the project. Applicants are strongly encouraged to request data from your local law enforcement entities. Applicants may also visit the Missouri State Highway Patrol's Crime in Missouri Dashboard. Your response to this section should be organized and provide the number and type of crime(s) for each county served.*

*You can pull local data from the Violent Crime section (for sexual assault data) and the Domestic Violence section.*

According to the Missouri Uniform Crime Reporting Program (MUCRP), the number of domestic violence incidents in the 13th Judicial Circuit in the last three years are as follows:

**Boone County:**

- 2,010 incidents in 2020
- 1,435 incidents in 2021
- 1,262 incidents in 2022
- 494 incidents so far in 2023

**Callaway County:**

- 482 incidents in 2020
- 411 incidents in 2021
- 289 incidents in 2022
- 108 incidents so far in 2023

While numbers of domestic violence incidents have decreased over the last few years, there continues to be an ongoing issue of domestic violence within the Thirteenth Judicial Circuit. The availability of the STOP-VAWA grant funds has and will continue to assist in our mission to lower recidivism rates and incidents of violence in Boone and Callaway counties.

#### **What Agency Data Supports there is Problem?\***

*Provide the most current agency data.*

Since 2008 in Boone County and 2010 in Callaway County, criminal cases involving domestic violence are heard on consolidated dockets assigned to one associate circuit judge in each county. The designated domestic violence dockets in Boone and Callaway counties allow the domestic violence prosecutors and criminal defense counsel dedicated time to speak with each other, their witnesses and clients, as well as opportunities to discuss scheduling and possible dispositions. As a result, cases are processed and disposed of more quickly, which improves the court's efficiency, increases offender accountability, and quicker justice for the victims.

An essential component of the domestic violence docket is the requirement that some defendants attend a Batterer's Intervention Program (BIP) as part of a graduated range of sanctions that uses coercive power of the criminal justice system to hold abusers accountable for their criminal actions and for changing their behavior. In 2006 Family Counseling Center now Compass Health, obtained grant funding for its batterer intervention program, MEND. This allowed participants who could not afford the total cost of \$1,100 (\$40 per class for 27 classes plus \$20 orientation fee) to attend the program. However, that grant funding discontinued in 2008. To make the program financially feasible for defendants, the court applied for STOP-VAWA funding in 2008. Currently, up to \$30 of the \$40 per class fee is covered by the STOP-VAWA grant funding. The remaining portion, or copay, which is a minimum of \$10 per class, is calculated utilizing a sliding scale and is paid for by the defendants. This constitutes the match for the grant. TMT Consulting became part of the circuit's grant in 2017.

The Domestic Assault Court Coordinator (DACC) assists the court by tracking defendants who are ordered to a BIP by monitoring defendants' compliance. The DACC closely tracks whether defendants enroll and begin participating in the BIP by the court ordered dates, and finishes in a timely manner. The DACC sends correspondence to the judge and requests hearings to be set if defendants fail to adhere to those dates. The DACC serves as a liaison and fosters communication between the court and prosecuting attorneys, public defenders, private defense counsel, probation officers, and other stakeholders. As a result, the court is able to realistically require defendants to enroll in a BIP within 30 days. This is a dramatic reduction in time from the average of 143 days in 2010 between the court order and enrollment. Additionally, the court expects defendants to begin attending classes within a week after enrollment, which has significantly decreased the gap in time from enrollment date to start date. Furthermore, prior to the development of the DACC position, the time period between defendants' termination or suspension from a BIP and the court being notified of such action has been significantly reduced from one month or more to one to two weeks.

Moreover, due to the DACC monitoring defendants' compliance, more defendants are completing a BIP and are completing BIP in a shorter time frame. The implementation of the Domestic Violence Compliance Record (DVCR) can also be attributed to the improvement in defendants' compliance. The DVCR is a record signed by both the judge and the defendant at the time the judge orders a BIP. It assigns dates the defendant must enroll, start, and complete the program. It is designed to hold defendants accountable in completing the 27 week program within 35 weeks of the court ordering participation into the program. In short, it is a written agreement that sets firm timeframes for participation in the program. The DACC files a copy of the DVCR with the court and provides a copy to the defendant so every participant is on notice of the expectations the court has regarding the BIP. By doing this, communication has improved greatly between all parties. Therefore, the DVCR along with the role of the DACC have greatly improved the efficiency of the Court in handling domestic violence cases and the implementation of the batterer's intervention program in a timely manner.

#### **What are the Demographics of the Area(s) to be served?\***

*Please provide demographics. Describe the populations and characteristics of the area(s) the agency will serve with the project.*

The Thirteenth Circuit does not keep track of racial demographics, but within Boone and Callaway County there are more male defendants than female defendants who are charged with committing domestic assault offenses. New enrollments in Batterer's Intervention the last three years are as follows:

Boone County:

- 39 (35 male, 4 female) in 2021
- 37 (33 male, 4 female) in 2022
- 33 (27 male, 6 female) so far in 2023



Callaway County:

- 30 (29 male, 1 female) in 2021
- 31 (29 male, 2 female) in 2022
- 25 (23 male, 2 female) so far in 2023

### Why is the Agency Requesting Funds to Address the Problem?\*

*Provide a brief statement that identifies resources or the lack thereof to demonstrate the need for funding for this particular project.*

The STOP-VAWA grant funds are needed to help address the domestic violence problem within our communities in Boone and Callaway counties. We have used and will continue to use these grant funds to employ a Domestic Assault Court Coordinator, as well as supplementing Batterer's Intervention Program costs for defendants to make it financially feasible for them to attend. The expected result from these grant funds is a lowered recidivism rate and lowered incidents of violence in Boone and Callaway counties.

## Type of Program (2024-2025)

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### Methodology/Type of Program\*

1. Provide a brief synopsis of the Agency and the type(s) of victim services the agency provides. Outline the services to be funded by **this specific project**. Include who will provide these services, how services are accessed, and who will benefit from the services. Flow charts and chronological outlines are great, but must be supported by additional narrative description.

2. Explain how services are delivered in compliance with **either** the Missouri Coalition Against Domestic and Sexual Violence (MOCADSV) Standards **or** the DPS OVC Program Standards and Guidelines. **Please do not simply state the agency is in compliance!**

**NOTE:** Agencies that **primarily serve domestic and/or sexual violence victims** will be required to comply with the MOCADSV Standards. (These agencies **will not** be required to comply with the DPS OVC Standards and Guidelines).

All other agencies (**those NOT primarily serving victims of domestic violence and/or sexual violence**) will be required to comply with the MoCVSU Program Standards and Guidelines. (These agencies **will not** be required to adhere to the MOCADSV Standards).

MOCADSV Standards and DPS OVC Program Standards and Guidelines can be downloaded as separate documents from the DPS website, or by using the links above.

The core of the court's domestic violence program is the designated dockets for criminal cases involving domestic violence. The designated dockets started in Boone County in 2008 and in Callaway County in 2010. In these specialized dockets all criminal cases involving domestic violence are assigned to one associate circuit judge in each county, with the exception of defendants who are on probation in another division. Cases include misdemeanor cases through disposition and preliminary hearings on felony cases.

The domestic violence dockets are primarily overseen by a single judge in each county. The dockets also include domestic violence prosecutors and a court coordinator dedicated to domestic violence cases. Accelerated adjudication of domestic violence cases results from this inclusive approach, which allows for an increase in victim safety and offender accountability. Other emphasized elements of this approach include a quicker return date on bonds (10 days) and expedited settings for preliminary hearings. Additionally, the judge's familiarity with individual cases allows for more effective monitoring of dispositions.

In Boone County, the designated domestic violence/criminal dockets are held on Wednesday afternoons and Thursday mornings. There is an average of 58 domestic cases filed per month on the Boone County domestic violence dockets. In Callaway County, the designated domestic violence dockets are held every Thursday morning. There is an average of 31 domestic cases filed per month on the Callaway County domestic violence dockets.

Starting in 2009 in Boone County and in 2012 in Callaway County, the Court began receiving STOP-VAWA funding for a batterers' intervention program (BIP). The BIP is utilized as part of a graduated range of sanctions that uses the coercive power of the criminal justice system to hold abusers accountable for their criminal behavior and to promote change in their behavior. MEND (Men Exploring Non-violent Directions), and EMBRACE/EMBRACE U are the local BIPs. They both have a 27-week

program administered by Compass Health and TMT Consulting of Missouri. The majority of the VAWA funds currently requested will be used to help offset the cost of the BIP.

Two of the program coordinators for the MEND program (Quillen Reivich and Ted Solomon) were trained in Duluth, Minnesota using the internationally recognized Duluth Model Curriculum. The classes cover eight themes in three to four week cycles. The themes are as follows: 1) Non-violence; 2) Non-threatening Behavior; 3) Respect; 4) Support and Trust; 5) Accountability and Honesty; 6) Sexual Respect; 7) Partnership (includes topics of shared responsibility, financial partnership, and responsible parenting); and 8) Negotiation and Fairness. Tasca Tolson, program director for the EMBRACE program has also been trained in the Duluth model.

The main objectives of the program are to help men/women identify goals to reach a non-violent lifestyle; identify abusive behaviors and their own pattern of abuse; explore the intent of abusive behavior and the belief system that supports those behaviors; understand the connection of painful and negative feelings to beliefs about gender roles; identify the function and extent of minimizing, denying or blaming; fully explore the impact of violent and abusive behaviors on partners, children, and class members; and identify and practice non-abusive behaviors. Compass Health currently has 3 classes per week in Columbia and one class per week in Fulton. TMT currently has 4 classes per week in Columbia; 3 for male offenders and 1 for female offenders. Classes consist of up to 18 group members and are led by a male and female facilitator team. Both BIPs are accredited by the Department of Corrections-Probation and Parole. It should be noted that due to Covid-19, virtual classes were held via Zoom throughout most of 2020 in order to not interrupt services yet remain as safe as possible for everyone. While in person classes have resumed, Zoom classes are still available to those who need them due to transportation or other issues.

In order for a BIP to be effective, it must be financially accessible to the individuals who are court-ordered to attend the program. When funding was not available for the program, attendance at a BIP decreased and it was often not included as a probation condition due to the financial constraint it could place on defendants. This is not surprising considering that the \$40 per class fee is an unsustainable obligation for many defendants. With grant funding the court is able to offset the fees charged for the BIP program. A sliding scale based on income and number of dependents is used to determine the amount a participant must pay. The minimum fee a participant must pay is \$10 per class and the maximum cost is \$40. While the participants' contribution is used for match, the court supports the idea that defendants need to pay as much as they are able in order obtain maximum offender accountability. As of August 2023, there are a total of 62 participants enrolled in a BIP program at Compass Health and TMT in the two counties.

#### *Program Standards and Guidelines for Organizational Structure*

- The mission of the Thirteenth Judicial Circuit's Domestic Violence Dockets is to accelerate adjudication of domestic violence cases. The domestic violence dockets allow the domestic violence prosecutors and criminal defense counsel to have dedicated time to speak with each other, their witnesses and clients, as well as opportunities to discuss scheduling and possible dispositions. The Thirteenth Circuit is committed to cases being processed and disposed of more quickly, which improves the court's efficiency, increases offender accountability, and brings quicker resolution for victims. The Thirteenth Judicial Circuit's Domestic Violence Dockets aim to accomplish its mission by establishing a quicker return date on bonds (10 days); establishing expedited settings for preliminary hearings; using a graduated range of sanctions that uses the coercive power of the criminal justice system to hold abusers accountable for their criminal action and for changing their behavior that includes a 27-week batterers' intervention program (in Boone and Callaway counties, the program being utilized is MEND [Men Exploring Non-Violent Directions] offered by Compass Health and EMBRACE and EMBRACE U offered by TMT Consulting; providing defendants with grant funding to make sure finances are not an obstacle to cognitive behavior therapy; encouraging accountability by closely monitoring offenders' participation and attendance in cognitive behavior therapy through the use of Domestic Assault Court Coordinator (DACC) who completes a Domestic Violence Compliance Record with each defendant listing agreed upon dates for enrollment, commencement, and graduation from a BIP (if the defendant fails to comply with these agreed upon dates, the judge may set a show cause hearing); providing recognition for completion of a BIP; and performing regular evaluation measures to assist in promoting effective practices and improving ineffective practices.
- The court has written personnel policies and procedures, and rules and regulations that apply to all court staff including the DACC. The court has a prepared job description for the DACC, which is part of this application. Confidential personnel files are maintained for all court personnel. Performance-based assessments of staff service delivery are held via monthly meetings between the DACC and the Deputy Court Administrator as well as an annual evaluation.
- The DACC does not currently have direct contact with victims. Information regarding the criminal justice process and measure of victim satisfaction with services comes directly from the Prosecuting Attorney's Office. The Prosecuting Attorney's Office provides each victim with a survey in an effort to evaluate their services to victims of crime and to continue to improve the quality of their services to victims of crime in the community. All responses are kept confidential.
- The court communicates and collaborates with other service providers to include the local domestic violence shelters, the local police and sheriff's departments as well as the BIP service providers, Compass Health and TMT Consulting. This is demonstrated by the Memorandum of Agreement and interagency contracts that are attached to this application.

#### *Program Standards and Guidelines for Personnel Administration*

The Thirteenth Judicial Circuit has written policies and procedures, and rules and regulations that apply to all court staff including the Domestic Assault Court Coordinator (DACC). The court has a prepared job description for the DACC as well. These documents are available upon request. Confidential personnel files are maintained for all court personnel.

#### *Program Standards and Guidelines for Staff Development*

Grant-funded program staff are trained in a variety of ways. They receive one-on-one training with their direct supervisor as needed and have access to the judiciary's web-based educational programs. Program staff may also attend domestic violence conferences/trainings as available.

#### *Program Standards and Guidelines for Service Provision*

Court-ordered participants receive counseling services from Compass Health and TMT Consulting of Missouri. The providers follow the guidelines of the MCADSV, as set out below. Utilizing records kept by the Domestic Assault Court Coordinator (DACC) in Excel as well as reports generated through the court's "OSCA Reports" software, the following information required on the annual progress reports is available: the number and type of criminal cases filed; the number, type, and disposition of disposed cases; the number of offenders reviewed and hearings conducted with regards to judicial monitoring; the disposition of probation violations; the number of offenders enrolled in a BIP, and the program outcomes of the BIP participants. These records are kept electronically in a password protected environment and in a hard copy in a locking cabinet. The DACC is not a provider of counseling services. The Thirteenth Judicial Circuit has written policies and documents that can be provided upon request.

#### *Program Standards and Guidelines for Program Accessibility*

- The Thirteenth Judicial Circuit in partnership with Compass Health and TMT Consulting, does not discriminate or deny service on the basis of race, ethnicity, color, national origin, residency, language, sex, gender identity, age, sexual orientation, ability, social class, economic status, education, marital status, religious beliefs, or HIV status.
- The Domestic Assault Court Coordinator (DACC) does not provide direct services to victims of domestic violence. The DACC has a background in case management and the court supports ongoing training for the DACC in the form of attendance at outside training programs, such the training offered by the Missouri Coalition Against Domestic and Sexual Violence and the Missouri Office of Prosecutorial Services.
- The Thirteenth Judicial Circuit will furnish auxiliary aids and services to afford an individual with a disability and equal opportunity to participate in or benefit from services, programs, or activities conducted by the court. These auxiliary aids and services may include: sign language interpreter, large print materials, qualified interpreters for persons who are deaf, Ubi Duo communication device, written materials, assistive listening devices as well as other effective methods of making written or orally delivered materials available to individuals who are blind and deaf. Individuals with a disability may request the reasonable auxiliary aid of his or her choice and the court will give primary consideration to the choice. A reasonable effort will be made to accommodate the request. The Thirteenth Judicial Circuit provides language interpreters for court-related purposes; however, Compass Health and TMT Consulting are responsible for providing an interpreter for any deaf or non-English speaking defendants.
- In Boone County everyone with the exception of judges are required to enter the courthouse through one entrance and walk through a metal detector. Access to the Callaway County Courthouse for all but staff with a FOB key access is through one door, which is monitored through security cameras. Both courthouses are equipped with security cameras and fully staffed with court marshals.

#### *Program Standards and Guidelines for Records and Confidentiality of Victim Information*

- Case files are organized by the year and corresponding case number. In Boone County and Callaway County, case files are electronic and managed on the Justice Information System (JIS).
- The content of a case file typically includes bond conditions, the probable cause statement, entry of appearance, probation orders, all accompanying docket entries and motions, as well Domestic Violence Compliance Records, which lists agreed upon dates for enrollment, commencement, and graduation from a BIP. All correspondence is typically included between the Judge/Defendant/DACC. Files also contain confidential reports from the DACC and probation officers. Files are kept pursuant to Supreme Court Operating Rule 8-Records and Retention and Destruction and are generally shredded. The DACC does not keep separate files on domestic violence cases. Generally, the Judge, Prosecuting Attorney, Defense Counsel, and DACC have access to the case file. In some cases, the general public has access to certain files, except in case information marked "CONFIDENTIAL". In paper files, the information marked CONFIDENTIAL is clearly labeled and only authorized court personnel can access this information and then only as it pertains to the duties of their jobs. In electronic files, only attorneys, judges, and court personnel may log-in to view court files and every person's security level is determined and monitored by the Office of State Courts Administrator.
- The batterers' intervention program is MEND through Compass Health and EMBRACE/EMBRACE U through TMT Consulting. Both programs provide information regarding clients' participation in the BIP, which is tracked by the DACC. The DACC keeps multiple Excel spreadsheets of all the clients that have ever been in the program to include attendance, termination, graduation, etc.
- The court follows Missouri Supreme Court Operating Rule 2 governing public access to court records, and applicable statutes protecting the confidentiality of specific court records. The DACC does not receive details of defendants' participation in a BIP except for attendance records and overall attitude regarding treatment.

#### *Compass Health and TMT Consulting adhere to the Standards and Guidelines for Batterers' Intervention Programs established by the Missouri Coalition Against Domestic and Sexual Violence (MCADSV)*

- The program philosophy of both programs and the curriculums used follow MCADSV's "Declaration of Principles" and the curriculum requirements.
- MEND/EMBRACE or EMBRACE U requires that participants attend an intake/orientation session, where the information set on page 9 of the MCADSV guidelines is gathered and assessed, and then 27-weekly two-hour group sessions.
- With funding from the STOP-VAWA grant, Compass Health and TMT both utilize a sliding fee scale allowing defendants to pay between \$10 to \$40 per class session, depending on their income and dependents.

- Compass Health and TMT Consulting reserve the right to exclude people from the BIP if they are unable to function in the group because of chemical dependency or psychiatric or cognitive issues.
- All program participants sign an agreement that they will adhere to group rules, which are listed on the agreement and discussed at the orientation session.
- All current facilitators have a master's degree in counseling or social work.
- The program has used facilitators who have a bachelor's degree and experience in working at women's shelters. Periodically staff from True North, a local women's shelter and advocacy center, assist with the training of new facilitators.
- New facilitators are trained in all aspects of the training guidelines and must observe several groups before starting their own groups. When beginning with a group, they must work with an experienced facilitator.
- Monthly facilitator staff meetings are held in which facilitators discuss the curriculum and articles regarding domestic violence treatment.

## **Coordination of Services (2019)**

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### **Coordination of Services\***

*Briefly outline how your agency will coordinate the activities of this project with other service providers, law enforcement agencies, prosecuting attorney's offices, courts and other community agencies.*

*Explain how the services offered by this project will complement other existing activities and services in your community.*

**PLEASE DO NOT SIMPLY LIST THE AGENCY(S) YOU COORDINATE WITH!**

**Provide a description of the specific collaborative activities the agency engages in.**

*The coordination efforts should be supported by, and tie back to, letters of collaboration and/or MOU's required as attachments to this application.*

*This is a required component of receiving VAWA funds.*

The Thirteenth Judicial Circuit works closely with many offices, agencies, and stakeholders including the Boone and Callaway Prosecutor's Office, criminal defense attorneys, probation officers, True North (domestic violence shelter), Coalition Against Rape and Domestic Violence (domestic violence shelter), Compass Health and TMT Consulting. The Court anticipates continuing these relationships.

Prior to Covid-19, meetings regarding the Boone County domestic violence docket were hosted quarterly at the Boone County Courthouse. These meetings include the domestic violence judge, the Domestic Assault Court Coordinator (DACC), court administration, the Boone County domestic violence prosecutors, victim advocates, public defenders, a representative from the private criminal defense bar, Missouri State probation officers, the Boone County Circuit Clerk's Office, True North, Adult Court Services (Boone County's internal probation and parole department), TMT Consulting and Compass Health. The meetings allow the stakeholders to openly discuss programs, issues, and solutions specifically related to the domestic violence docket. The frequency in which these meetings are held are reviewed and evaluated with the domestic violence judge. It is anticipated these meetings will resume in the near future.

Boone County has what is known as the Domestic Violence Enforcement (DOVE) unit. The DOVE unit includes the Columbia Police Department, Boone County Sheriff's Department, Missouri State Probation and Parole, True North, Boone County Prosecutor's Office, and Compass Health. Representatives from these agencies meet monthly to discuss the coordination of services, the domestic violence docket, and ideas for improving domestic violence awareness in the community. Outside of these monthly meetings the agencies partner to provide trainings and communicate about cases as needed. Due to Covid-19, these meetings were not held in person, however, virtual meetings were held as needed so issues could be addressed between the stakeholders. In person meetings hopefully can resume in the near future.

In collaboration with the Court, and as a way to further assist victims, the Prosecutor's Office sends an informational letter to victims that include information on accessing Case.net, Missouri's automated case management system. This allows victims to easily determine court dates, bond conditions, and other applicable information that is part of the Court's case file. The informational letter is included with this application.

Callaway County also has a domestic violence task force known as the Coordinated Community Response Team (CCRT). The group includes the Fulton Police Department, Holts Summit Police Department, Callaway County Sheriff's Department, Callaway County Prosecutor's Office, Missouri State Board of Probation and Parole, Compass Health, and CARD-V (domestic violence shelter). The group meets monthly to discuss domestic violence issues in Callaway County.

The DACC also attends these meetings to discuss the Court's programs and ways to improve the domestic violence docket.

The DACC also has monthly staffings in each county with the BIP providers and the local Probation & Parole officers who supervise the DV offenders. This allows all parties to be on the same page regarding the defendant's compliance with their BIP requirement.

## **Consultation with Victim Services**

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### **Prosecution, Law Enforcement and Court based applicants Only:**

#### **Consultation with Victim Services Narrative**

*Prosecution, Law Enforcement and Court based applicants are required to consult with state or local victim service programs during the course of developing their grant applications in order to ensure that the proposed services, activities and equipment acquisitions are designed to promote the safety, confidentiality and economic independence of victims of domestic violence, sexual assault, stalking and dating violence.*

*Please explain in detail the process undertaken to meet this requirement.*

The Domestic Assault Court Coordinator has ongoing communication with the executive directors of True North and the Coalition Against Rape and Domestic Violence (CARDV), the local domestic violence shelters, which were consulted when the courts first applied for STOP-VAWA funding. Memorandums of Understanding are included with this application. The consultation in preparation for this grant was completed by contact over the phone and email, but the DACC regularly meets with victim services in person throughout the year at monthly and quarterly meetings, and as needed when issues arise.

## **Number of Victims to Be Served**

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### **Number of Victims to Be Served\***

*Indicate the anticipated number of victims to be served by this VAWA funded project.*

*Do not include the total number of victims served by your agency, but the number that will be served specifically by this particular project.*

*For victims of domestic and/or sexual violence break out the number of women to be served, men to be served, and children to be served separately.*

*These numbers should match what is listed on the VAWA Data Report.*

*Give statistics from previous years to support your estimate.*

It is anticipated the Integrated Domestic Violence Program will indirectly serve approximately 100 victims per year for a total of 200 victims in the two year grant cycle. This is based on the number of defendants who are anticipated to participate in the Batterer's Intervention Program. From January 2009 through 2022, 1398 defendants were court-ordered to attend BIP. Therefore 1398 divided by 13 years is approximately 107 defendants attending BIP yearly. Not every person ordered to complete BIP will actually begin the program. We are giving a 15% leeway for those defendants who do not have any involvement with BIP outside of an order to participate. It should be noted that while not every person ordered to complete BIP will actually begin the program, the majority of those cases will continue to appear on the domestic violence docket to monitor compliance therefore being subjected to interactions with the Domestic Assault Court Coordinator. However for the purpose of reporting contacts with victims a more accurate reporting measure would be to count one victim for each defendant who attends a BIP as there is normally one primary adult victim for each defendant ordered to complete BIP. It should be noted the majority of victims of domestic violence are women, therefore it is estimated the majority of the victims served will be women.

As part of the Integrated Domestic Violence Program, there are enhanced bond conditions and expedited preliminary hearings. This impacts on offender accountability. In addition to these indirect victims, the program will continue to contribute to the overall safety of

the community by reducing recidivism rates.

## Goals and Objectives

Type of Service	Objective	Objectives Percentage (%)
Batterer Intervention Programs	_____ % will complete the BIP program	70
Batterer Intervention Programs	_____ % with no reported incidents of violence while in the BIP program	85

## Evaluation Procedure (2024-2025)

### Evaluation Procedure\*

Please describe the process and tools used to determine the effectiveness of the project and address all three steps below.

#### THE EVALUATION MUST TIE BACK TO THE GOALS AND PREVIOUSLY SELECTED OBJECTIVES

**STEP 1:** List the previously selected objectives from the Goals & Objectives section and the expected outcome of each.

**STEP 2:** Below each objective describe how data will be collected and the process the agency will use to analyze the data to determine the effectiveness of the project. **TIP:** Examples may include: pre- and post-testing, surveys, client-satisfaction evaluations, etc. Attach all survey and/or evaluation tools that will be used collect evaluation data in the **Required Attachments**

**STEP 3:** Explain how this information will be used to improve services to victims.

Objective 1: 70% of court-ordered defendants will complete the BIP program.

For the purposes of this objective, the DACC is using a timeframe of one year. The DACC currently tracks all defendants ordered to attend a BIP in Boone and Callaway counties utilizing attendance and participation reports from agency providers, OSCA Reports software, and Excel. While 70% may seem like a low target, we are taking into consideration that there will be some noncompliance causing a delay in completion time.

Objective 2: 85% of court-ordered defendants will have no reported incidents of violence while in the BIP program.

For the purposes of this objective, "violence" is being defined as any domestic violence case. The DACC currently tracks recidivism for BIP participants through Case.net, which is online access to the Missouri state courts automated case management system. From there one is able to inquire about case records including docket entries, parties, judgments and charges in public court. Via this system the DACC is able to monitor BIP participants for new domestic violence cases.

Utilizing records kept by the DACC in Excel as well as reports generated through the court's OSCA Reports software, the following information required on the yearly progress reports is available: the number and type of criminal cases filed; the number, type, and disposition of disposed cases; the number of offenders reviewed and hearings conducted with regards to judicial monitoring; the disposition of probation violations; the number of offenders enrolled in BIP, and the program outcomes of BIP participants. Collaborative meetings have been a way for us to improve services, such as the need for a female program. TMT Consulting provides these services, therefore, in 2017 we contracted with them to do so. TMT and Compass Health also both provide services for men. Although both facilities offer the same type of services, their schedules are different which gives the men more flexibility with their work schedules.

The DACC uses data collected to evaluate the effectiveness of the program. Examples would be the recidivism rate, time it takes to complete the program and the number of hearings for these participants related to probation violations and compliance hearings regarding the BIP. The compliance hearings are beneficial because the judge hears firsthand information from the participant the pros and cons of the program. By completing the program in a timely manner, this helps hold the defendant accountable for their actions and also reduces the recidivism rate.

It is also noted the 13th Judicial Circuit Court implements positive reinforcement for defendants in the BIP program. Graduation letters are sent from the judge when they complete the BIP congratulating them on this accomplishment. In addition, when defendants appear before the judge for compliance hearings they are given the opportunity to have an open dialogue with the judge about the program and how it is working for them. Verbal reinforcement is given directly from the judge to the defendant.

## Report of Success

Measurable Objectives	VAWA Outcomes
70% of court-ordered defendants will complete the BIP program.	Of the 69 defendants ordered to participate in BIP during calendar year 2022, 57 defendants (83%) successfully completed the program.
85% of court-ordered defendants will have no reported of violence while in the BIP program.	Of those 69 defendants who successfully completed BIP in 2022, 66 defendants (95%) had no reported incidents of violence while in the program.

## Personnel

Name	Title	Position	Employment Status	Salary per Pay Period	Number of Pay Periods	% of Grant Funded Time	Total Cost	Local Match %	Local Match Share	Federal/State Share
Lori Zuroweste 2024	Domestic Assault Court Coordinator	Retained	FT	\$1,299.85	26.0	100.0	\$33,796.10	0	\$0.00	\$33,796.10
Lori Zuroweste2025	Domestic Assault Court Coordinator	Retained	FT	\$1,319.35	26.0	100.0	\$34,303.10	0	\$0.00	\$34,303.10
							\$68,099.20		\$0.00	\$68,099.20

## Personnel Justification

### Personnel Justification

*If personnel is included in the budget, provide justification for each position.*

*If the position is new (created), provide a description of the job responsibilities the individual will be expected to perform. If the position exists (retained), provide a description of the job responsibilities and the experience and/or any certification the individual possesses.*

*If using Match in this section please identify who will be providing these funds and describe the source of the funds.*

*If a salary increase is included, address the type/reason for such increase, the percentage of increase, and the effective date of the increase.*

*If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.*

**Supplanting DOES apply to non-profit agencies as well as government agencies.**

The DACC performs a variety of functions, which include monitoring defendants' attendance and participation in BIPs and coordinating with probation and court services in monitoring compliance with other court-ordered conditions of probation. When non-compliance occurs the DACC takes action based on a graduated range of sanctions including sending warning letters to defendants, talking and meeting with defendants, and informing the defendant's probation officer of noncompliance. When appropriate, noncompliance is reported to the domestic violence judge so the judge can have the discretion whether to set a show cause or probation violation hearing. In addition, the DACC administers grant funds;

collects, maintains, and analyzes data regarding court programs, and assists the Court on domestic violence cases as needed. The DACC also reviews the dockets for Adult Abuse hearings (orders of protection) and informs the judge if the respondent has pending criminal charges for domestic violence. In 2017 the DACC started doing bond investigations in Callaway County for domestic violence cases and makes bond recommendations to the judge. A total of 56 Bond Investigations were completed in Callaway County for DV related cases from January 2022 through August 2023.

The DACC also acts as the court's liaison to BIPs (Compass Health and TMT Consulting), prosecutors, defense counsel, law enforcement, probation officers, and local CCRTs regarding court programs and procedures. This has allowed the court to realistically require defendants to enroll in a BIP within approximately one month. Prior to the hiring of the DACC it took a defendant an average of 143 days to enroll in the program. Additionally, it is expected the defendant begin class one week after enrolling in the program. Prior to the DACC, it took a defendant an average of 78 days to enroll in the program. Moreover, the time period between defendants being terminated from a BIP or placed on hold and the court receiving notification of such action has been significantly reduced from one month or more to 1-2 weeks. Thus, the DACC has significantly reduced the time periods for defendants to start the program and the court receiving notification on noncompliance, which ultimately increases offender accountability.

Calculations for salary and benefits are based on Boone County employees being paid bi-weekly, resulting in 26 pay periods per year. The estimates for 2024 and 2025 include up to a 3% COLA raise per year as is customary with Boone County employees, usually given on the first of the year. There is also a proposal for up to a 4% merit raise for 2024 and 2025. The COLA or merit raises will only occur if the county approves the raises for all other staff.

The most recent DACC has a Bachelor's of Science in Psychology from Culver-Stockton College. She has been employed within the 13th Circuit since 12/10/19. Prior to this, she was employed with Department of Corrections as a Probation & Parole Officer. She retired after 29 years of service.

## Personnel Benefits

Category	Item	Salary/Premium	Percentage/# of Periods	% of Funding Requested	Total Cost	Local Match %	Local Match Share	Federal/State Share
Deferred Comp	401 A Match (2024 and 2025)	\$50.00	26.0	100.0	\$1,300.00	0	\$0.00	\$1,300.00
Dental Insurance	Dental Insurance (2024 and 2025)	\$35.00	24.0	100.0	\$840.00	0	\$0.00	\$840.00
Disability Insurance	Disability Insurance (2024 and 2025)	\$68,099.20	0.0036	100.0	\$245.16	0	\$0.00	\$245.16
FICA/Medicare	FICA/MEDICARE	\$68,099.20	0.0765	100.0	\$5,209.59	0	\$0.00	\$5,209.59
Life Insurance	Life Insurance (2024 and 2025)	\$6.00	24.0	100.0	\$144.00	0	\$0.00	\$144.00
Medical Insurance	Medical Insurance (2024 and 2025)	\$618.00	24.0	100.0	\$14,832.00	0	\$0.00	\$14,832.00
Workers Comp	Workers Comp (2024 and 2025)	\$68,099.20	0.0337	100.0	\$2,294.94	0	\$0.00	\$2,294.94
					\$24,865.69		\$0.00	\$24,865.69

## Personnel Benefits Justification

### Benefits Justification

If personnel benefits are included in the budget, provide justification for each fringe benefit.

If using Match in this section please identify who will be providing these funds and describe the source of the funds.

If your agency anticipates a premium or rate change during the contract period, indicate the effective date of change and the reasoning for such change.



If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.

**Supplanting DOES apply to non-profit agencies as well as government agencies.**

The benefits stated are currently provided to all Boone County employees. The rates provided above are based on the December 31, 2022, letter from the Boone County Auditor and are effective January 1, 2023. We are using the same rates as we do not have the updated rates for 2024 and 2025. In the Auditor's letter it states that "premiums are determined annually through the budget process and approved with adoption of the annual budget."

Health Insurance (Medical) - Effective January 1, 2023, the rate will be \$ 6348 - \$7416 annually per employee.

Dental Insurance - In 2023 the rate was \$420 annually. The rate was set by the county. As insurance is a benefit offered to all staff, the court is requesting the grant cover this benefit at the same rate.

Disability Insurance - In 2023, the rate was \$0.36 per \$100. As insurance is a benefit offered to all staff, the court is requesting the grant to cover this benefit.

Life Insurance - In 2023, Life Insurance was \$72.00 annually. As insurance is a benefit offered to all staff, the court is requesting the grant cover this benefit.

Deferred Comp (401 A Match) - It should be noted this amount is increased from previous grant applications due to an error in calculation. The county match is 100% of an employee's contribution up to a maximum of \$25 per pay period (\$650 annual). As this is a benefit offered to all staff, the court is requesting the grant cover this benefit.

### **PRN/Overtime**

Name	Title	PRN/Overtime Pay	Hours on Project	Total Cost	Local Match %	Local Match Share	Federal/State Share
				\$0.00		\$0.00	\$0.00

### **PRN/Overtime Justification**

#### **PRN/Overtime Justification**

If PRN/Overtime is included in the budget, provide justification for the expense. Describe why PRN/Overtime funding is necessary and how it will aid in the success of the project.

If using Match in this section please identify who will be providing these funds and describe the source of the funds.

If an PRN/Overtime pay rate increase is included, address the individuals eligibility for such increase, the percentage of increase, and the effective date of the increase.

If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.

**Supplanting DOES apply to non-profit agencies as well as government agencies.**

N/A

### **PRN/Overtime Benefits**

Category	Item	PRN/Overtime Premium	Percentage/# of Periods	% of Funding Requested	Total Cost	Local Match %	Local Match Share	Federal/State Share
					\$0.00		\$0.00	\$0.00

### PRN/Overtime Benefits Justification

#### PRN/Overtime Benefits Justification

If PRN/Overtime benefits are included in the budget, provide justification for each fringe benefit.

If using Match in this section please identify who will be providing these funds and describe the source of the funds.

If your agency anticipates a premium or rate change during the contract period, indicate the effective date of change and the reasoning for such change.

If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.

Supplanting DOES apply to non-profit agencies as well as government agencies.

N/A

### Volunteer Match (\$18.00/hour)

Description of Service	Number of Volunteers	Total Hours	Local Match Share
			\$0.00

### Volunteer Match Justification

#### Volunteer Match Justification

If volunteer match is included in your application explain the number of volunteers that will be used, the activities that they will be conducting and when they will be conducting these activities (day, evening, weekends).

NA

### Travel/Training

Item	Category	Unit Cost	Duration	Number	Total Cost	Local Match %	Local Match Share	Federal/State Share
MAPA and Missouri Victim's Services Academy Conference	Mileage	\$100.00	1.0	2.0	\$200.00	100.0	\$200.00	\$0.00
MAPA and Missouri Victim's Services Academy	Meals	\$125.00	1.0	2.0	\$250.00	100.0	\$250.00	\$0.00

Conference									
MAPA and Missouri Victim's Services Academy Conference	Registration Fee	\$250.00	1.0	2.0	\$500.00	100.0	\$500.00		\$0.00
MAPA and Missouri Victim's Services Academy Conference	Lodging	\$225.00	1.0	2.0	\$450.00	100.0	\$450.00		\$0.00
					\$1,400.00		\$1,400.00		\$0.00

## Travel/Training Justification

### Travel/Training Justification

If travel/training is included in the budget, provide justification for each expense and why such is necessary to the success of the proposed project.

For training, identify the name of training or conference, the location, and date(s) of the training. If either the name, location, or date(s) is unknown, clearly identify such.

Describe the anticipated benefit and/or a synopsis of the training and who will be attending such event.

If using Match in this section please identify who will be providing these funds and describe the source of the funds.

If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.

**Supplanting DOES apply to non-profit agencies as well as government agencies.**

The DACC attends the Missouri Victim's Services Academy and Missouri Association of the Prosecuting Attorney's conference annually. This training is approved by the Department of Public Safety. This money will cover the registration fee, lodging, mileage and meals for this or other approved Domestic Violence related trainings.

## Equipment

Item	Description	Unit Cost	Quantity	Source of Bid	% of Funding Requested	Total Cost	Local Match %	Local Match Share	Federal/State Share
		\$0.00	0		0	\$0.00	0	\$0.00	\$0.00
						\$0.00		\$0.00	\$0.00

## Equipment Justification

### Equipment Justification

If equipment is included in the budget, provide justification for each item.

Address why the item is needed, whether it is a replacement or an addition, who will use it, and how it will be used.

If using Match in this section please identify who will be providing these funds and describe the source of the funds.

If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.

Supplanting DOES apply to non-profit agencies as well as government agencies.

N/A

**Supplies/Operations**

Item	Basis for Cost Estimate	Unit Cost	Quantity	% of Funding Requested	Total Cost	Local Match %	Local Match Share	Federal/State Share
					\$0.00		\$0.00	\$0.00

**Supplies/Operations Justification**

**Supplies/Operations Justification**

If supplies/operations are included in the budget, provide justification for each expense.

Address why the item is necessary for the proposed project, who will use it, and how it will be used.

If your agency anticipates a rate change during the contract period, indicate the effective date of change and the reasoning for such change.

If using Match in this section please identify who will be providing these funds and describe the source of the funds.

If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.

Supplanting DOES apply to non-profit agencies as well as government agencies.

**Contractual**

Item	Basis for Cost Estimate	Unit Cost	Quantity	% of Funding Requested	Total Cost	Local Match %	Local Match Share	Federal/State Share
Boone County BIP per year	Annual	\$43,600.00	2.0	100.0	\$87,200.00	45.0	\$39,240.00	\$47,960.00
Callaway County BIP per year	Annual	\$17,350.00	2.0	100.0	\$34,700.00	39.0	\$13,533.00	\$21,167.00
					\$121,900.00		\$52,773.00	\$69,127.00

**Contractual Justification**

**Contractual Justification**

*If contractual or consultant services are included in the budget, provide justification for each expense.*

*Address why each item is necessary for the proposed project and who will benefit from the services.*

*If your agency anticipates a rate change during the contract period, indicate the effective date of change and the reasoning for such change.*

*If using Match in this section please identify who will be providing these funds and describe the source of the funds.*

*If an increase and/or new line item is being requested, please explain why it is being requested and how the agency has paid for this expense in the past.*

**Supplanting DOES apply to non-profit agencies as well as government agencies.**

An essential component of the domestic violence docket is the requirement that some defendants attend a BIP as part of a graduated range of sanctions that uses the coercive power of the criminal justice system to hold abusers accountable for their criminal action and for changing their behavior. In 2006, Compass Health obtained grant funding for its BIP, MEND. This allowed participants who could not afford the total cost of \$1,100 (\$40 per class for 27 classes plus \$20 orientation fee) for the 27-week program to still attend the program. The grant funding discontinued in 2008. To make the program financially feasible for defendants, the court applied for the STOP-VAWA funding for the program in 2008. The current funding permits up to \$30 of the \$40 per class fee. The remaining portion, or copay, which is a minimum of \$10 per class, is calculated utilizing a sliding scale, and is paid for by the defendants. Currently, there a total of 54 participants enrolled in the BIP program with Compass Health and TMT in the two counties. Approximately 67% of participants financially qualify to use grant funds. 56% of participants utilize the full extent of the grant and 12% of participants utilize the grant for less than the full benefit, paying \$12 to \$35 per class.

The funds designated as match in this section will be provided by the portion of BIP fees paid by defendants. The portion paid by defendants is currently \$10 to \$40 per class. The amount defendants are required to pay is determined utilizing a sliding scale based on income and dependants.

**BOONE COUNTY BIP**

BIP billing for both Compass Health and TMT Consulting for Boone County, the total classes and orientation for 2021 was \$42,180.00, with defendants paying \$24,216.00, or 57%. The total classes and orientation for 2022 was \$33,980 with defendants paying \$18,280 or 54%. The total classes and orientation for January - July of 2023 was \$20,420 with defendants paying \$11,776 or 58%. Averaging the first 7 months of 2023, the approximate total billing for the year will be around \$35,006.00 with \$20,187 paid by the defendants. Averaging the last three years together based on billing, it is expected that the total cost of classes and orientation will be \$37,055.00 a year with 56% or \$20,864 paid by the defendants.

**CALLAWAY COUNTY BIP**

Per Compass Health billing for Callaway County, he total classes and orientation for 2021 was \$24,700 with defendants paying \$17,343.00, or 70%. The total classes and orientation for 2022 was \$27,280 with defendants paying \$18,100 or 66%. The total classes and orientation for January - July of 2023 was \$14,280 with defendants paying \$9,289 or 65%. Averaging the first 7 months of 2023, the approximate total billing for the year will be around \$24,480 with \$15,924 paid by the defendants. Averaging the last three years together based on billing, it is expected that the total cost of classes and orientation will be \$25,486 a year with \$17,122 or 67% paid by the defendants.

For the 2024 and 2025 years, the defendants will continue to have the choice to attend either BIP offered at Compass Health or TMT Consulting. Both entities are 27 week programs and are the same price for classes. Both facilities use the sliding scale.

**Indirect Costs**

Item	Project Costs	Indirect Type	Indirect Rate	Total Indirect Costs	Local Match %	Local Match Share	Federal/State Share:
				\$0.00		\$0.00	\$0.00

## Indirect Cost Justification

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N/A

## Total Budget

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<b>Total Federal/State Share:</b>	\$162,091.89	74.95%
<b>Total Local Match Share:</b>	\$54,173.00	25.05%
<b>Total Project Cost:</b>	\$216,264.89	

## VAWA Data Form

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**Budget Total:** \$162,091.88

*Please only select one category for your proposed project; the percentage should equal 100% for this category.  
The requested STOP Program funds will be used for:*

<b>Law Enforcement:*</b>	0%	\$0.00
<b>Prosecution:*</b>	0%	\$0.00
<b>Victim Services Project:*</b>	0%	\$0.00
<b>Court:*</b>	100.0%	\$162,091.88
<b>Discretionary:*</b>	0%	\$0.00
<b>Culturally Specific:*</b>	0%	\$0.00
<b>Other:*</b>	0%	\$0.00

**Project Focus:\*** Domestic Violence Services

*Indicate the anticipated number of victims to be served by this STOP funded project*

**Total Victims of Crime:\*** 200

**Hotline Calls:\*** 0

Indicate the anticipated number of women, children, and men to be served by this STOP funded project and the anticipated number of bednights.

Women: 180

Children: 0

Men: 20

Bed-Nights:

If a training/technical assistance project, show the anticipated number of people and/or communities to be trained:

People:

Communities:

## Type of victimization

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Budget Total 1	\$162,091.89	
Sexual assault*	1.0%	\$1,620.92
Domestic violence/dating violence*	97.0%	\$157,229.13
Stalking*	2.0%	\$3,241.84
Total	100.0%	\$162,091.89
	(must equal 100%)	(must equal budget total 1)

## Application Certified Assurances (2024-2025)

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To the best of my knowledge and belief, all data in this application is true and correct, the document has been duly authorized by the governing body of the applicant, and the applicant attests to and/or will comply with the following Certified Assurances if the assistance is awarded:

2024-2025 STOP VAWA Certified Assurances

I am aware that failure to comply with any of the Certified Assurances could result in funds being withheld until such time that I, the recipient, take appropriate action to rectify the incident(s) of non-compliance.

I have read and agree to the terms and conditions of the grant. \* Yes

## Audit Requirements

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Date last audit was completed:\* 07/31/2023

Date(s) covered by last 01/01/2022-12/31/2022

audit:\*

Last audit performed by:\* Rubin Brown LLP Certified Public Accountants

Phone number of auditor:\* 314-290-3300

Date of next audit:\* June 2024

Date(s) to be covered by next audit:\* 01/01/23 - 12/31/2023

Next audit will be performed by:\* Rubin Brown LLP Certified Public Accountant

Total amount of financial assistance received from all entities, including the Missouri Department of Public Safety, during the date(s) covered by your agency's last audit, as indicated above.

The **Federal Amount** refers to funds received directly from the Federal Government or federal funds passed through state agencies in the form of grants, loans, loan guarantees, property (including donated surplus property), cooperative agreements, interest subsidies, insurance, food commodities, direct appropriations, and other assistance.

The **State Amount** refers to funds received directly from the State of Missouri, not including federal pass-thru funds, in the form of grants, loans, loan guarantees, property (including donated surplus property), cooperative agreements, interest subsidies, insurance, food commodities, direct appropriations, and other assistance.

Federal Amount:\* \$22,693,731.00

State Amount:\* \$4,974,813.00

## VAWA Required Attachments

Attachment	Description	File Name	Type	File Size
Agency Organizational Chart (REQUIRED)	13th Judicial Circuit Court Org Chart	13th Circuit Org Chart 08282023.pdf	pdf	712 KB
Policies & Procedures Relating to Internal Controls (REQUIRED)	Boone County Purchasing Policy Manual	Boone County Purchasing Policy Manual 2023.pdf	pdf	515 KB
Job Descriptions & Payroll Records (if applicable)	DACC Job Description and paystub	DACC Job Description & Paystub 09012023.pdf	pdf	548 KB
Agency's Current Budget (REQUIRED)	13th Judicial Circuit 2021 budget	2021 Budget.pdf	pdf	288 KB
Your agency's profit/loss statement from the past <b>two (2) years</b> for your agency as a whole. (if applicable)				
Funding Source Identification (REQUIRED)	2024 Grants for 13th Circuit	2024 GRANTS - final.pdf	pdf	111 KB
Board of Directors Listing (if applicable)				
Documentation of Not-for-Profit Status (if applicable)				
Letters of Collaboration/MOU's (REQUIRED)	CCRT and DOVE MOU's for grant	MOU for Grant 2024.pdf	pdf	2.3 MB
Contractual Agreement (if applicable)	Compass Health & TMT Contracts	BIP provider contracts for grant 2022.pdf	pdf	319 KB
Indirect Cost Rate documentation (if applicable)				
Acknowledgement of Confidentiality and Privacy Provisions (REQUIRED)	Signed Acknowledgement	Acknowledgement of Notice to Comply with Confidentiality (signed 2021).pdf	pdf	213 KB
Evaluation Tools used to measure the success of the project (if applicable)	Compass Health and TMT pre/post surveys	Compass Health and TMT pre-post surveys.pdf	pdf	664 KB



## Other Attachments

File Name	Description	File Size
AO 13-13 BOND RETURN SCHEDULE.pdf (760 KB)	BOND RETURN SCHEDULE	760 KB
Boone PA Victim Letter.pdf (912 KB)	Prosecutor's letter as referenced in "Coordinated Services" section	912 KB
Callaway PA Victim Letter.pdf (289 KB)	Prosecutor's letter as referenced in "Coordinated Services" section.	289 KB

## Self Evaluation Risk Assessment

### Section 1: General Information

1. Is the applicant agency on the Federal Excluded Parties List? System for Award Management (SAM) IF APPLICANT IS ON THE LIST THEY ARE NOT ELIGIBLE FOR FUNDING.\* No

2. Is the applicant agency on the State Excluded Parties List? MO Vendors Suspension/Debarment List IF APPLICANT IF APPLICANT IS ON THE LIST THEY ARE NOT ELIGIBLE FOR FUNDING.\* No

3. Does the applicant agency have new personnel that will be working on this project? (New personnel is defined as working with this award type less than 12 months.)\* No

3(a) If answered yes on Q3, please indicate who the new personnel are and their position(s):

4. Does the applicant agency have new fiscal or time accounting systems that will be used on this award? (New systems are defined as a system that is less than 12 months old.)\* No

4(a) If answered yes on Q4, please indicate the system name, date of change, and system purpose:

5. If the applicant agency is a previous subrecipient, have there been issues expending all grant funds during the subaward period (30% or more grant funds remaining at the end of the contract)?\* No

5(a) If answered yes on Q5, please explain issues expending grant funds:

**Other Direct Awards**

6. Does the applicant agency receive other direct Federal/State awards? (Direct awards are those applied for and received directly; there is no intermediary/pass-through agency, such as DPS.)\* No

6(a) If answered yes to Q6, please list direct Federal/State award(s) received:

7. Has the applicant agency received any Federal/State monitoring on a direct award in the last fiscal year?^ No

7(a) If answered yes to Q7, please list which direct Federal/State awards were monitored:

7(b) Were there any noncompliance findings during the Federal/State monitoring in the last fiscal year? No

7(c) If answered yes to Q7(b), please discuss these findings:

**Section 2: Audit**

8. Did the applicant agency meet/exceed the \$750,000 threshold for Federal funds or \$375,000 threshold for State funds, requiring completion of an audit?^ Yes

8(a) If answered yes on Q8, was a single audit completed? Yes

9. Does the applicant agency have a completed audit that is less than 3 years old?^ Yes

9(a) If answered yes on Q9, please list when the last audit was completed: July 2023

10. Were there any findings, weaknesses, or deficiencies in the most recently completed agency audit?^ No

10(a) If answered yes on Q10, please describe findings:

**Agency Risk Assessment**

Risk Assessment Completed By:\* Lori Zuroweste, Domestic Assault Court Coordinator  
Enter Name and Title

Date Risk Assessment  
Completed:\*

09/05/2023

## STOP Certification

---

*I certify that the agency has complied with the requirements of the Violence Against Women and Department of Justice Reauthorization Act of 2005 during the course of developing this application for grant funds by consulting with victim service programs to ensure that the proposed services and activities are designed to promote the safety, confidentiality and economic independence of victims of domestic violence, sexual assault, stalking and dating violence.*

**Consultation with Victim  
Services**                      Yes

*Your typed name as the applicant authorized official, in lieu of signature, represents your legal binding acceptance that the agency has consulted with a community victim service agency before submitting this application.*

**Title:**                                      Presiding Commissioner

**Authorized Official Name:**      Klp Kendrick

**Agency Type**                        Court

**Date:**                                    09/05/2023

As the duly authorized representative of the applicant, I hereby acknowledge that the applicant has received notice of that if awarded funding they will comply with the above statutory requirements. This acknowledgement shall be treated as a material representation of fact upon which the Department of Justice will rely if it determines to award the covered transaction, grant, or cooperative agreement.

Kip Kendrick      Presiding Commissioner  
Typed Name of Authorized Representative      Title

573-886-4305  
Telephone Number

      9/6/2023  
Signature of Authorized Representative      Date Signed

Boone County Government  
Agency Name



---

**Acknowledgement of Notice of Statutory Requirement to Comply with the  
Confidentiality and Privacy Provisions of the Violence Against Women Act, as  
Amended**

---

Under section 40002(b)(2) of the Violence Against Women Act, as amended (42 U.S.C. 13925(b)(2)), grantees and subgrantees with funding from the Office on Violence Against Women (OVW) are required to meet the following terms with regard to nondisclosure of confidential or private information and to document their compliance. By signature on this form, applicants for grants from OVW are acknowledging that they have notice that, if awarded funds, they will be required to comply with this provision, and will mandate that subgrantees, if any, comply with this provision, and will create and maintain documentation of compliance, such as policies and procedures for release of victim information, and will mandate that subgrantees, if any, will do so as well.

**(A) In general**

In order to ensure the safety of adult, youth, and child victims of domestic violence, dating violence, sexual assault, or stalking, and their families, grantees and subgrantees under this subchapter shall protect the confidentiality and privacy of persons receiving services.

**(B) Nondisclosure**

Subject to subparagraphs (C) and (D), grantees and subgrantees shall not—

- (i) disclose, reveal, or release any personally identifying information or individual information collected in connection with services requested, utilized, or denied through grantees' and subgrantees' programs, regardless of whether the information has been encoded, encrypted, hashed, or otherwise protected; or
- (ii) disclose, reveal, or release individual client information without the informed, written, reasonably time-limited consent of the person (or in the case of an unemancipated minor, the minor and the parent or guardian or in the case of legal incapacity, a court-appointed guardian) about whom information is sought, whether for this program or any other Federal, State, tribal, or territorial grant program, except that consent for release may not be given by the abuser of the minor, incapacitated person, or the abuser of the other parent of the minor.

If a minor or a person with a legally appointed guardian is permitted by law to receive services without the parent's or guardian's consent, the minor or person with a guardian may release information without additional consent.

**(C) Release**

If release of information described in subparagraph (B) is compelled by statutory or court mandate—

(i) grantees and subgrantees shall make reasonable attempts to provide notice to victims affected by the disclosure of information; and

(ii) grantees and subgrantees shall take steps necessary to protect the privacy and safety of the persons affected by the release of the information.

**(D) Information sharing**

(i) Grantees and subgrantees may share—

(I) nonpersonally identifying data in the aggregate regarding services to their clients and nonpersonally identifying demographic information in order to comply with Federal, State, tribal, or territorial reporting, evaluation, or data collection requirements;

(II) court-generated information and law enforcement-generated information contained in secure, governmental registries for protection order enforcement purposes; and

(III) law enforcement-generated and prosecution-generated information necessary for law enforcement and prosecution purposes.

(ii) In no circumstances may—

(I) an adult, youth, or child victim of domestic violence, dating violence, sexual assault, or stalking be required to provide a consent to release his or her personally identifying information as a condition of eligibility for the services provided by the grantee or subgrantee;

(II) any personally identifying information be shared in order to comply with Federal, tribal, or State reporting, evaluation, or data collection requirements, whether for this program or any other Federal, tribal, or State grant program.

**(E) Statutorily mandated reports of abuse or neglect**

Nothing in this section prohibits a grantee or subgrantee from reporting suspected abuse or neglect, as those terms are defined and specifically mandated by the State or tribe involved.

**(F) Oversight**

Nothing in this paragraph shall prevent the Attorney General from disclosing grant activities authorized in this Act to the chairman and ranking members of the Committee on the Judiciary of the House of Representatives and the Committee on the Judiciary of the Senate exercising Congressional oversight authority. All disclosures shall protect confidentiality and omit personally identifying information, including location information about individuals.

**(G) Confidentiality assessment and assurances**

Grantees and subgrantees must document their compliance with the confidentiality and privacy provisions required under this section.

3916-2023

# CERTIFIED COPY OF ORDER

STATE OF MISSOURI }  
County of Boone } ea.

September Session of the July Adjourned

Term. 20 23

In the County Commission of said county, on the 7th day of September 20 23

the following, among other proceedings, were had, viz:

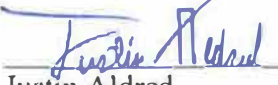
Now on this day, the County Commission of the County of Boone does hereby approve the request to hire above the flexible hiring maximum for position number 921, Grounds Maintenance Worker I, and does hereby authorize an appropriation of \$17.10 per hour for the salary of said position.

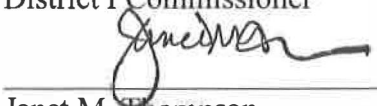
Done this 7<sup>th</sup> day of September 2023.

ATTEST:

  
Brianna L. Lennon  
Clerk of the County Commission

  
Kip Kendrick  
Presiding Commissioner

  
Justin Aldred  
District I Commissioner

  
Janet M. Thompson  
District II Commissioner

# CERTIFIED COPY OF ORDER

STATE OF MISSOURI }  
County of Boone } ea.

September Session of the July Adjourned

Term. 20 23

In the County Commission of said county, on the 7th day of September 20 23

the following, among other proceedings, were had, viz:

Now on this 7th day of September 2023, the County Commission of Boone County, Missouri met in regular session and entered the following findings of fact, conclusions of law and order for abatement of nuisance:

### Findings of Fact and Conclusions of Law

The County Commission finds as fact and concludes as a matter of law the following:

1. The Boone County Code of Health Regulations (the "Code") are officially noticed and are made a part of the record in this proceeding.
2. The City of Columbia/Boone County Health Department administrative record is made a part of the record in this proceeding and incorporated herein by reference. In addition, any live testimony of the official(s) of the department and other interested persons are made a part of the record in this proceeding.
3. A public nuisance exists described as follows: trash, rubbish, junk.
4. The location of the public nuisance is as follows 7631 N Zack Road, a/k/a parcel# 1220410010110001., Valley Park SD Lot 1, Section 10, Township 49, Range 12 as shown by deed book 2694 page 0104, Boone County
5. The specific violation of the Code is: junk, trash, and rubbish on premises in violation of section 6.3 and 6.5 of the Code.
6. The Health Director's designated Health Official made the above determination of the existence of the public nuisance at the above location. Notice of that determination and the requirement for abatement was given in accordance with section 6.10.1 of the Code on the 18 day of May 2023, to the property owner.
7. The above-described public nuisance was not abated. As required by section 6.10.2 of the Code, the property owner was given notice of the hearing conducted this date before the Boone County Commission for an order to abate the above nuisance at government expense with the cost and expense thereof to be charged against the above-described property as a special tax bill and added to the real estate taxes for said property for the current year.
8. No credible evidence has been presented at the hearing to demonstrate that no public nuisance exists or that abatement has been performed or is unnecessary; accordingly, in accordance with section 6.10.2 of the Code and section 67.402, RSMo, the County Commission finds and determines from the credible evidence presented that a public nuisance exists at the above location which requires abatement and that the parties responsible for abating such nuisance have failed to do so as required by the Health Director or Official's original order referred to above.



# CERTIFIED COPY OF ORDER

STATE OF MISSOURI }  
County of Boone } ea.

Term. 20

In the County Commission of said county, on the

day of

20

the following, among other proceedings, were had, viz:

## Order For Abatement Chargeable As a Special Assessment To The Property

Based upon the foregoing, the County Commission hereby orders abatement of the above-described public nuisance at public expense and the Health Director is hereby authorized and directed to carry out this order.

It is further ordered and directed that the Health Director submit a bill for the cost and expense of abatement to the County Clerk for attachment to this order and that the County Clerk submit a certified copy of this order and such bill to the County Collector for inclusion as a special assessment on the real property tax bill for the above-described property for the current year in accordance with section 67.402, RSMo.

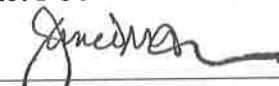
Done this 7<sup>th</sup> day of September 2023.

ATTEST:

  
Brianna L. Lennon  
Clerk of the County Commission

  
Kip Kendrick  
Presiding Commissioner

  
Justin Aldred  
District I Commissioner

  
Janet M. Thompson  
District II Commissioner

Amanda Erin Matticks

7631 Zack Rd

Department of Public Health nuisance violation-timeline of major events

5/18/2023: citizen complaint received

5/22/2023: initial inspection conducted Chrystal Smart

5/22/2023: notice of violation sent to owner, certified mail, return receipt requested

6/21/2023: letter returned unsigned

7/21/2023: owner notice posted in newspaper

8/7/2023: reinspection conducted-violation still active, photographs taken

8/8/2023: contacted Voss Landscaping for estimate

8/8/2023: hearing notice sent

Photographs taken 8/7/2023 ~11:00 AM

7631 Zack Road



Photographs taken 8/7/2023 ~11:00 AM

7631 Zack Road



# Kenny Mohr Assessor

Parcel 12-204-10-01-011.00 01

Property Location 7631 N ZACK RD

City \_\_\_\_\_ Road COMMON ROAD DIST (CO) School HALLSVILLE (R4)  
 Library COL BC LIBRARY (L4) Fire BOONE COUNTY (F1)

Owner MATTICKS AMANDA ERIN

Address 7631 ZACK RD

Care Of \_\_\_\_\_

City, State, Zip COLUMBIA, MO 65202

Subdivision Plat Book/Page 0011 0310

Section/Township/Range 10 49 12

Legal Description VALLEY PARK SD  
LOT 1

Lot Size .00 x .00

Irregular Shape \_\_\_\_\_

Deeded Acreage .00

Calculated Acreage 2.20

2694 0104

Deed Book/Page \_\_\_\_\_

0471 0805

## Effective Date of Value 1/1/2023

## PROPERTY DESCRIPTION

CURRENT APPRAISED		CURRENT ASSESSED	
Type	Total	Type	Total
RESIDENTIAL	127,700	RESIDENTIAL	24,263
<b>Totals</b>	<b>127,700</b>	<b>Totals</b>	<b>24,263</b>

Year Built 1979	Attic NONE (1)
Basement FULL (4)	Main Area 1,184
Bedrooms 4	Finished Basement Area 192
Full Bath 2	
Half Bath 0	
<b>Total Rooms 6</b>	<b>Total Square Feet 1,376</b>

## Boone County Assessor

Boone County Government Center  
 801 E. Walnut St., Rm 143  
 Columbia, MO 65201-7733

[assessor@boonecountymo.org](mailto:assessor@boonecountymo.org)

Office (573) 886-4270

Fax (573) 886-4254

Mapping (573) 886-4262

# Boone County, Missouri

Unofficial Document



Recorded in Boone County, Missouri  
Date and Time 04/01/2005 at 09:35:12 AM  
Instrument # 2005007803 Book 2694 Page 104

Grantor CHISHOLM, ROBERT A  
Grantee MATTICKS, AMANDA ERIN

Instrument Type WD  
Recording Fee \$27.00 S  
No of Pages 2

  
Bettie Johnson, Recorder of Deeds



File Number: 214696

## GENERAL WARRANTY DEED

This Deed, Made and entered on March 31, 2005 by and between

Robert A. Chisholm and Glenna S. Chisholm, husband and wife, of the County of Boone, State of Missouri, Party or Parties of the First Part, GRANTOR, and

Amanda Erin Matticks, a single person whose mailing address is: 7631 Zack Rd., Columbia, MO, 65202 Party or Parties of Second Part, GRANTEE.

WITNESSETH, that the said party of the first part, for and in consideration of the sum of One Dollar (\$1.00) and other valuable considerations paid by the said party or parties of the second part, the receipt of which is hereby acknowledged, does by these presents GRANT, BARGAIN SELL, CONVEY AND CONFIRM unto the said party or parties of the second part, the following described Real Estate, situated in the County of BOONE and State of Missouri, to-wit:

Lot One (1) of Valley Park Subdivision as shown by plat thereof recorded in Plat Book 11, page 310, records of Boone County, Missouri.

Also known as: 7631 Zack Rd., Columbia, MO, 65202

Subject to building lines, conditions, restrictions, and easements, and zoning regulations of record, if any.

TO HAVE AND TO HOLD the same, together with all rights and appurtenances to the same belonging, unto the said party or parties of the second part, and to the heirs and assigns of such party or parties forever.

Bob Nolte, Recorder of Deeds

The said party or parties of the first part hereby covenanting that said party or parties and their heirs, executors and administrators of such party or parties, shall and will WARRANT AND DEFEND the title to the premises unto the said party or parties of the second part, and to the heirs and assigns of such party or parties forever, against the lawful claims of all persons whomsoever, excepting, however, the general taxes for the calendar year 2005 and thereafter, and special taxes becoming a lien after the date of this deed.

IN WITNESS WHEREOF, the said party of the first part have hereunto set their hand or hands the day and year first above written.

Robert A. Chisholm  
Robert A. Chisholm

Glenna S. Chisholm  
Glenna S. Chisholm

STATE OF MISSOURI            )  
  )ss  
COUNTY OF BOONE            )

On March 31, 2005, before me personally appeared Robert A. Chisholm and Glenna S. Chisholm, husband and wife to me known to be the person(s) described in and who executed the foregoing instrument, and acknowledged that he/she/they executed the same as his/her/their free act and deed.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed by official seal in the County and State aforesaid, the day and year first above written.

Suzanne Ayers  
Notary Public

My term expires: \_\_\_\_\_  
SUZANNE L. AYERS  
Notary Public - Notary Seal  
State of Missouri  
County of Cooper  
My Commission Expires July 28, 2007

VOSS Landscape & Tree Service

8501 N Hwy VV  
Columbia, MO 65202

# Estimate

Date	Estimate #
8/8/2023	2769

Name / Address	Customer Phone
Chrystal Smart Boone County Department of Public Health 1005 W. Worley Street Columbia, MO 65203	5738747382
	Customer E-mail
	chrystal.smart@como.gov;...

Project

Description	Qty	Cost	Total
7361 Zack Road Abatment			
Delivery Fee	1	231.00	231.00
Laborer	4	57.25	229.00
Laborer	4	57.25	229.00
Laborer	4	57.25	229.00
Foreman	4	86.62	346.48
Machine With Attachment	4	86.62	346.48
Pull Fee to Landfill	1	231.00	231.00
Per Ton Disposal	2	75.07	150.14
Hazardous Waste Barrels & or Appliances / Tire Disposal Fees	2	50.00	100.00
Thank you for your business.		<b>Total</b>	\$2,092.10

Customer Signature \_\_\_\_\_



398-2023

# CERTIFIED COPY OF ORDER

STATE OF MISSOURI

} ea.

September Session of the July Adjourned

Term. 20 23

County of Boone

In the County Commission of said county, on the

7th

day of

September

20 23

the following, among other proceedings, were had, viz:

Now on this day, the County Commission of the County of Boone does hereby approve the attached Pipeline Easement with Ameren Missouri to allow construction of a natural gas steel line (Columbia Transmission Project, Phase 4).

The Presiding Commissioner is authorized to execute said Easement and any other documentation reasonably necessary to effectuate this easement and the related project.

Done this 7<sup>th</sup> day of September 2023.

ATTEST:

*Brianna L. Lennon*

Brianna L. Lennon  
Clerk of the County Commission

*Kip Kendrick*  
Kip Kendrick  
Presiding Commissioner

*Justin Aldred*  
Justin Aldred  
District I Commissioner

*Janet M. Thompson*  
Janet M. Thompson  
District II Commissioner

Recording Date/Time: 09/21/2023 at 12:27:52 PM

Book: 5796 Page: 50

Instr #: 2023015214  
Pages: 8  
Fee: \$45.00 S



Electronically Recorded

Bob Nolte  
Recorder of Deeds

**REMS INFORMATION**

Agreement ID: UEC-202309-50132

Project ID: 64286

**EASEMENT**

(Pipeline)

PARCEL NO. 12-403-00-00-001.00-01  
N ROGER WILSON MEMORIAL DR., COLUMBIA, MO 65202

KNOW ALL MEN BY THESE PRESENTS, this 7<sup>th</sup> day of September, 2023, that **THE COUNTY OF BOONE, A POLITICAL SUBDIVISION OF THE STATE OF MISSOURI**, its successors and assigns, whether one or more and whether an individual, individuals, a corporation, or other legal entity (hereinafter "Grantor"), for and in consideration of the sum of One and No/100th Dollars (\$1.00) and other valuable consideration in hand paid, the receipt of which is hereby acknowledged, does hereby grant unto **UNION ELECTRIC COMPANY d/b/a AMEREN MISSOURI**, a Missouri corporation, its successors and assigns (hereinafter "Grantee"), a perpetual easement (hereinafter "Easement") with the right, privilege, and authority of Grantee, its agents, contractors, and subcontractors to survey, stake, construct, reconstruct, replace, use, operate, maintain, patrol, test, inspect, protect, repair, relocate, modify, add to the number of, abandon or retire in place, and remove a pipeline or pipelines for the transportation of gas or other substances, consisting of piping, hardware, valves, communication lines, and other appurtenances thereto (hereinafter individually and collectively "Facilities"), together with all rights and privileges for the exercise and enjoyment of the Easement rights and the authority to extend to any other party the right to use, pursuant to the provisions hereof, upon, over, across and under the following described land in Exhibit "A", with the area of the Easement described in Exhibit "B" (hereinafter "Easement Area") and illustrated in Exhibit "C", said exhibits attached hereto and made a part hereof, situated in Section 19, Township 49 North, Range 12 West, of the 5th Principal Meridian, in Boone County, State of Missouri.

Grantor also conveys the right of ingress and egress to and over the Easement Area and premises of Grantor adjoining the same, for all purposes herein stated; together with the right to trim, control the growth, cut and remove or cause to be removed at any time and from time to time, by any means, any and all brush, bushes, saplings, trees, roots, undergrowth, rock, overhanging branches, and other obstructions upon, over, and under the surface of said Easement Area and of the premises of Grantor adjoining the same

deemed by Grantee to interfere with the exercise and enjoyment of Grantee's rights hereunder or endanger the safety of the Facilities.

During the original construction of the Facilities, Grantee may utilize the temporary work space illustrated in Exhibit "C" as "Temporary Construction Easement".

Grantee shall be responsible for actual damages (except the trimming, controlling of growth, cutting, and removal of trees and other vegetation) occurring as a result of the Grantee's exercise of the Easement rights hereinabove conveyed and shall reimburse the owner thereof for such loss or damages.

Grantor, for itself, its successors and assigns, does hereby warrant and covenant unto Grantee, (1) that Grantor is the owner of the Easement Area and has the full right and authority to grant this Easement, (2) that Grantee may quietly enjoy the Easement for the purposes herein stated, and (3) that Grantor will not create or permit any building or other obstruction or condition of any kind or character upon Grantor's premises that will interfere with the Grantee's exercise and enjoyment of the Easement rights hereinabove conveyed.

This Easement shall be governed by the laws of the State of Missouri.

IN WITNESS WHEREOF, the Grantor has hereunto caused this Easement to be executed on the date hereinabove written.

Grantor:

The County of Boone, a political subdivision of the State of Missouri

By: 

Name: Kip Kendrick

Title: Boone County Presiding Commissioner

Attest: 

Name: Brianna L. Lennon

Title: Boone County Clerk

ALL PURPOSE NOTARY ACKNOWLEDGMENT

STATE OF Missouri  
COUNTY OF Boone } SS

On this 7<sup>th</sup> day of September, 2023 before me, the undersigned, a Notary Public in and for said State, personally appeared (print or type names of signatories):

Kip Kendrick

to me known to be the person described in and who executed the foregoing instrument and acknowledged that he/she/they executed the same as his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s) or the entity upon behalf of which the person(s) acted, executed the instrument.

Capacity Claimed By Signator(s)			
<input type="checkbox"/> Individual(s)	<input type="checkbox"/> Corporate	<input type="checkbox"/> Limited Liability Company	<input type="checkbox"/> Partner(s)
<input type="checkbox"/> Trustee(s)	Title(s) of Officer(s):	Member(s)/Manager(s):	<input type="checkbox"/> Limited Partnership
<input type="checkbox"/> Executor(s)	_____	_____	<input type="checkbox"/> General Partnership
<input type="checkbox"/> Administrator(s)	_____	_____	<input checked="" type="checkbox"/> Other (Specify Below):
<input type="checkbox"/> Attorney-In-Fact	_____	_____	<u>Presiding Commissioner</u>
<input type="checkbox"/> Conservator(s)	_____	_____	_____
<input type="checkbox"/> Guardian(s)	_____	_____	_____

11.29.2024  
My Commission Expires

Jodi Renee Vanskike  
Notary Public

JODI RENEE VANSKIKE  
Notary Public - Notary Seal  
State of Missouri  
County of Boone  
My Commission Expires: Nov. 29, 2024  
Commission # 20980174

Prepared By: O.R. Colan, 3050 West Clay, Suite 200, St. Charles, MO 63301

Return To: O.R. Colan, Attn: Ben Ridling, 3050 West Clay, Suite 200, St. Charles, MO 63301

CON  
WO#: JORKZ  
Facility Name: Prathersville Phase 4  
39.014835°N, 92.310182°W  
08/28/2023

**DEED BOOK 90, PAGE 581 - LEGAL DESCRIPTION**

The South East Quarter of Section Nineteen (19), Township Forty Nine (49), Range Twelve (12), and containing One Hundred and Sixty (160) acres more or less.

**BOOK 1196, PAGE 466 - SURVEY LEGAL DESCRIPTION**

This is to show that on November 22, 1995, I made a survey of the following described tract of land and then subdivided it as shown on this plat. A Tract of land in the Southeast Quarter of Section 19 and the Northeast Quarter of Section 30, Township 49 North, Range 12 West, Boone County, Missouri, being more particularly described as follows:



BEGINNING at the South Quarter Corner of Section 19, Township 49 North, Range 12 West; THENCE North 00°10'54" East, along the Quarter Section line, a distance of 2667.09 feet; THENCE South 88°07'38" East a distance of 539.37 feet to a point on the West Right-of-way of U.S. Route "63" as now established; THENCE along said West Right-of-way the following bearings and distances:

- THENCE South 02°01'36" West a distance of 29.62 feet;
- THENCE North 89°21'49" East a distance of 215.23 feet;
- THENCE South 79°05'34" East a distance of 161.94 feet;
- THENCE South 23°06'07" East a distance of 311.30 feet;
- THENCE South 58°36'45" East a distance of 245.14 feet;
- THENCE South 31°05'53" East a distance of 274.05 feet;
- THENCE South 20°58'28" East a distance of 319.88 feet;
- THENCE South 15°47'37" East a distance of 355.81 feet;
- THENCE South 15°22'14" East a distance of 503.59 feet;
- THENCE South 08°31'40" East a distance of 400.00 feet;
- THENCE South 00°16'11" West a distance of 425.00 feet;
- THENCE South 16°14'23" East a distance of 484.38 feet;
- THENCE South 08°31'40" East a distance of 440.89 feet;
- THENCE South 12°39'17" East a distance of 454.69 feet to the South line of Survey recorded in Book

767 at Page 785 of the Boone County, Missouri records; THENCE North 88°39'52" West, along said South line, a distance of 2115.14 feet to a Stone at the Southwest Corner of Boone County Survey No. 5028; THENCE North 00°48'24" East a distance of 1299.83 feet to the point of beginning. The above described tract contains 151.625 acres, more or less.

COUNTY FARM  
ROGER WILSON MEMORIAL DRIVE NORTH

**EXHIBIT A**

 <p>Keith M. Brickey, MO. PLS #2578 CENTRAL MISSOURI PROFESSIONAL SERVICES MISSOURI STATE CERTIFICATE OF AUTHORITY #000355</p>	 <p><b>Central Missouri Professional Services, Inc.</b> ENGINEERING - SURVEYING - MATERIALS TESTING</p> <p>2500 E. McCARTY Phone (573) 634-3455 JEFFERSON CITY, MISSOURI 65101 FAX (573) 634-8898</p>		
	<p>TITLE <b>EXHIBIT A - COLUMBIA PHASE 4 PARCEL LEGAL DESCRIPTION</b></p>		
	<p>FOR <b>AMEREN</b></p>		
	<p>DATE 7/17/23</p>	<p>DRN. BY JM</p>	<p>SCALE</p>
<p>REV. DATE 8/29/23</p>	<p>CKD. BY JBR</p>	<p>SHEET 1 OF 1</p>	<p>JOB NO. 20-129</p>



**20 FOOT PERMANENT UTILITY EASEMENT DESCRIPTION**

A 20 foot strip of land being part of a tract of land in the Southeast Quarter of Section 19, Township 49 North, Range 12 West, Boone County, Missouri, being shown and described as Tract A of a survey recorded in Book 1196, page 466, records of Boone County, Missouri, more particularly described as follows:

From the South Quarter Corner of said Section 19; thence N0°10'54"E, along the Quarter Section Line, 2647.08 feet to the POINT OF BEGINNING for this 20 foot easement description; thence continuing N0°10'54"E, along said Quarter Section Line, 20.00 feet to the northwesterly corner of said survey recorded in Book 1196, page 466, also being a point on the southerly right-of-way line of Prathersville Road; thence S88°07'38"E, along the southerly right-of-way line of Prathersville Road, 488.87 feet; thence S0°50'32"W, 20.00 feet; thence N88°07'38"W, 488.63 feet to the point of beginning.  
Containing 9,774 S.F. or 0.22 Acres

Parcel Line Table		
Line #	Length	Direction
L1	20.00	N0° 10' 54"E
L2	20.00	S0° 50' 32"W

COUNTY FARM  
ROGER WILSON MEMORIAL DRIVE NORTH

**EXHIBIT B**

LS-2578  
KEITH M. BRICKEY  
REGISTERED LAND SURVEYOR  
8/29/23  
Keith M. Brickey, MO. PLS #2578  
CENTRAL MISSOURI  
PROFESSIONAL SERVICES  
MISSOURI STATE CERTIFICATE  
OF AUTHORITY #000355

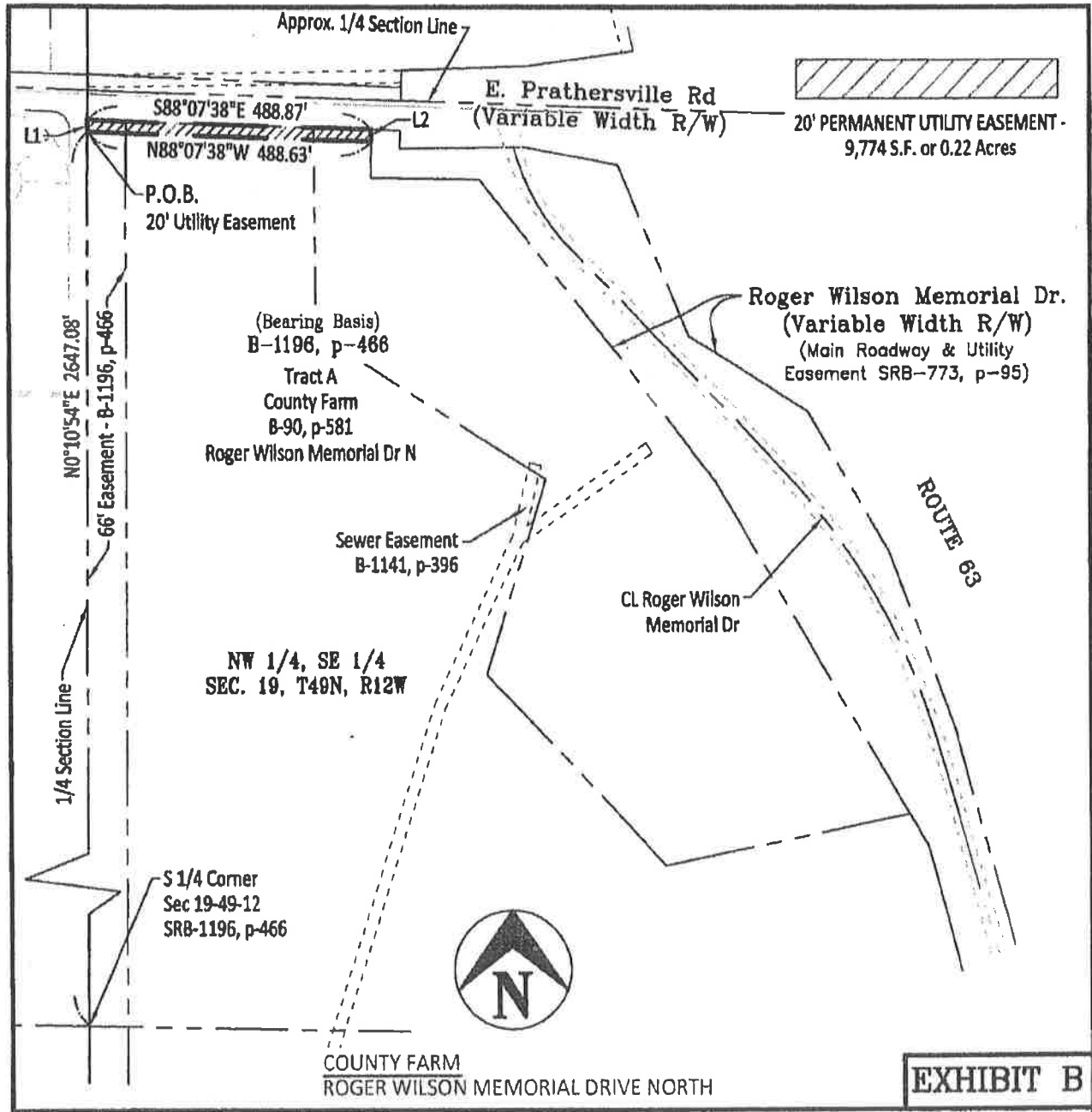


**Central Missouri Professional Services, Inc.**  
ENGINEERING - SURVEYING - MATERIALS TESTING  
2500 E. McCARTY  
JEFFERSON CITY, MISSOURI 65101  
Phone (573) 634-3455  
FAX (573) 634-8898

TITLE **EXHIBIT B - COLUMBIA PHASE 4  
PERMANENT UTILITY EASEMENT LEGAL DESCRIPTION**

FOR **AMEREN**

DATE 7/17/23	DRN. BY JM	SCALE	BOOK
REV. DATE 8/29/23	CRD. BY JBR	SHEET 1 OF 2	JOB NO. 20-129



**EXHIBIT B**

KEITH M. BRICKEY  
 REGISTERED LAND SURVEYOR  
 8/29/23  
 Keith M. Brickey, MO. PLS #2578  
 CENTRAL MISSOURI  
 PROFESSIONAL SERVICES  
 MISSOURI STATE CERTIFICATE  
 OF AUTHORITY #000355

Central Missouri Professional Services, Inc.  
 ENGINEERING - SURVEYING - MATERIALS TESTING  
 2500 E. McCARTY  
 JEFFERSON CITY, MISSOURI 65101  
 Phone (573) 634-3455  
 FAX (573) 634-8898

TITLE **EXHIBIT B - COLUMBIA PHASE 4  
 PERMANENT UTILITY EASEMENT**

FOR **AMEREN**

DATE	7/17/23	DRN. BY	JM	SCALE	1" = 250'	BOOK	
REV. DATE	8/29/23	CKD. BY	JBR	SHEET	2 OF 2	JOB NO.	20-129



**10' TEMPORARY CONSTRUCTION EASEMENT DESCRIPTION**

A strip of land being part of a tract of land in the Southeast Quarter of Section 19, Township 49 North, Range 12 West, Boone County, Missouri, being shown and described as Tract A of a survey recorded in Book 1196, page 466, records of Boone County, Missouri, more particularly described as follows:

From the South Quarter Corner of said Section 19; thence N0°10'54"E, along the Quarter Section Line, 2637.08 feet to the POINT OF BEGINNING for this 10' Temporary Construction Easement description; thence continuing N0°10'54"E, along said Quarter Section Line, 10.00 feet; thence S88°07'38"E, 488.63 feet; thence S0°50'32"W, 10.00 feet; thence N88°07'38"W, 488.52 feet to the point of beginning.  
Containing 4,884 S.F. or 0.11 Acres

Parcel Line Table		
Line #	Length	Direction
L3	10.00	N0° 10' 54"E
L4	10.00	S0° 50' 32"W
L5	40.00	N0° 00' 00"E
L6	20.41	S15° 47' 37"E
L7	21.11	S15° 22' 14"E

**40' x 600' TEMPORARY CONSTRUCTION EASEMENT DESCRIPTION**

A 40' strip of land being part of a tract of land in the Southeast Quarter of Section 19, Township 49 North, Range 12 West, Boone County, Missouri, being shown and described as Tract A of a survey recorded in Book 1196, page 466, records of Boone County, Missouri, more particularly described as follows:

From the South Quarter Corner of said Section 19; thence on a direct line, N36°04'58"E, 1552.39 feet to the POINT OF BEGINNING for this 40' x 600' Temporary Construction Easement description; thence N0°00'00"E, 40.00 feet; thence N90°00'00"E, 588.85 feet to a point on the westerly right-of-way of Roger Wilson Memorial Drive; thence S15°47'37"E, along the westerly right-of-way of said Roger Wilson Memorial Drive, 20.41 feet; thence S15°22'14"E, along the westerly right-of-way of said Roger Wilson Memorial Drive, 21.11 feet; thence N90°00'00"W, 600.00 feet to the point of beginning.  
Containing 23,778 S.F. or 0.55 Acres

COUNTY FARM  
ROGER WILSON MEMORIAL DRIVE NORTH

**EXHIBIT C**

LS-2578  
KEITH M. BRICKEY  
REGISTERED LAND SURVEYOR  
Keith M. Brickey, MO. PLS#2578  
CENTRAL MISSOURI  
PROFESSIONAL SERVICES  
MISSOURI STATE CERTIFICATE  
OF AUTHORITY #000355

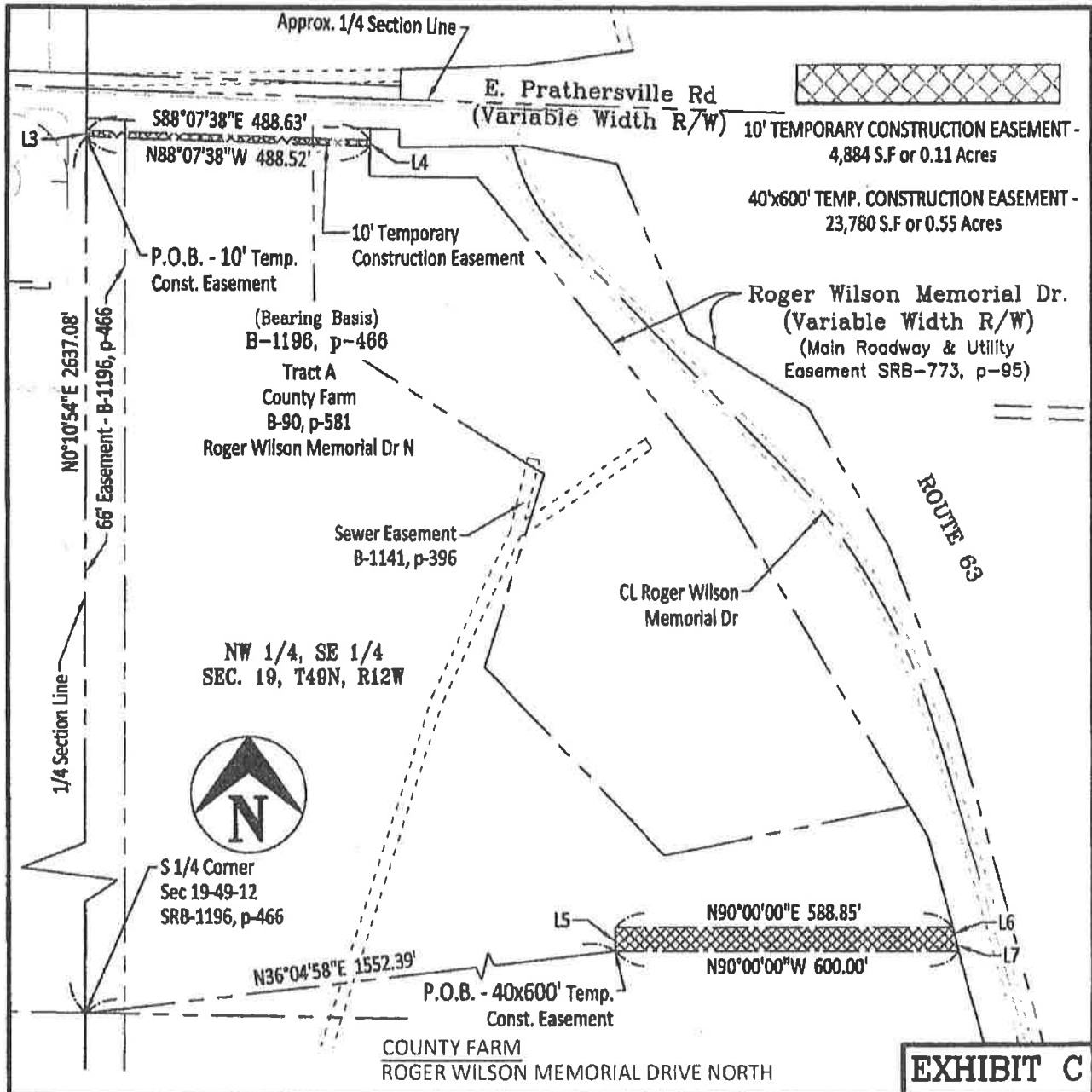


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2500 E. McCARTY  
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Phone (573) 634-3455  
FAX (573) 634-8898

TITLE **EXHIBIT C - COLUMBIA PHASE 4  
TEMPORARY CONSTRUCTION EASEMENT LEGAL DESCRIPTION**

FOR **AMEREN**

DATE 7/17/23	DRN. BY JM	SCALE	BOOK
REV. DATE 8/29/23	CKD. BY JBR	SHEET 1 OF 2	JOB NO. 20-129



**EXHIBIT C**

STATE OF MISSOURI  
 LS-2578  
 KEITH M. BRICKEY  
 REGISTERED LAND SURVEYOR  
 8/29/23  
 Keith M. Brickey, MO. PLS #2578  
 CENTRAL MISSOURI  
 PROFESSIONAL SERVICES  
 MISSOURI STATE CERTIFICATE  
 OF AUTHORITY #000355



**Central Missouri Professional Services, Inc.**  
 ENGINEERING - SURVEYING - MATERIALS TESTING  
 2500 E. McCARTY  
 JEFFERSON CITY, MISSOURI 65101  
 Phone (573) 634-3455  
 FAX (573) 634-8898

TITLE **EXHIBIT C - COLUMBIA PHASE 4  
 TEMPORARY CONSTRUCTION EASEMENTS**

FOR **AMEREN**

DATE 7/17/23	DRN. BY JM	SCALE 1" = 250'	BOOK
REV. DATE 8/29/23	CHK. BY JBR	SHEET 2 OF 2	JOB NO. 20-129

# CERTIFIED COPY OF ORDER

STATE OF MISSOURI }  
County of Boone } ca.

September Session of the July Adjourned

Term. 20 23

In the County Commission of said county, on the 7th day of September 20 23

the following, among other proceedings, were had, viz:

Now on this day, the County Commission of the County of Boone does hereby approve the Boone County Prosecuting Attorney's Office request to apply for the Victims of Crime Act (VOCA) 1-year renewal of grant funding for October 2023 through September 2024.

Done this 7<sup>th</sup> day of September 2023.

ATTEST:

*Brianna L. Lennon*

Brianna L. Lennon  
Clerk of the County Commission

*Kip Kendrick*  
Kip Kendrick  
Presiding Commissioner

*Justin Aldred*  
Justin Aldred  
District I Commissioner

*Janet M. Thompson*  
Janet M. Thompson  
District II Commissioner



**State of Missouri  
Department of Social Services  
Contract Amendment**

*Contract Description:*  
**Victims of Crime Act (VOCA)**  
*Amendment Description:*  
**Renewal and Revision**

*Contract #:* **ER130220012**

*Amendment #:* **002**

*Effective Date:* **October 1, 2023**

**Agency Information:**

Agency Name: **Boone County Prosecuting Attorney**  
Mailing Address: **705 East Walnut Street**  
City, State Zip: **Columbia, MO 65201**

The above referenced contract between Boone County Prosecuting Attorney and the Department of Social Services, Victims of Crime Unit is hereby amended as follows:

1. The contract is extended for the period of October 1, 2023 through September 30, 2024.
2. Paragraph 3.6.2 is hereby replaced as follows:
  - 3.6.2 The agency shall comply with all special conditions outlined in Attachment's I (FY 2021), J (FY 2022), and K (FY2023)
3. Paragraph 3.7.5 is hereby replaced in its entirety with the following:
  - 3.7.5 The contractor shall permit governmental auditors and authorized representatives of the State of Missouri to have access, for the purpose of audit or examination, of all of the books, documents, papers, and records of the contractor's recording receipts and disbursements of any of the funds made available to the contractor relating to the operation of this contract for the state agency at any reasonable time.
    - a. The contractor shall retain all records pertaining to the contract for five (5) years after the close of the contract year unless audit questions have arisen or any legal action is contemplated or filed within the five year (5) limitation and have not been resolved. All records shall be retained until all audit questions or legal actions, or both have been resolved. The contractor shall safeguard and keep such records for such additional time as directed by the Department. The obligation of the contractor to retain and produce records shall continue even after the contract expires or is otherwise terminated by either party.
4. Paragraph 4.11.1 is hereby replaced in its entirety with the following:
  - 4.11.1 Non-Discrimination - The contractor shall comply with all federal and state statutes, regulations and executive orders relating to nondiscrimination and equal employment opportunity to the extent applicable to the contract. These include but are not limited to:
    - a. 45 CFR Part 92 -- Nondiscrimination on the Basis of Race, Color, National Origin, Sex, Age, or Disability in Health Programs or Activities Receiving Federal Financial Assistance and Programs or Activities Administered by the Department of Health and Human Services Under Title I of the Patient Protection and Affordable Care Act or by Entities Established Under Such Title;
    - b. Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin (this includes individuals with limited English proficiency) in programs and activities receiving federal financial assistance and Title VII of the Act which prohibits discrimination on the basis of race, color, national origin, sex, or religion in all employment activities;
    - c. Equal Pay Act of 1963 (P.L. 88 -38, as amended, 29 U.S.C. Section 206 (d));
    - d. Title IX of the Education Amendments of 1972, as amended (20 U.S.C 1681-1683 and 1685-1686) which prohibits discrimination on the basis of sex;
    - e. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. 794) and the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) which prohibit discrimination on the basis of disabilities;

- f. The Age Discrimination Act of 1975, as amended (42 U.S.C. 6101-6107) which prohibits discrimination on the basis of age;
  - g. Equal Employment Opportunity – E.O. 11246, “Equal Employment Opportunity”, as amended by E.O. 11375, “Amending Executive Order 11246 Relating to Equal Employment Opportunity”;
  - h. Missouri State Regulation, 19 CSR 10-2.010, Civil Rights Requirements;
  - i. Missouri Governor’s E.O. #94-03 (excluding article II due to its repeal);
  - j. Missouri Governor’s E.O. #05-06;
  - k. Missouri Governor’s E.O. #10-24; and
  - l. The requirements of any other nondiscrimination federal and state statutes, regulations and executive orders that may apply to the services provided via the contract.
5. Attachment I is hereby replaced in its entirety with the attached Attachment I - FY 2021 VOCA Special Conditions.
  6. Attachment J is hereby replaced in its entirety with the attached Attachment J - FY 2022 VOCA Special Conditions.
  7. Attachment K is hereby replaced in its entirety with the attached Attachment K - FY 2023 VOCA Special Conditions.
  8. The agency shall understand and agree that the contract involves the use of Federal American Rescue Plan Act (ARPA) State Fiscal Recovery Fund (SFRF) funds and the agency must comply with Attachment L: Terms and Conditions for Contractor Receipt of Federal ARPA SFRF Funds.
    - The agency shall be a “Contractor” of the Department, as defined in the Terms and Conditions for Contractor Receipt of Federal ARPA SFRF Funds.
  9. Within ten (10) calendar of signing and returning this amendment, the agency must complete the VOCA Budget Form, provide a Budget Narrative, and a Subgrant Award Report (SAR) to the Department via email to [FSD.VOCAUnit@dss.mo.gov](mailto:FSD.VOCAUnit@dss.mo.gov) for review and approval.
  10. All other terms and conditions shall remain unchanged.

~~~~~  
*In witness thereof, the parties below hereby execute this agreement.*

|                                     |       |      |
|-------------------------------------|-------|------|
| Authorized Signature for the Agency | Title | Date |
|-------------------------------------|-------|------|

## ATTACHMENT L

### TERMS AND CONDITIONS FOR CONTRACTOR RECEIPT OF FEDERAL ARPA SFRF FUNDS

**I. Use of Funds:** Contractor understands and agrees that the funds disbursed under this contract may only be used in compliance with section 602(c) of the Social Security Act ("Act"), as added by Section 9901 of the American Rescue Plan Act ("ARPA"), Pub. L. No. 117-2 (March 11, 2021), 135 Stat. 4, 223-26, and the U.S. Department of the Treasury ("Treasury")'s regulations implementing that section and guidance, and in compliance with all other restrictions and specifications on use set forth in or applicable through this agreement.

**Period of Performance:** The period of performance for this award begins on the date hereof and ends no later than December 31, 2026. Funding will end on September 30<sup>th</sup>, 2025 with a final invoice due by October 15<sup>th</sup>, 2025.

**Reporting:** Contractor agrees to comply with any reporting obligations established by Treasury or the State of Missouri ("State") or the Missouri Department of Social Services (Department), as it relates to this agreement. Those reporting obligations shall include, without limitation, the following:

- i. shall submit an expenditure report to the Department no later than fifteen (15) days following the end of each calendar month and other information according to the instructions supplied by the Department:
  - In the format specified by the Department; and
  - On a form specified by the Department.

**Maintenance of and Access to Records:** Contractor shall maintain records and financial documents sufficient to evidence compliance with section 602(c) of the Act and Treasury's regulations implementing that section and guidance regarding the eligible uses of funds. Contractor shall also maintain records and financial documents: 1. sufficient for the State, with respect to Contractor's participation in this agreement, to evidence compliance with section 602(c) of the Act and Treasury's regulations implementing that section and guidance regarding the eligible uses of funds; and 2. necessary for the State, with respect to Contractor's participation in this agreement, to comply with obligations under 2 C.F.R. Part 200 and any other applicable law. The Treasury Office of Inspector General, the Government Accountability Office, their authorized representatives, the State, or its authorized representatives, shall have the right of access to records and documents (electronic and otherwise) of Contractor in order to conduct audits or other investigations or reviews. Records shall be maintained by Contractor for a period of five (5) years after the end of the period of performance. Wherever practicable, records should be collected, transmitted, and stored in open and machine-readable formats.

**Pre-award Costs:** Pre-award costs, as defined at 2 C.F.R. § 200.458, may not be paid with funding from this agreement.

**Compliance with Applicable Law and Regulations:** Contractor agrees to comply with the requirements of section 602 of the Act, regulations adopted by Treasury pursuant to section 602(f) of the Act, guidance issued by Treasury regarding the foregoing, and all other restrictions and specifications set forth in or applicable through this agreement. Contractor also agrees to comply with all other applicable state and federal statutes, regulations, and executive orders, and Contractor shall provide for such compliance by other parties in any agreements it enters into with other parties relating to this agreement.

Federal regulations applicable to this agreement include, without limitation, the following:

- i. If the amount of this agreement is expected to equal or exceed \$25,000, or if this agreement is for federally-required audit services, OMB Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement), 2 C.F.R. Part 180, and Treasury's implementing regulation at 31 C.F.R. Part 19, including both the requirement to comply with that part's Subpart C as a condition of participation in this transaction, and the

requirement to pass the requirement to comply with that subpart to each person with whom the participant enters into a covered transaction at the next lower tier;

ii. Recipient Integrity and Performance Matters, pursuant to which the award term set forth at 2 C.F.R. Part 200, Appendix XII, is hereby incorporated by reference;

iii. Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. §§ 4601–4655) and implementing regulations; and

iv. Generally applicable federal environmental laws and regulations.

Federal statutes and regulations prohibiting discrimination applicable to this agreement include, without limitation, the following:

i. Title VI of the Civil Rights Act of 1964 (42 U.S.C. §§ 2000d *et seq.*) and Treasury's implementing regulations at 31 C.F.R. Part 22, which prohibit discrimination on the basis of race, color, or national origin under programs or activities receiving federal financial assistance;

ii. the Fair Housing Act, Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§ 3601 *et seq.*) which prohibits discrimination in housing on the basis of race, color, religion, national origin, sex, familial status, or disability;

iii. Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794), which prohibits discrimination on the basis of disability under any program or activity receiving federal financial assistance;

iv. the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101 *et seq.*) and Treasury's implementing regulations at 31 C.F.R. Part 23, which prohibit discrimination on the basis of age in programs or activities receiving federal financial assistance; and

v. For local governments only, Title II of the Americans with Disabilities Act of 1990, as amended (42 U.S.C. §§ 12101 *et seq.*), which prohibits discrimination on the basis of disability under programs, activities, and services provided or made available by state and local governments or instrumentalities or agencies thereto.

**Remedial Actions:** The State reserves the right to impose additional conditions or requirements on Contractor's receipt of this funds under this agreement, as the State deems necessary or advisable, in order to facilitate compliance with any existing or additional conditions or requirements imposed upon the State by Treasury for the State's receipt of ARPA funds. The State also reserves the right to seek recoupment or repayment of funds under this agreement in whole or in part, in the event that Treasury seeks recoupment or repayment of payments made to the State, for reasons relating to Contractor's acts or omissions respecting this agreement. These reservations are expressed without limitation to any other rights the State may hold, either to impose additional conditions or requirements on Contractor's receipt of funds under this agreement or to recoup such funds in whole or in part, under this agreement or other applicable law.

**Hatch Act:** Contractor agrees to comply, as applicable, with requirements of the Hatch Act (5 U.S.C. §§ 1501–1508 and 7324–7328), which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by this federal assistance.

**False Statements:** Contractor understands that making false statements or claims in connection with this award is a violation of federal law and may result in criminal, civil, or administrative sanctions, including fines, imprisonment, civil damages and penalties, debarment from participating in federal awards or contracts, and/or any other remedy available by law.

**Publications:** Any publications produced with funds from this agreement must display the following language: "This product [is being] [was] supported, in whole or in part, by federal award number [enter project FAIN] awarded to State of Missouri by the U.S. Department of the Treasury."

Debts Owed State and Federal Government: Any funds paid to Contractor (1) in excess of the amount to which Contractor is finally determined to be authorized to retain under the terms of this agreement; (2) that are determined by the Treasury Office of Inspector General to have been misused; or (3) that are determined by Treasury to be subject to a repayment obligation pursuant to sections 602(e) and 603(b)(2)(D) of the Act and have not been repaid by Contractor shall constitute a debt owed by the State to the federal government. In such instance, the funds constituting the State's debt to the federal government shall also constitute Contractor's debt to the State. Debts owed by Contractor to the State must be paid promptly by Contractor. A debt owed the State by Contractor under this agreement is delinquent if it has not been paid by the date specified in the State's initial demand for payment, unless other satisfactory arrangements have been made or if Contractor knowingly or improperly retains funds that are a debt as defined in this paragraph. The State will take any actions available to it to collect such a debt, including but not limited to actions available to it under the "Remedial Actions" paragraph found in this same section (I) above. The rights of the State as expressed in this paragraph are in addition to, and do not imply the exclusion of, any other rights the State may have under applicable law to collect a debt or seek damages from Contractor.

Disclaimer: In its award of federal financial assistance to the State, Treasury provides that the United States expressly disclaims any and all responsibility or liability to the State or third persons for the actions of the State or third persons resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this award or any other losses resulting in any way from the performance of this award or any contract or subcontract under this award. Furthermore, in its award of federal financial assistance to the State, Treasury also states that the acceptance of this award by the State does not in any way establish an agency relationship between the United States and the State. This disclaimer applies with equal force to this agreement.

Increasing Seat Belt Use in the United States: Pursuant to Executive Order 13043, 62 FR 19217 (Apr. 18, 1997), Contractor is hereby encouraged to adopt and enforce on-the-job seat belt policies and programs for its employees when operating company-owned, rented or personally owned vehicles, and to encourage any subcontractors to do the same.

Reducing Text Messaging While Driving: Pursuant to federal Executive Order 13513, 74 FR 51225 (Oct. 6, 2009), the State hereby encourages Contractor to adopt and enforce policies that ban text messaging while driving, and to encourage any subcontractors to do the same.<sup>16</sup>

II. By entering into this agreement, Contractor ensures its current and future compliance with Title VI of the Civil Rights Act of 1964, as amended, which prohibits exclusion from participation, denial of the benefits of, or subjection to discrimination under programs and activities receiving federal funds, of any person in the United States on the ground of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by Treasury Title VI regulations at 31 C.F.R. Part 22 and other pertinent executive orders such as federal Executive Order 13166; directives; circulars; policies; memoranda and/or guidance documents.

Contractor acknowledges that federal Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency," seeks to improve access to federally assisted programs and activities for individuals who, because of national origin, have Limited English Proficiency ("LEP"). Contractor understands that denying a person access to its programs, services, and activities because of LEP is a form of national origin discrimination prohibited under Title VI of the Civil Rights Act of 1964 and Treasury's implementing regulations. Accordingly, Contractor shall initiate reasonable steps, or comply with Treasury's directives, to ensure that LEP persons have meaningful access to its programs, services, and activities. Contractor understands and agrees that meaningful access may entail providing language assistance services, including oral interpretation and written translation where necessary, to ensure effective communication in Contractor's programs, services, and activities.

Contractor agrees to consider the need for language services for LEP persons during development of applicable budgets and when conducting programs, services, and activities. As a resource, Treasury has published its LEP guidance at 70 FR 6067. For more information on LEP, please visit <http://www.lep.gov>.

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<sup>16</sup> Section I is based on requirements set forth in Treasury's Coronavirus State Fiscal Recovery Fund Award Terms and Conditions document, executed by the State on July 26, 2021.



Contractor acknowledges and agrees that compliance with this assurance constitutes a condition of continued receipt of federal financial assistance and is binding upon Contractor and Contractor's successors, transferees, and assignees for the period in which such assistance is provided.

*Contractor shall comply with Title VI of the Civil Rights Act of 1964, which prohibits recipients of federal financial assistance from excluding from a program or activity, denying benefits of, or otherwise discriminating against a person on the basis of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury's Title VI regulations, 31 C.F.R. Part 22, which are herein incorporated by reference and made a part of this agreement. Title VI also includes protection to persons with "Limited English Proficiency" in any program or activity receiving federal financial assistance, 42 U.S.C. § 2000d et seq., as implemented by the Department of the Treasury's Title VI regulations 31 C.F.R. Part 22, and herein incorporated by reference and made a part of this agreement.*

Contractor shall cooperate in any enforcement or compliance review activities by Treasury or the State of the aforementioned obligations. Enforcement may include investigation, arbitration, mediation, litigation, and monitoring of any settlement agreements that may result from these actions. That is, Contractor shall comply with information requests, on-site compliance review, and reporting requirements.

Contractor shall maintain and provide to applicants, beneficiaries, their representatives, or any other party requesting the same, information on how to file a Title VI complaint of discrimination with the State of Missouri.

Contractor shall provide to the State documentation of an administrative agency's or court's findings of non-compliance of Title VI and efforts to address the non-compliance, including any voluntary compliance or other agreements between Contractor and the administrative agency that makes any such finding. If Contractor settles a case or matter alleging such discrimination, Contractor must provide to the State documentation of the settlement. If Contractor has not been the subject of any court or administrative agency finding of discrimination, Contractor shall so state.

The United States of America has the right to seek judicial enforcement of the terms of this assurances section and nothing in this section alters or limits the federal enforcement measures that the United States may take in order to address violations of this section or applicable federal law.

Under penalty of perjury, the undersigned certifies that he/she has read and understood this section's obligations as herein described, that any information submitted in conjunction with this assurance document is accurate and complete, and that Contractor is in compliance with the aforementioned nondiscrimination requirements.

Contractor shall comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at 40 CFR Part 247 that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines. In the performance of this agreement, Contractor shall make maximum use of products containing recovered materials that are EPA-designated items unless the product cannot be acquired: 1. competitively within a timeframe providing for compliance with this agreement's performance schedule; 2. meeting this agreement's performance requirements; or 3. at a reasonable price. Information about this requirement, along with the list of EPA-designated items, is available at EPA's Comprehensive Procurement Guidelines webpage: <http://www.epa.gov/smm/comprehensive-procurement-guideline-cpg-program>. Contractor also agrees to comply with all other applicable requirements of Section 6002 of the Solid Waste Disposal Act.

Contractor shall comply with Pub. L. No. 115-232, H.R. 5515 (115<sup>th</sup> Congress, 2018), and 2 C.F.R. § 200.216, funds provided by this agreement shall not be obligated or expended to: 1. Procure or obtain; 2. Extend or renew a contract to procure or obtain; or 3. Enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or

essential component of any system, or as critical technology as part of any system. For purposes of this prohibition, "covered telecommunications equipment or services" has the meaning as set forth at Sec. 889(f)(3) of Pub. L. No. 115-232. *See also* 2 C.F.R. § 200.216.

Contractor shall comply with 2 C.F.R. § 200.322, as appropriate and to the extent consistent with law, Contractor should, to the greatest extent practicable under this agreement, provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). For purposes of this provision: 1. "produced in the United States" means, for iron and steel products, that all manufacturing processes, from the initial melting stage through the application of coatings, occurred in the United States. 2. "manufactured products" means items and construction materials composed in whole or in part of non-ferrous metals such as aluminum; plastics and polymer-based products such as polyvinyl chloride pipe; aggregates such as concrete; glass, including optical fiber; and lumber.

By signing this certification, the undersigned represents his or her intention, and legal authorization, to do so on behalf of Contractor.<sup>17</sup>

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date

<sup>17</sup> Section II is based on requirements set forth in Treasury's Assurance of Compliance with Civil Rights Requirements document, executed by the State on July 26, 2021.

## Attachment I - FY 2021 VOCA Special Conditions

### 1 Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2021 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2021 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2021 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

### 2 Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

### 3 Required training for Grant Award Administrator and Financial Manager

The Grant Award Administrator and all Financial Managers for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after January 1, 2019, will satisfy this condition.

In the event that either the Grant Award Administrator or a Financial Manager for this award changes during the period of performance, the new Grant Award Administrator or Financial Manager must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after the date the Entity Administrator enters updated Grant Award Administrator or Financial Manager information in JustGrants. Successful completion of such a training on or after January 1, 2019, will satisfy this condition.

## Attachment I - FY 2021 VOCA Special Conditions – Cont.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at <https://www.ojp.gov/training/fmts.htm>. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.

### 4 Safe policing and law enforcement subrecipients

If this award is a discretionary award, the recipient agrees that it will not make any subawards to State, local, college, or university law enforcement agencies unless such agencies have been certified by an approved independent credentialing body or have started the certification process. To become certified, law enforcement agencies must meet two mandatory conditions: (1) the agency's use of force policies adhere to all applicable federal, state, and local laws; and (2) the agency's use of force policies prohibit chokeholds except in situations where use of deadly force is allowed by law. For detailed information on this certification requirement, see <https://cops.usdoj.gov/SafePolicingEO>.

### 5 Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

### 6 Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" ([ojp.gov/funding/Explore/LegalNoticesAwardReqs.htm](http://ojp.gov/funding/Explore/LegalNoticesAwardReqs.htm)), and incorporated by reference into the award.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts all material requirements of the award, and specifically adopts, as if personally executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

## Attachment I - FY 2021 VOCA Special Conditions - Cont.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or - unenforceable, such provision shall be deemed severable from this award.

### 7 Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

### 8 Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

### 9 Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

### 10 Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

## Attachment I - FY 2021 VOCA Special Conditions - Cont.

11 Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

12 Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

13 Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

14 Determination of suitability to interact with participating minors SCOPE.

This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

15 Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at [OJP.ComplianceReporting@ojp.usdoj.gov](mailto:OJP.ComplianceReporting@ojp.usdoj.gov). For purposes of this

## Attachment I - FY 2021 VOCA Special Conditions – Cont.

disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.

### 16 Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

### 17 Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

### 18 Compliance with general appropriations-law restrictions on the use of federal funds (FY 2021)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2021, are set out at <https://ojp.gov/funding/Explore/FY21AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

### 19 Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

### 20 Employment eligibility verification for hiring under the award

#### 1. The recipient (and any subrecipient at any tier) must—

A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).

B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both—

(1) this award requirement for verification of employment eligibility, and

**Attachment I - FY 2021 VOCA Special Conditions - Cont.**

(2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.

C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).

D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

2. Monitoring The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

3. Allowable costs To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

4. Rules of construction

A. Staff involved in the hiring process For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

B. Employment eligibility confirmation with E-Verify For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify ([www.e-verify.gov](http://www.e-verify.gov)), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a "Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.

D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the EVerify website (<https://www.e-verify.gov/>) or email E-Verify at [E-Verify@dhs.gov](mailto:E-Verify@dhs.gov). E-Verify employer agents can email E-Verify at [E-VerifyEmployerAgent@dhs.gov](mailto:E-VerifyEmployerAgent@dhs.gov).

Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.



**Attachment I - FY 2021 VOCA Special Conditions - Cont.**

**21 Restrictions and certifications regarding non-disclosure agreements and related matters**

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

**1. In accepting this award, the recipient—**

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

**2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both—**

**a. it represents that—**

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

## Attachment I - FY 2021 VOCA Special Conditions - Cont.

### 22 Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

### 23 OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm>.

### 24 All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.

### 25 Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at <https://ojp.gov/funding/Explore/SAM.htm> (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

### 26 Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913.

## Attachment I - FY 2021 VOCA Special Conditions – Cont.

(There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

27 Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific postaward approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

28 Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

29 Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.

## Attachment I - FY 2021 VOCA Special Conditions – Cont.

### 30 Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

### 31 VOCA Requirements

The recipient assures that the State and its subrecipients will comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required. Specifically, the State certifies that funds under this award will:

a) be awarded only to eligible victim assistance organizations, 34 U.S.C. 20103(a)(2);

b) not be used to supplant State and local public funds that would otherwise be available for crime victim assistance, 34 U.S.C. 20103(a)(2), or for administering the state victim assistance program, 34 U.S.C. 20110(h); and

c) be allocated in accordance with program guidelines or regulations implementing 34 U.S.C. 20103(a)(2)(A) and 34 U.S.C. 20103(a)(2)(B) to, at a minimum, assist victims in the following categories: sexual assault, child abuse, domestic violence, and underserved victims of violent crimes as identified by the State.

32 The recipient agrees to ensure that at least one key grantee official attends the annual VOCA National Training Conference. Any recipient unable to attend must get prior approval by OVC in writing.

33 The recipient must receive and engage in any training and technical assistance activities recommended by the Office for Victims of Crime.

### 34 FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of \$30,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at <https://ojp.gov/funding/Explore/FFATA.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

## Attachment I - FY 2021 VOCA Special Conditions – Cont.

This condition, including its reporting requirement, does not apply to-- (1) an award of less than \$30,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

### 35 "Methods of Administration" - monitoring compliance with civil rights laws and nondiscrimination provisions

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with applicable federal civil rights laws and nondiscrimination provisions. Within 90 days of the date of award acceptance, the recipient must submit to OJP's Office for Civil Rights (at [CivilRightsMOA@usdoj.gov](mailto:CivilRightsMOA@usdoj.gov)) written Methods of Administration ("MOA") for subrecipient monitoring with respect to civil rights requirements. In addition, upon request by OJP (or by another authorized federal agency), the recipient must make associated documentation available for review.

The details of the recipient's obligations related to Methods of Administration are posted on the OJP web site at <https://ojp.gov/funding/Explore/StateMethodsAdmin-FY2017update.htm> (Award condition: "Methods of Administration" - Requirements applicable to States (FY 2017 Update)), and are incorporated by reference here.

36 The Victims of Crime Act (VOCA) of 1984 states that VOCA funds are available during the federal fiscal year in which the award was actually made, plus the following three fiscal years. At the end of this period, VOCA funds will be deobligated. OJP has no discretion to permit extensions beyond the statutory period. (E.g., VOCA funds awarded in FY 2021, are available until the end of FY 2024).

37 The recipient agrees that it will submit quarterly financial status reports (the SF 425 Federal Financial Report) to OJP in JustGrants, no later than the deadlines set out in the DOJ Financial Guide and the JustGrants guidance (typically 30 days after the end of each calendar quarter). Delinquent reports may lead to funds being frozen and other remedies.

### 38 Discrimination Findings

The recipient assures that in the event that a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the ground of race, religion, national origin, sex, or disability against a recipient of victim assistance formula funds under this award, the recipient will forward a copy of the findings to the Office for Civil Rights of OJP.

### 39 Recipient integrity and performance matters: Requirement to report information on certain civil, criminal, and administrative proceedings to SAM and FAPIIS

The recipient must comply with any and all applicable requirements regarding reporting of information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either this OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Under certain circumstances, recipients of OJP awards are required to report information about such proceedings, through the federal System for Award Management (known as "SAM"), to the designated federal integrity and performance system (currently, "FAPIIS").

The details of recipient obligations regarding the required reporting (and updating) of information on certain civil, criminal, and administrative proceedings to the federal designated integrity and performance system (currently, "FAPIIS") within SAM are posted on the OJP web site at <https://ojp.gov/funding/FAPIIS.htm> (Award condition: Recipient Integrity and Performance Matters, including Recipient Reporting to FAPIIS), and are incorporated by reference here.

## Attachment J - FY 2022 VOCA Special Conditions

### 1. Compliance with general appropriations-law restrictions on the use of federal funds (FY 2022)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2022, are set out at <https://ojp.gov/funding/Explore/FY22AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

### 2. Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2022 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2022 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2022 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.334.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

### 3. Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

## Attachment J - FY 2022 VOCA Special Conditions - Cont.

### 4. OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://www.ojp.gov/funding/implement/training-guiding-principles-grantees-and-subgrantees>.

### 5. Required training for Grant Award Administrator and Financial Manager

The Grant Award Administrator and all Financial Managers for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after October 15, 2020, will satisfy this condition.

In the event that either the Grant Award Administrator or a Financial Manager for this award changes during the period of performance, the new Grant Award Administrator or Financial Manager must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after the date the Entity Administrator enters updated Grant Award Administrator or Financial Manager information in JustGrants. Successful completion of such a training on or after January 1, 2020, will satisfy this condition.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at <https://www.ojp.gov/training/fmts.htm>. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.

### 6. Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

### 7. Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" ([ojp.gov/funding/Explore/LegalNotices-AwardReqts.htm](https://www.ojp.gov/funding/Explore/LegalNotices-AwardReqts.htm)), and incorporated by reference into the award.

## Attachment J - FY 2022 VOCA Special Conditions - Cont.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts all material requirements of the award, and specifically adopts, as if personally executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.

### 8. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

### 9. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.



## Attachment J - FY 2022 VOCA Special Conditions - Cont.

### 10. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

### 11. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

### 12. Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

### 13. Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

### 14. Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

### 15. Determination of suitability to interact with participating minors

## Attachment J - FY 2022 VOCA Special Conditions - Cont.

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

16. Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at [OJP.ComplianceReporting@ojp.usdoj.gov](mailto:OJP.ComplianceReporting@ojp.usdoj.gov). For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.

17. Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

18. Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

19. Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

20. Employment eligibility verification for hiring under the award

## Attachment J - FY 2022 VOCA Special Conditions - Cont.

### 1. The recipient (and any subrecipient at any tier) must--

A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).

B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both--

(1) this award requirement for verification of employment eligibility, and

(2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.

C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).

D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

### 2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

### 3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

### 4. Rules of construction

#### A. Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

#### B. Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify ([www.e-verify.gov](http://www.e-verify.gov)), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a

**Attachment J - FY 2022 VOCA Special Conditions - Cont.**

"Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.

D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (<https://www.e-verify.gov/>) or email E-Verify at [E-Verify@dhs.gov](mailto:E-Verify@dhs.gov). E-Verify employer agents can email E-Verify at [E-VerifyEmployerAgent@dhs.gov](mailto:E-VerifyEmployerAgent@dhs.gov).

Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

**21. Restrictions and certifications regarding non-disclosure agreements and related matters**

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

**1. In accepting this award, the recipient--**

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

**2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both--**

a. it represents that--

## Attachment J - FY 2022 VOCA Special Conditions - Cont.

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

### 22. Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

### 23. All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.

### 24. Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire

## **Attachment J - FY 2022 VOCA Special Conditions - Cont.**

and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at <https://ojp.gov/funding/Explore/SAM.htm> (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

### **25. Restrictions on "lobbying"**

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

### **26. Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

### **27. Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)**

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

## Attachment J - FY 2022 VOCA Special Conditions - Cont.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

### 28. Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.

### 29. Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

30. The recipient agrees to ensure that at least one key grantee official attends the annual VOCA National Training Conference. Any recipient unable to attend must get prior approval by OVC in writing.

### 31. FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of \$30,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at <https://ojp.gov/funding/Explore/FFATA.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

This condition, including its reporting requirement, does not apply to-- (1) an award of less than \$30,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

## Attachment J - FY 2022 VOCA Special Conditions - Cont.

32. The recipient must receive and engage in any training and technical assistance activities recommended by the Office for Victims of Crime.

33. "Methods of Administration" - monitoring compliance with civil rights laws and nondiscrimination provisions

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with applicable federal civil rights laws and nondiscrimination provisions. Within 90 days of the date of award acceptance, the recipient must submit to OJP's Office for Civil Rights (at [CivilRightsMOA@usdoj.gov](mailto:CivilRightsMOA@usdoj.gov)) written Methods of Administration ("MOA") for subrecipient monitoring with respect to civil rights requirements. In addition, upon request by OJP (or by another authorized federal agency), the recipient must make associated documentation available for review.

The details of the recipient's obligations related to Methods of Administration are posted on the OJP web site at <https://ojp.gov/funding/Explore/StateMethodsAdmin-FY2017update.htm> (Award condition: "Methods of Administration" - Requirements applicable to States (FY 2017 Update)), and are incorporated by reference here.

34. VOCA Requirements

The recipient assures that the State and its subrecipients will comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required. Specifically, the State certifies that funds under this award will:

a) be awarded only to eligible victim assistance organizations, 34 U.S.C. 20103(a)(2);

b) not be used to supplant State and local public funds that would otherwise be available for crime victim assistance, 34 U.S.C. 20103(a)(2), or for administering the state victim assistance program, 34 U.S.C. 20110(h); and

c) be allocated in accordance with program guidelines or regulations implementing 34 U.S.C. 20103(a)(2)(A) and 34 U.S.C. 20103(a)(2)(B) to, at a minimum, assist victims in the following categories: sexual assault, child abuse, domestic violence, and underserved victims of violent crimes as identified by the State.

35. The recipient agrees that it will submit quarterly financial status reports (the SF 425 Federal Financial Report) to OJP in JustGrants, no later than the deadlines set out in the DOJ Financial Guide and the JustGrants guidance (typically 30 days after the end of each calendar quarter). Delinquent reports may lead to funds being frozen and other remedies.

36. Discrimination Findings

The recipient assures that in the event that a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the ground of race, religion, national origin, sex, or disability against a recipient of victim assistance formula funds under this award, the recipient will forward a copy of the findings to the Office for Civil Rights of OJP.



## Attachment J - FY 2022 VOCA Special Conditions - Cont.

37. Recipient integrity and performance matters: Requirement to report information on certain civil, criminal, and administrative proceedings to SAM and FAPIIS

The recipient must comply with any and all applicable requirements regarding reporting of information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either this OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Under certain circumstances, recipients of OJP awards are required to report information about such proceedings, through the federal System for Award Management (known as "SAM"), to the designated federal integrity and performance system (currently, "FAPIIS").

The details of recipient obligations regarding the required reporting (and updating) of information on certain civil, criminal, and administrative proceedings to the federal designated integrity and performance system (currently, "FAPIIS") within SAM are posted on the OJP web site at <https://ojp.gov/funding/FAPIIS.htm> (Award condition: Recipient Integrity and Performance Matters, including Recipient Reporting to FAPIIS), and are incorporated by reference here.

38. The Victims of Crime Act (VOCA) of 1984 states that VOCA funds are available during the federal fiscal year in which the award was actually made, plus the following three fiscal years. At the end of this period, VOCA funds will be deobligated. (E.g., VOCA funds awarded in FY 2022, are available until the end of FY 2025). Extensions beyond the statutory period may be granted at the discretion of DOJ, and may be requested in accordance with OJP processes, but are not assured.

## Attachment K - FY 2022 VOCA Special Conditions

### 1. Compliance with general appropriations-law restrictions on the use of federal funds (FY 2022)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions, including from various "general provisions" in the Consolidated Appropriations Act, 2022, are set out at <https://ojp.gov/funding/Explore/FY22AppropriationsRestrictions.htm>, and are incorporated by reference here.

Should a question arise as to whether a particular use of federal funds by a recipient (or a subrecipient) would or might fall within the scope of an appropriations-law restriction, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

### 2. Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by DOJ in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2022 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2022 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2022 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and subawards ("subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to the award that the recipient (and any subrecipient ("subgrantee") at any tier) must retain -- typically for a period of 3 years from the date of submission of the final expenditure report (SF 425), unless a different retention period applies -- and to which the recipient (and any subrecipient ("subgrantee") at any tier) must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. 200.334.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the recipient is to contact OJP promptly for clarification.

### 3. Requirement to report actual or imminent breach of personally identifiable information (PII)

The recipient (and any "subrecipient" at any tier) must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it (or a subrecipient) -- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "Personally Identifiable Information (PII)" (2 CFR 200.1) within the scope of an OJP grant-funded program or activity, or (2) uses or operates a "Federal information system" (OMB Circular A-130). The recipient's breach procedures must include a requirement to report actual or imminent breach of PII to an OJP Program Manager no later than 24 hours after an occurrence of an actual breach, or the detection of an imminent breach.

## Attachment K - FY 2022 VOCA Special Conditions - Cont.

### 4. OJP Training Guiding Principles

Any training or training materials that the recipient -- or any subrecipient ("subgrantee") at any tier -- develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://www.ojp.gov/funding/implement/training-guiding-principles-grantees-and-subgrantees>.

### 5. Required training for Grant Award Administrator and Financial Manager

The Grant Award Administrator and all Financial Managers for this award must have successfully completed an "OJP financial management and grant administration training" by 120 days after the date of the recipient's acceptance of the award. Successful completion of such a training on or after October 15, 2020, will satisfy this condition.

In the event that either the Grant Award Administrator or a Financial Manager for this award changes during the period of performance, the new Grant Award Administrator or Financial Manager must have successfully completed an "OJP financial management and grant administration training" by 120 calendar days after the date the Entity Administrator enters updated Grant Award Administrator or Financial Manager information in JustGrants. Successful completion of such a training on or after January 1, 2020, will satisfy this condition.

A list of OJP trainings that OJP will consider "OJP financial management and grant administration training" for purposes of this condition is available at <https://www.ojp.gov/training/fmts.htm>. All trainings that satisfy this condition include a session on grant fraud prevention and detection.

The recipient should anticipate that OJP will immediately withhold ("freeze") award funds if the recipient fails to comply with this condition. The recipient's failure to comply also may lead OJP to impose additional appropriate conditions on this award.

### 6. Effect of failure to address audit issues

The recipient understands and agrees that the DOJ awarding agency (OJP or OVW, as appropriate) may withhold award funds, or may impose other related requirements, if (as determined by the DOJ awarding agency) the recipient does not satisfactorily and promptly address outstanding issues from audits required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

### 7. Requirements of the award; remedies for non-compliance or for materially false statements

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice ("DOJ") may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to the award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the Office of Justice Programs ("OJP") webpage entitled "Legal Notices: Special circumstances as to particular award conditions" ([ojp.gov/funding/Explore/LegalNotices-AwardReqtts.htm](https://www.ojp.gov/funding/Explore/LegalNotices-AwardReqtts.htm)), and incorporated by reference into the award.

## Attachment K - FY 2022 VOCA Special Conditions - Cont.

By signing and accepting this award on behalf of the recipient, the authorized recipient official accepts all material requirements of the award, and specifically adopts, as if personally executed by the authorized recipient official, all assurances or certifications submitted by or on behalf of the recipient that relate to conduct during the period of performance.

Failure to comply with one or more award requirements -- whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period -- may result in OJP taking appropriate action with respect to the recipient and the award. Among other things, the OJP may withhold award funds, disallow costs, or suspend or terminate the award. DOJ, including OJP, also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement to the federal government related to this award (or concealment or omission of a material fact) may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.

### 8. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to recipient and subrecipient ("subgrantee") organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to recipients and subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

### 9. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

## Attachment K - FY 2022 VOCA Special Conditions - Cont.

### 10. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

### 11. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The recipient (and any subrecipient at any tier) must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The recipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the recipient is to contact the DOJ awarding agency (OJP or OVW, as appropriate) for guidance.

### 12. Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable laws, regulations, policies, and official DOJ guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

### 13. Requirement for data on performance and effectiveness under the award

The recipient must collect and maintain data that measure the performance and effectiveness of work under this award. The data must be provided to OJP in the manner (including within the timeframes) specified by OJP in the program solicitation or other applicable written guidance. Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

### 14. Requirements related to "de minimis" indirect cost rate

A recipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise OJP in writing of both its eligibility and its election, and must comply with all associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

### 15. Determination of suitability to interact with participating minors

## Attachment K - FY 2022 VOCA Special Conditions - Cont.

SCOPE. This condition applies to this award if it is indicated -- in the application for the award (as approved by DOJ)(or in the application for any subaward, at any tier), the DOJ funding announcement (solicitation), or an associated federal statute -- that a purpose of some or all of the activities to be carried out under the award (whether by the recipient, or a subrecipient at any tier) is to benefit a set of individuals under 18 years of age.

The recipient, and any subrecipient at any tier, must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment status.

The details of this requirement are posted on the OJP web site at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors), and are incorporated by reference here.

16. Requirement to disclose whether recipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the recipient is designated "high risk" by a federal grant-making agency outside of DOJ, currently or at any time during the course of the period of performance under this award, the recipient must disclose that fact and certain related information to OJP by email at [OJP.ComplianceReporting@ojp.usdoj.gov](mailto:OJP.ComplianceReporting@ojp.usdoj.gov). For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the recipient's past performance, or other programmatic or financial concerns with the recipient. The recipient's disclosure must include the following: 1. The federal awarding agency that currently designates the recipient high risk, 2. The date the recipient was designated high risk, 3. The high-risk point of contact at that federal awarding agency (name, phone number, and email address), and 4. The reasons for the high-risk status, as set out by the federal awarding agency.

17. Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The recipient agrees to comply with the DOJ Grants Financial Guide.

18. Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages recipients and subrecipients ("subgrantees") to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

19. Potential imposition of additional requirements

The recipient agrees to comply with any additional requirements that may be imposed by the DOJ awarding agency (OJP or OVW, as appropriate) during the period of performance for this award, if the recipient is designated as "high-risk" for purposes of the DOJ high-risk grantee list.

20. Employment eligibility verification for hiring under the award

**Attachment K - FY 2022 VOCA Special Conditions - Cont.**

1. The recipient (and any subrecipient at any tier) must--

A. Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the recipient (or any subrecipient) properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1).

B. Notify all persons associated with the recipient (or any subrecipient) who are or will be involved in activities under this award of both--

(1) this award requirement for verification of employment eligibility, and

(2) the associated provisions in 8 U.S.C. 1324a(a)(1) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.

C. Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1).

D. As part of the recordkeeping for the award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

2. Monitoring

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with this condition.

3. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

4. Rules of construction

A. Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all recipient (or any subrecipient) officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

B. Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the recipient (or any subrecipient) may choose to participate in, and use, E-Verify ([www.e-verify.gov](http://www.e-verify.gov)), provided an appropriate person authorized to act on behalf of the recipient (or subrecipient) uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Nonconfirmation" or a

**Attachment K - FY 2022 VOCA Special Conditions - Cont.**

"Final Nonconfirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

C. "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.

D. Nothing in this condition shall be understood to authorize or require any recipient, any subrecipient at any tier, or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

E. Nothing in this condition, including in paragraph 4.B., shall be understood to relieve any recipient, any subrecipient at any tier, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1).

Questions about E-Verify should be directed to DHS. For more information about E-Verify visit the E-Verify website (<https://www.e-verify.gov/>) or email E-Verify at [E-Verify@dhs.gov](mailto:E-Verify@dhs.gov). E-Verify employer agents can email E-Verify at [E-VerifyEmployerAgent@dhs.gov](mailto:E-VerifyEmployerAgent@dhs.gov).

Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

**21. Restrictions and certifications regarding non-disclosure agreements and related matters**

No recipient or subrecipient ("subgrantee") under this award, or entity that receives a procurement contract or subcontract with any funds under this award, may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended, and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency governing the nondisclosure of classified information.

**1. In accepting this award, the recipient--**

a. represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

b. certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

**2. If the recipient does or is authorized under this award to make subawards ("subgrants"), procurement contracts, or both--**

a. it represents that--



## Attachment K - FY 2022 VOCA Special Conditions - Cont.

(1) it has determined that no other entity that the recipient's application proposes may or will receive award funds (whether through a subaward ("subgrant"), procurement contract, or subcontract under a procurement contract) either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and

(2) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and

b. it certifies that, if it learns or is notified that any subrecipient, contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to the federal agency making this award, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by that agency.

### 22. Reclassification of various statutory provisions to a new Title 34 of the United States Code

On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP awards (that is, OJP grants and cooperative agreements), including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

### 23. All subawards ("subgrants") must have specific federal authorization

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements for authorization of any subaward. This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a "subaward" (and therefore does not consider a procurement "contract").

The details of the requirement for authorization of any subaward are posted on the OJP web site at <https://ojp.gov/funding/Explore/SubawardAuthorization.htm> (Award condition: All subawards ("subgrants") must have specific federal authorization), and are incorporated by reference here.

### 24. Requirements related to System for Award Management and Universal Identifier Requirements

The recipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The recipient also must comply with applicable restrictions on subawards ("subgrants") to first-tier subrecipients (first-tier "subgrantees"), including restrictions on subawards to entities that do not acquire

## Attachment K - FY 2022 VOCA Special Conditions - Cont.

and provide (to the recipient) the unique entity identifier required for SAM registration.

The details of the recipient's obligations related to SAM and to unique entity identifiers are posted on the OJP web site at <https://ojp.gov/funding/Explore/SAM.htm> (Award condition: System for Award Management (SAM) and Universal Identifier Requirements), and are incorporated by reference here.

This condition does not apply to an award to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

### 25. Restrictions on "lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the recipient, or any subrecipient ("subgrantee") at any tier, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

Another federal law generally prohibits federal funds awarded by OJP from being used by the recipient, or any subrecipient at any tier, to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds by a recipient (or subrecipient) would or might fall within the scope of these prohibitions, the recipient is to contact OJP for guidance, and may not proceed without the express prior written approval of OJP.

### 26. Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that -- for purposes of federal grants administrative requirements -- OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP web site at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

### 27. Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The recipient, and any subrecipient ("subgrantee") at any tier, must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of recipients, subrecipients ("subgrantees"), or individuals defined (for purposes of this condition) as "employees" of the recipient or of any subrecipient.

## Attachment K - FY 2022 VOCA Special Conditions - Cont.

The details of the recipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP web site at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by recipients and subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate award)), and are incorporated by reference here.

### 28. Requirement to report potentially duplicative funding

If the recipient currently has other active awards of federal funds, or if the recipient receives any other award of federal funds during the period of performance for this award, the recipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the recipient must promptly notify the DOJ awarding agency (OJP or OVW, as appropriate) in writing of the potential duplication, and, if so requested by the DOJ awarding agency, must seek a budget-modification or change-of-project-scope Grant Award Modification (GAM) to eliminate any inappropriate duplication of funding.

### 29. Reporting potential fraud, waste, and abuse, and similar misconduct

The recipient, and any subrecipients ("subgrantees") at any tier, must promptly refer to the DOJ Office of the Inspector General (OIG) any credible evidence that a principal, employee, agent, subrecipient, contractor, subcontractor, or other person has, in connection with funds under this award-- (1) submitted a claim that violates the False Claims Act; or (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by--(1) online submission accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online"); (2) mail directed to: U.S. Department of Justice, Office of the Inspector General, Investigations Division, ATTN: Grantee Reporting, 950 Pennsylvania Ave., NW, Washington, DC 20530; and/or (3) by facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 (fax).

Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

30. The recipient agrees to ensure that at least one key grantee official attends the annual VOCA National Training Conference. Any recipient unable to attend must get prior approval by OVC in writing.

### 31. FFATA reporting: Subawards and executive compensation

The recipient must comply with applicable requirements to report first-tier subawards ("subgrants") of \$30,000 or more and, in certain circumstances, to report the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients (first-tier "subgrantees") of award funds. The details of recipient obligations, which derive from the Federal Funding Accountability and Transparency Act of 2006 (FFATA), are posted on the OJP web site at <https://ojp.gov/funding/Explore/FFATA.htm> (Award condition: Reporting Subawards and Executive Compensation), and are incorporated by reference here.

This condition, including its reporting requirement, does not apply to-- (1) an award of less than \$30,000, or (2) an award made to an individual who received the award as a natural person (i.e., unrelated to any business or non-profit organization that he or she may own or operate in his or her name).

## Attachment K - FY 2022 VOCA Special Conditions - Cont.

32. The recipient must receive and engage in any training and technical assistance activities recommended by the Office for Victims of Crime.

33. "Methods of Administration" - monitoring compliance with civil rights laws and nondiscrimination provisions

The recipient's monitoring responsibilities include monitoring of subrecipient compliance with applicable federal civil rights laws and nondiscrimination provisions. Within 90 days of the date of award acceptance, the recipient must submit to OJP's Office for Civil Rights (at [CivilRightsMOA@usdoj.gov](mailto:CivilRightsMOA@usdoj.gov)) written Methods of Administration ("MOA") for subrecipient monitoring with respect to civil rights requirements. In addition, upon request by OJP (or by another authorized federal agency), the recipient must make associated documentation available for review.

The details of the recipient's obligations related to Methods of Administration are posted on the OJP web site at <https://ojp.gov/funding/Explore/StateMethodsAdmin-FY2017update.htm> (Award condition: "Methods of Administration" - Requirements applicable to States (FY 2017 Update)), and are incorporated by reference here.

34. VOCA Requirements

The recipient assures that the State and its subrecipients will comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required. Specifically, the State certifies that funds under this award will:

a) be awarded only to eligible victim assistance organizations, 34 U.S.C. 20103(a)(2);

b) not be used to supplant State and local public funds that would otherwise be available for crime victim assistance, 34 U.S.C. 20103(a)(2), or for administering the state victim assistance program, 34 U.S.C. 20110(h); and

c) be allocated in accordance with program guidelines or regulations implementing 34 U.S.C. 20103(a)(2)(A) and 34 U.S.C. 20103(a)(2)(B) to, at a minimum, assist victims in the following categories: sexual assault, child abuse, domestic violence, and underserved victims of violent crimes as identified by the State.

35. The recipient agrees that it will submit quarterly financial status reports (the SF 425 Federal Financial Report) to OJP in JustGrants, no later than the deadlines set out in the DOJ Financial Guide and the JustGrants guidance (typically 30 days after the end of each calendar quarter). Delinquent reports may lead to funds being frozen and other remedies.

36. Discrimination Findings

The recipient assures that in the event that a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the ground of race, religion, national origin, sex, or disability against a recipient of victim assistance formula funds under this award, the recipient will forward a copy of the findings to the Office for Civil Rights of OJP.

## **Attachment K - FY 2022 VOCA Special Conditions - Cont.**

37. Recipient integrity and performance matters: Requirement to report information on certain civil, criminal, and administrative proceedings to SAM and FAPIIS

The recipient must comply with any and all applicable requirements regarding reporting of information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either this OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Under certain circumstances, recipients of OJP awards are required to report information about such proceedings, through the federal System for Award Management (known as "SAM"), to the designated federal integrity and performance system (currently, "FAPIIS").

The details of recipient obligations regarding the required reporting (and updating) of information on certain civil, criminal, and administrative proceedings to the federal designated integrity and performance system (currently, "FAPIIS") within SAM are posted on the OJP web site at <https://ojp.gov/funding/FAPIIS.htm> (Award condition: Recipient Integrity and Performance Matters, including Recipient Reporting to FAPIIS), and are incorporated by reference here.

38. The Victims of Crime Act (VOCA) of 1984 states that VOCA funds are available during the federal fiscal year in which the award was actually made, plus the following three fiscal years. At the end of this period, VOCA funds will be deobligated. (E.g., VOCA funds awarded in FY 2022, are available until the end of FY 2025). Extensions beyond the statutory period may be granted at the discretion of DOJ, and may be requested in accordance with OJP processes, but are not assured.

39. Compliance with restrictions on the use of federal funds--prohibited and controlled equipment under OJP awards

Consistent with Executive Order 14074, "Advancing Effective, Accountable Policing and Criminal Justice Practices To Enhance Public Trust and Public Safety," OJP has prohibited the use of federal funds under this award for purchases or transfers of specified equipment by law enforcement agencies. In addition, OJP requires the recipient, and any subrecipient ("subgrantee") at any tier, to put in place specified controls prior to using federal funds under this award to acquire or transfer any property identified on the "controlled equipment" list. The details of the requirement are posted on the OJP web site at <https://www.ojp.gov/funding/explore/prohibited-and-controlled-equipment> (Award condition: Compliance with restrictions on the use of federal funds--prohibited and controlled equipment under OJP awards), and are incorporated by reference here.

40. Applicants must ensure that Limited English Proficiency persons have meaningful access to the services under this program(s). National origin discrimination includes discrimination on the basis of limited English proficiency (LEP). To ensure compliance with Title VI and the Safe Streets Act, recipients are required to take reasonable steps to ensure that LEP persons have meaningful access to their programs. Meaningful access may entail providing language assistance services, including oral and written translation when necessary. The U.S. Department of Justice has issued guidance for grantees to help them comply with Title VI requirements. The guidance document can be accessed on the Internet at [www.lep.gov](http://www.lep.gov).

September Session of the July Adjourned

23

7th

September

23

Now on this day, the County Commission of the County of Boone does hereby approve the Boone County Prosecuting Attorney's Office request for the Victims of Crime Act (VOCA) 1-year renewal of grant funding for October 2023 through September 2024.

Done this 7<sup>th</sup> day of September 2023.

ATTEST:

\_\_\_\_\_  
Brianna L. Lennon  
Clerk of the County Commission

\_\_\_\_\_  
Kip Kendrick  
Presiding Commissioner

\_\_\_\_\_  
Justin Aldred  
District I Commissioner

\_\_\_\_\_  
Janet M. Thompson  
District II Commissioner

480 -2023

# CERTIFIED COPY OF ORDER

STATE OF MISSOURI }  
County of Boone } ea.

September Session of the July Adjourned

Term. 20 23

In the County Commission of said county, on the 7th day of September 20 23

the following, among other proceedings, were had, viz:

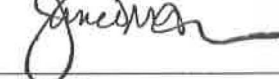
Now on this day, the County Commission of the County of Boone does hereby approve the application for the organizational use of the Boone County Courthouse Plaza by the United States Exercise Tiger Foundation (USTF) on September 11, 2023, from 7:15 am to 9:00 am for the Mid-Missouri Patriot Day Remembrance and Wreath Tribute.

Done this 7<sup>th</sup> day of September 2023.

ATTEST:



Brianna L. Lennon  
Clerk of the County Commission

  
Kip Kendrick  
Presiding Commissioner  
Justin Aldred  
District I Commissioner  
Janet M. Thompson  
District II Commissioner



# Boone County Commission

## APPLICATION FOR ORGANIZATIONAL USE OF BOONE COUNTY COURTHOUSE PLAZA

The undersigned organization hereby applies for a use permit to use the Boone County Courthouse Plaza as follows:

Organization: United States Exercise TIGER FOUNDATION OSTF

Address: 1001 E Walnut

City: COLUMBIA State: MO ZIP Code 65201

Phone: 573-356-0529 Website: exerciseTiger.org

Individual Requesting Use: Susan Hanes, OSTF Director

Position in Organization: OSTF Director

Address: 1001 E Walnut St.

City: COLUMBIA State: MO ZIP Code 65201

Phone: 573-356-3093 Email: shanes@socket.net

Event: MLD - MISSOURI PATRIOT DAY Remembrance + <sup>wreath</sup> Tribute

Description of Use (ex. Concert, speaker, 5K): Formal Sept 11th Tribute

Date(s) of Use: Sept 11 -

Start Time of Setup: 7:15  AM  PM

Start Time of Event: 7:46  AM  PM (If start times vary for multiple day events, please specify)

End Time of Event: 8:40  AM  PM (If end times vary for multiple day events, please specify)

End Time of Cleanup: 9 ish  AM  PM

Emergency Contact During Event: Susan Hanes of WOTD Phone: 573-356-0529

Will this event be open to the public?  Yes  No

If yes, please explain the publicity that will be used to promote the event, including names and contact information of any promoters: news release for all media -



How many attendees (including volunteers) do you anticipate being at your event? 35-50

If you anticipate more than 50 attendees (including volunteers) at your event, please detail your safety plan in the event of an emergency. If you have a separate Fire Safety, Public Safety and Evacuation Plan, please submit with application.

NA

If you anticipate more than 1000 attendees (including volunteers), please provide the names and contact information of your crowd managers (1 per every 250 attendees):

NA

Will the majority of attendees be under the age of 18?  Yes  No

If yes, please note the number of adult supervisors in attendance: 20 # adults per 100 # minors

Will you need access to electricity?  Yes  No

Will you be using amplifiers?  Yes  No

Will you be serving food and/or non-alcoholic drinks?  Yes  No

If yes, will you be **selling** food and/or non-alcoholic drinks?  Yes  No

If yes, please provide the following with copies of licenses attached to application:

Missouri Department of Revenue Sales Tax Number: \_\_\_\_\_

County Merchant's License Number: \_\_\_\_\_ NA

City Temporary Business License Number: \_\_\_\_\_

Will you be serving alcoholic beverages?  Yes  No

If yes, will you be **selling** alcoholic beverages?  Yes  No

If yes, please provide the following with copies of licenses attached to application:

State Liquor License Number: \_\_\_\_\_

County Liquor License Number: \_\_\_\_\_ NA

City Liquor License Number: \_\_\_\_\_

Will you be selling non-food items?  Yes  No

If yes, please provide the following with copies of licenses attached to application:

Missouri Department of Revenue Sales Tax Number: \_\_\_\_\_

County Merchant's License Number: \_\_\_\_\_ *ND*

City Temporary Business License Number: \_\_\_\_\_

Will outside vendors be selling food, beverages or non-food items at this event?  Yes  No

If yes, please provide the following information (use separate sheet if necessary):

| Vendor | Type of Sales | Contact Information | License Number(s) |
|--------|---------------|---------------------|-------------------|
| _____  | <i>ND</i>     | _____               | _____             |
| _____  | _____         | _____               | _____             |
| _____  | _____         | _____               | _____             |

Will you be requesting a road and/or sidewalk closure?  Yes  No

If yes, what road(s) and/or sidewalk(s)? \_\_\_\_\_ *ND*

Please attach to application a copy of the order showing City of Columbia City Council approval.

Does your event include cooking or use of open flames?  Yes  No

If yes, please provide the Columbia Fire Department Special Events Permit Number: \_\_\_\_\_ *ND*

Please attach to application a copy of the approved Columbia Fire Department Special Events Permit

Events that may pose increased responsibilities to the local law enforcement may be required to enlist the services of a professional security company. This will be determined by the Boone County Sheriff's Department and Boone County Commission. If necessary, have you hired a security company to handle security arrangements for this event?

Yes  No

If yes, please provide the following:

Security Company: \_\_\_\_\_ *ND*

Contact Person Name and Position: \_\_\_\_\_

Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Will you be using portable toilets for your event?  Yes  No

\*\*Please note: portable toilets are not permitted on the Boone County Courthouse Plaza grounds. Please contact the City of Columbia for options.

If your event is such that requires insurance per the Boone County Courthouse Plaza Rules and Regulations, please provide a copy of acquired insurance plan.

A deposit is required for use of the Boone County Courthouse Plaza. Please refer to the Boone County Courthouse Plaza Rules and Regulations for the deposit fee schedule. Boone County Facilities Maintenance Staff will inspect the Courthouse Plaza before and after each event. If staff finds the Courthouse Plaza is left the condition in which it was found, the deposit will be refunded to the organization. Please indicate below to whom the refund check should be issued:

Name/Organization: OSTF

Address: 1001 E Walnut

City: CDJWB10 State: MO ZIP Code: 65201

The undersigned organization agrees to abide by the following terms and conditions in the event this application is approved:

1. To notify the Columbia Police Department and Boone County Sheriff's Department of time and date of use and abide by all applicable laws, ordinances and county policies in using Courthouse Plaza grounds.
2. To abide by all rules and regulations as set forth in the Boone County Courthouse Plaza Rules and Regulations document updated July 11, 2013 and attached to this document.
3. To remove all trash or other debris that may be deposited (by participants) on the courthouse grounds and/or in rooms by the organizational use.
4. To repair, replace, or pay for the repair or replacement of damaged property including shrubs, flowers or other landscape caused by participants in the organizational use of courthouse grounds and/or carpet and furnishings in rooms.
5. To conduct its use of Courthouse Plaza grounds in such a manner as to not unreasonably interfere with normal courthouse and/or Boone County Government building functions.
6. To indemnify and hold the County of Boone, its officers, agents and employees, harmless from any and all claims, demands, damages, actions, causes of action or suits of any kind or nature including costs, litigation expenses, attorney fees, judgments, settlements on account of bodily injury or property damage incurred by anyone participating in or attending the organizational use on the courthouse grounds and/or use of rooms as specified in this application.

Organization Representative/Title: Walt Domanski, OSTF Chief of Staff

Address: 1001 E Walnut Street 200

Phone Number: 573-356-0529 Date of Application: Sept 5, 2023

Email Address: shangye@salzet.net ostf.org@gmail

Signature: [Handwritten Signature]

Applications may be submitted in person or by mail to the Boone County Commission, 801 E. Walnut, Room 333, Columbia, MO 65201 or by email to [commission@boonecountymo.org](mailto:commission@boonecountymo.org).

**PERMIT FOR ORGANIZATIONAL USE OF BOONE COUNTY COURTHOUSE PLAZA**

The County of Boone hereby grants the above application for permit in accordance with the terms and conditions above written. The above permit is subject to termination for any reason by duly entered order of the Boone County Commission.

ATTEST:

BOONE COUNTY, MISSOURI

Branna L Lennon  
County Clerk

[Handwritten Signature]  
County Commissioner

DATE: 9/7/23