

CERTIFIED COPY OF ORDER

STATE OF MISSOURI

} ea.

January Session of the January Adjourned

Term. 20 16

County of Boone

In the County Commission of said county, on the

12th

day of

January

20

16

the following, among other proceedings, were had, viz:

Now on this day the County Commission of the County of Boone does hereby award bid 73-20NOV15 – LED Light Fixtures to Frost Electric Supply Company of Columbia, MO.

The terms of the bid award are stipulated in the attached Purchase Agreement. It is further ordered the Acting Presiding Commissioner is hereby authorized to sign said Purchase Agreement.

Done this 12th day of January, 2016.

ATTEST:

Wendy S. Noren
Wendy S. Noren
Clerk of the County Commission

Absent
Daniel K. Atwill
Presiding Commissioner

Karen M. Miller
Karen M. Miller
Acting Presiding Commissioner

Janet M. Thompson
Janet M. Thompson
District II Commissioner

Boone County Purchasing

Cheli Haley
Buyer



613 East Ash Street, Room 109
Columbia, MO 65201
Phone: (573)886-4392
Facsimile: (573)886-4390

MEMORANDUM

TO: Boone County Commission
FROM: Cheli Haley, Buyer
DATE: December 8, 2015
RE: Bid Award Recommendation
73-20NOV15 – LED Light Fixtures

Request for Bid number 73-20NOV15 – LED Light Fixtures was opened on November 20, 2015 with four Responses received. Graybar Electric was the lowest bidder, however after careful review of the Responses received, it was discovered that Line Item 4.8.3. priced by Graybar Electric on the Response Form did not meet the specifications listed in Section 2.3.3. of the Request for Bid. As stated in Section 1.4.1. bidders were asked to price all products listed on the Response Form or risk being excluded from award. As a result, Graybar Electric's Response was not considered for award. Public Works recommends awarding by best bid to the next lowest bidder, Frost Electric Supply Company of Columbia, Missouri.

The contract amount for this purchase is \$6,832.50. Invoices will be paid from Department 2040 – PW Maintenance Operations and Account 60100 – Building Repairs/Maintenance. The amount budgeted for building repairs is \$20,000.00.

16-2016

Boone County Purchasing

Cheli Haley
Buyer



613 East Ash Street, Room 109
Columbia, MO 65201
Phone: (573)886-4392
Facsimile: (573)886-4390

MEMORANDUM

TO: Greg Edington, Public Works
FROM: Cheli Haley, Buyer
Purchasing Department
DATE: November 20, 2015
RE: Bid Award Recommendation
73-20NOV15 – LED Light Fixtures

Attached is the bid tabulation for the single response received for the above-referenced bid. Please return this cover sheet with your recommendation by e-mail or fax to 886-4390 after you have completed the evaluation of this bid. If you have any questions, please call or e-mail.

DEPARTMENT REPLY:

Please complete the following:

Department Number: 2040

Account Number: 60100

Budgeted: \$ portion of \$20,000 for blg. Repair

Award Bid by low bid to Graybar Electric. (Item #3 is not responsive)

Recommend accepting the following bid(s) for reasons detailed on attached page. (Attach department recommendation). Award to 2nd lowest = Frost Supply

Recommend rejecting all bids for reasons detailed on attached page. (Attach department recommendation).

Administrative Authority Signature: _____

Date: 11/25/15

16-2016

73-20NOV15 - LED Light Fixtures BID TABULATION		Graybar Electric		Frost Supply		CED-Phillips & Company		Complete Facilities Supply, Inc.		Average Bid		
Line	Item	Quantity	Unit Price	Extended Price	Unit Price	Extended Price	Unit Price	Extended Price	Unit Price	Extended Price	Unit Price	Extended Price
4.8.1.	Gas Pump Fixtures with 8" Pole Mounting Arm	6	\$ 473.68	\$ 2,842.08	\$ 459.25	\$ 2,755.50	\$ 473.50	\$ 2,841.00	\$ 500.00	\$ 3,000.00	\$ 476.61	\$ 2,859.65
4.8.2.	Wall Packs	8	\$ 272.63	\$ 2,181.04	\$ 264.75	\$ 2,118.00	\$ 272.50	\$ 2,180.00	\$ 287.78	\$ 2,302.24	\$ 274.42	\$ 2,195.32
4.8.3.	High Bay	3	\$ 209.48	\$ 628.44	\$ 430.25	\$ 1,290.75	\$ 441.00	\$ 1,323.00	\$ 465.56	\$ 1,396.68	\$ 386.57	\$ 1,159.72
4.8.4.	Flag Pole Floods	2	\$ 158.95	\$ 317.90	\$ 154.75	\$ 309.50	\$ 158.50	\$ 317.00	\$ 167.78	\$ 335.56	\$ 160.00	\$ 319.99
4.8.5.	Building Floods	1	\$ 367.37	\$ 367.37	\$ 358.75	\$ 358.75	\$ 367.00	\$ 367.00	\$ 387.78	\$ 387.78	\$ 370.23	\$ 370.23
4.8.6.	TOTAL	20		\$ 6,336.83		\$ 6,832.50		\$ 7,028.00		\$ 7,422.26		\$ 6,904.90

16-2016



MISSOURI ONLINE BUSINESS FILING

Online Help

Gen. Business - For Profit Details as of 12/4/2015

- Business Entity Fees & Forms
- Business Entity FAQ
- Business Entity Home Page
- Business Entity Online Filing
- Business Outreach Office
- Business Entity Contact Us
- UCC Online Filing
- Secretary of State Home Page

*Required Field

File Documents - select the filing from the "Filing Type" drop-down list, then click FILE ONLINE.
 File Registration Reports - click FILE REGISTRATION REPORT.
 Copies or Certificates - click FILE COPIES/CERTIFICATES.

RETURN TO SEARCH RESULTS Select filing from the list. FILE ONLINE
 Filing Type Acceptance of a General Business to a Non Pr.

ORDER COPIES/ CERTIFICATES

General Information	Filings	Address	Contact(s)
Name(s) FROST ELECTRIC SUPPLY COMPANY Type Gen. Business - For Profit Domestically Domestic Registered Agent Frost, John 2429 Schuetz Rd. Maryland Heights, MO 63043		Address 2429 Schuetz Rd Maryland Heights, MO 63043 Charter No. 00024437	Status Good Standing Date Formed 9/2/1909
Duration Perpetual			
Renewal Month November			
Report Due 2/29/2016			

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16-2016

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[CHECKOUT](#) [VIEW CART](#)



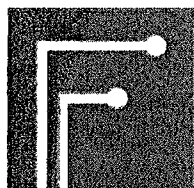
0 Items
\$0.00

CUSTOMER LOGIN

- [New Account? Sign Up Here](#)

user name	password	LOGIN
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- [Careers](#)
- [Vendors](#)
- [Government](#)



FROST

SUPPLY SUPPORT SERVICE

M-F 7am-5pm CST [Contact Us](#)
1-800-776-5444 314-567-4004

- [HOME](#)
- [ONLINE STORE](#)
- [SPOTLIGHT](#)
- [ABOUT US](#)
- [RESOURCES](#)
- [BLOG](#)
- [CONTACT/LOCATIONS](#)

Search Site

SEARCH

Frost Branch Locations

St. Louis County- Headquarters



2429 Schuetz Road
Maryland Heights, MO 63043
314-567-4004
314-997-5776 (fax)

Mid-Missouri



1711 Paris Road
Columbia, MO 65201
573-875-4151
573-875-4240 (fax)

St. Louis City



2720 Walnut Place
St. Louis, MO 63103
314-535-5070
314-535-5289 (fax)

Madison County, IL



#2 Business Center Court
Collinsville, IL 62234
618-345-7255
618-345-2584 (fax)

Join Our Mailing List!

Stay up to date on the latest news,
events
and promotions happening at Frost.

16-2016

Search Results

Current Search Terms: Frost* electric* supply* company*

Your search for "Frost* Electric* Supply* Company*" returned the following results...

Notice: This printed document represents only the first page of your SAM search results. More results may be available. To print your complete search results, you can download the PDF and print it.

Entity	Frost Electric Supply Company	Status: Active
DUNS: 003854619	CAGE Code: 3G966	View Details
Has Active Exclusion?: No	DoDAAC:	
Expiration Date: 09/15/2016	Delinquent Federal Debt?: No	
Purpose of Registration: All Awards		

Glossary

[Search](#)

[Results](#)

Entity

Exclusion

[Search](#)

[Filters](#)

By Record

Status

By

Functional

Area - Entity

Management

By

Functional

Area -

Performance

Information

SAM | System for Award Management 1.0

IBM v1.P.40.20151201-1827

Note to all Users: This is a Federal Government computer system. Use of this system constitutes consent to monitoring at all times.



**PURCHASE AGREEMENT
FOR
LED LIGHT FIXTURES**

THIS AGREEMENT dated the 12th day of January, 2016 is made between Boone County, Missouri, a political subdivision of the State of Missouri through the Boone County Commission, herein "County" and **Frost Electric Supply Company**, herein "Vendor."

IN CONSIDERATION of the parties performance of the respective obligations contained herein, the parties agree as follows:

1. **Contract Documents** - This agreement shall consist of this Purchase Agreement for LED Light Fixtures, County of Boone Request for Bid **73-20NOV15**, and the bid response dated November 16, 2015 and executed by Craig Head on behalf of Frost Electric Supply Company. All such documents shall constitute the contract documents which are attached hereto and incorporated herein by reference. Service or product data, specification, and literature submitted with bid response may be permanently maintained in the County Purchasing Office bid file for this bid if not attached. In the event of conflict between any of the foregoing documents, the terms, conditions, provisions, and requirements contained in the bid specifications including Introduction and General Conditions of Bidding, Primary Specifications, Response Presentation and Review, the unexecuted Response Form, and the Standard Terms and Conditions shall prevail and control over the bid response.

2. **Purchase** - The County agrees to purchase from the Vendor and Vendor agrees to supply the County with LED Light Fixtures as specified and responded to in the bid specifications.

Item	Quantity	Unit Price	Extended Price
Gas Pump Fixtures with 8" Pole Mounting Arm	6	\$459.25	\$2,755.50
Wall Packs	8	\$264.75	2,118.00
High Bay	3	\$430.25	\$1,290.75
Flag Pole Floods	2	\$154.75	\$309.50
Building Floods	1	\$358.75	\$358.75
TOTAL	17		\$6,832.50

For a contract total of Six Thousand Eight Hundred Thirty Two Dollars and Fifty Cents (6,832.50).

3. **Delivery** - Contractor agrees to deliver LED Light Fixtures within ten (10) calendar days after receipt of Notice to Proceed. Delivery shall be FOB Destination – with freight charges prepaid and allowed. This shall mean that the contractor bears all insurance costs and responsibilities for transporting the items, and the cost of freight, delivery, shipping, etc. must be built into the unit cost of the item. Delivery address shall be: Boone County Public Works, Attn: Greg Edington, 5551 Tom Bass Rd., Columbia, MO 65201.

4. **Billing and Payment** - All billing shall be invoiced to the Boone County Public Works Department and billings may only include the prices listed in the vendor's bid response. No additional fees for paperwork processing, labor, or taxes shall be included as additional charges in excess of the charges in the Vendor's bid response to the specifications. The County agrees to pay all invoices within thirty (30) days of receipt. In the event of a billing dispute, the County reserves the right to withhold payment on the disputed amount; in the event the billing dispute is resolved in favor of the Vendor, the County agrees to pay interest at a rate of 9% per annum on disputed amounts withheld commencing from the last date that payment was due.

5. **Binding Effect** - This agreement shall be binding upon the parties hereto and their successors and assigns for so long as this agreement remains in full force and effect.

6. **Termination** - This agreement may be terminated by the County upon thirty (30) days advance written notice for any of the following reasons or under any of the following circumstances:

- a. County may terminate this agreement due to material breach of any term or condition of this agreement, or
- b. County may terminate this agreement if in the opinion of the Boone County Commission if delivery of products is delayed or products delivered are not in conformity with bidding specifications or variances authorized by County, or
- c. If appropriations are not made available and budgeted for any calendar year.

IN WITNESS WHEREOF the parties through their duly authorized representatives have executed this agreement on the day and year first above written.

FROST ELECTRIC SUPPLY COMPANY

BOONE COUNTY, MISSOURI

by [Signature]
title Branch Manager

by: Boone County Commission
[Signature]
~~Daniel K. Atwill, Presiding Commissioner~~
KAREN M. MILLER, ACTING PRESIDING COMMISSIONER

APPROVED AS TO FORM:

ATTEST:

by: [Signature]
County Counselor

[Signature]
Wendy S. Noren, County Clerk

In accordance with RSMo 50.660, I hereby certify that a sufficient unencumbered appropriation balance exists and is available to satisfy the obligation(s) arising from this contract. (Note: Certification of this contract is not required if the terms of this contract do not create a measurable county obligation at this time.)

[Signature]
Signature by [initials]

4/4/16
Date

2040-60100 - \$6,832.50

Appropriation Account

STANDARD TERMS AND CONDITIONS - BOONE COUNTY, MISSOURI

1. Contractor shall comply with all applicable federal, state, and local laws and failure to do so, in County's sole discretion, shall give County the right to terminate this Contract.
2. Responses shall include all charges for packing, delivery, installation, etc., (unless otherwise specified) to the Boone County Department identified in the Request for Bid and/or Proposal.
3. The Boone County Commission has the right to accept or reject any part or parts of all bids, to waive technicalities, and to accept the offer the County Commission considers the most advantageous to the County. Boone County reserves the right to award this bid on an item-by-item basis, or an "all or none" basis, whichever is in the best interest of the County.
4. Bidders must use the bid forms provided for the purpose of submitting bids, must return the bid and bid sheets comprised in this bid, give the unit price, extended totals, and sign the bid. The Purchasing Director reserves the right, when only one bid has been received by the bid closing date, to delay the opening of bids to another date and time in order to revise specifications and/or establish further competition for the commodity or service required. The one (1) bid received will be retained unopened until the new Closing date, or at request of bidder, returned unopened for re-submittal at the new date and time of bid closing.
5. When products or materials of any particular producer or manufacturer are mentioned in our specifications, such products or materials are intended to be descriptive of type or quality and not restricted to those mentioned.
6. Do not include Federal Excise Tax or Sales and Use Taxes in bid process, as law exempts the County from them.
7. The delivery date shall be stated in definite terms, as it will be taken into consideration in awarding the bid.
8. The County Commission reserves the right to cancel all or any part of orders if delivery is not made or work is not started as guaranteed. In case of delay, the Contractor must notify the Purchasing Department.
9. In case of default by the Contractor, the County of Boone will procure the articles or services from other sources and hold the Bidder responsible for any excess cost occasioned thereby.
10. Failure to deliver as guaranteed may disqualify Bidder from future bidding.
11. Prices must be as stated in units of quantity specified, and must be firm. Bids qualified by escalator clauses may not be considered unless specified in the bid specifications.
12. No bid transmitted by fax machine or e-mail will be accepted.
13. The County of Boone, Missouri expressly denies responsibility for, or ownership of any item purchased until same is delivered to the County and is accepted by the County.
14. The County reserves the right to award to one or multiple respondents. The County also reserves the right to not award any item or group of items if the services can be obtained from a state or other governmental entities contract under more favorable terms. The resulting contract will be considered "Non-Exclusive". The County reserves the right to purchase from other vendors.
15. The County, from time to time, uses federal grant funds for the procurement of goods and services. Accordingly, the provider of goods and/or services shall comply with federal laws, rules and

regulations applicable to the funds used by the County for said procurement, and contract clauses required by the federal government in such circumstances are incorporated herein by reference. These clauses can generally be found in the Federal Transit Administration's Best Practices Procurement Manual – Appendix A. Any questions regarding the applicability of federal clauses to a particular bid should be directed to the Purchasing Department prior to bid opening.

16. In the event of a discrepancy between a unit price and an extended line item price, the unit price shall govern.
17. Should an audit of Contractor's invoices during the term of the Agreement, and any renewals thereof, indicate that the County has remitted payment on invoices that constitute an over-charging to the County above the pricing terms agreed to herein, the Contractor shall issue a refund check to the County for any over-charges within 30-days of being notified of the same.
18. **For all titled vehicles and equipment the dealer must use the actual delivery date to the County on all transfer documents** including the Certificate of Origin (COO,) Manufacturer's Statement of Origin (MSO,) Bill of Sale (BOS,) and Application for Title.
19. **Equipment and serial and model numbers** - The contractor is strongly encouraged to include equipment serial and model numbers for all amounts invoiced to the County. If equipment serial and model numbers are not provided on the face of the invoice, such information may be required by the County before issuing payment.

the unit of measure modification or to disqualify the bid for that line item if the unit of measure modification is not deemed appropriate or in the best interests of the County.

4. RESPONSE FORM

4.1. Company Name: Frost Supply

4.2. Address: 1711 PARIS ROAD

4.3. City/State/Zip: Columbia, Mo 65201

4.4. Phone Number: 573 875 4151

4.5. Facsimile Number: 573 875 4240

4.6. E-Mail Address: Craig.head@frostsupply.com

4.7. Federal Tax ID: 43-0367090

4.7.1. Corporation: _____

Partnership: _____

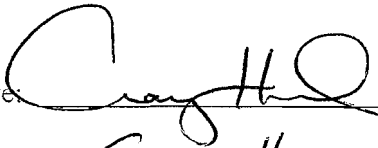
Individual/Proprietorship: _____

Other (Specify): _____

4.8. Pricing – Please price all items below and attach to this Response Form part numbers, product descriptions, and warranty information for the items priced. Refer to Section 2.3. for specifications.

Line	Item	Unit Price	Quantity	Extended Price
4.8.1.	Gas Pump Fixtures with 8" Pole Mounting Arm	\$459.25	6	\$2755.50
4.8.2.	Wall Packs	\$264.75	8	\$2118.00
4.8.3.	High Bay	\$430.25	3	\$1290.75
4.8.4.	Flag Pole Floods	\$154.75	2	\$309.50
4.8.5.	Building Floods	\$358.75	1	\$358.75
4.8.6.	TOTAL		20	\$6832.50

4.9. The undersigned offers to furnish and deliver the articles or services as specified at the prices and terms stated and in strict accordance with the specifications, instructions, and general conditions of bidding which have been read and understood and all of which are made a part of this response.

- 4.9.1. Signature of Authorized Representative: 
- 4.9.2. Printed Name of Authorized Representative: CRAIG HENS
- 4.9.3. Date of Signature: 11/16/15

Cheli Haley - RE: 73-20NOV15 - LED Light Fixtures

From: "Craig A. Head" <Craig.Head@frostsupply.com>
To: Cheli Haley <CHaley@boonecountymo.org>
Date: 12/8/2015 3:31 PM
Subject: RE: 73-20NOV15 - LED Light Fixtures

Frost Electric Supply Company shall deliver the entire order of LED Light Fixtures to Boone County Public Works Department, 5551 Tom Bass Road, Columbia, MO 65201 within 7-10 calendar days after the receipt of the Notice to Proceed.

Cheli, I put 7-10 days in part because you indicate calendar days, not normal business days and in part, depending on when you released the order, if we get into any of the upcoming holidays, this could be delayed. That said, I have checked with the factory on all items and there are plenty in stock at this current time. These will ship from Northvale, NJ and typically, that would take 4-5 business days. Let me know if this will suffice, if not, I will amend the statement above.

Thank you!

Craig

From: Cheli Haley [mailto:CHaley@boonecountymo.org]
Sent: Tuesday, December 08, 2015 3:10 PM
To: Craig A. Head
Subject: 73-20NOV15 - LED Light Fixtures

Mr. Head,
Can you please provide me with an answer to the following question:

Frost Electric Supply Company shall deliver the entire order of LED Light Fixtures to Boone County Public Works Department, 5551 Tom Bass Road, Columbia, MO 65201 within _____ calendar days after the receipt of the Notice to Proceed.

Thank you,

Cheli Haley,
Buyer
Boone County Purchasing
Annex Building
613 East Ash Street, Room 109
Columbia, MO 65201
Telephone: (573)886-4392
Facsimile: (573)886-4390
www.showmeboone.com
Email: chaley@boonecountymo.org

INSTRUCTIONS FOR COMPLIANCE WITH HOUSE BILL 1549

House Bill 1549 addresses the Department of Homeland Security's and the Social Security Administration's E-Verify Program (Employment Eligibility Verification Program) that requires the County to verify "lawful presence" of individuals when we contract for work/service; verify that contractor has programs to verify lawful presence of their employees when contracts exceed \$5,000; and a requirement for OSHA safety training for public works projects.

The County is required to obtain certification that the bidder awarded the attached contract participates in a federal work authorization program. To obtain additional information on the Department of Homeland Security's E-Verify program, go to: <http://www.uscis.gov/e-verify>

Please complete and return the *Work Authorization Certification Pursuant to 285.530 RSMo* form one the next page if your contract amount is in excess of \$5,000.00. **Attach to this form the first and last pages of the *E-Verify Memorandum of Understanding for Employers* that you complete when enrolling.**

COUNTY OF BOONE - MISSOURI

WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo

(For All Agreements In Excess Of \$5,000.00)

State of MISSOURI)
County of BOONE) ss

I, CRAIG HEAD, an authorized agent of FAUST ELECTRIC, state that this business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached to this Work Authorization Certification.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation, and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

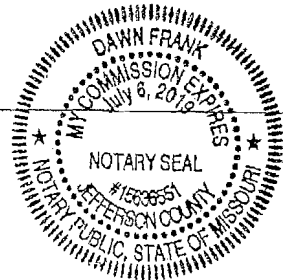
Craig Head
Signature

CRAIG HEAD
Print Name

Subscribed and sworn to before me this 17th day of November, 2015.

Notary Public

My Commission Expires: 7/6/2019

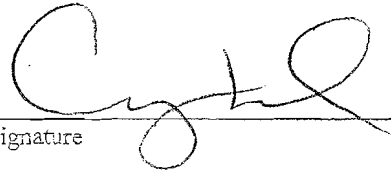


Attach to this form to the E-Verify Memorandum of Understanding for Employers that you completed when enrolling.

CERTIFICATION OF INDIVIDUAL BIDDER

Pursuant to Section 208.009 RSMo, any person applying for or receiving any grant, contract, loan, retirement, welfare, health benefit, post secondary education, scholarship, disability benefit, housing benefit, or food assistance who is over eighteen (18) years of age must verify their lawful presence in the United States. Please indicate compliance below. Note: A parent or guardian applying for a public benefit on behalf of a child who is citizen or permanent resident need not comply.

- I have provided a copy of documents showing citizenship or lawful presence in the United States. (Such proof may be a Missouri driver's license, U.S. passport, birth certificate, or immigration documents). Note: If the applicant is an alien, verification of lawful presence must occur prior to receiving a public benefit.
- I do not have the above documents, but provide an affidavit (copy attached) which may allow for temporary 90 day qualification.
- I have provided a completed application for a birth certificate pending in the State of _____ . Qualification shall terminate upon receipt of the birth certificate or determination that a birth certificate does not exist because I am not a United States citizen.



Signature

CRAIG HEAD

Printed Name

11/15/15

Date

MISSOURI

DRIVER LICENSE

Nia Reef



9 CLASS: F 4b EXP: 04/26/2021
4d DL NO: T980756430 3 DOB: [REDACTED]

1 HEAD
2 CRAIG ALAN

8 1234 WOODRIDGE LANE
MOBERLY, MO 65270

9a END: NONE

12 RESTRICTIONS: A

15 SEX: M

17 WGT: [REDACTED]

18 ISS: 04/24/2015

16 HGT: [REDACTED]

18 EYES: BRO

DONOR



Cole

5 DD: 150451140002

AFFIDAVIT

(Only Required for Certification of Individual Bidder - Option #2)

State of MISSOURI)
County of Brown) ss

I, CRAIG HEAD, the undersigned, being at least eighteen (18) years of age, swear upon my oath that I am either a United States citizen or am classified by the United States government as being lawfully admitted for permanent residence.

Craig Head
Signature

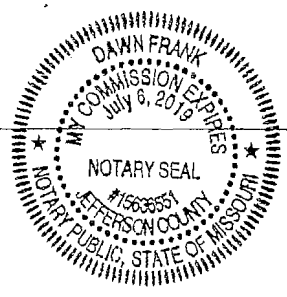
272 60 6467
Social Security Number or
Other Federal I.D. Number

CRAIG Head 11/15/15
Printed Name Date

On the date above written, Craig Head appeared before me and swore that the facts contained in the foregoing affidavit are true according to his/her best knowledge, information, and belief.

Notary Public

My Commission Expires: 7/16/2019



CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
INELIGIBILITY, AND VOLUNTARY EXCLUSION
LOWER TEIR COVERED TRANSACTIONS

(Please complete and return with Bid)

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98 Section 98.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988, Federal Register (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS FOR CERTIFICATION)

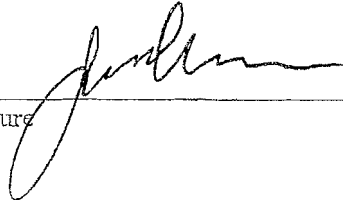
1. The Prospective recipient of Federal assistance funds certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.
2. Where the prospective recipient of Federal assistance funds is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

John MACARTHR

VICE President

Print Name and Title of Authorized Representative

Signature



Date

11/18/15



Boone County Purchasing
613 East Ash Street, Room 109
Columbia, MO 65201

REQUEST FOR BID

Cheli Haley, Buyer
Phone: (573)886-4392
Facsimile: (573)886-4390
chaley@boonecountymo.org

BID DATA

Bid Number: 73-20NOV15
Commodity Title: LED Light Fixtures

BID SUBMISSION

Submission Deadline: Friday, November 20, 2015 at 2:00 p.m. (*Bids received after this time will be returned unopened*)

Address: Boone County Purchasing Department, 613 East Ash Street, Room 109, Columbia, MO 65201 (*Located in the Boone County Annex at the corner of 7th and Ash Streets*)

BID OPENING

Opening: Friday, November 20, 2015 at 2:00 p.m.

Boone County Purchasing Department
613 East Ash Street, Conference Room
Columbia, MO 65201

BID CONTENTS

1. Introduction and General Conditions of Bidding
2. Primary Specifications
3. Response Presentation and Review
4. Response Form
 - Instructions and Work Authorization Certification
 - Certification of Individual Bidder and Affidavit
 - Certification Regarding Debarment
 - Standard Terms and Conditions
 - No Bid Response Form

1. INTRODUCTION AND GENERAL CONDITIONS OF BIDDING

1.1. Invitation - The County of Boone, through its Purchasing Department, invites responses that offer to provide the goods and/or services identified on the title page of the document and described in greater detail in Section 2.

1.2. Definitions -

1.2.1. County - This term refers to the County of Boone, a duly organized public entity. It may also be used as a pronoun for various subsets of the County organization including as the context will indicate:

1.2.1.1. Purchasing - The Purchasing Department, including its Purchasing Director and staff.

1.2.1.2. Department(s) or Office(s) - The County Department(s) or Office(s) for which this Bid is prepared, and which will be the end user(s) of the goods and/or services sought.

1.2.1.3. Designee - The County employee(s) assigned as your primary contact(s) for interaction regarding Contract performance.

1.2.2. Bidder / Contractor / Supplier - These terms refer generally to businesses having some sort of relationship to or with us. The term may apply differently to different classes of entities as the context will indicate.

1.2.2.1. Bidder - Any business entity submitting a response to this Bid. Suppliers, which may be invited to respond or which express interest in this bid, but which do not submit a response, have no obligations with respect to the bid requirements.

1.2.2.2. Contractor - The Bidder whose response to this bid is found by Purchasing to meet the best interests of the County. The Contractor will be selected for award and will enter into a Contract for provision of the goods and/or services described in the Bid.

1.2.2.3. Supplier - All business(s) entities which may provide the subject goods and/or services.

1.2.3. Bid - This entire document including attachments. A Bid may be used to solicit various kinds of information. The kind of information this Bid seeks is indicated by the title appearing at the top of the first page. A "Request for Bid" is used when the need is well defined. A "Request for Proposal" is used when the County will consider solutions, which may vary significantly from each other or from the County's initial expectations.

1.2.4. Response - The written, sealed document submitted according to the Bid instructions.

1.3. Bid Clarification - Questions regarding this Bid should be directed in writing, by e-mail or fax, to the Purchasing Department. Answers, citing the question asked, but not identifying the questioner, will be distributed simultaneously to all known prospective Bidders in the form of an addendum. We strongly suggest that you check for any addenda a minimum of forty eight (48) hours in advance of the bid deadline. Bids, addendums, bid tabulations, and bid awards are posted on our web site at: www.showmeboone.com. (*Note: written requirements in the Bid or its Amendments are binding, but any oral communications between County and Bidder are not.*)

1.3.1. Bidder Responsibility - The Bidder is expected to be thoroughly familiar with all specifications and requirements of this Bid. Bidder's failure or omission to examine any relevant form, article, site, or document will not relieve them from any obligation regarding this Bid. By submitting a Response, Bidder is presumed to concur with all terms, conditions and specifications of this Bid.

1.3.2. Bid Amendment - If it becomes evident that this Bid must be amended, the Purchasing Department will issue a formal written Amendment to all known prospective Bidders. If necessary, a new due date will be established.

1.4. Award - Award will be made to the Bidder(s) whose offer(s) provide the greatest value to the County from the standpoint of suitability to purpose, quality, service, previous experience,

price, lifecycle cost, ability to deliver, or for any other reason deemed by Purchasing to be in the best interest of the County. Thus, the result will not be determined by price alone. The County will be seeking the least costly outcome that meets the County needs as interpreted by the County. The County reserves the right to award this bid on an item by item basis, or an “all or none” basis, whichever is in the best interest of the County.

1.4.1. It is requested that bidders price all products listed on the Response Form or risk being excluded from award.

1.5. Contract Execution - This Bid and the Contractor’s Response will be made part of any resultant Contract and will be incorporated in the Contract as set forth, verbatim.

1.5.1. Precedence - In the event of contradictions or conflicts between the provisions of the documents comprising this Contract, they will be resolved by giving precedence in the following order:

- a) the provisions of the Contract (as it may be amended);
- b) the provisions of the Bid;
- c) the provisions of the Bidder’s Response.

1.6. Contract Documents - The successful bidder(s) shall be obligated to enter into a written contract with the County within thirty (30) days of award on contract forms provided by the County. If bidders desire to contract under their own written agreement, any such proposed agreement shall be submitted with their bid. County reserves the right to modify any proposed form agreement or withdraw its award to a successful bidder if any proposed agreement contains terms and conditions inconsistent with its bid or are unacceptable to county legal counsel.

1.7. Compliance with Standard Terms and Conditions - Bidder agrees to be bound by the County’s standard “boilerplate” terms and conditions for Contracts, a sample of which is attached to this Bid.

2. PRIMARY SPECIFICATIONS

2.1. Items to be Provided – Boone County, hereafter referred to as “County”, proposes to contract with an individual(s) or organization(s), hereinafter referred to as “Contractor” for the purchase of twenty (20) LED Light Fixtures located at Boone County Public Works, 5551 Tom Bass Road, Columbia, Missouri 65201.

2.2. Pricing - All prices shall be as indicated on the *Response Form*. The County shall not pay nor be liable for any other additional costs, including but not limited to, taxes, packing, handling, shipping and freight, insurance, interest, penalties, termination payments, attorney fees, liquidated damages, etc.

2.2.1. The LED Light Fixtures shall be delivered to Boone County Public Works, 5551 Tom Bass Road, Columbia, Missouri 65201. All prices shall be FOB Destination, freight prepaid and allowed. This shall mean that the contractor bears all insurance costs and responsibilities for transporting the items, and the cost of freight, delivery, shipping, etc. must be built into the unit cost of the item. The County shall not pay freight, etc. in addition to the price of the item.

2.2.2. All prices quoted must be prices for new merchandise, free from defects.

2.3. LED Light Fixtures – The fixtures listed in this section are examples of the fixtures needed. Please use the Response Form to price the RAB Lighting, Inc. products listed in this section or an equivalent product. Determination of equality is solely the responsibility of the County.

2.3.1. Gas Pump Fixtures: ALED3T150 with 8” Pole Mounting Arm; Type III Distribution; 100,000-Hour LED Lifespan; Watts: 150W; Efficacy: 81 LPW; Weight: 30.4 lbs; Color: Bronze; 5 Year Warranty

2.3.2. Wall Packs: SLIM62; Type: Constant Current; 100,000-Hour LED Lifespan; Watts: 62W; Efficacy: 78 LPW; Weight: 13.0 lbs; Color: Bronze; 5 Year Warranty.

2.3.3. High Bay: BAYLED104NW; Type: Constant Current; 100,000-Hour Lifespan; Watts: 104W; Efficacy: 84 LPW; Weight: 21.0 lbs; Color: White; 5 Year No Compromise Warranty.

2.3.4. Flag Pole Floods: HSLED13A; Type: Constant Current; 100,000-Hour Life based on LM-80 Tests; Watts: 13W; Efficacy: 52 LPW; Weight: 3.5 lbs; Color: Bronze; 5 Year LED Warranty.

2.3.5. Building Floods: FXLED78T; Type: Constant Current; 100,000-Hour Life based on LM-80 Tests; Watts: 78W; Efficacy: 97 LPW; Weight: 24.0 lbs; Color: Bronze; 5 Year Warranty.

2.4. Use of Contract: The resulting contract from this bid is for Boone County Public Work’s Department use. No guarantee of dollar volume of use is expressed or implied by acceptance of a firm’s bid.

2.5. Warranty: The Contractor shall furnish factory warranties on all parts furnished against defect in materials and/or workmanship. The factory warranty shall become effective on the date of acceptance by the County. Should any defect in materials or workmanship, except ordinary wear and tear, appear during the above stated warranty period, the Contractor shall repair or replace the same at no cost to the County.

2.6. Returns: Should the return of an item be necessary, the Contractor shall take back all merchandise returned in good condition for full credit. All merchandise returns will be at no additional charge to the County.

2.7. Designee: Boone County Public Works, Greg Edington, Assistant Manager of Road Maintenance Operations, 5551 Tom Bass Road, Columbia, Missouri 65201.

2.8. Payment: Contractor shall submit an invoice at project completion. All charges must be priced as listed on the bid response. No additional fees or taxes shall be charged. The County’s Purchase Order Number must appear on the invoice. The County agrees to pay the invoice within thirty (30) days from receipt of a correct invoice and all other required documents. A lump sum payment shall be made upon acceptance by Boone County.

2.8.1. Invoices should be submitted to Boone County Public Works Department for payment, which will be made thirty (30) days after receipt and acceptance of a correct and valid invoice. The billing address is Boone County Public Works, 5551 Tom Bass Road, Columbia, MO 65201.

3. RESPONSE PRESENTATION AND REVIEW

3.1. Response Content - In order to enable direct comparison of competing Responses, Bidders must submit their Response in strict conformity to the requirements stated herein. Failure to adhere to all requirements may result in your Response being disqualified as non-responsive. All Responses must be submitted using the provided Response Sheet. Every question must be answered and if not applicable, the section must contain “N/A” or “No Bid”. Manufacturer’s published specifications for the items requested shall be included with the response.

3.2. Submittal of Responses - Responses MUST be received by the date and time noted on the title page under “Bid Submission and Deadline.” NO EXCEPTIONS. We are not responsible for late or incorrect deliveries from the United States Postal Service or any other mail carrier.

3.2.1. Submittal Package: Submit, to the location specified on the title page, **one original and two (2) complete copies** of your Response in a single sealed envelope, clearly marked on the outside with your company name, return address, bid due date and time, and the bid number.

3.2.2. Advice of Award: When complete, a Bid Tabulation of responses received as well as Award status will be available for viewing on the Purchasing page of the Boone County website at www.showmeboone.com.

3.3. Bid Opening - On the date and time and at the location specified on the title page under “Bid Opening”, all Responses will be opened in public. Brief summary information from each will be read aloud.

3.3.1. Removal from Vendor Database: If any prospective Bidder currently in our Vendor Database to whom the Bid was sent elects not to submit a Response and fails to reply in writing stating reasons for not bidding, that Bidder’s name may be removed from our database. Other reasons for removal include unwillingness or inability to show financial responsibility, reported poor performance, unsatisfactory service, or repeated inability to meet delivery requirements.

3.4. Response Clarification - We reserve the right to request additional written or oral information from Bidders in order to obtain clarification of their Responses.

3.4.1. Rejection or Correction of Responses: We reserve the right to reject any or all Responses. Minor irregularities or informalities in any Response which are immaterial or inconsequential in nature, and are neither affected by law nor at substantial variance with Bid conditions, may be waived at our discretion whenever it is determined to be in the County’s best interest.

3.5. Evaluation Process - Our sole purpose in the evaluation process is to determine, from among the Responses received, which one is best suited to meet the County’s needs at the lowest possible cost. Any final analysis or weighted point score does not imply that one Bidder is superior to another, but simply that in our judgment the Contractor selected appears to offer the best overall solution for our current and anticipated needs at the lowest possible cost.

3.5.1. Method of Evaluation: We will evaluate submitted responses in relation to all aspects of this Bid.

3.5.2. Acceptability: We reserve the sole right to determine whether goods and/or services offered are acceptable for our use. We also reserve the right to request samples of any and/or all equivalent products bid in order to ensure comparative quality between those items and the name brand items specified on the Prior Experience form.

3.5.3. Discrepancy: In the event of a discrepancy between a unit price and an extended line item price, the unit price shall govern.

3.5.4. Endurance of Pricing: Your pricing must be held until contract execution or sixty (60) days, whichever comes first.

3.5.5. Cost Evaluation: In the cost evaluation, a unit price conversion will be done to fairly evaluate bid prices. However, for any resulting contract, the unit of measure bid will be the unit of measure awarded. The bidder is cautioned that the County reserves the right to clarify

the unit of measure modification or to disqualify the bid for that line item if the unit of measure modification is not deemed appropriate or in the best interests of the County.

4. RESPONSE FORM

4.1. Company Name: _____

4.2. Address: _____

4.3. City/State/Zip: _____

4.4. Phone Number: _____

4.5. Facsimile Number: _____

4.6. E-Mail Address: _____

4.7. Federal Tax ID: _____

4.7.1. Corporation: _____

Partnership: _____

Individual/Proprietorship: _____

Other (Specify): _____

4.8. Pricing – Please price all items below and attach to this Response Form part numbers, product descriptions, and warranty information for the items priced. Refer to Section 2.3. for specifications.

Line	Item	Unit Price	Quantity	Extended Price
4.8.1.	Gas Pump Fixtures with 8” Pole Mounting Arm	\$	6	\$
4.8.2.	Wall Packs	\$	8	\$
4.8.3.	High Bay	\$	3	\$
4.8.4.	Flag Pole Floods	\$	2	\$
4.8.5.	Building Floods	\$	1	\$
4.8.6.	TOTAL		20	\$

4.9. The undersigned offers to furnish and deliver the articles or services as specified at the prices and terms stated and in strict accordance with the specifications, instructions, and general conditions of bidding which have been read and understood and all of which are made a part of this response.

4.9.1. Signature of Authorized Representative: _____

4.9.2. Printed Name of Authorized Representative: _____

4.9.3. Date of Signature: _____

INSTRUCTIONS FOR COMPLIANCE WITH HOUSE BILL 1549

House Bill 1549 addresses the Department of Homeland Security's and the Social Security Administration's E-Verify Program (Employment Eligibility Verification Program) that requires the County to verify "lawful presence" of individuals when we contract for work/service; verify that contractor has programs to verify lawful presence of their employees when contracts exceed \$5,000; and a requirement for OSHA safety training for public works projects.

The County is required to obtain certification that the bidder awarded the attached contract participates in a federal work authorization program. To obtain additional information on the Department of Homeland Security's E-Verify program, go to: <http://www.uscis.gov/e-verify>

Please complete and return the *Work Authorization Certification Pursuant to 285.530 RSMo* form on the next page if your contract amount is in excess of \$5,000.00. **Attach to this form the first and last pages of the *E-Verify Memorandum of Understanding for Employers* that you complete when enrolling.**

COUNTY OF BOONE – MISSOURI

WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo

(For All Agreements In Excess Of \$5,000.00)

State of _____)
) SS
County of _____)

I, _____, an authorized agent of _____, state that this business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. **Documentation of participation in a federal work authorization program is attached to this Work Authorization Certification.**

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation, and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Signature

Print Name

Subscribed and sworn to before me this _____ day of _____, 2015.

Notary Public

My Commission Expires: _____

Attach to this form to the E-Verify Memorandum of Understanding for Employers that you completed when enrolling.

CERTIFICATION OF INDIVIDUAL BIDDER

Pursuant to Section 208.009 RSMo, any person applying for or receiving any grant, contract, loan, retirement, welfare, health benefit, post secondary education, scholarship, disability benefit, housing benefit, or food assistance who is over eighteen (18) years of age must verify their lawful presence in the United States. Please indicate compliance below. Note: A parent or guardian applying for a public benefit on behalf of a child who is citizen or permanent resident need not comply.

- I have provided a copy of documents showing citizenship or lawful presence in the United States. (Such proof may be a Missouri driver’s license, U.S. passport, birth certificate, or immigration documents). Note: If the applicant is an alien, verification of lawful presence must occur prior to receiving a public benefit.
- I do not have the above documents, but provide an affidavit (copy attached) which may allow for temporary 90 day qualification.
- I have provided a completed application for a birth certificate pending in the State of _____ . Qualification shall terminate upon receipt of the birth certificate or determination that a birth certificate does not exist because I am not a United States citizen.

Signature

Printed Name Date

AFFIDAVIT

(Only Required for Certification of Individual Bidder - Option #2)

State of _____)
) ss
County of _____)

I, _____, the undersigned, being at least eighteen (18) years of age, swear upon my oath that I am either a United States citizen or am classified by the United States government as being lawfully admitted for permanent residence.

Signature

Social Security Number or
Other Federal I.D. Number

Printed Name

Date

On the date above written, _____ appeared before me and swore that the facts contained in the foregoing affidavit are true according to his/her best knowledge, information, and belief.

Notary Public

My Commission Expires: _____

**CERTIFICATION REGARDING DEBARMENT, SUSPENSION,
INELIGIBILITY, AND VOLUNTARY EXCLUSION
LOWER TEIR COVERED TRANSACTIONS**

(Please complete and return with Bid)

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98 Section 98.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988, Federal Register (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS FOR CERTIFICATION)

1. The Prospective recipient of Federal assistance funds certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department of agency.
2. Where the prospective recipient of Federal assistance funds is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Print Name and Title of Authorized Representative

Signature

Date

**BOONE COUNTY, MISSOURI
STANDARD TERMS AND CONDITIONS**

1. Contractor shall comply with all applicable federal, state, and local laws and failure to do so, in County's sole discretion, shall give County the right to terminate this Contract.
2. Responses shall include all charges for packing, delivery, installation, etc., (unless otherwise specified) to the Boone County Department identified in the Request for Bid and/or Proposal.
3. The Boone County Commission has the right to accept or reject any part or parts of all bids, to waive technicalities, and to accept the offer the County Commission considers the most advantageous to the County. Boone County reserves the right to award this bid on an item-by-item basis, or an "all or none" basis, whichever is in the best interest of the County.
4. Bidders must use the bid forms provided for the purpose of submitting bids, must return the bid and bid sheets comprised in this bid, give the unit price, extended totals, and sign the bid. The Purchasing Director reserves the right, when only one bid has been received by the bid closing date, to delay the opening of bids to another date and time in order to revise specifications and/or establish further competition for the commodity or service required. The one (1) bid received will be retained unopened until the new Closing date, or at request of bidder, returned unopened for re-submittal at the new date and time of bid closing.
5. When products or materials of any particular producer or manufacturer are mentioned in our specifications, such products or materials are intended to be descriptive of type or quality and not restricted to those mentioned.
6. Do not include Federal Excise Tax or Sales and Use Taxes in bid process, as law exempts the County from them.
7. The delivery date shall be stated in definite terms, as it will be taken into consideration in awarding the bid.
8. The County Commission reserves the right to cancel all or any part of orders if delivery is not made or work is not started as guaranteed. In case of delay, the Contractor must notify the Purchasing Department.
9. In case of default by the Contractor, the County of Boone will procure the articles or services from other sources and hold the Bidder responsible for any excess cost occasioned thereby.
10. Failure to deliver as guaranteed may disqualify Bidder from future bidding.
11. Prices must be as stated in units of quantity specified, and must be firm. Bids qualified by escalator clauses may not be considered unless specified in the bid specifications.

12. No bid transmitted by fax machine or e-mail will be accepted.
13. The County of Boone, Missouri expressly denies responsibility for, or ownership of any item purchased until same is delivered to the County and is accepted by the County.
14. The County reserves the right to award to one or multiple respondents. The County also reserves the right to not award any item or group of items if the services can be obtained from a state or other governmental entities contract under more favorable terms.
15. The County, from time to time, uses federal grant funds for the procurement of goods and services. Accordingly, the provider of goods and/or services shall comply with federal laws, rules and regulations applicable to the funds used by the County for said procurement, and contract clauses required by the federal government in such circumstances are incorporated herein by reference. These clauses can generally be found in the Federal Transit Administration's Best Practices Procurement Manual – Appendix A. Any questions regarding the applicability of federal clauses to a particular bid should be directed to the Purchasing Department prior to bid opening.
16. In the event of a discrepancy between a unit price and an extended line item price, the unit price shall govern.
17. Should an audit of Contractor's invoices during the term of the Agreement, and any renewals thereof, indicate that the County has remitted payment on invoices that constitute an over-charging to the County above the pricing terms agreed to herein, the Contractor shall issue a refund check to the County for any over-charges within 30-days of being notified of the same.
18. **For all titled vehicles and equipment the dealer must use the actual delivery date to the County on all transfer documents** including the Certificate of Origin (COO,) Manufacturer's Statement of Origin (MSO,) Bill of Sale (BOS,) and Application for Title.
19. **Equipment and serial and model numbers** - The contractor is strongly encouraged to include equipment serial and model numbers for all amounts invoiced to the County. If equipment serial and model numbers are not provided on the face of the invoice, such information may be required by the County before issuing payment.



Boone County Purchasing
613 East Ash Street, Room 109
Columbia, MO 65201

NO BID RESPONSE FORM

Cheli Haley, Buyer
Phone: (573)886-4392
Facsimile: (573)886-4390
chaley@boonecountymo.org

BID INFORMATION

Bid Number: 73-20NOV15
Commodity Title: LED Light Fixtures

If you do not wish to respond to this bid request, but would like to remain on the Boone County vendor list **for this service/commodity**, please complete this form and return to the Purchasing Department by mail, fax, or email.

NOTE: COMPLETE AND RETURN THIS FORM ONLY IF YOU DO NOT WANT TO SUBMIT A BID.

Business Name: _____

Address: _____

Telephone: _____

Contact: _____

Date: _____

Reason(s) for not bidding:

CERTIFIED COPY OF ORDER

STATE OF MISSOURI

} ea.

January Session of the January Adjourned

Term. 20 16

County of Boone

In the County Commission of said county, on the

12th

day of

January

20 16

the following, among other proceedings, were had, viz:

Now on this day the County Commission of the County of Boone does hereby award bid 17-18MAY15 – Access to Services Program for the Children’s Services Fund to:

University of Missouri – Office of Sponsored Programs
Family Access Center for Excellence of The FACE of Boone County
Contract from date of award through December 31, 2018 with two, optional one-year renewals
\$4,189,743.00

The terms of the bid award are stipulated in the attached Agreement for Pilot Program. It is further ordered the Acting Presiding Commissioner is hereby authorized to sign said Agreement.

Done this 12th day of January, 2016.

ATTEST:

Wendy S. Noren
Wendy S. Noren
Clerk of the County Commission

Absent

Daniel K. Atwill
Presiding Commissioner

Karen M. Miller

Karen M. Miller
Acting Presiding Commissioner

Janet M. Thompson

Janet M. Thompson
District II Commissioner

17-2016

Boone County Purchasing

Melinda Bobbitt, CPPO, CPPB
Director of Purchasing



613 E. Ash St., Room 110
Columbia, MO 65201
Phone: (573) 886-4391
Fax: (573) 886-4390

MEMORANDUM

TO: Boone County Commission
FROM: Melinda Bobbitt, CPPO, CPPB
DATE: January 6, 2016
RE: RFP Award Recommendation: *17-18MAY15 – Access to Services Program for the Children's Services Fund*

Request for Proposal *17-18MAY15 – Access to Services Program for the Children's Services Fund* closed on May 18, 2015. Two proposal responses were received.

Recommendation for award is as follows:

University of Missouri – Office of Sponsored Programs
Family Access Center for Excellence of The FACE of Boone County
Contract from date of award through December 31, 2018 with two, optional one-year renewals
\$4,189,743.00

Attached are the review team's evaluation and score sheets.

Invoices will be paid from department 2161 – CCS Funding Opportunities, account 71106 – Contracted Services. Eight million was budgeted in 2015.

cc: Proposal File
Kelly Wallis, Joanne Nelson, Children's Services

**RFP Opening: 17-18MAY15 - "Access to Services" Program for the Boone
County Children's Services Fund**

OFFERORS
University of Missouri
Great Circle

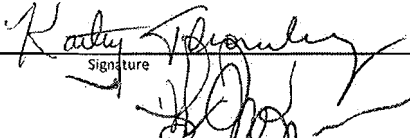
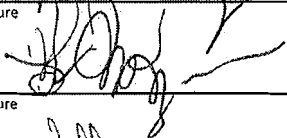
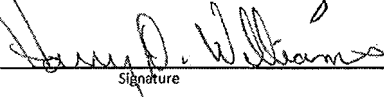
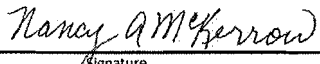

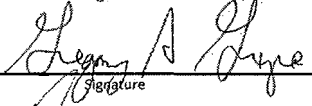
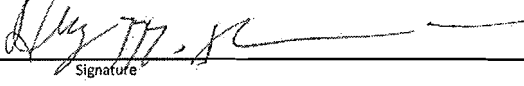
No Bids
Markertek Video Supply

17-18 (2019)

Boone County Children's Services Fund Access to Services Program - Overall Score Sheet		
Organization Name: Great Circle		
Program Name: The Access Center: Increasing Access to Behavioral Health Services in Boone County		
OVERALL SCORE SHEET		
	Total Possible Score	Group Score
Organizational Profile and Information	9.00	6.50
Background Information and Program Overview	12.00	8.00
Access to Services Program Concepts	21.00	8.50
Implementation	12.00	6.00
Evaluation	15.00	5.00
Budget	6.00	3.00
Sustainability	3.00	0.00
Overall Comments	9.00	3.00
Matching Funds	10.00	0.00
Collaboration	15.00	0.00
<i>Final Score:</i>	112.00	40.00

These documents, Group Score Sheet and Overall Score Sheet, are reflective of the scoring and evaluation process completed by the evaluators.

Signature of Evaluators

 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
Signature	Date
Signature	Date

**Boone County Children's Services Fund
Access to Services Program RFP**

Organization Name: Great Circle

Program Name: The Access Center: Increasing Access to Behavioral Health Services in Boone County

GROUP SCORE SHEET	
Organizational Profile and Information	
Mission and Goals (P = 3): Mission and goals stated are inclusive of proposed program.	2.00
Comments:	
History (P = 3): History of providing effective services.	2.50
Comments:	
Board Members(P = 3): Qualified board members. Local representation on the board.	2.00
Comments: Governing board only had one person from Boone County member. Advisory Board does have local representation.	
	Score
Total Group Score for Organizational Profile and Information (P = 9):	6.50
Background Information and Program Overview	
Statement of Issue Being Addressed (P = 3): Issue being addressed is clearly stated: issue is identified and documented using reliable and valid data; affected population identified and described, including characteristics and demographics. Description of how Boone County is affected by the issue(s) to be addressed is clear and demonstrates the organization has an accurate understanding of Boone County needs.	2.00
Comments:	
Target Population (P = 3): Population to be served by the program and the reason(s) why they will be served are clearly described; detailed information about trends and issues affecting the population is included. The Target Population to be served is the intended target population to be served as described in the Access to Service Program RFP.	2.00
Comments: The response didn't provide additional analysis outside of the RFP.	

Description of Program Service(s) (P = 3): Detailed overview of the program is provided including description of the specific activities; proposed program addresses the identified issue and is responsive to the Access to Services Program RFP.	2.00
Comments: The response seemed vague and appeared to have a very narrow focus on trauma.	
Description of Program Service(s) Need (P = 3): Reason(s) why the proposed program is needed in the community is clearly stated and is responsive to the Access to Services Program RFP; If an activity is provided by other organizations, the reason(s) why the proposed program service is not duplicative is explained.	2.00
Comments: The response took a narrow perspective, focusing on families and children in crisis. Prevention services didn't seem to be addressed. Didn't seem county-wide. Kept referring to Dr. David Shrumm, an Associate Professor of Human Development and Family Science and the University of Missouri Extension, but were you referring to Dr. David Schramm?	
Score	
Total Group Score for Background Information and Program Overview (P = 12):	8.00
Access to Services Program Concepts	
Program Administration/Representation (P = 3): Board representation includes a wide representation of the community as outlined in the RFP. Description of how program will coordinate with law enforcement and juvenile office is provided.	1.00
Comments: No specific information given on coordination with law enforcement or the juvenile office.	
Program Administration/Memorandums of Understanding/Intergovernmental Agreement (P = 3): Memorandums of Understanding/Intergovernmental Agreements are included and are relevant.	1.00
Comments: Very vague response	
Program Administration/Multi-Funding (P = 3): Multi-funding opportunities are available.	1.00
Comments: Very vague response	
Target Population (P = 3): Narrative focuses on children, youth 19 years of age or less, and their families. Program concept focuses on prevention and intervention and is responsive to the Access to Services Program RFP.	1.50
Comments: Inadequate focus on primary prevention	
Informed Choice Access (P = 3): Clear description of non-conflicted assessment and referral, detailed explanation of "More Right Doors" - how referrals will be accepted from all community stakeholders. Description of informed choice access is responsive to the Access to Services Program RFP.	1.00
Comments: The Board concerned there was a possible conflicted relationship with limited referral sources.	

Integrated Information Management System (P = 3): Clear description of consent procedures to be used to enable information sharing. Clear description of how data system will be used to allow for ongoing monitoring of consumers and to detect newly trending problems. Clear description of how program will be evaluated for effectiveness, success of service usage patterns and to address gaps in services. The integrated management system description is responsive to the Access to Services Program RFP.	1.00
Comments: No specific information given on database system.	
Terms of Participation (P = 3): Details provided regarding consumers involvement in the program and their ability to make choices with their care. Description of how program will coordinate with law enforcement and juvenile office is provided.	2.00
Comments:	
	Score
Total Group Score for Access to Services Program Concepts (P = 21):	8.50
Implementation	
Timeline (P = 3): Appropriate implementation timeline established with key steps in the implementation process outlined in the proposal.	1.00
Comments: This seems like a very slow start to roll out this program.	
Funding (P = 3): Detailed information on funds utilized in the implementation process. Funds to be utilized are reasonable for program implementation.	2.00
Comments: Excessive length of time to get things moving.	
Collaboration (P = 3): Community outreach and relationship building to implement the program, shared vision developed, common outcomes developed along with roles and responsibilities for each organization.	1.00
Comments: Very limited collaboration with just a few organizations. Letters of support all seemed the same.	
Input-Clinical Expertise (P = 3): Capacity to deliver proposed program, qualified, competent staff positions appropriate for the work outlined in the proposal.	2.00
Comments:	
	Score
Total Group Score for Implementation (P = 12):	6.00

Evaluation	
Service/Activity (P = 3): Each service offered to the consumer thoroughly described and relevant to the issues to be addressed by this program.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Output (P = 3): Number of persons to be served and amount of service/activity to be provided are sufficient to be impactful.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Outcomes (P = 3): Description of beneficial change and timeline are adequate for the proposal.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Indicators (P = 3): Programs measurable level of success is reasonable.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Method of Measurement (P = 3): Reason why the proposed instrument(s) and techniques are utilized to measure the program's success are clearly detailed and justified. Description why these measuring tools and instruments are validated is provided.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
	Score
Total Group Score for Evaluation (P = 15):	5.00
Budget	
Project Budget (P = 3): Project Budget is accurate, complete, and reasonable.	1.00
Comments: Only gave budget for one year on a five year proposal. Board concerned about what type of financial commitment that would mean in the following years.	
Funding Justification (P = 3): Level of funding requested is clearly justified and reasonable.	2.00
Comments: Telehealth was intriguing to the Board.	
	Score
Total Group Score for Budget (P = 6):	3.00
Sustainability	
Sustainability (P = 3): Sustainability plan provides reasonable assurance of viability of the program beyond being solely funded by the Children's Services Fund.	0.00
Comments: No budget given for years 2-5.	

	Score
Total Group Score for Sustainability (P = 3):	0.00
Overall Comments	
Clarity and Accuracy of Proposal (P = 3): Information submitted is clear, accurate, concise, and written in correct style.	1.00
Comments: Proposal response was not very concise.	
Responsiveness (P = 3): The proposal reflected a clear understanding of the purpose of establishing an Access to Services Program and the proposed program will accomplish the goals of the Boone County Community Services Board.	1.00
Comments: The proposal lacks prevention emphasis.	
Quality (P = 3): Use of best practices and/or evidence based strategies; qualifications and training of the staff; meaningful implementation and use of customer feedback.	1.00
Comments:	
	Score
Total Group Score for Overall Comments (P = 9):	3.00
Matching Funds (P = 0-10 Extra Points)	
Degree to which the project proposal response describes other funding sources for the procurement of services to maximize the ability to reach and serve children, youth, and families in Boone County. Please review the entire proposal and specifically the Budget Narrative before scoring. Some questions to consider: Does the matching funds involve a partnership with another funding source? Do the matching funds create a greater amount of resources to serve children, youth, and families?	
	Score
Total Group Score for Matching Funds (P = 10):	0.00
Comments:	
Collaboration (P = 0-15 Extra Points)	
Degree to which the project is coordinated with existing community resources. Organizations may be awarded extra points based on how well they demonstrate substantive and ongoing collaboration with other agencies. Some questions to consider: Does the collaboration go beyond a referral system? Does the collaboration have the potential to enhance consumer outcomes that without the collaboration would not likely occur?	
	Score
Total Group Consensus for Collaboration (P = 15):	0.00
Comments: Very limited in perspective partner within a closed conflicted system.	

**Boone County Children's Services Fund
Access to Services Program - Consensus Scores**

Organization's Name: Great Circle

Program Name: The Access Center: Increasing Access to Behavioral Health Services in Boone County

Organizational Profile and Information

	Consensus Score
Mission and Goals: Mission and goals stated are inclusive of proposed program.	2.00
Comments:	
History: History of providing effective services.	2.50
Comments:	
Board Members: Qualified board members. Local stakeholder representation on the board.	2.00
Comments: Governing board only had one person from Boone County member. Advisory Board does have local representation.	

Background Information and Program Overview

	Consensus Score
Statement of Issue Being Addressed: Issue being addressed is clearly stated; issue is identified and documented using reliable and valid data; affected population identified and described, including characteristics and demographics. Description of how Boone County is affected by the issue(s) to be addressed is clear and demonstrates the organization has an accurate understanding of Boone County needs.	2.00
Comments:	
Target Population: Population to be served by the program and the reason(s) why they will be served are clearly described; detailed information about trends and issues affecting the population is included. The Target Population to be served is the intended target population to be served by the Access to Service Program RFP.	2.00
Comments: The response didn't provide additional analysis outside of the RFP.	

Description of Program Service(s): Detailed overview of the program is provided including description of the specific activities; proposed program addresses the identified issue and is responsive to the Access to Services Program RFP.	2.00
Comments: The response seemed vague and appeared to have a very narrow focus on trauma.	
Description of Program Service(s) Need: Reason(s) why the proposed program is needed in the community is clearly stated and is responsive to the Access to Services Program RFP; If an activity is provided by other organizations, the reason(s) why the proposed program service is not duplicative is explained.	2.00
Comments: The response took a narrow perspective, focusing on families and children in crisis. Prevention services didn't seem to be addressed. Didn't seem county-wide. Kept referring to Dr. David Shrumm, an Associate Professor of Human Development and Family Science and the University of Missouri Extension, but were you referring to Dr. David Schramm?	
Access to Services Program Concepts	
	Consensus Score
Program Administration/Representation: Board representation includes a wide representation of the community as outlined in the RFP. Description of how program will coordinate with law enforcement and juvenile office is provided.	1.00
Comments: No specific information given on coordination with law enforcement or the juvenile office.	
Program Administration/Memorandums of Understanding/Intergovernmental Agreements: Memorandums of Understanding/Intergovernmental Agreements are included and are relevant.	1.00
Comments: Very vague response	
Program Administration/Multi-Funding: Multi-funding opportunities are available.	1.00
Comments: Very vague response	
Target Population(s): Narrative focuses on children, youth 19 years of age or less, and their families. Program concept focuses on prevention and intervention and is responsive to the Access to Services Program RFP.	1.50
Comments: Inadequate focus on primary prevention	
Informed Choice Access: Clear description of non-conflicted assessment and referral, detailed explanation of "More Right Doors" - how referrals will be accepted from all community stakeholders. Description of informed choice access is responsive to the Access to Services Program RFP.	1.00
Comments: The Board concerned there was a possible conflicted relationship with limited referral sources.	

<p>Integrated Information Management System: Clear description of consent procedures to be used to enable information sharing. Clear description of how data system will be used to allow for ongoing monitoring of consumers and to detect newly trending problems. Clear description of how program will be evaluated for effectiveness, success of service usage patterns and to address gaps in services. The integrated management system description is responsive to the Access to Services Program RFP.</p>	1.00
<p>Comments: No specific information given on database system.</p>	
<p>Terms of Participation: Details provided regarding consumers involvement in the program and their ability to make choices with their care. Description of how program will coordinate with law enforcement and juvenile office is provided.</p>	2.00
<p>Comments:</p>	
<p>Implementation</p>	
	<p>Consensus Score</p>
<p>Timeline: Appropriate implementation timeline established with key steps in the implementation process outlined in the proposal.</p>	1.00
<p>Comments: This seems like a very slow start to roll out this program.</p>	
<p>Funding: Detailed information on funds utilized in the implementation process. Funds to be utilized are reasonable for program implementation.</p>	2.00
<p>Comments: Excessive length of time to get things moving.</p>	
<p>Collaboration: Community outreach and relationship building to implement the program, shared vision developed, common outcomes developed along with roles and responsibilities for each organization.</p>	1.00
<p>Comments: Very limited collaboration with just a few organizations. Letters of support all seemed the same.</p>	
<p>Input-Clinical Expertise: Capacity to deliver proposed program, qualified, competent staff positions appropriate for the work outlined in the proposal.</p>	2.00
<p>Comments:</p>	
<p>Evaluation</p>	
	<p>Consensus Score</p>
<p>Service/Activity: Each service offered to the consumer thoroughly described and relevant to the issues to be addressed by this program.</p>	1.00

Comments: Descriptions seemed vague and lacked specifics.	
Output: Number of persons to be served and amount of service/activity to be provided are sufficient to be impactful.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Outcomes: Description of beneficial change and timeline are adequate for the proposal.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Indicators: Programs measurable level of success is reasonable.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Method of Measurement: Reason why the proposed instrument(s) and techniques are utilized to measure the program's success are clearly detailed and justified. Description why these measuring tools and instruments are validated is provided.	1.00
Comments: Descriptions seemed vague and lacked specifics.	
Budget	
	Consensus Score
Project Budget: Project Budget is accurate, complete, and reasonable.	1.00
Comments: Only gave budget for one year on a five year proposal. Board concerned about what type of financial commitment that would mean in the following years.	
Funding Justification: Level of funding requested is clearly justified and reasonable.	2.00
Comments: Telehealth was intriguing to the Board.	
Sustainability	
	Consensus Score
Sustainability plan provides reasonable assurance of viability of the program beyond being solely funded by the Children's Services Fund.	0.00
Comments: No budget given for years 2-5.	


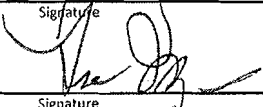
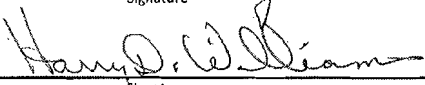


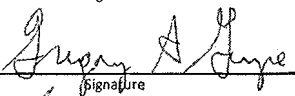
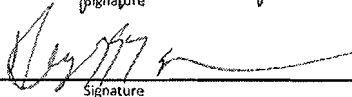
Overall Comments	
	Consensus Score
<p>Clarity and Accuracy of Proposal: Information submitted is clear, accurate, concise, and written in correct style.</p>	1.00
<p>Comments: Proposal response was not very concise.</p>	
<p>Responsiveness: The proposal reflected a clear understanding of the purpose of establishing an Access to Services Program and the proposed program will accomplish the goals of the Boone County Community Services Board.</p>	1.00
<p>Comments: The proposal lacks prevention emphasis.</p>	
<p>Quality: Use of best practices and/or evidence based strategies; qualifications and training of the staff; meaningful implementation and use of customer feedback.</p>	1.00
<p>Comments:</p>	
Matching Funds (0 - 10 extra points available)	
	Consensus Score
<p>Degree to which the project proposal response describes other funding sources for the procurement of services to maximize the ability to reach and serve children, youth, and families in Boone County? Please review the entire proposal before scoring. Some questions to consider: Do the matching funds involve a partnership with another funding source? Do the matching funds create a greater amount of resources to serve children, youth and families? Matching funds are available for extra points (0 - 10 pts) After reviewing the proposal please decide how many extra points (if any) the proposal is awarded.</p>	0.00
<p>Matching Funds Comments:</p>	
Collaboration (0 - 15 extra points available)	
	Consensus Score
<p>Degree to which the project is coordinated with existing community resources. Organizations may be awarded extra points based on how well they demonstrate substantive and ongoing collaboration with other agencies. Some questions to consider: Does the collaboration go beyond a referral system? Does the collaboration have the potential to enhance consumer outcomes that without the collaboration would not likely occur? Collaboration is available for extra points (0 - 15 pts) After reviewing the proposal please decide how many extra points (if any) the proposal is awarded.</p>	0.00
<p>Collaboration Comments:</p>	
<p>Very limited in perspective partner within a closed conflicted system.</p>	

17-18/2015

Boone County Children's Services Fund Access to Services Program - Overall Score Sheet		
Organization Name: The Curators of the University of Missouri		
Program Name: Family Access Center for Excellence		
OVERALL SCORE SHEET		
	Total Possible Score	Group Score
Organizational Profile and Information	9.00	9.00
Background Information and Program Overview	12.00	12.00
Access to Services Program Concepts	21.00	19.50
Implementation	12.00	12.00
Evaluation	15.00	14.00
Budget	6.00	6.00
Sustainability	3.00	2.50
Overall Comments	9.00	9.00
Matching Funds	10.00	10.00
Collaboration	15.00	12.00
Final Score:	112.00	106.00

These documents, Group Score Sheet and Overall Score Sheet, are reflective of the scoring and evaluation process completed by the evaluators.

Signature of Evaluators

 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
 Signature	Date
Signature	Date
Signature	Date

**Boone County Children's Services Fund
Access to Services Program RFP**

Organization Name: The Curators of the University of Missouri

Program Name: Family Access Center for Excellence

GROUP SCORE SHEET	
Organizational Profile and Information	
3.00	Mission and Goals (P = 3): Mission and goals stated are inclusive of proposed program.
Comments:	
3.00	History (P = 3): History of providing effective services.
Comments: Scored this section based on the University of Missouri history.	
3.00	Board Members(P = 3): Qualified board members. Local representation on the board.
Comments:	
Score	Total Group Score for Organizational Profile and Information (P = 9):
9.00	
Background Information and Program Overview	
3.00	Statement of Issue Being Addressed (P = 3): Issue being addressed is clearly stated; issue is identified and documented using reliable and valid data; affected population identified and described, including characteristics and demographics. Description of how Boone County is affected by the issue(s) to be addressed is clear and demonstrates the organization has an accurate understanding of Boone County needs.
Comments:	
3.00	Target Population (P = 3): Population to be served by the program and the reason(s) why they will be served are clearly described; detailed information about trends and issues affecting the population is included. The Target Population to be served is the intended target population to be served as described in the Access to Service Program RFP.
Comments:	

Description of Program Service(s) (P = 3): Detailed overview of the program is provided including description of the specific activities; proposed program addresses the identified issue and is responsive to the Access to Services Program RFP.	3.00
Comments:	
Description of Program Service(s) Need (P = 3): Reason(s) why the proposed program is needed in the community is clearly stated and is responsive to the Access to Services Program RFP; If an activity is provided by other organizations, the reason(s) why the proposed program service is not duplicative is explained.	3.00
Comments:	
	Score
Total Group Score for Background Information and Program Overview (P = 12):	12.00
Access to Services Program Concepts	
Program Administration/Representation (P = 3): Board representation includes a wide representation of the community as outlined in the RFP. Description of how program will coordinate with law enforcement and juvenile office is provided.	3.00
Comments:	
Program Administration/Memorandums of Understanding/Intergovernmental Agreement (P = 3): Memorandums of Understanding/Intergovernmental Agreements are included and are relevant.	2.00
Comments: MOUs or letters of support lacking from providers of services, good letters of support from potential board members	
Program Administration/Multi-Funding (P = 3): Multi-funding opportunities are available.	3.00
Comments:	
Target Population (P = 3): Narrative focuses on children, youth 19 years of age or less, and their families. Program concept focuses on prevention and intervention and is responsive to the Access to Services Program RFP.	3.00
Comments: Would like to have more information on early childhood. Need screening in all domains.	
Informed Choice Access (P = 3): Clear description of non-conflicted assessment and referral, detailed explanation of "More Right Doors" - how referrals will be accepted from all community stakeholders. Description of informed choice access is responsive to the Access to Services Program RFP.	3.00
Comments:	

<p>Integrated Information Management System (P = 3): Clear description of consent procedures to be used to enable information sharing. Clear description of how data system will be used to allow for ongoing monitoring of consumers and to detect newly trending problems. Clear description of how program will be evaluated for effectiveness, success of service usage patterns and to address gaps in services. The integrated management system description is responsive to the Access to Services Program RFP.</p>	<p>3.00</p>
<p>Comments:</p>	
<p>Terms of Participation (P = 3): Details provided regarding consumers involvement in the program and their ability to make choices with their care. Description of how program will coordinate with law enforcement and juvenile office is provided.</p>	<p>2.50</p>
<p>Comments:</p>	
<p>Score</p>	
<p>Total Group Score for Access to Services Program Concepts (P = 21):</p>	
<p>19.50</p>	
<p>Implementation</p>	
<p>Timeline (P = 3): Appropriate implementation timeline established with key steps in the implementation process outlined in the proposal.</p>	<p>3.00</p>
<p>Comments:</p>	
<p>Funding (P = 3): Detailed information on funds utilized in the implementation process. Funds to be utilized are reasonable for program implementation.</p>	<p>3.00</p>
<p>Comments:</p>	
<p>Collaboration (P = 3): Community outreach and relationship building to implement the program, shared vision developed, common outcomes developed along with roles and responsibilities for each organization.</p>	<p>3.00</p>
<p>Comments:</p>	
<p>Input-Clinical Expertise (P = 3): Capacity to deliver proposed program, qualified, competent staff positions appropriate for the work outlined in the proposal.</p>	<p>3.00</p>
<p>Comments:</p>	
<p>Score</p>	
<p>Total Group Score for Implementation (P = 12):</p>	
<p>12.00</p>	

Evaluation	
Service/Activity (P = 3): Each service offered to the consumer thoroughly described and relevant to the issues to be addressed by this program.	3.00
Comments:	
Output (P = 3): Number of persons to be served and amount of service/activity to be provided are sufficient to be impactful.	2.00
Comments:	
Outcomes (P = 3): Description of beneficial change and timeline are adequate for the proposal.	3.00
Comments:	
Indicators (P = 3): Programs measurable level of success is reasonable.	3.00
Comments:	
Method of Measurement (P = 3): Reason why the proposed instrument(s) and techniques are utilized to measure the program's success are clearly detailed and justified. Description why these measuring tools and instruments are validated is provided.	3.00
Comments:	
	Score
Total Group Score for Evaluation (P = 15):	14.00
Budget	
Project Budget (P = 3): Project Budget is accurate, complete, and reasonable.	3.00
Comments: The proposal mentions that no one will be turned away due to financial issues. How will this be addressed in the budget and with service providers?	
Funding Justification (P = 3): Level of funding requested is clearly justified and reasonable.	3.00
Comments:	
	Score
Total Group Score for Budget (P = 6):	6.00
Sustainability	
Sustainability (P = 3): Sustainability plan provides reasonable assurance of viability of the program beyond being solely funded by the Children's Services Fund.	2.50
Comments:	

	Score
Total Group Score for Sustainability (P = 3):	2.50
Overall Comments	
Clarity and Accuracy of Proposal (P = 3): Information submitted is clear, accurate, concise, and written in correct style.	3.00
Comments: Very well written proposal	
Responsiveness (P = 3): The proposal reflected a clear understanding of the purpose of establishing an Access to Services Program and the proposed program will accomplish the goals of the Boone County Community Services Board.	3.00
Comments:	
Quality (P = 3): Use of best practices and/or evidence based strategies; qualifications and training of the staff; meaningful implementation and use of customer feedback.	3.00
Comments:	
	Score
Total Group Score for Overall Comments (P = 9):	9.00
Matching Funds (P = 0-10 Extra Points)	
Degree to which the project proposal response describes other funding sources for the procurement of services to maximize the ability to reach and serve children, youth, and families in Boone County. Please review the entire proposal and specifically the Budget Narrative before scoring. Some questions to consider: Does the matching funds involve a partnership with another funding source? Do the matching funds create a greater amount of resources to serve children, youth, and families?	
	Score
Total Group Score for Matching Funds (P = 10):	10.00
Comments: Potential for matching funds appears high, UMC is providing in-kind matching funds, and the renovations on the building are positive.	
Collaboration (P = 0-15 Extra Points)	
Degree to which the project is coordinated with existing community resources. Organizations may be awarded extra points based on how well they demonstrate substantive and ongoing collaboration with other agencies. Some questions to consider: Does the collaboration go beyond a referral system? Does the collaboration have the potential to enhance consumer outcomes that without the collaboration would not likely occur?	
	Score
Total Group Consensus for Collaboration (P = 15):	12.00
Comments: Excellent except outreach to providers.	

**Boone County Children's Services Fund
Access to Services Program - Consensus Scores**

Organization's Name: The Curators of the University of Missouri

Program Name: Family Access Center for Excellence

Organizational Profile and Information

	Consensus Score
Mission and Goals: Mission and goals stated are inclusive of proposed program.	3.00
Comments:	
History: History of providing effective services.	3.00
Comments: Scored this section based on the University of Missouri history.	
Board Members: Qualified board members, Local stakeholder representation on the board.	3.00
Comments:	

Background Information and Program Overview

	Consensus Score
Statement of Issue Being Addressed: Issue being addressed is clearly stated; issue is identified and documented using reliable and valid data; affected population identified and described, including characteristics and demographics. Description of how Boone County is affected by the issue(s) to be addressed is clear and demonstrates the organization has an accurate understanding of Boone County needs.	3.00
Comments:	
Target Population: Population to be served by the program and the reason(s) why they will be served are clearly described; detailed information about trends and issues affecting the population is included. The Target Population to be served is the intended target population to be served by the Access to Service Program RFP.	3.00
Comments:	

Description of Program Service(s): Detailed overview of the program is provided including description of the specific activities; proposed program addresses the identified issue and is responsive to the Access to Services Program RFP.	3.00
Comments:	
Description of Program Service(s) Need: Reason(s) why the proposed program is needed in the community is clearly stated and is responsive to the Access to Services Program RFP; If an activity is provided by other organizations, the reason(s) why the proposed program service is not duplicative is explained.	3.00
Comments:	
Access to Services Program Concepts	
	Consensus Score
Program Administration/Representation: Board representation includes a wide representation of the community as outlined in the RFP. Description of how program will coordinate with law enforcement and juvenile office is provided.	3.00
Comments:	
Program Administration/Memorandums of Understanding/Intergovernmental Agreements: Memorandums of Understanding/Intergovernmental Agreements are included and are relevant.	2.00
Comments: MOUs or letters of support lacking from providers of services, good letters of support from potential board members	
Program Administration/Multi-Funding: Multi-funding opportunities are available.	3.00
Comments:	
Target Population(s): Narrative focuses on children, youth 19 years of age or less, and their families. Program concept focuses on prevention and intervention and is responsive to the Access to Services Program RFP.	3.00
Comments: Would like to have more information on early childhood. Need screening in all domains.	
Informed Choice Access: Clear description of non-conflicted assessment and referral, detailed explanation of "More Right Doors" - how referrals will be accepted from all community stakeholders. Description of informed choice access is responsive to the Access to Services Program RFP.	3.00
Comments:	

Integrated Information Management System: Clear description of consent procedures to be used to enable information sharing. Clear description of how data system will be used to allow for ongoing monitoring of consumers and to detect newly trending problems. Clear description of how program will be evaluated for effectiveness, success of service usage patterns and to address gaps in services. The integrated management system description is responsive to the Access to Services Program RFP.	3.00
Comments:	
Terms of Participation: Details provided regarding consumers involvement in the program and their ability to make choices with their care. Description of how program will coordinate with law enforcement and juvenile office is provided.	2.50
Comments:	
Implementation	
	Consensus Score
Timeline: Appropriate implementation timeline established with key steps in the implementation process outlined in the proposal.	3.00
Comments:	
Funding: Detailed information on funds utilized in the implementation process. Funds to be utilized are reasonable for program implementation.	3.00
Comments:	
Collaboration: Community outreach and relationship building to implement the program, shared vision developed, common outcomes developed along with roles and responsibilities for each organization.	3.00
Comments:	
Input-Clinical Expertise: Capacity to deliver proposed program, qualified, competent staff positions appropriate for the work outlined in the proposal.	3.00
Comments:	
Evaluation	
	Consensus Score
Service/Activity: Each service offered to the consumer thoroughly described and relevant to the issues to be addressed by this program.	3.00

Comments:	
Output: Number of persons to be served and amount of service/activity to be provided are sufficient to be impactful.	2.00
Comments:	
Outcomes: Description of beneficial change and timeline are adequate for the proposal.	3.00
Comments:	
Indicators: Programs measurable level of success is reasonable.	3.00
Comments:	
Method of Measurement: Reason why the proposed instrument(s) and techniques are utilized to measure the program's success are clearly detailed and justified. Description why these measuring tools and instruments are validated is provided.	3.00
Comments:	
Budget	
	Consensus Score
Project Budget: Project Budget is accurate, complete, and reasonable.	3.00
Comments: The proposal mentions that no one will be turned away due to financial issues. How will this be addressed in the budget and with service providers?	
Funding Justification: Level of funding requested is clearly justified and reasonable.	3.00
Comments:	
Sustainability	
	Consensus Score
Sustainability plan provides reasonable assurance of viability of the program beyond being solely funded by the Children's Services Fund.	2.50
Comments:	

Overall Comments	
	Consensus Score
Clarity and Accuracy of Proposal: Information submitted is clear, accurate, concise, and written in correct style.	3.00
Comments: Very well written proposal	
Responsiveness: The proposal reflected a clear understanding of the purpose of establishing an Access to Services Program and the proposed program will accomplish the goals of the Boone County Community Services Board.	3.00
Comments:	
Quality: Use of best practices and/or evidence based strategies; qualifications and training of the staff; meaningful implementation and use of customer feedback.	3.00
Comments:	
Matching Funds (0 - 10 extra points available)	
	Consensus Score
Degree to which the project proposal response describes other funding sources for the procurement of services to maximize the ability to reach and serve children, youth, and families in Boone County? Please review the entire proposal before scoring. Some questions to consider: Do the matching funds involve a partnership with another funding source? Do the matching funds create a greater amount of resources to serve children, youth and families? Matching funds are available for extra points (0 - 10 pts) After reviewing the proposal please decide how many extra points (if any) the proposal is awarded.	10.00
Matching Funds Comments: Potential for matching funds appears high, UMC is providing in-kind matching funds, and the renovations on the building are positive.	
Collaboration (0 - 15 extra points available)	
	Consensus Score
Degree to which the project is coordinated with existing community resources. Organizations may be awarded extra points based on how well they demonstrate substantive and ongoing collaboration with other agencies. Some questions to consider: Does the collaboration go beyond a referral system? Does the collaboration have the potential to enhance consumer outcomes that without the collaboration would not likely occur? Collaboration is available for extra points (0 - 15 pts) After reviewing the proposal please decide how many extra points (if any) the proposal is awarded.	12.00
Collaboration Comments: Excellent except outreach to providers.	



AGREEMENT FOR PILOT PROGRAM
Family Access Center for Excellence or The FACE of Boone County

THIS AGREEMENT dated the 12th day of January, 2016 is made between Boone County, Missouri, a political subdivision of the State of Missouri through the Boone County Commission, on behalf of the Boone County Children's Services Board, herein called "BCCSB", and **The Curators of the University of Missouri (on behalf of Aaron Thompson Ph.D., Wendy Reinke Ph.D., Keith Herman Ph.D., and Kristin Hawley Ph.D.)** a tax-exempt, not organized for profit organization or governmental entity, hereinafter referred to as "**FACE**".

WHEREAS, the BCCSB, under the provisions of 67.1775 and 210.861 of the Revised Statutes of Missouri, has the right to expend monies from the Children's Services Fund (CSF) for the purposes of funding services to children and youth 19 years of age and younger, and their families residing in Boone County; and

WHEREAS, the FACE has submitted a complete Request for Funding Proposal Application to the BCCSB detailing the services and other supports to be provided along with the expected cost to FACE thereof; and

WHEREAS, the BCCSB has approved the Request for Funding Proposal in whole or in part as hereinafter set forth,

IN CONSIDERATION of the parties performance of the respective obligations contained herein, the parties agree as follows:

FUNDING ALLOCATION FOR SERVICES RENDERED BY FACE

FACE is expected to the greatest extent possible to maximize funding from all other sources. FACE shall periodically, upon request, furnish to the BCCSB information as to its efforts to obtain such other sources of funding. FACE shall only request reimbursement for services not reimbursable by any other source. FACE shall provide documentation and assurance to the BCCSB that requests for reimbursement from the Children's Services Fund is not a duplication of reimbursement from any other source of funding.

1. **BCCSB Funding Policy.** The BCCSB Funding Policy is to be taken as part of this formal contract and is incorporated as if fully set forth herein. FACE will perform the services and carry out the activities as set forth in the Request for Funding Proposal Application. FACE agrees to, and understands that services performed under this agreement are limited to the Request for Funding Proposal Application.

2. **Contract Documents.** This agreement shall consist of the Request for Proposal #17-18MAY15 (Access to Services Program) and FACE's response to the County of Boone's Request for Proposal, Requests for Clarification, responses to Requests for Clarification, Requests for Additional Information, and Best and Final Offer Responses. All such documents shall constitute the contract documents, which are attached hereto and incorporated herein for reference. In the event of conflict between any of the foregoing documents, the terms, conditions, provisions, and requirements contained in this Agreement shall prevail and control over the FACE's Proposal, Request for Clarification, responses to Requests for Clarification, Requests for Additional Information, and Best and Final Offer Responses.

3. **Purchase.** The BCCSB agrees to purchase from the FACE and the FACE agrees to furnish **Family Access Center for Excellence** services for children and youth nineteen years of age or less and their families, as described and in compliance with the original Request for Proposal and as presented in the FACE's response. Services/deliverables shall be provided as outlined in the attached proposal response(s). The total allowable compensation under this agreement shall not exceed **\$4,189,743** unless compensation for specific identified additional services is authorized and approved by BCCSB in writing in advance of rendition of such services for which additional compensation is requested.

4. **Contract Duration.** This agreement shall commence on the date of contract execution and extend through December 31, 2018 subject to the provisions for termination specified below. This contract may at the sole discretion of the BCCSB and with the agreement of FACE be renewed for an additional two (2) one-year periods. FACE agrees and understands that the BCCSB may require supplemental information to be submitted by FACE prior to any renewal of this agreement.

5. **Billing and Payment.** For Request for Proposal #17-18MAY15 (Access to Services Program) Contract, the payments for FACE will be made on a reimbursement basis. Invoices shall be submitted to the County by the 20th of the month following the month for which services were provided. The County agrees to pay all monthly invoices within 30 days of receipt of a correct and valid invoice and upon approval of any report due. In the event of a billing dispute, the BCCSB reserves the right to withhold payment on the disputed amount; in the event the billing dispute is resolved in favor of the FACE, the BCCSB agrees to pay interest at a

rate of 9% per annum on disputed amounts withheld commencing from the last date that payment was due.

6. **Availability of Funds.** Payments under this contract are dependent upon the availability of funds or as otherwise determined by the BCCSB. This contract can be terminated if funding becomes unavailable in whole or in part for cause shown, and the BCCSB shall have no obligation to continue payment.

REPORTING, MONITORING, AND MODIFICATION

7. **Reporting.** The BCCSB shall utilize the Request for Funding Proposal Application and the Requests for Clarification, responses to Requests for Clarification, Requests for Additional Information, and Best and Final Offer Response, as submitted by FACE to monitor service delivery and program expenditures. FACE agrees to submit to the BCCSB an Interim and Year-end report for each calendar year of the contract. Interim reports shall cover the period of January 1st through June 30th and will be due on the last day of July of the corresponding year. Year-end reports shall cover the entire calendar year and will be due on the last day of January of the following calendar year. Variations on these dates may be requested by FACE and, if so stipulated, are noted on this contract document. Payments may be withheld from FACE if reports designated here are not submitted on time, until such time as the reports are filed and approved. Reporting requirements will include but are not limited to information regarding organization's outcomes and indicators, outputs, client demographic information, program efficacy, and other information and data deemed appropriate by the BCCSB. FACE agrees to submit its reports through the Apricot by CTK® funding management system (Apricot System) or another format if requested.

8. **Audits.** FACE also agrees to make available to the BCCSB a copy of its annual audit. The audit must be performed by an independent individual or firm licensed by the Missouri State Board of Accountancy. In addition, the BCCSB requires that the management report of any audit as it relates to BCCSB program activities be made available to BCCSB as part of the required audit. Payment may be withheld from FACE, if reports designated here are not made available upon request.

9. **Monitoring.** FACE agrees to permit the BCCSB, the Director of the Community Services Department and any staff of the Community Services Department, or designee of the BCCSB to monitor, survey and inspect FACE's services, activities, programs and client records, to determine compliance and performance with this contract, except as prohibited by laws protecting client confidentiality. In addition, FACE hereby agrees that, upon notice of forty-eight (48) hours, it will make available to the BCCSB or its designee(s) all records, facilities and personnel, for auditing, inspection, and interviewing, to determine the status of service,

activities and programs covered hereunder, expenditure of CSF funds and all other matters set forth in the contract.

10. **Modification or Amendment.** In the event FACE requests to make any change, modification, or an amendment to funded services, one-time items, activities and/or programs covered by this contract, a request of the proposed modification or amendment must be submitted in writing to the Director of Community Services to share with the BCCSB for approval. A board resolution from FACE may be required with the request. For consideration of a request to modify or amend the contract, requests to the BCCSB must be submitted in writing at least two weeks prior to a regularly scheduled BCCSB meeting.

OTHER TERMS OF THIS CONTRACT

11. **Violation of Client Rights.** Any alleged case of a violation of a client's rights in a program funded through the Children's Services Fund shall be investigated in accordance with FACE's policies and procedures and in accordance with any local/state/federal regulations. FACE agrees to notify the BCCSB through the Director of Community Services of any such incidents that have been reported to the appropriate governmental body and must also authorize the governmental body to notify the BCCSB of any substantiated allegations. FACE must comply with Missouri law regarding confidentiality of client records.

12. **Discrimination.** FACE will refrain from discrimination on the basis of race, color, religion, sex, national origin, ancestry, disability, age, sexual orientation, genetic information, and familial status and comply with applicable provisions of federal and state laws, county or municipal statutes or ordinances, which prohibit discrimination in employment and the delivery of services.

13. **Children's Services Funds to be used for Services Provided.** FACE agrees that the CSF funds shall be used exclusively for the services provided to children and youth 19 years of age or less and their families and for administrative costs directly related to FACE's provision of such services.

14. **Accreditation/Licensure/Certifications.** All organizations must comply with all state/federal certification and licensing requirements and all applicable federal, state, and local laws and must remain in "good standing" with the applicable oversight entity.

15. **Conflict of Interest.** FACE agrees that any conflicts of interest between its Board of Representatives or the University of Missouri Board of Curators and/or employees and FACE shall be appropriately identified and managed.

16. **Subcontracts.** FACE may enter into subcontracts for components of the contracted service as FACE deems necessary within the terms of the contract. All such subcontracts require the written approval of the BCCSB or their designated representative. In performing all services under the resulting contract agreement, the FACE shall comply with all local, state, and federal laws. Any subcontractor shall be subject to the audit/monitoring requirements stated herein and all other conditions and requirements of this contract agreement.

17. **Employment of Unauthorized Aliens Prohibited.** FACE agrees to comply with Missouri State Statute section 285.530. FACE also agrees that they shall not knowingly employ, hire for employment, or continue to employ an unauthorized alien to perform work within the state of Missouri. FACE shall require each subcontractor to affirmatively state in its Agreement with the FACE that the subcontractor shall not knowingly employ, hire for employment or continue to employ an unauthorized alien to perform work within the state of Missouri.

18. **Litigation.** FACE agrees that there is no litigation, claim, consent order, settlement agreement, investigation, challenge or other proceeding pending or threatened against FACE or any individual acting on the FACE's behalf, including subcontractors, which seek to enjoin or prohibit FACE from entering into this contract agreement of performing its obligations under this agreement.

19. **Board Ownership.** If FACE ceases to be funded by the BCCSB or ceases to provide programs and services for the Boone County children, youth and their families, pursuant to this contract, all capital equipment, materials, and buildings purchased with CSF Funds shall be returned to Boone County unless so otherwise approved by a majority vote of the BCCSB. In addition, if FACE no longer uses capital equipment, materials, or buildings purchased with CSF Funds for its original intent, FACE will need BCCSB approval to re-direct the use of such.

20. **FACE Program Administration/Policy and Practice Development/Research.** The parties agree that the success of the FACE program will require the creation of a "no wrong door" referral system for eligible families that is conflict-free and empowers families with consumer choice. In order to create such a program, the parties agree to the following provisions relating to the administration of this new program:

a. The administration of the FACE program will be overseen by a Board of Representatives that includes representation from law enforcement, schools, city, county, and state government offices, the juvenile office, judiciary and any other non-conflicted entity deemed appropriate for effective oversight. The mission of the Board of Representatives shall be to oversee the FACE program and evaluate the program's effectiveness in the following deliverables: the effective development of a conflict-free referral system that facilitates fully-informed, consumer choice; the coordination of public entities involved with Boone County

children; the coordination of all available funding streams; the maximization of public resources; and the overall effectiveness of the program. The BCCSB will collaborate and consider potential board member nominations from FACE. The BCCSB shall appoint the members of the FACE Board of Representatives to an appropriate term, with the initial Board to have staggered terms of one-year, two years, and three-years. Vacancies shall be reported to the Chair of the BCCSB who may fill the vacancy for the departing Board member's unexpired term.

b. The parties contemplate that the BCCSB will be entering into contracts with state agencies, including the Missouri Department of Mental Health and the Missouri Department of Social Services, that authorize the cooperative procurement of defined, professional services at favorable, set rates. These contracts will provide for eligibility criteria for the use of the contract. The parties agree that FACE will make use of said contracts in order to maximize the provider network available for referrals, as well as maximize the use of public funds, by determining the eligibility of particular families and children to use said contracts for needed professional services. FACE will assist in the administration of this effort.

c. The parties contemplate that this program will result in the development of policies, practices, procedures, protocols, forms, etc., that effectuate the purposes of the program. All of these materials shall remain in the public domain and the property of the BCCSB, and FACE shall facilitate an orderly indexing and transition of these materials to BCCSB at the time of contract termination. The intent of the parties is to develop new procedures and practices that may be used to inform other, similar entities throughout Missouri, and the public funding of this pilot program is intended, in part, to create the public sharing of the best-practices developed through this effort. This clause shall not be construed, however, as preventing FACE from using these materials for research by the University of Missouri in publications or other contexts.

d. FACE agrees to design and implement quality assurance measures that can be reported to the BCCSB about the activities taken and outcomes achieved by the FACE program in the furtherance of its mission.

21. Failure to Perform/Default. In the event FACE, at anytime, fails or refuses to perform according to the terms of this contract, as determined by the BCCSB, such failure or refusal shall constitute a default hereunder, and the BCCSB will be relieved of any further obligation to make payments to FACE as set out herein. This contract will be terminated at the option of the BCCSB.

22. Termination. This Contract may be terminated, with or without cause, by either party upon thirty (30) days written notice to the other party. In addition, this agreement may

be terminated by the BCCSB upon 15 days advance written notice for any of the following reasons or under any of the following circumstances:

a. BCCSB may terminate this agreement due to material breach of any term or condition of this agreement, or

b. BCCSB may terminate this agreement if key personnel providing services are changed such that in the opinion of the BCCSB delivery of services are or will be delayed or impaired, or if services are otherwise not in conformity with proposal specification, or if services are deficient in quality in the sole judgment of BCCSB, or

c. BCCSB may terminate this agreement should the FACE fail substantially to perform in accordance with its terms through no fault of the party initiating the termination, or

d. If appropriations are not made available and budgeted for any calendar year to fund this agreement.

Upon receipt of notice of termination, the FACE shall make every effort to reduce or cancel outstanding commitments and shall incur no additional expenses. BCCSB shall reimburse the FACE for outstanding expenses incurred up to the date of termination, including uncancellable obligations and reasonable termination costs, but in no event will such costs exceed the total funds presently allocated to this Contract.

23. Indemnification. To the extent permitted under Missouri law and without waving sovereign immunity, FACE agrees to hold harmless, defend and indemnify the BCCSB, the County, its directors, agents, and employees from and against all claims arising by reason of any act or failure to act, negligent or otherwise, of FACE, (meaning anyone, including but not limited to consultants having a contract with the FACE or subcontractor for part of the services), or anyone directly or indirectly employed by FACE, or of anyone for whose acts FACE may be liable in connection with providing these services. This provision does not, however, require FACE to indemnify, hold harmless, or defend the County of Boone from its negligence.

24. Publicity by the Organization. FACE shall notify the BCCSB of contact with the media regarding CSF funded programs or profiles of participants in CSF funded programs. FACE will acknowledge BCCSB as a funding source whenever publicizing CSF funded programs. FACE will collaborate with the BCCSB to inform the community about the ways its tax dollars are being invested in services and supports. FACE agrees to acknowledge the Children's Services Fund as a funding source on all written and electronic publications including brochures, letterhead, annual reports and newsletters.

17-2016

25. **Independence.** This contract does not create a partnership, joint venture or any other form of joint relationship between the BCCSB and FACE. The BCCSB does not recognize any of the FACE's employees, agents or volunteers as those of the BCCSB.

26. **Binding Effect.** This agreement shall be binding upon the parties hereto and their successors and assigns for so long as this agreement remains in full force and effect.

27. **Entire Agreement.** This agreement constitutes the entire agreement between the parties and supersedes any prior negotiations, written or verbal, and other proposal or contractual agreement. This agreement may only be amended by a signed writing executed with the same formality as this agreement.

27. **Record Retention Clause.** FACE shall keep and maintain all records relating to this contract agreement sufficient to verify the delivery of services in accordance with the terms of this agreement for a period of three (3) years following expiration of this agreement and any applicable renewal.

28. **Notice.** Any written notice or communication to the BCCSB shall be mailed or delivered to:

Boone County Community Services
605 E. Walnut, Ste. A
Columbia, MO 65201

Any written notice or communication to the FACE shall be mailed or delivered to:

The Curators of the University of Missouri
c/o Office of Sponsored Programs, University of Missouri
Attn: Karen Geren
115 Business Loop 70W
Mizzou North, Room 501
Columbia, MO 65211-0001

17-2016

IN WITNESS WHEREOF the parties through their duly authorized representatives have executed this agreement on the day and year first above written.

**The Curators of the University of Missouri
(on behalf of Aaron Thompson Ph.D.,
Wendy Reinke Ph.D., Keith Herman Ph.D.,
and Kristin Hawley Ph.D.)**

Boone County, Missouri

By: Boone County Commission

By: Karen M. Geren
Signature 1/6/2016

Karen M. Miller
~~Daniel K. Atwill, Presiding Commissioner~~
KAREN M. MILLER, ACTING PRESIDING COMMISSIONER

By: Karen M. Geren, Authorized Signer, OSPA
Printed Name/ Title MU Project #00050937

By: Boone County Children's Services Board

By: Robert W. Schwartz

Les Wagner
Les Wagner, Board Chair

Robert W. Schwartz, Ph.D., Interim Vice President
for Academic Affairs, Research and Economic Development

APPROVED AS TO FORM:

ATTEST:

[Signature]
County Counselor

Wendy S. Noren
Wendy S. Noren, County Clerk

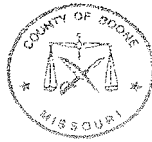
AUDITOR CERTIFICATION: In accordance with RSMo. §50.660, I hereby certify that a sufficient unencumbered appropriation balance exists and is available to satisfy the obligation(s) arising from this contract. (Note: Certification of this contract is not required if the terms of this contract do not create a measurable County obligation at this time.)

Jane Pittford by jjj 01/16/2016
Signature Date

(2161/71106/\$4,189,743)
Appropriation Account

An Affirmative Action/Equal Opportunity Employer

Boone County Purchasing



Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

613 E. Ash Street, Room 110
Columbia, MO 65201
Phone: (573) 886-4391
Fax: (573) 886-4390
E-mail: mbobbitt@boonecountymo.org

May 28, 2015

Megan White
University of Missouri / Office of Sponsored Programs Administration
115 Business Loop 70 W. / Mizzou North / Room 501
Columbia, MO 65211-8330

E-mail: W

RE: Clarification to 17-18MAY15 – Access to Services Program for the Boone County
Children's Services Fund

Dear Ms. White:

This letter shall constitute an official request by the County of Boone - Missouri to enter into competitive negotiations with the University of Missouri.

The Boone County Children's Services Board has designated a sub-committee to meet with you to discuss the attached list of questions. The intent of this meeting is to open a dialogue to discuss any of your concerns and help you to understand the complexity of the questions. This meeting will take place:

Date: June 4
Time: 2:00 – 4:00 p.m.
Location: Boone County Annex Building
Conference Room
613 E. Ash Street
Columbia, MO 65201

Following this initial meeting, you are requested to respond to the questions in writing by e-mail to mbobbitt@boonecountymo.org.

The attached Clarification Form includes any changes being made to the RFP as a result of clarification request. The Form must be completed, signed by an authorized representative of your organization, and returned with your detailed Clarification response.

As a result of this Request for Clarification, you may now change, add information, and/or modify any part of your proposal. Please understand that your response to this request may be your final opportunity to ensure that all mandatory requirements of the RFP have been met and all RFP requirements are adequately described since all areas of the proposal are subject to evaluation.

You are reminded that pursuant to Section 610.021 RSMo, proposal documents including any best and final offer documents are considered closed records and shall not be divulged in any manner until after a contract is executed or all proposals are rejected. Furthermore, you and your agents (including subcontractors, employees, consultants, or anyone else acting on their behalf) must direct all questions or comments regarding the RFP, the evaluation, etc., to the buyer of record. Neither you nor your agents may contact any other County employee or evaluation committee member regarding any of these matters during the negotiation and evaluation process outside of the scheduled negotiation meetings. Inappropriate contacts or release of information about your proposal response are grounds for suspension and/or exclusion from specific procurements.

If you have any questions regarding this Clarification request, please call (573) 886-4391 or e-mail info@boonecountymo.org. I sincerely appreciate your efforts in working with Boone County - Missouri to ensure a thorough evaluation of your proposal.

Sincerely,



Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

cc: Evaluation Team
Proposal File

Attachments: Clarification Form

BOONE COUNTY - MISSOURI

PROPOSAL NUMBER AND DESCRIPTION: *17-18MAY15 - Access to Services Programs for the Boone County Children's Services Fund*

CLARIFICATION FORM #1

This Clarification is issued in accordance with the Instructions to Offeror and is hereby incorporated into and made a part of the Request for Proposal Documents. Offeror is reminded that receipt of this Clarification must be acknowledged and submitted by e-mail to mhobbit@boonecountymo.org.

I. CLARIFICATION – please provide a response to the following requests.

1. Discuss how you plan to eliminate the financial barriers for individuals that are under and un-insured?
2. How will FACE address whether a provider is determined to be evidence-based?
3. How will you manage implementation of evidence-based programs? What are the criteria/appeals process?
4. Develop a dialogue with provider community and explore collaboration opportunities and inform the Board.
5. Would like to have more information on early childhood. Need screening in all domains.
6. Need clarification on extended hours on Friday and Saturday.
7. Expand on how information will be shared about families/children with providers?

In compliance with this request, the Offeror agrees to furnish the services requested and proposed and certifies he/she has read, understands, and agrees to all terms, conditions, and requirements of the RFP and this clarification request and is authorized to contract on behalf of the firm. Note: This form must be signed. All signatures must be original and not photocopies.

Company Name: UNIVERSITY OF MISSOURI - SCHOOL OF SOCIAL WORK

Address: 729 CLARK HALL
COLUMBIA, MO 65211

Telephone: 573-882-0124 Fax: 573-882-8926

Federal Tax ID (or Social Security #): 43-6003859

Print Name: AARON THOMPSON Title: ASSISTANT PROFESSOR

Signature: Aaron Thompson (PP) Date: 7/1/15

E-mail: THOMPSON AA@MISSOURI.EDU

CLARIFICATION #1 - 07/01/15

Please provide a response to the following requests.

1. Discuss how you plan to eliminate the financial barriers for individuals that are under and un-insured?

We anticipate many Boone County families who come into contact with FACE will be under or uninsured. As such, we propose two avenues to assist Boone County families to access needed social, emotional and behavioral health services. First, FACE will assist families who may qualify to access existing entitlement funding to purchase services where appropriate. Second, for families who do not meet criteria for participation in entitlement benefits, FACE will manage a system to pay for such supports so that families may access needed services.

First, to inform families of payment options associated with accessing entitlement services (e.g., Medicaid, Medicare, Social Security or other programs), FACE and the Columbia/Boone County Department of Public Health and Human Services propose to share a full time social worker with expertise in entitlement enrollment and billing for families who are under or uninsured (see letter in Appendix C of this doc). This professional's sole responsibilities will be to assist Boone County families, and FACE personnel (1) to determine what existing payment options may be available and assist them with the documentation process to enroll in such services if eligible, and (2) to complete all applications and documentation required to procure reimbursements via entitlement programs or insurance plans once services are rendered.

Second, for families who are under or uninsured and who do not qualify for entitlement support programs, to pay for the acquisition of such services, FACE will develop an authorization process by which providers in the community who serve youth and family can recoup payment for services by billing the Boone County Services funds on a monthly basis at an agreed upon unit cost. Agencies will only receive funds from Boone County Children Services fund if (a) FACE authorizes payment, (b) no other options for payment were available, and (c) services were rendered as detailed in the treatment plan.

Currently, we have no reliable data on exactly how many families are under or uninsured in Boone County. However, by tracking these data on an annual basis, FACE will develop a system by which we can accurately estimate of the number of youth and families who are under and uninsured. Once these estimates are procured, other funding options (i.e., federal, state, private grants) can be pursued to help finance services for these youth.

2. How will FACE address whether a provider is determined to be evidence-based?

We want to be clear and state that providing access to services and training providers in evidence-based practices are proposed as fully separate but related activities. The FACE will not exclude referrals to any existing service provider in the community. Specifically, we propose that FACE clinicians will conduct evidence-based assessments that are child focused and family systems based—and the data from these referrals will drive the development of a treatment plan that indicates current levels of functioning and includes measurable, time-bound goals for the youth and family. Based on this assessment and the treatment goals, we propose to provide

families with a list of community providers who offer services relevant to each of the family's goals.

The term evidence-based refers to mental health services, not providers themselves. Services may have a solid evidence base to support their effectiveness for particular populations (e.g., child age, specific diagnoses, treatment goals). More specifically, services can be considered evidence-based to the extent that they (a) align with the established peer-reviewed body of evidence and/or (b) have local evidence indicating that they successfully treat or prevent particular conditions or problems. There are several national groups that regularly review programs and practices to determine if they meet minimal acceptable standards of evidence (e.g., <http://effectivechildtherapy.org/> administered and supported by the Society for Clinical Child and Adolescent Psychology; <http://www.nrepp.samhsa.gov/> administered and supported by SAMHSA). For instance, there is widespread consensus and solid scientific evidence that parent behavior management training is an effective treatment for early childhood conduct problems, and minimal to no evidence in support of individual child counseling without environmental management for this problem and age group. Thus, in addition to a list of providers who accept their insurance or funding source, and are willing to work with youths of that age and problem presentation, families would also receive information regarding the specific types of services each provider indicates that they provide and information about which types of services have supportive research evidence for their particular concerns.

In a similar vein, FACE will not police providers or restrict their ability to provide whatever care they and their clients deem most appropriate. Rather, in an effort to build an informed community and bolster the number of professionals who provide known evidence-based intervention, we propose to offer free volunteer ongoing education and support to any Boone County clinician who may be interested in learning more about research supported services or in actually implementing research supported services in their practice or agency. To increase the motivation of providers to attend free voluntary trainings, we also propose to offer incentives for attending workshop-based training, for participating in follow-up coaching and supervision, and for engaging in ongoing client progress monitoring to continually evaluate the effects of services on a case-by-case basis. Such incentives are designed to offset the personal cost of missed work or missed personal time for the majority of providers who are not in agencies or practices that pay them for their ongoing training. Through the wide array of training, consultation, feedback and support activities supported by the funded PILOT (to the Center for Evidence-Based Youth Mental Health) and the expansion of training activities proposed as part of FACE, we will have the necessary resources to train and support all interested Boone County youth MH providers.

In sum, we aim to support client capacity to make informed choices AND support providers who want to learn and implement research supported intervention practices. The goal is that, over time, the standard of practice in Boone County will continue to progress toward more and more providers autonomously engaging in strategies to provide high-quality, evidence-based services and actively participating in the evaluation of those services. In this manner, and in the spirit of our proposal, we hope that FACE can help Boone County become a model for other communities, counties and states of a true learning community that relies on data to drive decisional systems, target community problems in a responsive manner, and monitor the success of those efforts in a collaborative manner. However, none of the training or support activities

will be mandatory for providers, nor will we prevent families from seeking whatever services they decide are most appropriate for them. The connection between access to services and building the capacity of our community to provide quality services is the true innovation of this proposed project and we feel it is essential to its success.

3. How will you manage implementation of evidence-based programs? What are the criteria/appeals process?

As noted above, FACE will not approve or deny, or in any way restrict, provider’s ability to provide the standard of care or types of services they and their client deem is appropriate. Free voluntary trainings offered through FACE on evidence-based interventions is solely and completely focused on building a community of knowledgeable providers to increase the capacity for high quality mental health services in our community. We hope that this project will build something special that has not been developed elsewhere--a model program that is supportive of youth mental health and supportive of training interested practitioners in the provision of high quality services. There are no criteria or appeals process for referral because any provider interested in receiving a referral from FACE will be on the referral list. Again, we hope that over time, the standard of care will improve in Boone County such that more and more providers will be implementing high-quality, evidence-based services, and actively evaluating the outcomes of those services. In this manner, the support services offered by FACE (i.e., Therapy Tracker) can assist local providers to develop and test their own novel services, or their adaptation of specific evidence-based programs, using reliable and relevant data showing improvements in valued youth and family outcomes.

4. Develop a dialogue with provider community and explore collaboration opportunities and inform the Board.

An anonymous survey was sent to approximately 70 agencies and providers of social services in Boone County—within a week, we received 52 responses. Respondents described their current employment settings as summarized below in Table 1.

Table 1
Types of Services Provided By Survey Respondents

Services Provided By Agency	N (%)*
Private Practice	11 (20.8)
Outpatient Clinic/Community Mental Health	18 (34.0)
Managed Care (HMO, PPO, etc.)	1 (1.9)
School Based Mental Health Providers	4 (7.5)
College/University Provider	14 (26.4)
Day Treatment/Partial Hospital Program	1 (1.9)
Inpatient Treatment	5 (9.4)
Correctional	1 (1.9)
Other	12 (22.6)

Notes: * Totals exceed 100% as some providers offer multiple services.

Using a “Yes” or “No” and sometimes “I don’t know” response format, we asked a set of questions to gauge the 52 community service agencies’ and providers’ interest in (1) receiving referrals from an Access Center; (2) working with an Access Center to develop methods to share important data on services and outcomes to help inform future efforts to address any gaps in available community services; and (3) receiving free training in evidence-based interventions. We present our findings organized by each these three aims.

Are you interested in receiving referrals from an Access Center?

- 44 (86.2%) indicated they are interested in receiving referrals while only 7 (13.8%) said they would not be interested in receiving referrals from an Access Center.

Would you work with an Access Center to develop methods to share important data on services and outcomes to help address gaps in services?

- 24 (45.3%) indicated they would be willing to share intake assessment processes and data while 26 (49.1%) were not sure if their agency would do this (comments suggested the reason for being unsure often was that such a decision would be made by an agency not the individual). Only 2 said they would not share processes or data.
- 31 (58.5%) said they would be willing to enter into discussions on ways to improve timely access to services for families referred from an Access Center while 17 (32.1%) did not know (again because these discussions would be made by the agency not individual). Only 4 (7.5%) said no they would not work to improve timely access to services for families referred by an Access Center.
- 33 (62.3%) said they would be willing to enter into discussions about participating in an ongoing community needs assessment while 19 (34%) did not know. Only 2 (3.8%) indicated they were not interested in participating in discussion for developing an ongoing community needs assessment.

Would you be interested in receiving training in evidence-based mental health interventions?

- 44 (83%) stated they were interested in accessing such training through an Access Center while only 9 (17%) stated they were not interested in such trainings.
- When probed about barriers preventing them from attending such trainings, respondents indicated that:
 - None (n = 4)
 - Time off/schedule/workload was a barrier (n = 25)
 - Relevance of training topic (n = 2)
- 41 (77.4%) indicated that they were interested in ongoing support whereas 11 (20.8%) were not interested.
- 33 (62.3%) indicated that they would be more likely to attend and participate in follow-up coaching sessions if provided a modest incentive (\$250) while 19 (35.8%) indicated the incentive would not increase their likelihood of attending or participating in coaching.
- 35 (66%) indicated they would be interested in receiving a certificate of completion from trainings and coaching in evidence-based practice while 17 (32.1%) said they were not interested.
- When asked to offer comments regarding interest in participating in free and voluntary trainings in evidence-based practices through an Access Center, 36% of providers responded. Their verbatim responses are provided in Appendix A of this document—but to summarize—nearly all responses indicated that having trainings offered through the

Access Center was, in general, a “good,” “excellent,” “awesome,” or otherwise “needed” idea. A few responses indicated that an Access Center would help prevent “duplication” or improve “access” to services and several providers were excited at the prospect of being provided with trainings, CEUs and ongoing professional development through an Access Center.

- When asked to offer open ended comments regarding their thoughts on developing a collaborative relationship with a community Access Center—62% of providers responded—and nearly all in a positive manner stating support for moving the “idea forward,” pleased with an increased focus on the “family unit” and general support for the concept. Only one negative case is noted amongst all of the responses collected here—where one respondent stated an Access Center “is a waste of money.”

In summary, responses from 52 community providers indicate a willingness to collaborate with: receive referrals from: and share intake, needs assessment, and ongoing performance data with an Access Center. Furthermore, a majority of providers expressed interest in participating in trainings and ongoing coaching and support in evidence based practice if provided through an Access Center.

5. Would like to have more information on early childhood. Need screening in all domains.

FACE clinicians will work to expand the footprint of existing and effective efforts such as those of the First Chance for Children and Project Launch programs. More specifically, First Chance for Children has an early home visiting program that provides parents with evidence-based child care information. To access families as early as possible, FACE will need to develop a coordinated and informed network of prenatal and early childhood providers who have consistent contact with Boone County families with children in the early stages of development. For example, obstetricians and hospital social workers will be contacted and informed of FACE services so they may refer expecting parents for prenatal support services through FACE. FACE clinicians will work with First Chance for Children to visit expecting families who feel they need support. During these visits, FACE clinicians—with family consent will—will conduct an assessment and develop a service plan while trained First Chance home visitors can disseminate essential items (e.g., cribs, diapers, literacy materials, age appropriate toys) and provide expecting parents with evidence-based child rearing information (e.g., nutrition, developmental milestones, strategies for healthy attachment). Indeed, First Chance for Children Director, Jack Jensen, provided a letter of support with our initial proposal agreeing to coordinate and collaborate with FACE to expand services to Boone County residents.

For the age 0-5 early childhood population, we will work with early childhood providers (e.g., Headstart, preschools, daycare, pediatricians) to identify youth and families who may be struggling with social, emotional, and behavioral health. In addition, and as detailed in our proposal, we plan to modify the social emotional screening process that is currently being developed for K-12 youth through the Boone County Schools Mental Health Coalition so that Headstart and willing preschools in Boone County can screen students aged 0-5 years.

In addition, FACE will develop a social marketing and educational campaign focused on evidence-based, early childhood parenting strategies. The information disseminated through this

campaign shall include public service announcements on positive parenting strategies (e.g., use of praise) and information to address widely held myths regarding the impact of unhealthy parenting strategies (e.g., corporal punishment, aversive parenting strategies). These strategies will use scientific evidence to target populations who may be at high levels of risk (e.g., low income and single parent homes). These informational strategies shall also include information about FACE services.

6. Need clarification on extended hours on Friday and Saturday.

There was a typo in our proposal. To clarify, initial brick and mortar office hours for FACE appear to be optimally set between the hours of 10am to 7pm, Sunday through Friday with extended hours on Saturdays from 10am to 1am. We proposed these extended hours based upon data from the Boone County Juvenile Office. Specifically, extended hours are based upon local data suggesting between November 1, 2009 and April 25, 2010, a total of 210 calls were logged to juvenile court services—and 64% of the calls were on Saturdays between hours of 3pm to 1am.

That said, these days and hours of operation shall be adjusted based on the needs of the community and upon traffic in and out of the center.

7. Expand on how information will be shared about families/children with providers?

First, we will follow standard procedures for getting written consent from parents to allow for consultation with other service providers. FACE shall develop MOUs with service providers to allow sharing of information (e.g., screening and assessment data, follow-up data). Our proposal team includes collaborative work of a University of Missouri School of Social Work professor and attorney, Clark Peters, with experience in developing these agreements between community agencies to improve services for youth and families. Supporting the development of this type of information sharing, our poll of 52 service providers revealed 45.3% are willing to share intake assessment processes and 62.3% said they are willing to participate in an ongoing community needs assessment.

Appendix A

Provider direct quotes to: *What is your reaction to the Access Center offering free voluntary training and consultation to community providers in evidence based mental health interventions?*

1. good idea. I think this is really important to prevent duplication of services and to provide access
2. great idea
3. Great idea
4. Great opportunity for free training if CEUs/contact hours could be provided
5. Great!
6. I think it is a great thing and will hopefully be a great resource for the community.
7. I think it's wonderful. One can never have too much consultation or training. We should all be working toward constant maintenance and adherence to the most current best practices and evidence based treatments. Consultation, in my opinion, is critical or keeping helping ensure quality of care and reduce "burn out."
8. I think its great!
9. I think this a needed area of education for all providers
10. I think this is a very good idea.
11. I think this is amazing. Children in our community have very limited access to evidenced base therapy services. As a physician. I would love to have more access to this for families to avoid medication use, etc.
12. I think this is generally a good idea. My questions relate to which EBPs would be the focus, how they would be selected, how training would be developed and evaluated for quality, and how impact on the community would be measured. I would not be interesed in every EBP, and I would want to know that the training is of very high quality and involves supervision and quality monitoring.
13. I think you should focus on training public school staff. Majority of the problems are there. Hit the heart of the matter. Most community providers already know this stuff.
14. I'm excited to see this as a possibility. Trainings and CEUs, in particular, can be expensive. Many people I know want more training but can't afford it. Excellent!
15. It seems most worthwhile and much needed.
16. It's a great idea!
17. My response to free training and consultation is positive, however, I do have reservations as to whether or not we are an appropriate setting to receive referrals. We are more likely to make referrals to an Access Center.
18. Sounds awesome!
19. Sounds great.
20. That is fantastic!
21. The biggest question is whether such trainings would yield incremental gains for highly trained providers who are already committed to evidence-based practice.
22. There is definitely a need. I wonder if our counselor, principals, teachers would be able to attend - possibly an onsite set up. I know we have our professional development scheduled for this coming year, but it might be something to consider.

23. Think it is a good idea, just won't work with my agency in particular.
24. this is a good idea.
25. This is great. It would be nice for families to have knowledge of if a provider has engaged in any of the trainings or not since they are voluntary.
26. this sounds like a great idea
27. this sounds like a wonderful service.
28. Very positive
29. Very positive.
30. wonderful and needed in the community
31. Wonderful idea. In my limited experience as a resident physician, there appears to be a definite need for this
32. Would be a good thing
33. WOW. What a wonderful way to jump-start some much needed services for the Columbia Mental Health community.

Appendix B

Provider verbatim responses to: *Please provide other comments you would like to share regarding developing a collaborative relationship with the Access Center.*

1. Congratulations on moving this idea forward--long overdue and extremely valuable for our community. Since we are very subspecialized in clinical neuropsychology, the referral system would need to account for that in who and how it refers to us to ensure efficient care. I am very supportive of the idea of sharing aggregate, de-identified data, but it is likely that the Access Center would have to do a lot of groundwork with Cerner and the University to ensure that we have the proper safeguards and approvals.
2. For collaboration with the Thompson Center you would need to contact someone higher up. Abby Tuttle would probably be a good person to talk to.
3. Good luck.
4. Great idea! Would love to continue discussions
5. I am a family therapist and am interested in being included as a referral resource for families interested in treatment as a family unit.
6. I am extremely hesitant to have a collaborative relationship with the Access Center. I think it is a waste of money to have another "referral clearinghouse agency" that does not provide direct assessment and interventions to children in need. I am concerned about what data would be asked from my clients who already distrust most of the 'system' as it is.
7. I am most accessible and work with clients in Mid Missouri. I have treated clients on both a gratis basis and for nominal pay for the past 10 years. I am a LPC with a mental health background as a therapist in the MO prison system and adolescent treatment centers. I am also a former schoolteacher of at-risk students. I work well in collaborative relationships, and I am most open to sharing my experiences and learning from others.
8. I am not able to answer many of these questions as they are embedded in policies of the clinics where I am employed.
9. I assume I got this survey because our practice received county funds to implement integrated care into our primary care practice. I am a general pediatrician, so I would see myself more as a consumer of the Access Center to send patients who are having difficulty obtaining access to services. I am not a mental health professional so would be limited in types of referrals we could take. As a general pediatrician we are always looking for programs to serve our patients' mental health needs so this sounds like a win for us
10. I'd love to be involved any way I can!
11. It is not that we are averse to developing such a relationship, just that it isn't particularly relevant to the agency in question.
12. Not sure exactly what your expectation is of me in what you want me to do. I see a lot of stressed people. I usually send them to a referral source.

13. Obviously, as a small Christian school, we are not a mental health provider, but an educational resource for Christian families who want their children to receive a Christian-based education. We recognize the importance of quality and accessible mental health services in our community and support the ongoing development of those services.
14. Often the problem is more in how to deal with parenting issues--Parenting with Love and Logic is very helpful in training parents and care-givers. The legal issues are also quite disturbing at times, since sometimes parents fight at the kids' expense. Having a way to help parents get legal advice could also be helpful, though I realize it may not be practical.
15. Our community is beginning to provide more integrated services as a result of the community grant. We will have to be very careful not to over-reach in our goals and dilute the outcomes. Each project should be highly targeted to meet a specific community need.
16. Our mission is to engage the community in services and address gaps in services primarily for adult populations. The Access Center would complement our mission and services.
17. Since I am front line direct care staff, I would have to refer you to our regional director to address developing collaboration: Suzanne Taggart staggart@pbhc.org
18. The Columbia Housing Authority is very interested in collaborating with others to address the mental health needs of the families we serve.
19. There is a true need to parents/families to have access to training.
20. we are primarily a primary care clinic, also do some mental health in terms of limited short term counseling and medication, also we do substance abuse treatment, we generally refer out for any psychiatric pediatric needs

Appendix C

Letter from Columbia/Boone County Department of Public Health and Human Services



City of Columbia



County of Boone

Department of Public Health and Human Services

July 1, 2015

Boone County Children's Services Board
c/o Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Board Members,

I am writing as a partner in the development and implementation of the Family Access Center for Excellence (FACE) of Boone County. One of the reasons we enthusiastically joined this partnership is because we have a shared goal of improving access to care for Boone County residents.

We have recently submitted a proposal to the County, in response to the RFP for Community Health and medical Funding, seeking funding to support additional staffing for our access to care efforts. If we receive this funding, we intend to explore a collaboration with FACE in which we would provide social services staff to assist persons seeking services at FACE in accessing care. This would include assisting with applying for MoHealthNet coverage and referrals to community-based resources for affordable health care.

Our department has a long history of providing access to care services in Boone County. We look forward to the opportunity expand these services in partnership with FACE. Thank you for your consideration.

Respectfully Submitted,

A handwritten signature in black ink that reads 'Steve Hollis'.

Steve Hollis, Human Services Manager

1005 W. Worley • P.O. Box 6015 • Columbia, Missouri 65205-6015

Phone: (573) 874-7488 (voice) • TTY: (573) 874-7356 • Fax: (573) 874-7756

E-Mail: HumanServices@GoColumbiaMo.com Web Page: www.GoColumbiaMo.com (Search: **Human Services**)

Boone County Purchasing



Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

613 E. Ash Street, Room 110

Columbia, MO 65201

Phone: (573) 886-4391

Fax: (573) 886-4390

E-mail: mbobbitt@boonecountymo.org

May 28, 2015

Megan White

University of Missouri / Office of Sponsored Programs Administration

115 Business Loop 70 W. / Mizzou North / Room 501

Columbia, MO 65211-8330

E-mail: WhiteMega@missouri.edu

RE: Clarification to *17-18MAY15 – Access to Services Program for the Boone County Children's Services Fund*

Dear Ms. White:

This letter shall constitute an official request by the County of Boone - Missouri to enter into competitive negotiations with the University of Missouri.

The Boone County Children's Services Board has designated a sub-committee to meet with you to discuss the attached list of questions. The intent of this meeting is to open a dialogue to discuss any of your concerns and help you to understand the complexity of the questions. This meeting will take place:

Date: June 4
Time: 2:00 – 4:00 p.m.
Location: Boone County Annex Building
Conference Room
613 E. Ash Street
Columbia, MO 65201

Following this initial meeting, you are requested to respond to the questions in writing by e-mail to mbobbitt@boonecountymo.org.

The attached Clarification Form includes any changes being made to the RFP as a result of clarification request. The Form must be completed, signed by an authorized representative of your organization, and returned with your detailed Clarification response.

As a result of this Request for Clarification, you may now change, add information, and/or modify any part of your proposal. Please understand that your response to this request may be your final opportunity to ensure that all mandatory requirements of the RFP have been met and all RFP requirements are adequately described since all areas of the proposal are subject to evaluation.

You are reminded that pursuant to Section 610.021 RSMo, proposal documents including any best and final offer documents are considered closed records and shall not be divulged in any manner until after a contract is executed or all proposals are rejected. Furthermore, you and your agents (including subcontractors, employees, consultants, or anyone else acting on their behalf) must direct all questions or comments regarding the RFP, the evaluation, etc., to the buyer of record. Neither you nor your agents may contact any other County employee or evaluation committee member regarding any of these matters during the negotiation and evaluation process outside of the scheduled negotiation meetings. Inappropriate contacts or release of information about your proposal response are grounds for suspension and/or exclusion from specific procurements.

If you have any questions regarding this Clarification request, please call (573) 886-4391 or e-mail Mbobbitt@boonecountymo.org. I sincerely appreciate your efforts in working with Boone County - Missouri to ensure a thorough evaluation of your proposal.

Sincerely,



Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

cc: Evaluation Team
Proposal File

Attachments: Clarification Form

BOONE COUNTY - MISSOURI

PROPOSAL NUMBER AND DESCRIPTION: 17-18MAY15 – Access to Services Programs for the Boone County Children’s Services Fund

CLARIFICATION FORM #1

This Clarification is issued in accordance with the Instructions to Offeror and is hereby incorporated into and made a part of the Request for Proposal Documents. Offeror is reminded that receipt of this Clarification must be acknowledged and submitted by e-mail to mboobbitt@boonecountymmo.org.

I. CLARIFICATION – please provide a response to the following requests.

1. Discuss how you plan to eliminate the financial barriers for individuals that are under and un-insured?
2. How will FACE address whether a provider is determined to be evidence-based?
3. How will you manage implementation of evidence-based programs? What are the criteria/appeals process?
4. Develop a dialogue with provider community and explore collaboration opportunities and inform the Board.
5. Would like to have more information on early childhood. Need screening in all domains.
6. Need clarification on extended hours on Friday and Saturday.
7. Expand on how information will be shared about families/children with providers?

In compliance with this request, the Offeror agrees to furnish the services requested and proposed and certifies he/she has read, understands, and agrees to all terms, conditions, and requirements of the RFP and this clarification request and is authorized to contract on behalf of the firm. **Note:** This form must be signed. All signatures must be original and not photocopies.

Company Name: _____

Address: _____

Telephone: _____ Fax: _____

Federal Tax ID (or Social Security #): _____

Print Name: _____ Title: _____

Signature: _____ Date: _____

E-mail: _____

UNIVERSITY *of* MISSOURI

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May 14, 2015

Melinda Bobbitt
Director of Purchasing
Boone County Purchasing Department
Boone County Annex
613 E. Ash, Rm 110
Columbia, MO 65201

Project Title: *Family Access Center for Excellence*
MU Project: 00050937

Ms. Bobbitt,

Enclosed please find the above-referenced proposal which is being submitted by The Curators of the University of Missouri on behalf of Dr. Aaron Thompson.

If our proposal is favorably received, we respectfully request the opportunity to negotiate the terms and conditions of any agreement forthcoming. In anticipation, we have reviewed the RFP's proposed terms and conditions. Our concerns include, but are not limited to, the following (proposed additions are underlined):

Boone County Insurance Requirements

The Contractor shall not commence work under this contract until they have obtained all insurance required under this paragraph and such insurance has been approved by the County. All policies shall be in amounts, form and companies satisfactory to the County which must carry an A-6 or better rating as listed in the A.M. Best or equivalent rating guide, or Contractor may provide evidence of a self-funded program of coverage.

Reasoning: It is not possible to add an additional insured to the self-insurance plan and the University is not willing to obtain separate insurance for any specific project. The University is partially immune from tort liability since it is an instrumentality of the State of Missouri and the University carries insurance (commercial and/or self insurance) as permitted by State statute 537.610 RSMo., 1994. The University is qualified as a self-insurer under the Workers Compensation law of the State of Missouri. In addition, the University is also self-insured for general liability and for automobile liability insurance. These University self-insurance programs shall be used to cover any applicable claims concerning this project.

Indemnity Agreement

To the fullest extent permitted by law and without waiving sovereign immunity, Contractor shall indemnify, hold harmless and defend the County, its directors, agents, and employees from and against all claims arising by reason of any act or failure to act, negligent or otherwise, of Contractor, (meaning



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anyone, including but not limited to consultants having a contract with Contractor or subcontractor for part of the services), of anyone directly or indirectly employed by Contractor, or of anyone for whose acts the Contractor may be liable, in connection with providing these services. This provision does not, however, require Contractor to indemnify, hold harmless, or defend the County of Boone from its own negligence.

Reasoning: The University is a governmental entity of the State of Missouri. As such, it has sovereign immunity from most tort actions and cannot agree to indemnify and hold harmless others in situations in which the legislature has provided such sovereign immunity (Article VI, Section 26a, Missouri Constitution Sect. 172.250, RSMo., 1994)

Attachment D—2014 Agency Assurance Sheet

- *Proof of 501(c)(3)*
- *Certificate of Corporate Good Standing*

Reasoning: We will be unable to provide proof of 501(c)(3) or a Certificate of Corporate Good Standing. RPR Section 3.5 Minimum Eligibility Criteria states “any tax-exempt, not organized for profit agency or governmental entity” should be eligible. We are a public corporation/governmental entity per the statute below:

172.020. Pursuant to sections 9(a) and 9(b) of article IX of the Missouri Constitution, the state university is hereby incorporated and created as a body politic and shall be known by the name of "The Curators of the University of Missouri", and by that name shall have perpetual succession, power to sue and be sued, complain and defend in all courts; to make and use a common seal, and to alter the same at pleasure; to take, purchase and to sell, convey and otherwise dispose of lands and chattels, except that the curators shall not have the power to subdivide, sell or convey title to any land contained within a university campus or to subdivide, sell or convey title to any portion of any parcel of land containing in excess of twenty-five hundred contiguous acres unless such transaction is approved by the general assembly by passage of a concurrent resolution signed by the governor. The curators shall not sell, trade or otherwise convey or permit the severance of timber, minerals or other natural resources, unless the curators comply with bidding procedures established by rule that mandate notice of the transaction be provided in a manner reasonably calculated to apprise prospective purchasers. Such rule or rules must at a minimum require at least one notice of the transaction be published in a newspaper of general circulation where the resources are located. The curators may act as trustee in all cases in which there be a gift of property or property left by will to the university or for its benefit or for the benefit of students of the university; to condemn an appropriate real estate or other property, or any interest therein, for any public purpose within the scope of its organization, in the same manner and with like effect as is provided in chapter 523 relating to the appropriation and valuation of lands taken for telegraph, telephone, gravel and plank or railroad purposes; provided, that if the curators so elect, no assessment of damages or compensation under this law shall be payable and no execution shall issue before the expiration of



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SPONSORED PROGRAMS ADMINISTRATION

sixty days after the adjournment of the next regular session of the legislature held after such assessment is made, but the same shall bear interest at the rate of six percent per annum from its date until paid; and provided further, that the curators may, at any time, elect to abandon the proposed appropriation of property by an instrument of writing to that effect, to be filed with the clerk of the court and entered on the minutes of the court, and as to so much as is thus abandoned, the assessment of damages or compensation shall be void.

Please contact Megan White at 573-882-4223 or WhiteMe@missouri.edu for any administrative questions and/or negotiations. You may contact the PI directly for technical questions.

We appreciate your consideration of this proposal.

Sincerely,



Karen M. Geren
Pre-Award Manager | Authorized Signer
University of Missouri | Office of Sponsored Programs Administration
115 Business Loop 70 W | Mizzou North | Room 501
Columbia, MO 65211-8330 | Overnight courier use zip code: 65203
Phone: 573.882.4451 | Fax: 573.884.4078 | gerenk@missouri.edu
Main Phone: 573.882.7560 Institution email: grantsdc@missouri.edu



SIGNED ADDENDA

Addendum 1

Addendum 2

Addendum 3



BOONE COUNTY, MISSOURI

Request for Proposal #: 17-18MAY15 – Access to Services Program for the Children's Services Fund

ADDENDUM #1 - Issued March 19, 2015

This addendum is issued in accordance with the RFP Response Page in the Request for Proposal and is hereby incorporated into and made a part of the Request for Proposal Documents. Offerors are reminded that receipt of this addendum should be acknowledged and submitted with Offeror's Response Form.

Specifications for the above noted Request for Proposal and the work covered thereby are herein modified as follows, and except as set forth herein, otherwise remain unchanged and in full force and effect.

1) The County received the following questions and is providing a response:

- a. Regarding 3.6. *Funding Available* – Would you please provide more information about "The Board will consider indirect expenses up to a maximum of 15%..." For example, does this mean that if salary/personnel expenses are \$100,000 that non-personnel expenses cannot exceed \$15,000?

Response: Indirect expenses include up to 15% of salary expenses only. This does not include benefits.

- b. Regarding 5. *Access to Services Program Concepts Narrative* – Most of the concepts listed are requested to be covered elsewhere within the RFP except Informed Choice Access. What is More Right Doors?

Response: Please refer to 3.1.2) of the proposal.

- c. **Narrative, Page Limitation 4.1:** What is the page limitation for the proposals?

Response: Please be as succinct as possible.

- d. **Proposal Submission:** May previous submitted proposals (purchase of service and pilot programs) be re-submitted? If so, will those proposals be re-reviewed for consideration and allotted funding?

Response: No.

- e. **Proposal Clarification 1.5:** What are the main differences between this proposal "Access to Services" and the previous proposals for "purchase of service" and "pilot programs"?

Response: The Access to Services Program RFP is a targeted RFP specifically looking for a proposal for an Access to Services program. Whereas, the Purchase of Service (POS) and the Pilot Programs that Provide Innovative Services (Pilot) programs were open to any community eligible service.

f. **Proposal Submission Quantity:** May an organization submit more than one proposal?

Response: No

g. **Match Funding 3.17.1.3** Are there any restrictions to what funding sources will be recognized and considered as eligible for match funding?

Response: Matching fund opportunities will be evaluated by value added to the program.

h. **Collaboration 3.6:** Will there be any preferences towards what agencies, organizations or businesses are ultimately considered strong collaborators? If so, may you please clarify the qualifications used to evaluate if a collaborative agency, organization or business strengthens or weakens a proposal.

Response: Collaborative opportunities will be evaluated by value added to the program.

i. **Partner Benefit Referrals:** May you please clarify what criteria will be used to evaluate partner referrals and the outlined benefits?

Response: Please clarify your question.

j. **Non-conflicted Assessment, Referral, and Case Management 3.7.3.1:** Is there a criteria that will be used to evaluate the quality of evidence base tools, training and interviewing/engagement strategies. If so may clarification be given on the criteria to be used?

Response: Evaluation will be based on information provided to the reviewers about the quality of the evidence-based tools, training and interviewing/engagement strategies.

k. **Board Representation 3.7.1.1:** May clarification be provided on if board representation requirements suggest organizations should add board members that fall within the classification provided to be eligible for funding to be granted?

Response: The Agency Service Program must have board representation as indicated in 3.7.1.1.

l. **Customer Feedback System 3.7.5.2:** How will customer feedback systems be evaluated are there requirements outlined to clarify what the expectations are an effective system.

Response: Customer feedback systems will be evaluated based on the information provided in the proposal.

m. **Eligibility Criteria:** What is the difference between any "eligibility criteria" that an organization outlines in the proposals, and compliance with statutes in which notes children and families will not be denied service?

Response: Need more clarification on what statute(s) are being referred to when you write, "...compliance with statutes in which notes children and families will not be denied service?"

n. What is the definition of a "conflicted party?"

Response: The entity that provides the Access to Service Program would derive no direct benefit from the referrals made through the Access to Service Program; or there is a system in place that will assure that the best interest of the child, youth, and families are served.

o. Are any of the priority areas more urgent to them?

Response: No priority areas are listed in this RFP, please clarify your question.

p. Under 3.5, what is exactly meant by certified, accredited or licensed for the services for which funds are requested? By whom does the facility have to be certified, accredited or licensed?

Response: If the program provides a service that requires certification, accreditation, or licensure the provider of the service needs to be certified, accredited, or licensed pursuant to the entity that requires certification, accreditation, or licensure.

By:


Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

OFFEROR has examined Addendum #1 to Request for Proposal# 17-18MAY15 – Access to Services Program for the Children's Services Fund, receipt of which is hereby acknowledged.

Company Name: The Curators of the University of Missouri

Address: 310 Jesse Hall, Columbia, MO 65211-1230

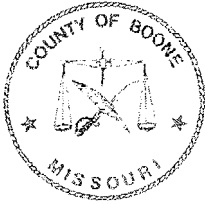
Phone Number: 573-882-7560 Fax Number: 573-884-4078

E-mail: grantsdc@missouri.edu

Authorized Representative Signature: Karen M. Geren Date: 5/14/2015

Authorized Representative Printed Name: Karen M. Geren, Authorized Signer/Pre-Award Manager
Office of Sponsored Programs Administration

MU Project #00050937



BOONE COUNTY, MISSOURI

Request for Proposal #: 17-18MAY15 – Access to Services Program for the Children's Services Fund

ADDENDUM #2 - Issued March 20, 2015

This addendum is issued in accordance with the RFP Response Page in the Request for Proposal and is hereby incorporated into and made a part of the Request for Proposal Documents. Offerors are reminded that receipt of this addendum should be acknowledged and submitted with Offeror's *Response Form*.

Specifications for the above noted Request for Proposal and the work covered thereby are herein modified as follows, and except as set forth herein, otherwise remain unchanged and in full force and effect.

- 1) Written questions are due by May 4, 2015, 5:00 p.m. central time. Submit by mail, fax or e-mail:

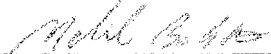
Mail: Boone County Purchasing, Attn. Melinda Bobbitt, 613 E. Ash Street, Room 110, Columbia, MO 65201.

Fax: (573) 886-4390

E-mail: mbobbitt@boonecountymissouri.org

- 2) Sign-in sheets from the pre-proposal conference held on March 20 are attached for information purpose.
- 3) Please number the pages of your proposal response.

By:


Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

OFFEROR has examined **Addendum #2** to Request for Proposal# 17-18MAY15 – Access to Services Program for the Children's Services Fund, receipt of which is hereby acknowledged:

Company Name: The Curators of the University of Missouri

Address: 310 Jesse Hall, Columbia, MO 65211-1230

Phone Number: 573-882-7560 Fax Number: 573-884-4078

E-mail: grantsdc@missouri.edu

Authorized Representative Signature: Karen M. Geren Date: 5/14/2015

Authorized Representative Printed Name: Karen M. Geren, Authorized Signer/Pre-Award Manager
Office of Sponsored Programs Administration

RFB #: 17-18MAY15 MU Project #000509371

3/20/15

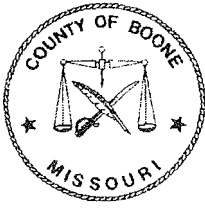
PRE-PROPOSAL CONFERENCE SIGN IN SHEET

17-18MAY15 "Access to Services" Program

	Representative Name	Business Name	Telephone Number	Tax Number
1	Aminda Gibson	Deane County Purchasing	786-1361	826-1300
2	Kelli Walls	Children's Services Board	888-1118	
3	Lynn Nelson	Children's Services Board	888-1118	
	Heather Decker	Cultural Center Auctioneers, Inc.	575-356-1962	
	Deborah Jones	Decker, Inc.	781-831-4151	
	Cindy Cameron	The Selection Agency	678-492-3379	678-444-6681
	Deborah Moore	Decker, Inc.	570-444-2852	570-444-2852
	Caroline Shultz	Decker, Inc.	878-278-1861	
	T. Robert Jones	Decker, Inc.	878-278-1861	
11	James Bob	Decker, Inc.	878-278-1861	678-444-6681
12	Franky Burns	Decker, Inc.	678-444-6681	678-444-6681
13	Deborah Moore	Decker, Inc.	678-444-6681	678-444-6681
	Wendy Mitchell	Decker, Inc.	678-444-6681	678-444-6681
14	James Bob	Decker, Inc.	678-444-6681	678-444-6681
15	Wendy Mitchell	Decker, Inc.	678-444-6681	678-444-6681
16	Wendy Mitchell	Decker, Inc.	678-444-6681	678-444-6681
17	Deborah Moore	Decker, Inc.	678-444-6681	678-444-6681
18	Tick Aiden	Decker, Inc.	678-444-6681	678-444-6681
19	Deborah Moore	Decker, Inc.	678-444-6681	678-444-6681

20	Mike Evans	Thompson Center	1573 881 6157	
21	Brian Mehta	Putnam Community Health	571 400 4751	
22	Walter Walker	Providence Health Services	832 461 1111	812-4583
23	Greg Cochran	Phoenix Programs	875 888 7123	
24	Constance Johnson	Providence Health Services	510 256 4136	512 256 8021
25	Carol Smith	Providence		
26	Carol Smith	Providence		
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46	Carrice Bann	Great Circle	844-517-7426	
47	Judy Healy-Miller	UIC College of Ed	844-517-7426	882 8501
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BOONE COUNTY, MISSOURI

Request for Proposal #: 17-18MAY15 – Access to Services Program for the Children's Services Fund

ADDENDUM #3 - Issued April 20, 2015

This addendum is issued in accordance with the RFP Response Page in the Request for Proposal and is hereby incorporated into and made a part of the Request for Proposal Documents. Offerors are reminded that receipt of this addendum should be acknowledged and submitted with Offeror's *Response Form*.

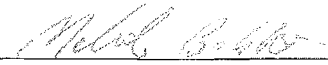
Specifications for the above noted Request for Proposal and the work covered thereby are herein modified as follows, and except as set forth herein, otherwise remain unchanged and in full force and effect.

The County received the following question and is providing a response:

1) Does the organization/agency applying for funding have to be non profit?

Response: Any organization/agency may apply for funds if they are in the process of applying for nonprofit status but no contract can be executed until the organization/agency receives this designation.

By:


Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

OFFEROR has examined Addendum #3 to Request for Proposal# 17-18MAY15 – Access to Services Program for the Children's Services Fund, receipt of which is hereby acknowledged:

Company Name: The Curators of the University of Missouri

Address: 310 Jesse Hall, Columbia, MO 65211-1230

Phone Number: 573-882-7560 Fax Number: 573-884-4078

E-mail: grantsdc@missouri.edu

Authorized Representative Signature: Karen M. Geren Date: 5/14/2015

Authorized Representative Printed Name: Karen M. Geren, Authorized Signer/Pre-Award Manager
Office of Sponsored Programs Administration

MU Project #00050937

ATTACHMENT D

2015 Agency Assurance Sheet

ATTACHMENT D

2015 AGENCY ASSURANCE SHEET

(Please complete and return with Proposal Response)

I, the undersigned, certify that the statements in this request for funding proposal application are true and complete to the best of my knowledge, and accept, as to any funds awarded, the obligation to comply with the Boone County Children’s Services Board (BCCSB) and any of the Boone County Children’s Services Fund’s conditions specified in the funding award and contract.

I, the undersigned, certify that in addition to the conditions mentioned above, will maintain accepted accounting procedures to provide for accurate and timely recording of receipt of funds, expenditures, and of unexpended balances. I, the undersigned, further certify I have and will make available, upon request, the following documentation for accuracy and validity:

- Proof of 501(c)(3) NA--The Curators of the University of Missouri is a governmental entity of the State of Missouri.
- Certificate of Corporate Good Standing NA--The Curators of the University of Missouri is a governmental entity of the State of Missouri.
- Articles of Incorporation
- Organizational Chart
- Most Recent 990 Federal Form
- Agency Strategic Plan
- Copies of Agency Accreditations
- Most Recent Agency Independent Audit
- Agency Policy of Non-Discrimination
- Agency Policy for Screening of Staff and Volunteers for Child Abuse and Neglect
- Agency Statement of Confidentiality
- Memorandums of Understanding (not currently needed for Contingency Funds Request)

Certifications:

Please indicate that the above named organization:

- a. Is a registered corporation in good standing with the State of Missouri. yes no NA
- b. Agrees to comply with all applicable provisions of: the Fair Labor Standards Act, as amended; the Employment Practices Act, as amended; the Civil Rights Act of 1964, as amended; the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1990, as amended; the Omnibus Reconciliation Act of 1981, as amended; the Americans with Disabilities Act of 1990, as amended; and all other applicable Federal and State laws which prohibit discrimination in employment and the delivery of services including the discrimination in employment and the delivery of services on the basis of race (racism), color, national origin, ancestry, sex, religion, disability, age (employment), and familial status (housing). The Curators of the University of Missouri is a governmental entity of the State of Missouri.
 yes no
- c. If deemed a religious or denomination institution or organization or operated for religious purposes which is supervised or

controlled by or in connection with a religious or denomination institution or organization; and agrees that, in connection with the provision of services and employment practices that it will not discriminate against any employee or applicant for employment on the basis of religion and will not employ or give preference in employment to persons on the basis of religion; it will not discriminate against any persons seeking services on the basis of religion and will not limit such services or give preference to persons on the basis or religion; and it will provide no religious instruction or counseling, conduct no religious worship or services, engage in no religious proselytizing, or exert no other religious influence in the provision of services under this agreement.

yes no NA

d. Prohibits discrimination in employment and the delivery of services on the basis of marital status, gender identity, and sexual orientation.

yes no

e. Prohibits discrimination in employment and the delivery of services on the basis of genetic information.

yes no

f. Has administrative and program facilities that are accessible to persons with disabilities per the Americans with Disabilities Act of 1990.

yes no

REQUIRED if the answer was no - upload an ADA Plan of Accommodation and Transition Plan.

Karen M. Geren--Authorized Signer on behalf of
The Curators of the University of Missouri

Printed Name - Agency Executive Director/President/CEO

Date

Karen M. Geren

Signature - Agency Executive Director/President/CEO

5/14/2015

Date

NA

Printed Name - Agency Board Chair

Date

NA

Signature - Agency Board Chair

Date

MU Project 00050937

ATTACHMENT E

Certification

ATTACHMENT E

(Please complete and return with Proposal Response)

Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98 Section 98.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988, Federal Register (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS FOR
CERTIFICATION)

- (1) The prospective recipient of Federal assistance funds certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

- (2) Where the prospective recipient of Federal assistance funds is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Karen M. Geren, Authorized Signer, Office of Sponsored Programs Administration

Name and Title of Authorized Representative

Karen M. Geren

Signature

5/14/2015

Date

MU Project 00050937

ATTACHMENT F

Work Authorization Certification

ATTACHMENT F

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Boone)
State of Missouri)ss)

My name is Karen M. Geren. I am an authorized agent of The Curators of the University of Missouri (Bidder). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Karen M. Geren 5/14/2015
Affiant Date

Karen M. Geren
Printed Name

Subscribed and sworn to before me this 4th day of May, 2015.



LOIS K. WILSON
My Commission Expires
May 2, 2016
Boone County
Commission #12335514

Lois K. Wilson
Notary Public

Attach to this form the *E-Verify Memorandum of Understanding* that you completed when enrolling.

MU Project 00050937

BOONE COUNTY CHILDREN'S SERVICES FUND
2015 "Access to Services" Program

1. ORGANIZATIONAL PROFILE

- a. **Organization Name:** The Curators of the University of Missouri
- b. **DBA (if any):** N/A
- c. **Physical Address:** Office of Sponsored Programs, University of Missouri, 310 Jesse Hall, Columbia, MO 65211-1230
- d. **Mailing Address:** Same as above
- e. **Phone:** (573) 882-7560 **Fax:** (573) 884-4078
- f. **Website:** www.missouri.edu
- g. **Head of Organization (Executive Director, President, CEO) below:**
- h. **Name:** Craig David
- i. **Title:** Director, Office of Sponsored Programs Administration
- j. **Phone:** (573) 882-7560 **Email Address:** grantsdc@missouri.edu
- k. **Federal Tax ID:** 436003859

2. ORGANIZATION INFORMATION

- a. **Organization Type:** tax-exempt/not-for-profit (public/state controlled institution of higher education)
- b. **Organization Mission Statement:** Our distinct mission, as Missouri's only state-supported member of the Association of American Universities, is to provide all Missourians the benefits of a world-class research university. We are stewards and builders of a priceless state resource, a unique physical infrastructure and scholarly environment in which our tightly interlocked missions of teaching, research, service and economic development work together on behalf of all citizens. Students work side by side with some of the world's best faculty to advance the arts and humanities, the sciences and the professions. Scholarship and teaching are daily driven by a commitment to public service — the obligation to produce and disseminate knowledge that will improve the quality of life in the state, the nation and the world.
- c. **Organization History:** The University of Missouri (MU), located in Columbia, is the oldest state university west of the Mississippi River. In 1870, MU was approved as a land-grant university and is the largest of the four campuses of the University of Missouri System. As a member of the American Association of Universities, and classified "Research University/Very High" and "Community Engaged" by the Carnegie Foundation for the Advancement of Teaching, MU, with its 17 schools and colleges, is a premier provider of undergraduate, graduate and professional education. MU serves over 34,600 select and diverse students, including about 6,440 graduate and 1,250 professional students. In order to provide science-based education and information dissemination to the citizens of the state, the MU Extension network employs a human and technological infrastructure that reaches every Missouri County. MU employs over 13,200 full-time employees and had an operating budget of \$2.1 billion for FY2014.
- d. **Organization's Major Goals:** MU's tightly interlocked missions of teaching, research, service and economic development work together on behalf of all citizens with the goal of improving the quality of life in the state, the nation and the world.
- e. **Organization Governing Board**

Board members represent districts in Missouri. Contact information for all members is:
 316 University Hall, Columbia, Missouri 65211
 Phone: (573) 882-2388
 Email: boardofcurators@umsystem.edu

Name	Board Position	District - City	Employer	Title	Term
Ann Covington	Member	4 – Columbia	Missouri Supreme Court	Chief Justice	2013-2019
Donald Cupps	Chairman	7 – Cassville	Commerce Bank of Barry County	Senior Partner, Attorney	2011-2017
Maurice Graham	Member	2 – Clayton	Gray, Ritter & Graham, P.C.	President	2015-2021
Pamela Quigg Henrickson	Vice Chair-woman	3 – Jefferson City	Goller, Feather & Henrickson	Partner, Attorney	2011-2017
John Phillips	Member	5 – Kansas City	Husch Blackwell LLP	Attorney	2013-2019
Phillip Snowden	Member	6 – Kansas City	Missouri General Assembly (retired)	Clay County Representative	2015-2021
David Steelman	Member	8 – Rolla	Steelman, Gaunt and Horsefield law firm	Managing and Senior Partner	2014-2019
David Steward	Member	2 – St. Louis	World Wide Technology of St. Louis	Chairman and Founder	2011-2017
Tracy Mulderig	Member	Student Representative	University of Missouri-St. Louis	Student	2014-2016

f. Organization Advisory Board: N/A

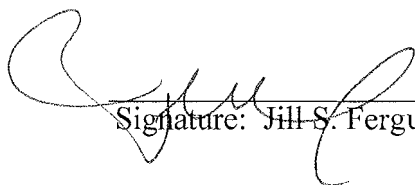
g. Funding Request: \$4,189,743

Total Amount Requested for Program Services: \$ 3,863,410

Total Amount Requested for Indirect Services: \$ 326,333

Limited to maximum of 15% of salary expense only (salary does not include benefits). These expenses include general organizational expenses such as management time, finance, human resources or other support services effort, liability insurance, facility rent/lease, postage, telephone, utilities, etc.

Program Services + Indirect = Total Amount Requested for This Proposal: \$4,189,743



Signature: Jill S. Ferguson, Authorized Signer

Date: 5/18/2015

3. BACKGROUND INFORMATION

a. Name of Proposed Program. The proposal will describe the development of an “Access to Services” program, hereafter referred to as the *Family Access Center for Excellence—or “The FACE of Boone County”*.

b. Statement of Interest. Our interest in implementing The FACE of Boone County is to facilitate the development of a coordinated, multidisciplinary, and collaborative initiative that will assist the Boone County Children’s Services Board to improve the mental and physical health, well-being, and safety of children and their families in Boone County in a transparent and accountable manner. Our proposal conveys our interest in developing and implementing The FACE of Boone County not only as a mechanism to expand access and improve coordination of existing services for youth and families—but we seek to improve the quality of existing services in Boone County.

4. PROGRAM OVERVIEW SECTION

ai. Statement of Issue Being Addressed. The FACE of Boone County seeks to specifically and directly address three broad barriers identified in the University of Missouri, Truman School Community Impact Report (July, 2014): 1) lack of access, 2) disorganization of existing service system, and 3) lack of a family systems approach to prevention and intervention services.

These three broad barriers will be addressed by the FACE of Boone County through focused activities that enhance community access to high quality services through (a) a multiple door referral process that leads to (b) a coordinated, case-management approach founded on scientifically-based developmentally appropriate and comprehensive assessments, (c) referral to a menu of evidence-based services based on identified needs, (d) ongoing monitoring of the success of those referrals to ensure services are accessed by youth and families, and (e) progress monitoring of outcomes of youth receiving services in the community. More specifically, The FACE of Boone County aims to:

- **Provide a single point of entry** that will unconditionally accept referrals from multiple doors or entities in Boone County (i.e., families and youth, schools, law enforcement, human services, afterschool programs, etc.);
- **Provide comprehensive, evidence-based, and developmentally responsive assessments** of youth and family risk factors completed by trained and licensed mental health professionals and properly supervised masters level trainees;
- **Develop a strengths-based, youth-centered and family-focused wraparound service plan** that is based upon the results of the comprehensive assessment;
- **Promote youth and family engagement** in the comprehensive service plan by allowing families to select from a menu of existing providers offering scientifically-supported strategies to address the identified needs;
- **Provide integrated case management services** to coordinate and confirm youth and family access to their choice of selected services;
- **Improve quality assurance** by monitoring progress of families and youth outcomes using clearly defined exit criteria and reliable indicators at the individual, family, program and community or county levels;
- **Offer training in evidence-based programming** to improve the quality and effectiveness of existing service providers in Boone County;

- **Serve as a responsive entity for the Boone County Children’s Services Board** by monitoring the social, emotional, and behavioral health and needs of families in Boone County using an integrated information management system; and
- **Facilitate a public awareness, education, and prevention-oriented campaign** to target and reduce the prevalence of known risk factors predictive of poor social, emotional, mental and behavioral health outcomes for Boone County youth, birth to 19 years of age.

aii. Documentation of the Issue. The issues to be addressed by The FACE of Boone County are highlighted by growing epidemiological data suggesting the unmet mental health needs of youth in our community is of paramount concern. This concern is echoed by a National Institute of Mental Health (2007) report which concluded that 1 in 10 children suffer from an emotional disturbance which interferes with daily functioning.

On a local level, data support the Boone County Children’s Services Board’s concerns over the social, emotional, and behavioral health of our youth. To be sure, a great proportion of youth in Boone County have frequently use alcohol (37%) and marijuana (15%). Cigarette smoking (19%--up from 15% in 2012) and prescription medications appear to be on the rise (4.5% in 2014 compared to 3.7% in 2012). The average age reported by Boone County youth for when they begin using substances ranges by substance choice from alcohol (age = 14.7), cigarettes (age 12.3) marijuana (age = 13.4) and inhalants (age = 13.5). Regarding the social and emotional functioning of our youth, students surveyed agreed they often feel sad (19%), grouchy or irritable (32%), and experience frequent difficulty concentrating on school work (27%). An increasing number of students reported that they were in a physical fight in the last year (19%) and some experienced being threatened with a weapon at school (4%). Lastly, and providing local verification of the national estimates of the percent of youth who are considered to be “in-risk” as suggested by the U.S. Surgeon General and the National Institutes of Mental Health reports (i.e., 2-3%), 5.3% of Boone County youth seriously considered suicide, 6.3% made a plan, and 1.2% attempted suicide resulting in injury, poisoning, or overdose that required medical treatment ([Missouri Student Survey, Boone County Results, 2014; Link](#)).

aiii. Population Affected. It has been well documented that up to 20% of youth are in need of mental health services (Costello et al., 2005; MU Institute of Public Policy, 2011a; Thirteenth Judicial Circuit, 2014; Weisz & Hawley, 2002). Unfortunately, 50-75% of these youths never receive the needed mental health services (e.g., Achenbach et al., 2003; Burns et al., 1995, 1999; IOM, 1989; NAMHC, 2001; Ringel & Sturm, 2001).

Many barriers interfere with youth and family access to services across the nation and in our community. These barriers include the inability to recognize the early signs and symptoms of developing problems, lack of information on where to get help, and lack of resources to access help, and lack of the effectiveness of that help if help is accessed. We expand on how FACE will address each of these barriers below.

First, some families of these youth never seek treatment because they do not recognize the problem. The FACE of Boone County will work with schools and pre-schools to implement universal screening of all children and youth three times per year. This will help to identify youth who would benefit from services and engage families in a process of help seeking. In addition, FACE will employ scientifically proven engagement approaches (i.e., community liaisons) to increase community awareness of mental health problems and to raise awareness of FACE.

Universal Screening: To begin a coordinated response to these concerns, emerging work led by the Boone County School Mental Health Coalition (BCSMHC) is bringing prevention and intervention supports to the nearly 22,441 K-12 students in Boone County. Prevalence estimates suggests that approximately 10% of these youth are “at-risk” (~2,244) and can benefit from school-based prevention services. These concerns are being addressed by the BCSMHC through a comprehensive, data driven, school-based model of assessment and prevention. The BCSMHC is providing training to school teachers and personnel in Boone County to improve their awareness of social, emotional and behavioral risk factors in all youth. In addition, BCSMHC also is training 51 school-based teams to utilize their own school site-specific screening data. These data are gathered three times per year and the process is referred to as the BCSMHC *Early Identification System*. The data will be used to (a) identify primary areas of concern within school buildings, districts, and at the county level, (b) inform the selection of evidenced based strategies to improve those areas of concern, and (c) identify youth K to 12 grade who would benefit from school-based and community provided mental health services. The data collected in the BCSMHC *Early Identification System* process will be reported back to the Boone County Children’s Services board so the behavioral health of all students in all 51 public school buildings in Boone County may be monitored.

The FACE will interface with the data gathered by BCSMHC for youth in schools. *In addition, the FACE will work with BCSMHC to develop a downward extension of the Early Identification System that can be used with pre-school age children.* The screener would be implemented in Head Start and other Boone County pre-schools.

Engagement Strategies: The FACE will employ Community Liaisons, respected persons from targeted communities. The use of Community Liaisons is a well-established method for increasing engagement for often difficult to reach families (Acri, et al., 2013; Olin, et al., 2010). Community Liaisons will be trained to disseminate social marketing messages and will provide ongoing feedback to FACE supervisors about the quality of services and the attention to cultural norms as perceived by parents in the various communities throughout Boone County. For example, Community Liaisons may accompany FACE clinicians on home or school visits, offer critiques on engagement practices, and provide feedback on how to maintain ongoing future contact with hard to serve families. This ongoing feedback loop will support continuous improvement of tailored services that match the needs and norms of our community (see McKay et al, 2004 for successful examples in Chicago and New York).

The second barrier to accessing services in our community is that some families do not know where to look for services—and they often do not maintain engagement with service plans if they are reached. The FACE of Boone County will increase community awareness of access to services (i.e., social marketing and advertising), will engage multiple community sectors to act as points of referral for youth and families (i.e., no wrong door philosophy), and—once those families are engaged—FACE clinicians will utilize evidence based strategies (i.e., motivational interviewing) to keep those families engaged.

No Wrong Door: The FACE will be seen as a non-threatening and available open door consultation service from which families, parents, and youth can seek support and make informed decisions about available services. The FACE of Boone County will use a “no wrong door” approach and accept referrals from parents, parents as teachers, pediatricians, schools, human services, judicial services, law enforcement agencies, and other community programs.

Social Marketing & Advertising: The FACE will use community engagement strategies, including social awareness campaigns and use of community liaisons to promote awareness of the services offered by FACE and reduce stigma of mental health issues and help seeking behaviors. In addition, the FACE will provide information brochures and booklets to pediatrician offices, law enforcement offices, juvenile probation offices, pre-schools/daycares, Head Start building, churches, schools, afterschool program providers, and other relevant entities identified by the Board. *In addition, the FACE will work with BCSMHC to provide assessment services in county school buildings. Furthermore, FACE and the Columbia/Boone County Department of Public Health and Human Services will coordinate efforts to conduct a series of “Integrated Behavioral Health” clinics in neutral locations around the county to widen the scope and reach to youth and families living outside of Columbia (see Attachment G for letter of support from Columbia/Boone County Public Health and Human Services).*

Motivational Interviewing: Once families are willing to talk to a service provider, strategies are needed to support the provider in using conversational strategies that are more likely to build engagement and motivation. Here we will rely on Motivational Interviewing, (MI), a proven approach for fostering motivation through conversation. MI is a client-centered, non-confrontational, directive approach to intervention designed to help individuals resolve ambivalence and enhance motivation to change (Miller & Rollnick, 2012). All FACE clinicians will be trained in MI and will implement the Family Check-up (FCU) a brief assessment-based intervention that incorporated MI strategies throughout.

MI provides the ongoing interaction style and framework for making it more likely that caregivers will perceive the benefits of initial and ongoing help-seeking as outweighing any barriers (Herman et al., 2013). The basic premise of MI is that people are most likely to become motivated to change when they perceive discrepancies between their values and their behaviors. By using MI, clinicians attempt to elicit value statements as well as concerns about the status quo, reasons for changing, commitment to change, and

optimism that change is possible. MI is a well-established intervention with an extensive research base to increase engagement in and adherence to a range of substance abuse, medical, and mental health interventions including family interventions for youth emotional and behavior problems (Connell, Dishion, Yasui, & Kavanagh, 2007; Stormshak, Dishion, Light, & Yashui, 2005). MI provides a framework that FACE staff will use in all of their interactions to facilitate involvement and motivation and to foster outcomes --a plethora of studies suggest MI improves both participant retention and also successful youth outcomes (ES = .25-.57).

The third barrier, many families seeking support are often turned away from services due to lack of available providers or due to financial barriers. A 2010 local survey of mental health service providers (MU Institute of Public Policy, 2011b) indicated that more than *350 Boone County youths and families were turned away from therapy services*. Providers also reported wait times of 3 or more months for those who did ultimately receive services. To address these issues, we propose no one be turned away from services and that we begin to track wait times and identify needed services through an integrated case management system.

No one will be turned away from the FACE of Boone County. The FACE will provide assessment services throughout the day. Currently, data from the Boone County Juvenile Office support initial estimated brick and mortar office hours for FACE are optimally set between the hours of 10am to 7pm, Sunday through Friday with extended hours on Saturdays (10am to 1pm). During hours where the FACE center location would be closed, a rotating on call system will be set up so that a FACE clinician can be available to provide services outside beyond regular operating hours. These hours are optimal for several reasons. First, data suggest that during November 1, 2009 and April 25, 2010, a total of 210 calls were logged to juvenile court service offices. Among those calls, 64% were on the weekends for Saturday (between hours of 3pm and 12:15am) and Sunday (between the hours of 3pm and 1am). Second, the hours of operation allow increased access to services in the evenings for families who may work and the hours respond to data suggesting busy hours where law enforcement may have increased contact with status offenders or minors in need of supervision on the weekends. The days and hours will be adjusted based on the needs of the community.

Integrated Information Management System. The FACE will develop and utilize an integrated information management system. This system will incorporate the tri-annual universal surveillance screening data gathered across Boone County, aggregate data gathered from youth and families directly assessed as they come into contact with FACE, and case management data gathered from all youth and families referred for existing services in the community. Such data are useful on several levels to a) determine the current service needs in Boone County, b) determine waitlist time to access needed services, and c) identify gaps in referral sources and lack of evidence-based services currently available in the community. These data can be reported to the Boone County Children's Services board to guide funding decisions. These data are also valuable as a guide to MU researchers consulting on the development of FACE to assist community

providers to access relevant professional development trainings and evidence-based programs previously shown to improve youth and family functioning.

And lastly—but perhaps most importantly—research on systemic coordinated efforts to improve community mental health treatment suggests that improving access to services is not enough if the services themselves are not effective. Unfortunately, improved access to well-coordinated services may not be enough to yield the desired outcomes of improved youth and family functioning. Case in point, two seminal demonstration projects of system of care models of mental health have suggested disappointing outcomes. For example, Bickman and colleagues (1996, 1999, 2000) evaluated the implementation of the multi-million dollar mental health reform in North Carolina (Ft. Bragg) and Ohio (Stark County). In both locations, the service referral and case management model was successfully implemented – and it resulted in significantly improved access to services, reduced duplication, improved coordination and improved tracking of services. Indeed, families in both locations also reported feeling significantly satisfied with the services offered. However, despite those system-level improvements costing millions of tax payer dollars, the coordinated care model did not appear to facilitate significant improvements in youth symptoms or family functioning compared to usual care. In other words, the youths who were treated under these models showed no better outcomes than those who were treated under the typical, fragmented system that we currently have. In fact, the results suggested that youths who received coordinate service care showed no better outcomes than those who received no services at all (see Bickman et al., 1996, 1997, 1999, 2000).

*Clearly, if we are to achieve a genuine public health impact, it is not enough to simply improve the availability and coordination of services – we **must** improve the quality of the services being provided by local agencies* (e.g., Hawley, 2005, 2008; Weisz et al., 2002, 2005, 2006, 2013). Absent any real plan to (a) train local providers in evidence-based practice skills, (b) increase the adoption of evidence-based treatments being provided by Boone County service agencies, and (c) to provide ongoing and accurate feedback regarding the success of any single treatment, provider, or agency—the public health impact of a system-level effort to improve access to care through FACE will likely be limited.

As such, in addition to improving universal access, coordination of services and intensive case management follow up, FACE must also work to ensure that any elements of a service plan consists of scientifically supported and effective programs, practices, and interventions.

Heeding the historical lessons of these community projects, FACE proposes to work with the community of providers, national and local experts, families and other stakeholders, to expand the availability of high quality, evidence-based intervention services in Boone County. In order to begin to address the critical need for evidence-based mental health services in Boone County, we plan to continue providing high quality, no-cost training, consultation and support in evidence-based mental health treatment for Boone County mental health providers through the FACE center. To start,

Dr. Kristin Hawley—Director of the Center for Evidence-Based Youth Mental Health (CEBYMH) and Co-PI on this proposal—will continue offering training, support and consultation to all interested mental health providers in Boone County. Dr. Hawley—with support from the Boone County Children’s Services Board—is currently piloting a small project to offer these trainings to Boone County Providers. In addition, she has extended this offer to train and supervise licensed social workers and psychologists recently hired as part of the BCSMHC in best practices in youth mental health.

We aim to maintain and greatly expand this commitment to reach out to all providers in Boone County through FACE by (1) training and developing a competent workforce of mental health providers trained in evidence-based intervention practices, and (2) continually track on-going progress and outcomes so that we can monitor the success of these efforts to improve public health. In short, a primary aim of FACE is to build a true learning community that relies on data to drive decisional systems, target community problems in a responsive manner, and monitor the success of those efforts in a collaborative manner. Only through substantial realignment of how existing services interface and coordinate can we hope to truly improve the quality of care provided for our youth and families in Boone County.

aiv. How Boone County is Affected by the Issue. In the absence of access to effective services, children with early and serious social, emotional and behavioral disturbances are at-risk for a life-time of deleterious outcomes; including truancy, rejection by prosocial peers, poor academic achievement, early aggression and increased association with deviant peers (Walker, Zeller, Close, Webber, & Gresham, 1999). These proximal outcomes mediate the increased likelihood of school failure and dropout—amplifying the propensity that those youth will develop into adults who subsist on unemployment and welfare, experience serious physical injuries and poor mental health outcomes, and engage in behaviors resulting in elevated police contact and increased likelihood of arrest and imprisonment (Wagner et al., 2006). To be sure, 58% of youth with emotional problems who drop out of school are arrested within five years (Wagner et al, 2006). In sum, the 2-3% of youth who experience symptoms of serious emotional and behavioral disorders constitute a significant public health concern—and any effective intervention must consist of a comprehensive, child-focused and family-oriented approach to address the problem. Table 1 provides estimates regarding the number of children and youth in Boone County who would likely benefit from access to services based on 2014 census data.

With this in mind, our proposal to the Children’s Services Board describes a collaborative initiative between several non-conflicted Boone County stakeholders to direct the development of The FACE of Boone County—an access to services program that will engage in scientifically supported assessment, referral, case management, and quality assurance strategies with the goals of (a) enhancing access to services for youth and families in our community, (b) using engagement strategies to reach the most at-risk youth and families, (c) coordinating the provision of a comprehensive assessment-driven and family-based treatment plans, (d) promoting the improvement of evidence-based practices by relying on scientifically supported assessments, referrals to effective

community providers, and monitoring the progress of families via a case management approach directed by highly trained mental health professionals, (e) using data to identify community needs for services, and (f) building an evidence-based learning community where we are continually improving the quality of care provided and the public health outcomes for our youth

bi. Target Population. Our proposal to develop the FACE of Boone County will target all children and youth 19 years of age or less and their families (approximately 36,270; see Table 1). Specifically, a tiered public health model of targeted services will be provided. First, the FACE of Boone County will engage in prevention and mental health promotion activities that will target all youth and families in Boone County.

Table 1: Target Population Based on 2014 Boone County Census Data

Prevention	Early Intervention	Intervention
Total Population of Youth	“At-risk” (~10%)	“In-risk” (~3%)
36,270	3627	1088*

* Of the 1088 youth in-risk only a small fraction may currently have access to services.

bii. Why Serve this Population. The focus on prevention aims to create an informed community that facilitates supportive and caring homes and community climates with an increased capacity to recognize the early signs and symptoms of mental health concerns, increased awareness of strategies and practices (e.g., parenting, self-care, etc.) to mitigate poor outcomes, and expanded resources and services to treat those conditions. In an environment saturated with adults who understand these issues, we can build a community that effectively responds to children, youth, and families who are “at” and “in” risk for poor behavioral and mental health outcomes.

Next, the FACE of Boone County will serve as a conduit for bringing services to children and families from all sectors of the community. The FACE of Boone County will be seen as a non-threatening and available open door consultation service from which families, parents, and youth can seek support and make informed decisions about available services.

The FACE of Boone County will use a “no wrong door” approach and accept referrals from parents, parents as teacher, pediatricians, schools, human services, judicial services, law enforcement agencies, and other community programs. Through this “no wrong door” approach, The FACE of Boone County aims to provide targeted services to the 2-3% ($N \sim 1088$, see Table 1) of youth and families considered to be “in-risk” who may benefit from intensive case management and coordination of evidence-based services to support areas of need. This population will be served because these are families of youth who are most exposed to the risk factors that cultivate poor mental and behavioral health outcomes. Much lower than our own estimates—and likely due to the barriers that we highlight above—the Department of Mental Health (2013) suggested only 524 Boone County youth ages 19 and under received some form of mental health services. As such,

we anticipate that our estimate will capture youth and their families who are unable to access services for the plethora of reasons already discussed.

biii.-biv. Impediments and Challenges to Serving Specific Boone County Sub-groups. Some specific populations are typically difficult to engage in needed services. First, the estimates here are based on national epidemiological studies—and the estimates focus on those who are at the highest levels of risk. Second, we also acknowledge these estimates are proxies for economic status and do not reflect the true economic status of children and families in Boone County. Indeed, reviewing the number of youth participating in the subsidized lunch program over the past decade also suggests the number of youth and families who may require supportive services through The FACE of Boone County may be greater. Furthermore, it should be noted that these estimates do not reflect families who may be eligible for entitlement services that would financially assist them in accessing effective treatments. And third and final, a very real barrier to assisting these families is not only access to supportive services but also their own readiness, willingness, ability to accept and engage in effective supportive strategies. Through community engagement strategies, de-stigmatization campaigns, wide-range of referral sources, and use of community liaisons we hope to reach a broader scope of youth and families who would benefit from services.

Description of Program Services. The FACE of Boone County aims to achieve the goals of improving the mental and physical health, well-being, and safety of children and their families in Boone County through a comprehensive and integrated approach utilizing a public health model of tiered supports.

cii. Detailed Information on FACE Services. The core elements of FACE include: (1) single point of entry, (2) evidence-based engagement practices, (3) scientifically supported assessments, (4) evidence-based case management model, (5) ongoing progress monitoring, (6) reliance on a strategic prevention and intervention plan, (7) use of an integrated information management system, (8) reliance on quality assurance practices, and (9) data-driven and responsive trainings to improve the quality of services in Boone County. These core elements are detailed below.

1. **SINGLE POINT OF ENTRY:** FACE single point of entry will unconditionally accept referrals from multiple doors in Boone County (i.e., families/youth, schools, law enforcement, providers, Columbia/Boone County Health Department, etc.—see FACE Service Chart in Attachment I);
2. **EVIDENCE-BASED ENGAGEMENT PRACTICES:** FACE clinicians will be trained and licensed mental health professionals and properly supervised graduate trainees who will utilize evidence-based engagement strategies (i.e., Motivational Interviewing) to enhance youth and family involvement. Community engagement strategies will be utilized including social awareness campaigns and use of community liaisons to reduce stigma of mental health issues and help seeking behaviors;

- 3. SCIENTIFICALLY SUPPORTED ASSESSMENTS:** Comprehensive, evidence-based, and developmentally responsive assessments of youth and family risk factors will examine areas of strength and need across relevant domains of: (a) youth adjustment, (b) family and parent adjustment, (c) school adjustment, and relevant community contextual factors. Table 2 lists sample assessment protocols by child age and developmental domains. These assessments differ from traditional psychological assessment batteries which have been criticized as expensive and time-intensive approaches that often simply “admire the problem” without clear relevance for intervention (Shinn et al., 2009). Instead, the focus of FACE assessments will be on clinically relevant malleable risk factors and critical leverage points that have clear implications for intervention planning and adult decision-making. While intensive developmental assessments (including intellectual assessments) will be available when severe developmental delays are suspected, the core assessments will collect simple, brief data that can be used to directly guide treatment planning.

Table 2. Sample Assessment Protocols by Domain and Developmental Age¹

Domain	Youth Developmental Age		
	0-5	6-11	12-19
Youth Adjustment	<ul style="list-style-type: none"> • <i>BASC-Parent (0-5)</i> • <i>ICQ (Bates Temperament)</i> • <i>Bayley Scales of Infant Development</i> • <i>Vineland Adaptive Scales</i> • <i>Child Maltreatment Screener</i> 	<ul style="list-style-type: none"> • <i>BASC-Parent (6-11)</i> • <i>BASC-Child (8-11)</i> • <i>Child Depression Inventory</i> • <i>RCMAS-Anxiety</i> • <i>Scale for Suicidal Ideation</i> • <i>Child Maltreatment Screener</i> 	<ul style="list-style-type: none"> • <i>BASC-Parent (12-19)</i> • <i>BASC-Youth (12-19)</i> • <i>Deviant Peer Affiliation</i> • <i>CES-DC; Depression Child version</i> • <i>RCMAS-Anxiety</i> • <i>Scale for Suicidal Ideation (SASSI-A2)</i> • <i>Trafficking Victim Identification Tool</i>
Family Adjustment	<ul style="list-style-type: none"> • <i>Structured Interview of Parenting Practices (SIPP)</i> • <i>Alabama Parenting Questionnaire</i> • <i>Family Relations Questionnaire</i> • <i>Attachment Style Questionnaire</i> • <i>Family Envir Scale</i> 	<ul style="list-style-type: none"> • <i>SIPP</i> • <i>Alabama Parenting Questionnaire</i> • <i>Family Relations Questionnaire</i> • <i>Family Environment Scale</i> • <i>Child Parent Relations Scale</i> 	<ul style="list-style-type: none"> • <i>SIPP</i> • <i>Alabama Parenting Questionnaire</i> • <i>Family Relations Questionnaire</i> • <i>Family Environment Scale</i> • <i>Child Parent Relations Scale</i>
Parent Adjustment	<ul style="list-style-type: none"> • <i>CES-D; Depression</i> • <i>CAGE- Alcohol; DIS-Drug</i> • <i>Parenting Daily Hassles</i> 	<ul style="list-style-type: none"> • <i>CES-D; Depression</i> • <i>CAGE- Alcohol; DIS-Drug</i> • <i>Parenting Daily Hassles</i> 	<ul style="list-style-type: none"> • <i>CES-D; Depression</i> • <i>CAGE- Alcohol; DIS-Drug</i> • <i>Parenting Daily Hassles</i>
School Adjustment	<ul style="list-style-type: none"> • <i>BASC-Teacher (if in preschool)</i> • <i>Home Educational Environment Index</i> 	<ul style="list-style-type: none"> • <i>BASC-Teacher (6-11)</i> • <i>BASC-Child (8-11)</i> • <i>T-Involve; Parent Involvement</i> 	<ul style="list-style-type: none"> • <i>BASC-Teacher (12-18)</i> • <i>BASC-Child (8-11)</i> • <i>T-Involve; Parent Involvement</i>

	<ul style="list-style-type: none"> • Direct observations • Woodcock Johnson • Curriculum Based Assessments 	<ul style="list-style-type: none"> • Direct Observations • Woodcock Johnson • Curriculum Based Assessments
Community Context	<ul style="list-style-type: none"> • SACA (Service Use) • Community Life Skills • Neighborhood Scales 	<ul style="list-style-type: none"> • SACA (Service Use) • Community Life Skills • Neighborhood Scales

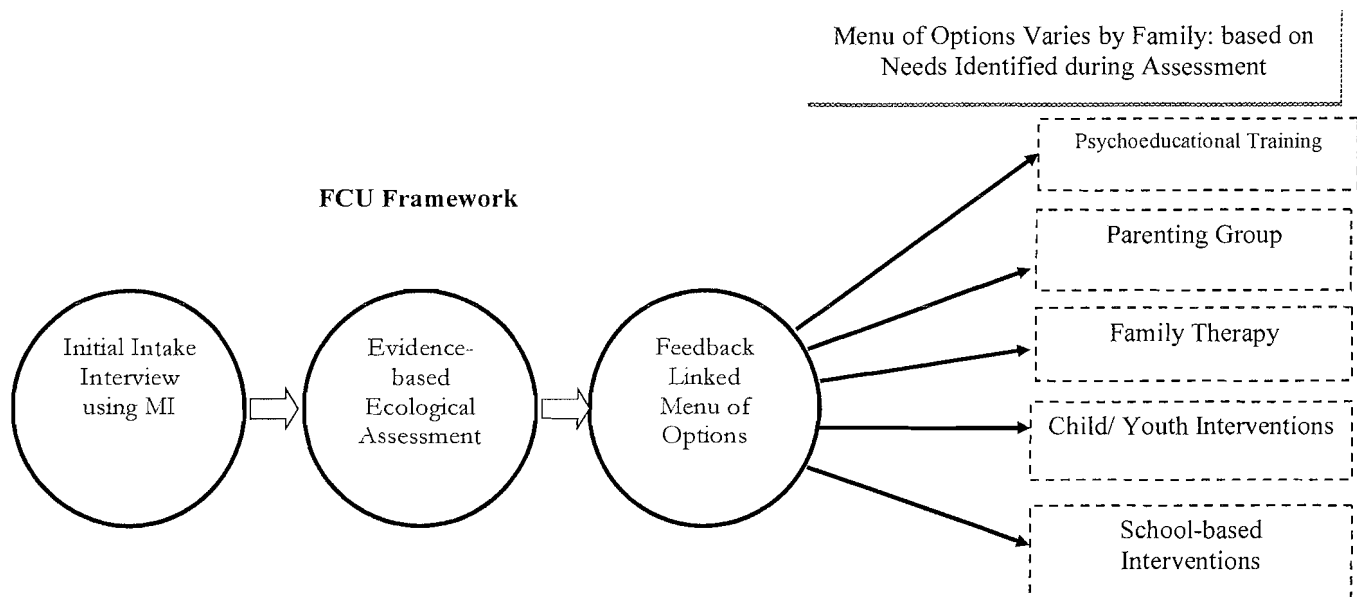
Notes. BASC=Behavior Assessment Scale for Children which includes a range of internalizing and externalizing symptoms, peer and adult relationships, and adaptive functioning subscales. SIPP= Structured Interview of Parenting Practices which includes subscales on parenting, home-learning environment, parent involvement with school, and family relations. The Family Environment Scale focuses on family conflict, cohesion, and communication.

Interviews and assessments are tailored to each family based on presenting concerns and typically take 90 minutes to complete. These protocols simply a range of areas that may be assessed during a given development period.

*Dr. Kelly Schieltz has expertise in developmental disabilities, self-injurious behavior, and applied behavior analysis. She will provide consultation in the selection of screeners for developmental delays and disability for youth presenting with these concerns.

- EVIDENCE BASED CASE MANAGEMENT MODEL:** Case managers will rely upon the assessment results to develop a strengths-based, youth-centered and family-focused service plan using the Family Check-up (FCU) framework. The FCU is an evidence-based brief assessment intervention that uses Motivational Interviewing and links youth and families to scientifically supported strategies through a menu of options based upon the results of the assessment. Case managers will support youth and family involvement by providing them by providing a menu of options for choice of service providers to access specific services that are directly linked to areas of need identified through the assessment. Notably, the Family Check Up approach, has been shown to improve parenting practices and youth outcomes, even when families only complete the intake and feedback sessions. Thus, the implementation of this model in itself helps foster the goal of improved services and outcomes.

Figure 1: Family Check Up Framework



5. **ON-GOING PROGRESS MONITORING:** Through integrated case management services and continual monitoring of the child and family service plan, FACE clinicians will confirm youth and family access to their choice of selected services and monitor progress toward identified goals and socially important outcomes;
6. **RELIANCE ON A STRATEGIC PREVENTION AND INTERVENTION PLAN:** More specifically, the FACE of Boone County aims to achieve the goals of improving the mental and physical health, well-being, and safety of children and their families in Boone County through a comprehensive and integrated approach utilizing a public health model of tiered supports. This integrated approach involves multiple levels of prevention and intervention activities, such as:

Increased Awareness and Dissemination Strategies:

- **Promote public awareness through media (i.e., media, posters, brochures, newspaper, radio, billboards, etc.) and other social marketing campaigns to foster attitudes and practices leading to positive outcomes for youth development**
 - Increase visibility and viability of the FACE of Boone County
 - Destigmatising/normalizing the need to seek help for mental health
 - Destigmatising/normalizing the need to seek help for positive parenting
 - Use community liaisons to engage families of youth in help seeking and destigmatizing/ normalizing the need to seek help
 - Decrease the effect of pessimistic and parent-blaming messages
- **Offer a range of free, research-supported training programs to city and county employees as well as community members**
 - Raise community awareness of Mental Health
 - Improve community understanding surrounding the effects of trauma
 - Provide access to Mental Health First Aid Training (MHFA), and other similar training models
 - Trainings on best practices for parenting in general and for addressing developmentally challenging problems (e.g., developmental disabilities, oppositional defiant disorder, conduct disorder, attentional problems, substance abuse issues, etc.)
 - Trainings on best practices for strengthening afterschool activities and programs for at-risk youth

Build a Range of Innovative Scientifically Supported Prevention Programs:

- **Provide a range of free, innovative, and research-supported programs to support youth and families experiencing early signs of social, emotional, and behavioural health issues**
 - Deliver evidence-Based Parenting Programs
 - Deliver evidence-Based Youth Programs
 - Free, Brief Solution-Focused Therapy (short, one-on-one, thirty minute sessions provided to any parent/youth walk-in/phone call targeting a specific problem—follow-ups will be made via phone call or visit)

- **Support the implementation of these programs and services by local providers through a variety of training, coaching and consultation, and feedback services**
 - Rolling trainings offered at FACE location and at MU in evidence-based treatment strategies
 - Consultation and coaching for local providers adopting new treatment strategies
 - On-going case monitoring and feedback on effectiveness of practices for providers.

Universal Screening to Identify Children and Youth In Need Of Services:

- **Develop an early identification and monitoring system for early childhood** to compliment and integrate with the BCSMHC public surveillance Early Identification System currently being used for students K to 12 in Boone County schools.
 - Develop a downward expansion of the BCSMHC screening system for pre-school age children.
 - Assess tri-annually the risk and protective factors for Boone County children and youth
 - Data collected from youth and teachers for early childhood (i.e., preschool age) and school age children and youth.
- **Utilize tri-annual screenings as a public health surveillance tool**
 - Data will identify county and community level risk factors.
 - Data used to identify areas of need for services and evaluate effectiveness of prevention and intervention activities in the community.

Developmentally Appropriate and Comprehensive Assessment and Case Management Services for Children, Youth, and Families:

- **Provide comprehensive ecological assessment to drive the development of an intensive case management service plan for youth and families**
 - Act on referrals from all school-based teams of youth at high risk, law enforcement encounters with youth, and Boone County residents in need of support for a child.
 - Conduct a developmental, comprehensive assessment of all youth and family systems referred to FACE (see Table 2 for sample of assessment protocols)
 - Utilize MI and FCU to engage families and support a child-centered and family-focused strengths based service plan.
 - Promote family and youth engagement by providing a menu of options of service providers and relevant services.

7. **USE OF INTEGRATED INFORMATION MANAGEMENT SYSTEM:** To Facilitate Comprehensive Integrated Information Required for Case Management Service Models we must develop an integrated FACE of Boone County website and data collection system. The website and data collection will need to be developed with

three critical themes in mind, security, efficiency, and awareness. Since data populating the site with data from the tools in Table 2—these data will fall under the HIPAA umbrella. As such, security will be of the utmost importance. Security Considerations will include—but may not be limited to:

- HIPAA Compliance
- Access Controlled Data Center
- Individual user access accounts
- Separation of Application Software and Database Storage Servers
- Encrypted and Separate Storage Systems for all Identifying Information
- Secure Sockets Layer (SSL) Encryption of Information
- Regular and Frequent Data Backups
- Auditing and Access Alerts
- FACE Facility Access and VPN Clients for employee access
- User Roles
- Admin - Has full access, very limited membership
- Intake User - Can intake and process workflows to select services to be recommended
- Caseworker - Setup new cases and process workflows to manage cases.
- Notification Party - Receive alerts, and notifications of their involvement in cases.

For FACE to have the greatest impact, it must have the ability to provide case management workers with the necessary tools to quickly evaluate the needs of youth and families and connect them with the necessary services as quickly as possible. The website and case management system will afford FACE caseworkers with portable access to assessments and the ability to monitor progress of youth and families using well designed workflow charts. It will incorporate reminders, notifications, and tasks to ensure that all who are involved with a particular case have the information they need on demand to assist the client. To develop this workflow system, specific modules will be developed within the website case management system to refer to steps in the case management process:

- **Intake**
 - New client setup - General client personal information
 - New client intake interview - Initial client interview
 - Client assessments - Additional questions to determine service needs.
 - Decision making algorithms to determine recommended services
 - Populated fields to allow families to select specific services providers
 - Initial case setup tasks
 - Alerts for returning youth or no-show cases (i.e., these alerts, if referred by law enforcement—can automatically alert juvenile services and law enforcements officers of the no show so that follow-up visits can be **provided**).
- **Case Management**
 - Case Goals - Goal tracking based on client interview and assessments

- Goal progress and milestones - Set individual steps required to complete goals
- Case follow up tasks - Tasks and workflow to maintain client awareness of progress
- **Case closure**
 - Tasking
 - Case notes - Maintain case notes history for client interactions
 - Surveys
 - Anonymous quality assurance questionnaires for clients to complete
 - Reporting
- **Ongoing Progress Reporting Functions**
 - County level reports of aggregated data
 - Regional level reports of aggregated data (e.g., Columbia, Hallsville, etc.)
 - Individual youth and family progress reports
 - Notifications for Juvenile Office or Law Enforcement of no show referrals
 - Alerts for case workers on missed appointments
 - Requests for milestone updates to providers
 - Automatically generated client reminders
 - Alert for status offenders and law enforcement contacts

8. **RELIANCE UPON ONGOING QUALITY ASSURANCE:** To reassure the tax payers of Boone County that their investment in FACE is producing valuable child, family, and community outcomes, it is necessary to use data to not only report to the Boone County Children’s Services Board—but to use that data in an ongoing process aimed at improving the quality of services. By documenting and monitoring the progress of the service plan using reliable measures, clearly defined exit criteria, and tracking progress on these indicators at the individual, family, and county levels using an integrated information management system—FACE will serve as a central pillar to monitor the success of services at several levels, specifically:
- a. **Child and Family**—through continual ongoing progress monitoring tools, FACE clinicians will be able to monitor the success of any single service plan on child and family functioning;
 - b. **Service Providers**—by aggregating progress monitoring tools across specific services and agencies, over time we can get an idea of which services are effective—this can inform FACE clinicians and trainers of the need to be supportive of community providers to boost the effectiveness of services in Boone County;
 - c. **County**—by examining county level indicators of social, emotional, and behavioral health of youth and families in Boone County, FACE will provide responsive information on (a) emerging risks, (b) effective services, (c) and monitor the success of the Children’s Tax for both the board and the citizens of Boone County.

Using a performance feedback loop for assessing the quality of FACE services has utility for (a) families, (b) FACE operations, and (c) the Boone County Children's Services Board. **First, these data will be central to evaluate the progress and overall success of FACE services for a youth and families and will be used to:**

- coordinate information and services,
- track referrals and document receipt of services,
- evaluate the ongoing progress of each service plan, and
- evaluate the success of a plan to produce valuable youth and family outcomes.

Second, the data shall also inform a feedback loop whereby the FACE Board and Director will be able to evaluate processes and outcomes by identifying:

- gaps in services for youth and families,
- wait times to service access,
- effectiveness of single services on specific outcomes,
- effectiveness of cumulative services plans on specific outcomes,
- needed evidence-based programs, and
- evidence-based practice training needs for agencies and professionals.

Third, the data will also have utility for the Boone County Children's Services Board so the Board may:

- monitor the success of the Service Tax for Boone County voters,
- monitor the progress of the FACE of Boone County,
- improve existing services in the county,
- identify emerging mental and behavioral health needs in the county, and
- release responsive requests for proposals to meet emerging needs.

9. DATA-DRIVEN AND RESPONSIVE TRAINING TO IMPROVE QUALITY OF CARE IN BOONE COUNTY:

In addition to the availability and access of services, if we are truly to achieve a real impact on the County level, it is not enough to simply improve the availability of services – we must also improve the quality of care provided (e.g., Bickman et al., 1996, 1999, 2000; Hawley, 2005, 2008; Weisz et al., 2002, 2005, 2006, 2013). Without accompanying workforce development, focused specifically on helping providers become trained and competent in effective, evidence-based practices (EBPs), the public health impact is limited.

As such, FACE will work with Dr. Kristin Hawley and the CEBYMH to encourage all Boone County providers to access to the free, online Therapy Tracker wherein providers, youths, and parents/caregivers report on session activities (via the *CBT Adherence Measure* -- CBTAM; Hawley, NIH R21 MH090460) and youth symptoms (via the *Brief Problem Checklist*-- BPC; Chorpita et al., 2010 and the *Top Three Problems*; Weisz et al., 2002).

Gaps identified in services or areas of need in which lags in time to services available are identified will be targeted for training to community providers in relevant EBPs that will meet the needs identified. This would be an expansion of the current services and trainings being offered by the CEBYMH. Specifically, trainings through FACE would include:

- A semester-long MU course in EBP (including evidence-based assessment and treatment) of children and adolescents;
- Full day workshops in specific youth EBPs (e.g., CBT for anxiety; CBT for depression; BPT for behavior problems; TF-CBT for trauma; MI for substance abuse); Provider training in year 1 will focus on the following EBTs for the most common youth MH problems seen in MH settings:
 - Depression: CBT with Behavioral Activation (e.g. Primary and Secondary Control Enhancement Training; Weisz et al., 1997)
 - Anxiety including Specific Phobia, Separation Anxiety, Social Anxiety and Generalized Anxiety Disorders: CBT with In Vivo Exposure (e.g., Coping Cat and C.A.T. Project; Kendall et al., 2002, 2000)
 - Disruptive Behavior Problems including ADHD, ODD and mild CD: Behavioral Parent Training (e.g., Defiant Children and Defiant Teens; Barkley, 2013, 2014) with or without co-occurring youth-focused CBT (Chorpita & Weisz, 2009)
 - History of Abuse or Trauma: TF-CBT (Cohen et al., 2006)
 - Weekly meetings of a learning collaborative to provide both peer support and expert consultation in the implementation of EBP in provider's ongoing practice;
 - Web-based session-by-session tracking of EBP implementation and client progress;
 - Session-by-session provider feedback reports on EBP implementation, client progress, child and caregiver alliance.

Incentives to participate in trainings, ongoing consultation, and adherence to

EBPs: Many community based clinical service programs do not allow time for clinical personnel to engage in professional development activities because these trainings take away time from providing services. However, this is unfortunate because it limits an agencies capacity to be well versed and informed about evidence-based practices.

To increase the likelihood that community providers will participate in professional development training activities we request funds to incentivise participation in FACE and CEBYMH EBP trainings by providing individual clinicians \$250 for attending trainings. In addition, if these individuals access ongoing consultation from Dr. Hawley in the use of EBPs and complete the free, online Therapy Tracker adherence measure to document their use of evidence-based strategies, they will receive an additional \$250. *Lastly, we will be providing certificates to providers who elect to engage and complete these supported trainings. These certificates will cite the agency as a preferred Boone County and FACE provider and to recognize their efforts to improve the quality of their evidence based treatments.* We anticipate that by incentivising training, ongoing consultation, certifying providers, and improving adherence to EBPs we will build a community of practitioners who can provide high quality and effective services that will improve outcomes for youth and families.

ciii. The Location and Operating Hours of the FACE of Boone County. The location should ultimately be determined by the board of FACE—and may solicit suggestions from

county government offices and from the Children's Services Board itself. However, our proposal describes both (a) a two-prong, strategic plan to take FACE services into the smaller communities in Boone County and (b) the location of a possible physical location for FACE of Boone County offices. First, because the goal of our proposal seeks to increase access to supportive services, we thus far have identified a two-pronged approach to pushing FACE services into the smaller communities in Boone County. The first strategy will rely upon the public schools in Boone County to serve as meeting places for families who wish to access services through FACE. In this strategy, FACE clinicians would receive referrals from trained school-based teams. FACE clinicians would interface with youth and families in the youths' respective school settings where assessments would be completed arrangements would be made whereby—if it met the needs of families—they could access services at the public school site in a private and secure office (see Attachment G, Letter of Support from BCSMHC Superintendents pledging space to support FACE activities).

The second prong of our strategy to push services to the less populated areas of Boone County would be to pair with the County Health Department to conduct "Integrated Behavioural Health" clinics in locations around the county (see Attachment G, Letter of Support from Columbia/Boone County Public Health and Human Services). Prior to the clinic, efforts would be made to advertise the joint effort between the Health Department and FACE to provide integrated physical and behavioural health supports. That is, FACE clinicians can attend these clinics with County Health Department staff and be available to conduct in-depth assessments and develop comprehensive service plans for families in need of supports.

The physical location of FACE offices should ultimately be determined by the FACE of Boone County Board of Representatives—however, we have identified a possible location within Columbia that may be considered by the board. In this process, we have engaged in discussions with Ms. Anna Marie Knipp—present owner of the property at 105 E. Ash Street (please see Attachment G—letter of support #9 and Attachment H—floor plans for 105 East Ash St.). The location has previously served Boone County Offices, has served as a book depository for the Boone County Library during renovations, and has many positive attributes that serve the goals of FACE, including:

- Downtown Columbia location—the largest population center in Boone County
- Central geographic location within Boone County itself
- Close proximity to Columbia Police Department and other government services
- Proximal to a majority of private service provider offices in Boone County
- The offices have their own physical entrances and emergency exits
- The location is secure, accessible, inviting and neutral
- The space is large enough [4,500 square feet] to accommodate
 - a small waiting area,
 - office space for a director, receptionist, and several clinicians,
 - space for secure file storage,
 - high speed wireless access,
 - private interview rooms, and
 - meeting space for psychoeducational or outpatient group therapy.

Regarding the hours of operation a proposed FACE center of operations, data from the Boone County Juvenile Office support initial estimated brick and mortar office hours for FACE are optimally set between the hours of 10am to 7pm, Sunday through Thursday with extended hours on Friday and Saturdays (10am to 1pm). These hours are optimal for several reasons. First, data suggest that during November 1, 2009 and April 25, 2010, a total of 210 calls were logged to juvenile court service offices. Among those calls, 64% were on the weekends for Saturday (between hours of 3pm and 12:15am) and Sunday (between the hours of 3pm and 1am). Second, these hours are optimal as the hours of operation allow increased access to services in the evenings for families who may work and the hours respond to data suggesting busy hours where law enforcement may have increased contact with status offenders or minors in need of supervision on the weekends. During hours where the FACE center location would be closed, a rotating on call system will be set up so that a FACE clinician can be available to provide services outside beyond regular operating hours. The days and hours will be adjusted based on the needs of the community.

civ. Program Requirements (i.e., licensing, accreditation, etc.). the Board of Representatives and Director of the FACE of Boone County will meet all Federal, State, and local hiring and operating regulatory requirements (e.g., Title 9, FERPA, HIPPA, etc.) and other relevant requirements necessary to recuperate costs from third-party reimbursements for families who may qualify for such services (e.g., Missouri Department of Mental Health, Medicaid, Social Security, etc.). On this last note, please note that applications for third party billing and recuperations of costs associated with FACE operations will not be in process until year 3 of the FACE of Boone County Developmental timeline. Furthermore, all FACE clinicians would be required by contract to maintain their credentials. This is a common requirement and would be overseen by their licensing boards (i.e., NASW, APA, etc.).

cv. Evidence-Based Practices. Regarding evidence-based practices and innovative service techniques to be used to support the efficacy of FACE operations, all clinicians will be required to maintain their professional licensure and will receive annual training required to maintain those credentials. Beyond these basic requirements, we propose that FACE clinicians will receive ongoing, mandatory training and supervision to support their use of (a) evidence-based interview and engagement techniques such as Motivational Interviewing, (b) evidence-based assessment practices within a framework to support engagement in service options, and (c) scientifically supported approaches to community-based mental health interventions and case management.

The following evidence-based practices will be utilized by all FACE staff:

Parent and youth engagement strategies. Parallel scientific literatures have emerged in the past two decades that highlight two corresponding factors that must be addressed to support a caregiver's willingness, readiness, and ability to access and participate in care for their child's mental health services (Herman, Reinke, Frey, & Shepard, 2014; McKay et al., 2004; Nock & Kazdin, 2001), especially families and youth with the highest needs.

First, engagement and social marketing strategies are needed to get parents literally to the door, to get them willing to make a phone call or enter a clinic where they will have a conversation. These strategies must address the well-documented barriers to care that interfere with mental health services access including structural barriers (e.g., child care, transportation, time) and perceptual barriers (e.g., attitudes about mental health, prior negative experiences and expectations) (McKay et al., 2004; Nock & Kazdin, 2001). Several articles have provided excellent details for making services more accessible to families (e.g., Webster-Stratton, 1998). These include offering services at flexible times, providing meals and childcare for participation in family-focused interventions, and providing incentives for participation.

Beyond structural barriers, parent attitudes and expectations about participating in services are shaped by interactions with other school personnel and social service providers over time. These historical antecedents to parent intention to participate are often the most hidden and least discussed barriers to participation, but also the most important leverage points in facilitating change. Thus, FACE personnel need to be trained to discuss these past experiences in ways to that facilitate engagement (McKay et al., 2004). Relatively simple strategies to foster positive expectations that clinicians can use at every point of contact with caregivers can nearly double family participation rates over time (McKay et al. 2004; Winslow et al., 2011).

Motivational Interviewing. Once caregivers are willing to talk to a service provider, strategies are needed to support the provider in using conversational strategies that are more likely to build engagement and motivation. Here we will rely on Motivational Interviewing, MI, a proven approach for fostering motivation through conversation. MI is a client-centered, non-confrontational, directive approach to intervention designed to help individuals resolve ambivalence and enhance motivation to change (Miller & Rollnick, 2012). MI provides the ongoing interaction style and framework for making it more likely that caregivers will perceive the benefits of initial and ongoing help-seeking as outweighing any barriers (Herman et al., 2013). The basic premise of MI is that people are most likely to become motivated to change when they perceive discrepancies between their values and their behaviors. By using MI, clinicians attempt to elicit value statements as well as concerns about the status quo, reasons for changing, commitment to change, and optimism that change is possible. MI is a well-established intervention with an extensive research base to increase engagement in and adherence to a range of substance abuse, medical, and mental health interventions including family interventions for youth emotional and behavior problems (Connell, Dishion, Yasui, & Kavanagh, 2007; Stormshak, Dishion, Light, & Yashui, 2005). MI provides a framework that FACE staff will use in all of their interactions to facilitate involvement and motivation and to foster outcomes --a plethora of studies suggest MI improves both participant retention and also successful youth outcomes (ES = .25-.57).

All FACE clinicians will be trained in scientifically-supported parent engagement, social marketing and interview strategies. Dr. Keith Herman is a Motivational Interviewing National Trainer (MINT) who will provide clinicians with annual training and ongoing

supervision in Motivational Interviewing (MI). Additionally, Drs. Herman and Reinke are well-versed in the parent engagement and marketing strategies developed by Mary McKay and have used both MI and these strategies successfully in their work with families with high needs and low economic means in Baltimore (Herman, Reinke, Bradshaw, Lochman, Borden, & Darney, 2014). Part of our engagement model will involve hiring Community Liaisons, respected individuals from targeted communities that are identified as having high risk and needs. The Community Liaisons will be trained to disseminate social marketing messages and will provide ongoing feedback to FACE supervisors about the quality of services and the attention to cultural norms as perceived by parents in the targeted communities. This ongoing feedback loop will support continuous improvement of tailored services that match the needs and norms of our community.

Family Check-up. In addition, FACE clinicians will be trained in the Family Check-up (FCU) framework which incorporates evidence-based assessment and intervention practices, including MI, to increase the likelihood that families and youth will engage in their co-developed service plan. Drs. Reinke and Herman were trained by Dr. Dishion, the developer of the FCU, and have written treatment manuals and a book (Herman et al., 2013) describing the procedures and strategies of the FCU including adaptations they developed as part of their consultation and research projects in Baltimore City (Herman et al., 2014). They will provide training and supervision to all FACE clinicians in the use of the FCU with youth and families. The FCU is an evidence-based ecological, assessment-based family-centered brief intervention, to support families in need of additional services. FCU utilizes ecological evidence-based developmentally appropriate assessments for youth and families to provide families with feedback on areas of strengths and areas of need, that are linked to a menu of options relevant to the identified concerns. Families make informed decisions from the menu of options to engage in services (see Figure 1). A host of studies support the impact of the FCU on reduced behavioral and mental health concerns among youth, increased participation with services, and youth reductions in substance use longitudinally (Connell, Dishion, Yasui, & Kavanagh, 2007; Herman, Reinke, Bradshaw, et al., 2014; Stormshack, Dishion, Light, & Yasui, 2005; see Figure 1, Family Check-up Framework).

Case Management. Lastly, regarding scientifically-supported evidence-based community treatment modalities, all FACE clinicians will be trained in effective case management. The FACE clinicians will work in partnership with youth and families to:

1. Assess goals, strengths and needs (Family Check-up framework)
2. Identify skills, benefits and services to support areas of need and goals (Menu of Options and Service Plan)
3. Link youth and families to benefits, services and supports
4. Coordinate services as needed with community agencies and schools
5. Monitor quality of care and progress toward goals

cvi. Innovative Services. The proposed plan for the FACE of Boone County will include several innovative service techniques to enhance not only access and coordination of

services—but the FACE will become the community linchpin by which the quality and effectiveness of existing services will be raised over time.

First, the FACE will implement a wide range of prevention programming including trainings and social marketing to build an aware and responsive community. Second, the FACE will utilize scientifically based engagement strategies to reach out to youth and families who may otherwise not seek services. These strategies include hiring Community Liaisons to help spread awareness of the services offered by the FACE while working to destigmatize help seeking behaviors among high risk communities. Third, the FACE uses a “no wrong door” approach to referral and will travel to county locations to provide place-based services as needed in collaboration with BCSMHC and Columbia/Boone County Department of Public Health and Human Services. Fourth, FACE staff will be trained in Motivational Interviewing and employ the Family Check-up to youth and families who seek assistance. Fifth, a comprehensive and integrated information management system will be developed and utilized to help coordinate, evaluate, and improve services in our community. Sixth, the FACE will work to promote the enhancement, development, and dissemination of evidence-based practices in our community, expanding the current work by Dr. Kristin Hawley. Seventh, the FACE will identify gaps in services and incentivize community service providers to gain training in needed evidence-based practices and monitor their fidelity to those practices using the free, online Therapy Tracker wherein providers, youths, and parents/caregivers report on session activities.

cvi. Partnerships, Collaborations, and Memorandum of Understandings Needed to Operate FACE. Partnerships that will be necessary for the successful operation of The FACE of Boone County will begin with the development of several Memoranda of Understanding (MOU). The first MOU that will be developed at the start of the FACE of Boone County will be between law enforcement and probation (please see Attachment G letters from Boone County Sheriff’s Department and Boone County Juvenile Court Services). This MOU is needed between these three departments to allow law enforcement agencies to share data, as many of these youth may have initial contact with law enforcement for status offenses. These MOUs between law enforcement and juvenile court services will specify what types of offenses that youth may be referred to the FACE for. These entities have expressed a willingness to engage in discussions and to develop MOU agreements should the Boone County Children’s Services Board look favorably upon this proposal. Furthermore, Dr. Clark Peters, JD, will collaborate with community agencies, government offices and FACE to facilitate and develop all required MOUs to ensure the successful operation of FACE.

In addition, The FACE of Boone County will engage in partners with all community providers who engage youth and families and offer evidence-based programming. Community providers will be asked to provide up-to-date information on the target populations, types of services provided, how youth and families are referred to the services, the intended outcomes of services provided, what data are used to determine effectiveness of services, and where services are provided. These services will be funneled into the menu of options offered to families based on their identified goals and

areas of need. MOU's may also be developed—with assistance from of Dr. Clark Peters, PhD JD—to facilitate coordination between the FACE of Boone County and existing high quality services such as:

- **First Chance for Children**
 - Coordination of FACE assessments and PAT+ for Birth – 5 youth and families (see Attachment G for Letter of Support)
- **Columbia/Boone County Public Health and Human Services**
 - Coordination of FACE and DHHS integrated health clinics
- **Center for Evidence-Based Youth Mental Health**
 - Professional development and consultation in EBPs (see Attachment G for Letter of Support)
- **The Family Impact Center for Behavioral Health Services**
 - Coordination of adult diagnostic assessments (See Attachment G for Letter of Support)
- **Heart of Missouri United Way**
 - Collaboration amongst HMUW agencies (See Attachment G for Letter)

In addition, we will develop partnerships with community agencies to enhance the quality of existing evidence-based programming on our community. Dr. Kristin Hawley, who currently has a pilot project funded by Boone County, is working to provide training and supervision in evidence-based interventions to community providers who offer practices to meet the needs of youth and families in Boone County. Dr. Hawley, Co-PI on this proposal, will be involved with the FACE of Boone County to greatly expand these efforts. That is, she will work alongside Drs. Thompson, Reinke, and Herman to assess the community need for training in evidence-based intervention using data—gathered from the BCSMHC County-wide surveillance tools; assessment data of youth and families evaluated by BCSHMC and FACE clinicians; and case management data on waitlist and lag time in service provision—to address gaps for particular populations or behavioral or mental health problem areas in which services are lacking in general. Following determination of areas for training in evidence-based programming, Dr. Hawley will support the training and supervision of service providers in our community to meet these needs.

cix. Eligibility Criteria. The only eligibility requirements necessary to receive services and supports from the FACE of Boone County is that (a) families and youth reside within the geographical boundaries of Boone County, Missouri, and (b) families have a child between the ages of birth and 19 who are struggling or seeking support with social, emotional, behavioral, or mental health issues.

cx. Fee. Program recipients *will not* be charged a fee (sliding fee or direct cost) during the proposed developmental period of the FACE of Boone County. To begin, and as described below in our timeline (see section 6. *Implementation*, subsection *d. Timeline*), we anticipate needing a commitment for three years of full and direct support from the Boone County Children's Services Board to develop and bring FACE center operations from conceptualization to reality. During this developmental period, and outlined in our proposed Budget (see section 8. *Budget*), we request that in year one FACE assessment

activities service plan development, and case management services shall be supported by funding from the Children Services Board. Based upon the averages which were adjusted to meet 2015 rates and using the low end Dade County estimate—we anticipate the average cost per youth referred to FACE will be \$1,207 per youth. As noted below, we will gather cost effectiveness data over the life of the project to calculate cost savings of these investments. Given the high cost and burden associated with untreated youth behavior and emotional concerns, we expect the savings associated with the reduction of life course persistent mental health problems to overshadow the costs of these services. For instance, it is estimated that a single youth with life course persistent antisocial behaviors costs society over \$2 million over their lifetime due to repeated criminal acts, drug abuse, and, school dropout (Cohen, 1998; Foster, 2010). Abundant research suggests these outcomes can be averted with high quality assessment, prevention, and intervention services like those planned for FACE (National Research Council & Institute of Medicine, 2009).

cxi. Third Party Billing. In year two, our budget reflects a process by which the Board of Representatives and The FACE Director will begin the process of accessing third party funds where possible. That is, the Director shall engage consultants to assist with the coding and application paperwork as well as the hiring and training of administrative staff to file bi-annual applications and monthly reports with major insurance providers for families who seek FACE services and have insurance. For families without insurance—estimated to be approximately 15% of Boone County residents ([Link](#))—bi-annual applications and monthly paperwork shall be filed and maintained to access reimbursements for FACE services where applicable through Federal and State entitlement programs for families who are eligible for those costs (e.g., Medicaid, Social Security, etc.). By year three, we anticipate recuperating all funds associated with these third party payers.

cxii. Sliding Fee. Program recipients *will not* be charged a fee (sliding fee or direct cost) during the proposed developmental period of the FACE of Boone County.

cxiii. Un/Underinsured. FACE will develop an MOU with Columbia/Boone County Department of Public Health and Human Services to assist qualified applicants to access entitlement services such as social security, Medicaid, or Medicare. Program recipients *will not* be charged a fee (sliding fee or direct cost) during the proposed developmental period of the FACE of Boone County. During year two, and reflected in our timeline and budget, FACE Director will engage in the process of developing avenues to recuperate costs from potential third party billing sources for FACE families who qualify for such services.

di-diii. Description of Program Services Need. The FACE of Boone County is needed to directly address the three barriers to accessing services in Boone County. Specifically, lack of access, disorganization of existing service system, and lack of a family systems approach to prevention and intervention services. Currently, as detailed in the services described in the Truman School Community Impact Report, no other program or service provider offers the access to service model proposed in the FACE of Boone County

proposal. Specifically, funds from the County Children’s Services Board will be used by the FACE of Boone County to support specific and focused activities that enhance community access to high quality services via a (a) scientifically-based comprehensive assessment, (b) referral to existing community providers of evidence-based services, (c) coordinated, case-management supervision and ongoing monitoring of the success of each referral to ensure services are accessed by youth and families and that the services are effective.

5. ACCESS TO SERVICES PROGRAM CONCEPTS NARRATIVE

- a. Program Administration.** The FACE of Boone County will be overseen by a Board of Representatives. The Board will consist of 12 persons who are nominated by nonconflicted government and private entities from across our county. Persons whom we have contacted and who have agreed to sit on a Board of Representatives (please see Attachment G for letters of support) for the FACE of Boone County include:
1. Boone County Schools Mental Health Coalition (2 Representatives)
 - a. Columbia Public School Superintendent
 - b. A Non-CPS Boone County School District Superintendent
 3. Juvenile Court Services Supervisor
 4. Columbia Housing Authority
 5. Columbia Police Department
 6. Boone County Sheriff’s Department
 7. Columbia/Boone County Department of Public Health and Human Services
 8. University of Missouri
 9. Central Missouri Community Action
 10. Boone County Family Administrative Court Judge
 11. Boone County Faith-Based Community
 12. Boone County Children’s Services Board Director

The FACE of Boone County Board of Representatives (hereafter referred to as The Board) will engage in a process whereby MOUs will be established to facilitate the operation of the FACE. Further, The Board will, with assistance from Drs. Thompson, Reinke, Herman, Hawley and Clark, engage in a national search so that a Director of the FACE of Boone County will be identified and hired. The Director—who will be a paid employee of the University of Missouri—will be tasked with engaging The Board in a process whereby the FACE of Boone County operations will be developed, staff will be hired and trained, and protocols and procedures will be codified and implemented so that the operations described here in this proposal shall be operationalized.

- b. Target Population.** As noted previously in the proposal (4b.)The FACE of Boone County will provide services to all youth and families in Boone County struggling with behavioral, social emotional, and mental health needs. Prevention and mental health promotion activities will target all of Boone County residents to build a trauma informed and aware community. However, the majority of assessment and services will target the 2-3% (~1088) of youth and families considered to be “in-risk” who

may benefit from intensive case management and coordination of evidence-based services to support identified areas of need.

- c. **Informed Choice Access.** Under the description of program services, the framework for using evidence-based, developmentally-appropriate, ecological and comprehensive assessments, MI, and the FCU to identify areas of strength and need for youth and families serviced by the FACE of Boone County will lead to a menu of options that includes all relevant service options in the community, will guide the informed choice of the families and youth we serve. The University of Missouri has a non-conflicted relationship with community service providers. The data gathered from the comprehensive assessment offered through FACE will be directly linked to an array of available services and families will choose what services fit best with their family context. This practice of informed choice is empowering to families, leading to greater engagement in the process and the likelihood that families and youth will access the services they select (see Herman, Reinke, Frey, & Sheppard, 2013).
- d. **Integrated Information Management System.** An integrating information management system will be developed—and was already described in detail in section 4, subsection c.iii under the heading “Integrated Information Management Systems.” In that section, we propose to develop a comprehensive online, integrated FACE of Boone County website and data collection system. The website and data collection system will collect data using the tools listed above in Table 2. As such—these data will fall under the HIPAA umbrella and security precautions will be taken. Security Considerations will include—but may not be limited to:
- HIPAA Compliance
 - Access Controlled Data Center
 - Individual user access accounts
 - Separation of Application Software and Database Storage Servers
 - Encrypted and Separate Storage Systems for all Identifying Information
 - Secure Sockets Layer (SSL) Encryption of Information
 - Regular and Frequent Data Backups
 - Auditing and Access Alerts
 - FACE Facility Access and VPN Clients for employee access
 - User Roles
 - Admin - Has full access, very limited membership
 - Intake User - Can intake and process workflows to select services to be recommended
 - Caseworker - Setup new cases and process workflows to manage cases.
 - Notification Party - Receive alerts, and notifications of their involvement in cases.

We will work directly with a computer programmer and developer (Will Spiller-Proprietor of Bright Beam Technology Solutions) to develop our web-based data management and surveillance systems. Mr. Spiller is currently developing the

BCSMHC Early Identification tool that will be used by school based team to identify students in need of additional supports and link them to evidence-based interventions) to develop an integrated data management system that will allow us to aggregate and disaggregate data across the following domains:

- Prevention: Ongoing county-wide surveillance of risk and protective factors through youth, parent, and teacher reports will inform areas for concern and focus for community awareness and training initiatives, and prevention programming to youth and citizens. All training initiative and prevention programming will conduct ongoing assessment of changes in targeted risk factors among those who attend (e.g., awareness of mental health symptoms and available services, changes in parenting practices).
- Intervention: a). Surveillance data will be used by BCSMH partners to identify children youth in need of more comprehensive assessments. b). The FACE will conduct evidence-based developmentally appropriate ecological assessments of children, youth, and their families. This comprehensive assessment data will be entered into a data information and management system that will link areas of risk to a menu of services with evidence of impact on the area of risk (e.g., CBT for depression) which will then lead to a menu of options for community-based services.
- Case Management and Progress Monitoring: Case management services will be coordinated using a databased dashboard which will track engagement with services, quality of services, and effectiveness of services on targeted goal areas for each youth and family. These tools will be used to track (1) time from initial referral to an assessment or diagnostic interview, (2) time to feedback or referral for services, (3) source of referral, and (4) time to first appointment (see Attachment J). We will also track symptoms and functioning at regular intervals to examine the impact of services on youth functioning and family systems.
- Quality Indicators: Youth and families will complete an anonymous acceptability and social validity survey after each visit to the FACE of Boone County that provide information on the quality of the services provided, including how useful, helpful, and productive the visit was with FACE clinicians. In addition, the level of approachability and professionalism of FACE staff, accuracy of assessment feedback, and likelihood of the family following through with identified services will be assessed. The purpose of the quality indicators is to ensure the FACE of Boone County engages in a feedback loop from consumers to ensure high quality service provision.
- Assessing Areas of Needed Services in the Community. The use of community services will be tracked by FACE, including waitlist time for families to engage in services. Further, populations or targeted areas of need which have few service opportunities will be identified as needs for training and calls for future services to be funded in our community.

- **Evaluation.** The integrated information management system will allow the FACE of Boone County to evaluate a series of important questions with regard to effectiveness, including, a) determining population changes in prevalence of risk and protective factors across Boone County, b) determining the number of youth and families who utilize the FACE of Boone County and their assessment of the quality of the services provided, c) determining the percentage of families who engage in the first meeting with an outside provider, d) determining the number of families who continue with services beyond the first meeting and e) evaluating reasons some families do not continue with services, f) determining impact of services on targeted risk indicators (e.g., reductions in mental health symptoms, increased school attendance, improved school outcomes, reductions in contact with police contact) for youth and families who receive case management services, and g) determining what service needs are not currently being met in the community. Any prevention trainings conducted (i.e., Youth Mental Health First Aid training) will institute pre-post assessment of impact on targeted indicators, such as mental health awareness, stigma, ability to refer to appropriate mental health resources, etc.

Together, these data will inform the activities of the FACE of Boone County by continually guiding the ongoing practices and services provided to our youth and families. As a value added component, these data will also serve as the basis for a responsive feedback loop for the Boone County Children's Services Board to accurately hone funding of targeting needed services to address specific problems, age groups, and demographics in a responsive and nimble manner.

- e. **Terms of Participation.** Participation in assessment and case management services offered through the FACE of Boone County will occur via referral from many points of contact (see Attachment I—FACE Administration Hierarchy and Flow of Service Model). Specifically, referrals can come from any family or youth residing in Boone County seeking supportive services, referrals may come from trained personnel in schools, the County Department of Health and Human Services, and from law enforcement (i.e., juvenile court services via law enforcement officers). For families of youth referred from schools, human services, and other community programs will voluntarily consent to participate in all FACE activities. Referring entities can request information on whether a family selected to participate with FACE, but participation in FACE activities cannot be mandated to youth or families by an outside entity, including judicial services.

For youth who do not meet established criteria for detainment, law enforcement and the juvenile court services office may refer those youth for participation in FACE services. Regarding these youth, although participation in FACE activities are voluntary, FACE staff will communicate with juvenile services and law enforcement regarding the status of whether these youth and families elected to participate so that no youth or family referred for FACE services goes unnoticed should they not participate in FACE services. MOUs will be established between court services, law

enforcement, and FACE to create clear lines of communication and procedures for reporting and following up with these youth and families.

6. IMPLEMENTATION

- a. How Program Effectiveness will be Evaluated.** We will use a single system or within group approach to evaluate the effectiveness of FACE on child and family level outcomes. Evaluation of the effectiveness of FACE program services seeks to answer two related questions; (1) What is the impact of FACE on the participating youths and families, and, more generally, (2) Is FACE a successful model for aligning supports and services?

To answer the first question, we can compare baseline assessments of youth and family functioning to gauge whether the application of FACE case management services are successful at improving youth and family functioning on the same metrics when they are released or exit the program. To be sure, these statistical models are complex for many reasons. First, not all families will be exposed to the same level of risk at the start of FACE intervention. Second, not all families will receive the same types of services or be exposed to those services for the same length of time. However, statistically speaking, the first concern can be managed by creating a change score for each youth and family coming into contact with FACE. Change scores are created by simply subtracting pretest or baseline functioning scores from posttest scores on the same measures. This provides an average amount of change for each youth and family from the point of contact with FACE to the close of that case upon successful delivery of case management supports. Next, we can aggregate all change scores across FACE cases and model the average degree of change in the average change scores using an effect size statistic (ES; shown below).

$$d = (M_1 - M_2) / SD_P ,$$

The ES statistic shown above, also known as Cohen's *d*, is estimated by taking the average of the pretest screening or assessment scores (M_1) for all youth and families and subtracting the average posttest screening or assessment scores for all families (M_2). This change score is then divided by the standard deviation of all pretest scores (SD_{Pre}) so that the effect size estimate can communicate the average impact of FACE on youth and families while accounting for the variation in pretest scores. Effect size statistics are useful for communicating the degree of change within a group study while also accounting for variation in pretest scores. In general, an ES = .2 is considered mild, .5 is considered moderate, and .8 is considered strong (Rubin & Babbie, 2012).

The second evaluation question is more easily posed than gauged. The greatest threat to the validity of any findings emerging from a single study examining the systems and county level impact of FACE is the lack of a reliable point of comparison. However, using multiple methods to examine this evaluation question—we can create several avenues that—if they converge on similar results—will provide a well-rounded and trustworthy estimate of whether FACE is a successful model for addressing the issues faced by youth in our county. That is, using (a) focus groups with families served by

FACE, (b) monitoring any change in meaningful community level indicators, and (c) using robust statistical methods to compare Boone County level outcomes to other similarly situated counties in Central Missouri we can better understand the impact of FACE.

Focus groups will provide a subjective view of youth and families accessing FACE services. To reduce bias from the findings of this study, independent researchers can gather a random selection of FACE youth and families and conduct structured interviews to with small groups of FACE families who can provide responses to prompts examining whether FACE services assisted in improving the lives of families and their youth. Analysis of focus group responses will use a thematic analysis approach. That is, common responses by focus group participants are numerically coded and summarized to answer specific design/development questions (Morse & Richards, 2002). Input will be analyzed by themes for consistency and recommendations. These data will be carefully reviewed and discussed with the Boone County Children's Board and FACE staff to improve the effective delivery and access to future FACE services.

Lastly, the effectiveness of FACE can be examined using educational and county level metrics by comparing Boone County indicators reflective of healthy development to other similarly situated counties in Missouri. For example, educational county-level data on academic achievement, youth violence, school climate, suspension and expulsion rates, and an annual school safety survey are collected in all Missouri schools. These data are collected by the Departments of Mental Health and Elementary and Secondary Education and are housed at University of Missouri's Office of Social and Economic Data Analysis (<http://www.oseda.missouri.edu/>). These data will effectively allow us to aggregate these district level data and compare Boone County with other similarly situated counties without FACE county level programs. This is a quasi-experimental evaluation design and the rigor of the design can rely upon multiple baseline and follow-up points over time to increase trustworthiness of the estimates. For county-level youth outcomes, change observed in Boone County will be compared to the trends on these measures in the other 113 counties using a regression point displacement design (RPDD; Trochim & Campbell, 1996). RPDD design is appropriate given the county level sample size of $n=1$, the availability of a large and heterogeneous comparison group ($N=113$), and aggregate-level data that are collected on a regular basis (Trochim & Campbell, 1996). In this variation of the analysis of covariance model, the displacement for the intervention county's outcomes from the regression line for all Missouri counties is evaluated as an estimate of the treatment effect (Linden et al., 2006). These different design and analyses strategies will provide a comprehensive evaluation of our FACE of Boone County program.

- b. Plan for Implementation of the Program.** The FACE of Boone County operating procedures will be implemented as described herein and in sections 5 subsections a-c and 6 subsection d. To be sure, though many of these procedural questions are addressed in this proposal and others will require the establishment of the FACE of Boone County Board of Representatives and the hiring of a FACE director before they can be considered and implemented. Development and implementation of a program with the

level of community impact that FACE may have—and coordinating it with existing services and ongoing efforts in school settings—it is helpful to consider other extensive community realignment projects such as the Seattle Social Development Project, the Metropolitan Area Child Study, the Baltimore City Schools Project, and FAST TRACK. Prior experiences suggest it is common to engage in 5 broad phases of development. At each phase—underlying objectives must be achieved and questions must be answered. The following 16 step roadmap organized under 5 broad principles (i.e., establishing purpose, oversight, operations, legal protections, and quality assurance for FACE) provides some parameters by which a plan for implementation of FACE will be achieved.

Because key steps for implementation will take place along a timeline, we integrate these sections to discuss the phased implementation of FACE. To be sure, many decisions regarding the operations and procedures of FACE will be determined by the board. For example, establishing referral and eligibility criteria will need to be defined and will likely involve MOUs between the Juvenile Court and law enforcement entities. These types of questions will also raise discussions about successful exit criteria for youth who are referred by law enforcement. There is precedent for these MOUs and the Juvenile Office and Law Enforcement entities in Boone County are willingness to develop these agreements in conjunction with Dr. Clark Peters (See letters of Support, Attachment G).

- c. **Collaborations.** If funded, the proposal describes a process whereby the development of FACE will begin with the appointment of a board of directors which represents a range of nonconflicted Boone County stakeholders commonly interfacing with youth and families in need of supports (see section 5, subsection a, Program Administration for details; see Attachment G for Letters of Support). Collaborations between these entities required for the successful operation of The FACE of Boone County will be outlined in memoranda of understanding to be developed should the proposal be funded. For example, it is likely that an MOU will be needed between FACE, law enforcement, and the Juvenile Office to allow the sharing of because many of these youth may have initial contact with law enforcement for status offenses. These MOUs between law enforcement and juvenile court services will specify what types of offenses that youth may be referred to the FACE for. These entities have expressed a willingness to engage in discussions and to develop MOU agreements should the Boone County Children's Services Board look favorably upon this proposal. Furthermore, Dr. Clark Peters, JD, will collaborate with community agencies, government offices and FACE to facilitate and develop all required MOUs to ensure the successful operation of FACE.

In addition, The FACE of Boone County will engage in partners with all community providers who engage youth and families and offer evidence-based programming. Community providers will be asked to provide up-to-date information on the target populations, types of services provided, how youth and families are referred to the services, the intended outcomes of services provided, what data are used to determine effectiveness of services, and where services are provided. These services will be funneled into the menu of options offered to families based on their identified goals and areas of need. MOU's may also be developed—with assistance from of Dr. Clark Peters,

PhD JD—to facilitate coordination between the FACE of Boone County and existing services.

In addition, we will develop partnerships with community agencies to enhance the quality of existing evidence-based programming on our community. Dr. Kristin Hawley, who currently has a project funded by Boone County, is working to provide training and supervision in evidence-based interventions to community providers who offer practices to meet the needs of youth and families in Boone County. Dr. Hawley, Co-PI on this proposal, will be involved with the FACE of Boone County to expand these efforts. That is, she will work alongside Drs. Thompson, Reinke, and Herman to assess the community need for training in evidence-based intervention using data gathered from the BCSMHC County-wide surveillance tools, assessment data of youth and families evaluated by BCSHMC and FACE clinicians, case management data on waitlist and lag time in service provision, and address gaps for particular populations or behavioral or mental health problem areas in which services are lacking in general. Following determination of areas for training in evidence-based programming, Dr. Hawley will support the training and supervision of service providers in our community to meet these needs.

- d. **Timeline.** To create the caring partnerships between the FACE of Boone County, the schools, and our community at large, the road map forward is long and significant investment must be made. **Some research suggests that the full implementation of such a framework can take as little as 5-7 years and some studies suggest upwards of 10 years.** And, just as any one intervention fails to work for all children in all settings; each community has idiosyncrasies that condition how these efforts are tailored and implemented. The specific aims of the FACE project will be achieved by implementing and evaluating a multifaceted, evidence-based model of assessment and prevention/intervention through a phased implementation plan. The broad, three phased plan is described detail here:

- **Phase 1—Year 1 (Jan 2016 – Jan 2017): Initial Development of FACE.** The process shall start with the appointment of a board of directors to represent a range of nonconflicted Boone County stakeholders, (See Attachment G for letters of support). The Board will hire a Director who will collaborate with research consultants from the University of Missouri’s College of Education’s ESCP, Missouri Prevention Center, and the School of Social Work to implement the basic referral, assessment, and day to day operations of The FACE. The director will then interview and hire licensed and qualified mental health professionals to oversee the operations of The FACE. Specifically, FACE clinical faculty and supervised master’s and PhD students from the MU will:
 - a) ***Jan, 2016:*** Meet with board to establish meeting dates and operations of the board
 - Establish a national search for a director
 - Interview and hire director
 - b) ***Feb, 2016:*** Start FACE awareness campaign
 - School campaign, radio, etc.
 - c) ***Feb, 2016:*** Identify and hire community liaisons

- d) **March, 2016:** Develop MOUs to facilitate case management plans with community service providers offering scientifically-based programs
- e) Begin development of online integrated information management system
 - **Feb, 2016:** Assessment module
 - **Aug, 2016:** Case management module
 - **Dec, 2016:** Data reporting module
- f) **March, 2016:** Hire FACE staff and licensed clinicians
 - One administrative assistant
 - One licensed psychologist and three licensed social workers or counsellors
- g) Identify, renovate, and occupy a physical location
 - **Jan, 2016:** Carpet, paint, construct several walls, install observation window
 - **Feb, 2016:** Install network equipment (computer, cameras, etc.)
 - **March, 2016:** Purchase furniture, desks, play therapy room
 - **Apr, 2016:** provide space to house Juvenile Office PO and Health Worker from Columbia/Boone County Health and Human Services
- h) **March, 2016:** Begin accepting referrals from schools, providers, families, and law enforcement
 - Provide quality biopsychosocial mental health and well-being assessments (i.e., suicide risk, substance use, mental health protocols, and an assessment of trauma from abuse/neglect);
 - Develop family-based, child-centered, service plans; and
 - Deliver intensive case management services to oversee the follow through and implementation of service plans (i.e., execution of referrals, reducing barriers to service acquisition of psychiatry services, etc.)
- i) **Aug, 2016:** Offer community prevention trainings
 - MHFA
 - Trauma informed communities
 - Evidence-based parenting practices
 - Child development (including maladaptive conditions, signs, symptoms, and strategies to address those early problems)
- j) **Sept, 2016:** Extend FACE service plan
 - Integrated Health Clinic in collaboration with Columbia/Boone County Dept. of Public Health and Human Services
 - Offer services in Boone County school buildings
- k) **Oct, 2016:** Review data to identify gaps and lack of EBPs
- l) **Nov, 2016:** Offer first round of EBP trainings to community providers, providing incentives for participation
- m) **Dec, 2016:** First Report to BCCSB due
 - FACE Development Report (e.g., facilitates and operations, budget expenditures, development of integrated information system, etc.)
 - FACE inputs and outputs (i.e., number of open/closed cases, referral sources, primary concerns, etc.)

- FACE impact (i.e., increases in youth and family functioning, effects of community awareness campaign, impact of training model on community providers)
 - FACE next steps (i.e., budget revisions and projections, recommendations for funding targets, etc.)
- **Phase 2—Year 2 (Jan 2017 – Jan 2018): Intermediate Development of FACE.**
At the close of the first fiscal year of the FACE of Boone County, we anticipate that a Director will be hired, that the Director and board have established operating procedures, that staff and clinicians will be hired, and that an integrated information system will be started but not completed. As such, the intermediate developmental phase 2 year will focus on:
 - a) ***Jan, 2017:*** Revise existing MOUs
 - Referral points
 - Service providers
 - b) ***Jan, 2017:*** Revise FACE hours of operation and staff contracts to reflect data
 - Hire two additional clinicians (projection—based on need)
 - Develop student training and supervision procedures for MU graduate students being trained in social work, school psychology, clinical psychology, and counselling psychology
 - c) ***Jan, 2017:*** Revise FACE process and operations
 - Assessment battery revisions
 - Integrated Case management system
 - Case management procedures
 - Identify training needs for FACE clinicians
 - d) ***Jan, 2017:*** Continue public awareness campaign
 - e) ***Feb, 2017:*** Identify training needs for community providers
 - ***March, 2017:*** offer second round of trainings based upon need
 - f) ***Feb, 2017:*** Begin procedures to capture third party
 - ***Feb, 2017:*** gain consultation and train FACE billing manager
 - ***March, 2017:*** Complete applications for insurance and entitlement service reimbursements
 - ***Aug, 2017:*** Develop internal billing procedures
 - g) ***March, 2017:*** Begin offering free, research supported prevention programs
 - Parenting programs
 - Positive youth development programs
 - Brief-solution focused counselling
 - h) ***April, 2017:*** Begin submitting proposals for programming (intervention research projects, training grants, etc.)
 - Pursue an accredited internship training program for PhD level clinicians to expand scope and reach of FACE
 - i) ***April, 2017:*** Begin accepting proposals for research supported projects

- j) **June, 2017:** Mid-year report to Boone County Children Services Board
 - FACE Development Report (e.g., facilitates and operations, development of integrated information system, etc.)
 - FACE inputs and outputs (i.e., number of open/closed cases, referral sources, primary concerns, etc.)
 - FACE impact (i.e., increases in youth and family functioning, effects of community awareness campaign, impact of training model on community providers)
 - FACE next steps (i.e., budget revisions, recommendations for funding targets, etc.)
 - k) **Aug, 2017:** Begin cost-benefit study for law enforcement and schools
 - Law enforcement outcome: hours recuperated due to FACE accepting referrals for status and minors in need of supervision
 - School outcomes: reduced truancy, suspension, increased savings in average daily attendance
 - n) **Sept, 2018:** Continued extended FACE service plan
 - Integrated Health Clinic in collaboration with Columbia/Boone County Dept. of Public Health and Human Services
 - Offer needed services in Boone County school buildings
 - l) **Oct, 2017:** Identify additional training needs for community providers
 - m) **Nov, 2017:** offer third round of trainings based upon need
 - n) **Dec, 2017:** End-year report to Boone County Children Services Board
 - FACE Development Report (e.g., facilitates and operations, development of integrated information system, etc.)
 - FACE inputs and outputs (i.e., number of open/closed cases, referral sources, primary concerns, etc.)
 - FACE impact (i.e., increases in youth and family functioning, effects of community awareness campaign, impact of training model on community providers)
 - FACE next steps (i.e., budget revisions, recommendations for funding targets, etc.)
- **Phase III—Year 3 (Jan 2018 – Jan 2019): Transitional Development and Direct Research Supported Services.** Services will include evidence-based programs offered by third parties or community agencies at the FACE physical location. The goal of this phase of FACE development is train the next generation of service providers, apply for external funding sources, and to implement innovative programs that close gaps in direct services for youth and families. Many gaps in services will be identified during years 2 and 3 of the phased implementation of the project. Services may include, but will not be limited to:
 - a) **Jan, 2018:** Develop diverse and sustainable strategic fiscal support plan
 - Potential Sources of Support:
 - a. Local
 - i. Boone County Sheriff's office, Columbia Police Department—based upon cost-benefit report

- ii. Boone County Schools: based upon cost-benefit report
 - b. State
 - i. Department of Mental Health
 - ii. Department of Youth Services
 - iii. Children's Division
 - c. Third Party-Billing
 - i. Insurance
 - ii. Entitlement Programs
 - d. Federal
 - i. Research and service grants (see section 9 on sustainability for sources)
- b) **Jan, 2018:** Establish free, evidence-based, longer term outpatient therapy based upon need
 - Psychoeducational groups
 - Support groups
 - Outpatient substance abuse treatment
 - Individual and group counselling services
- c) **Jan, 2018:** Offer community prevention trainings
 - MHFA
 - Trauma informed communities
 - Evidence-based parenting practices
 - Child development (including maladaptive conditions, signs, symptoms, and strategies to address those early problems)
 - Parent Consultation
- d) **Feb, 2018:** Revise FACE process and operations
 - Assessment battery revisions
 - Integrated Case management system
 - Case management procedures
 - Identify training needs for FACE clinicians
- e) **Mar, 2018:** Continue public awareness campaign
- f) **Apr, 2018:** Identify training needs for community providers
 - *March, 2017:* offer fourth round of trainings based upon need
- g) **June, 2018:** Mid-year report to Boone County Children Services Board
 - FACE Development Report (e.g., strategic fiscal plan, budget expenditures, facilitates and operations, development of integrated information system, etc.)
 - FACE inputs and outputs (i.e., number of open/closed cases, referral sources, primary concerns, etc.)
 - FACE impact (i.e., increases in youth and family functioning, effects of community awareness campaign, impact of training model on community providers)
 - FACE next steps (i.e., budget revisions and projections, recommendations for funding targets, etc.)
- h) **Dec, 2018:** End-year report to Boone County Children Services Board

- FACE Development Report (e.g., strategic fiscal plan, budget expenditures, facilitates and operations, development of integrated information system, etc.)
- FACE inputs and outputs (i.e., number of open/closed cases, referral sources, primary concerns, etc.)
- FACE impact (i.e., increases in youth and family functioning, effects of community awareness campaign, impact of training model on community providers)
- FACE next steps (i.e., budget revisions and projections, recommendations for funding targets, etc.)

Input—Clinical Expertise and Resources. Drs. Thompson, Reinke, Herman, Peters, Hawley, and Schieltz will each devote considerable time to this project and bring areas of expertise that are critical to the oversight of the access to services program proposed. As described in this section, the key personnel on this team have the experience and expertise needed to oversee this project. We will work as an integrated team and contribute to all aspects of the project in conjunction with Board, the FACE director, and FACE staff. Table 3 provides a brief overview of the MU faculty and computer technology consultant involved in the development and implementation of this project.

Table 3. Key Personnel, Area, Expertise, and Roles.

Name	Area	Expertise	Role
Aaron Thompson, PhD	Social Work	Wraparound, case management, risk and protective assessment systems, quasi-experimental design and analysis	Project director. Oversee all aspects of project implementation. Train and supervise clinicians in wraparound services and assessments. Assist with evaluation planning activities.
Wendy Reinke, PhD	School Psychology	Family Check Up (FCU), family engagement, behavior consultation, externalizing disorders, prevention and early intervention, program evaluation	Support Dr. Thompson in all implementation activities. Train and supervise FCU implementation. Support interface with BSMHC project. Support program evaluation activities.
Keith Herman, PhD	Counseling Psychology	Youth assessments, family engagement, MI, parenting practices, internalizing disorders, prevention science	Plan and supervise assessment training. Plan and implement parent engagement strategies. Support implementation of evidence-based parenting and internalizing disorder practices.

Kristin Hawley, PhD	Clinical Psychology	Evidence-based therapies for youth, adherence tracking	Identify gaps in services using FACE data. Develop treatment adherence monitoring tools, provide training and supervision to FACE and community providers in developing these skills.
Clark Peters, PhD JD	Social Work and Law	Youth policies, community partnerships, community and youth assets	Advise team on MOUs with law enforcement and community providers; advise team on policy and assessments related to youth and community assets.
Kelly Schieltz, PhD	School Psychology	Applied behavior analysis, severe behavior disorders and developmental disabilities; telehealth (service provision via video conferencing)	Advise, train, and supervise on developmental disabilities and severe behavior assessment; Spearhead development of doctoral internship training and accreditation; Advise, train, and supervise telehealth services to rural communities
Will Spiller	Information Technology	Computer programming	Develop online integrated information system and case management dashboard systems.

Below please find more detailed information about each key personnel:

Aaron M. Thompson, Ph.D. is an Assistant Professor in Social Work at MU. He is a former principal, special educator, and school social worker. Dr. Thompson gained experience as an assessment specialist in a clinical center and he taught and worked as a counselor in a juvenile detention facility. He has extensive training and practice experience providing selective and indicated school-based interventions as well as organizing and facilitating community based interventions such as wrap-around. Dr. Thompson has collaborated with schools to implement the Early Identification process and with coordinating community level efforts to address truancy and school mental health programs. Dr. Thompson will provide consultation toward the development and implementation of the FACE as well as provide oversight of the evaluation of FACE. Dr. Thompson will also provide supervision to graduate students from his department placed at FACE to learn to conduct assessments, coordinate services, monitor progress and success and deliver direct services as described within this proposal.

Wendy M. Reinke, Ph.D. is a licensed psychologist and an Associate Professor in the Department of Education, School, & Counseling Psychology at MU. She is the founder and Co-Director of the Missouri Prevention Center (MPC). Dr. Reinke completed postdoctoral work as a Prevention Science Fellow at Johns Hopkins University. She has garnered over \$10 million dollars in federal grants and provided scientific, clinical,

managerial, and budgetary leadership for these large projects. Dr. Reinke has expertise in matching assessment to intervention planning and was trained and certified by the developer of the Family Check-up (FCU) at the University of Oregon. She also implemented evidence-based interventions with youth and families in the Baltimore City Schools as a postdoctoral fellow through the Johns Hopkins Center for Prevention and Early Intervention. She worked as an intern in the family therapy and behavior disorders clinics at the Kennedy Krieger Institute in Baltimore. She has extensive training and expertise in conducting large intervention studies, and has published extensively on prevention of disruptive behavior problems in youth, evidence-based practices in schools, family therapy, and teacher consultation. She has extensive training in school consultation, data-based decision making within tiered models, and evidence-based practices. Dr. Reinke will provide consultation toward the development and implementation of the FACE. She will also train and supervise FACE staff in family engagement strategies and the FCU. She will also provide supervision to graduate students from her department placed at FACE to learn to conduct assessments, coordinate services, monitor progress and success and deliver direct services as described within this proposal.

Keith C. Herman, Ph.D. is a Professor in the Department of Education, School, & Counseling Psychology at MU. He is the founder and Co-Director of the Missouri Prevention Center. He has advanced training and experience in school-based consultation, assessment, and research through the University of Oregon. Previously he was a faculty member at Johns Hopkins University School of Medicine and is a co-investigator for the JHU CPEI. He also has extensive experience providing leadership for large, complex federally funded projects and provided oversight for large budgets and research/intervention teams. Dr. Herman has expertise in matching assessment systems to intervention planning. For instance, he co-developed, along with Dr. Reinke, the Classroom Check-Up system which assesses targeted risk factors as a tool for altering classroom environments and both have extensive experience with the Family Check-Up which provides ecological assessments and interventions for family systems. Dr. Herman has an extensive grant and publication record including over 80 peer-reviewed publications and four books in the areas of prevention and early intervention of child emotional and behavior disturbances through effective school and home environments. Dr. Herman will provide consultation toward development and implementation of the FACE. He will also train and supervise FACE staff in family engagement strategies and the FCU. Dr. Herman will also provide supervision to graduate students from his department placed at FACE to learn to conduct assessments, coordinate services, monitor progress and success and deliver direct services as described within this proposal.

Clark Peters, Ph.D., M.S.W., J.D., is an Assistant Professor at the MU School of Social Work and Truman School of Public Affairs. He has spent two decades in the field of children's policy focusing his work primarily on child welfare, adolescents in state care, and juvenile justice. Before arriving in Missouri in 2009, he spent 14 years as a researcher at Chapin Hall, a children's policy research center at the University of Chicago. Mr. Peters received his undergraduate degree from The University of Chicago and law degree from Cornell Law School, where he was articles editor of the Cornell

Journal of Law and Public Policy. As a Skadden Fellow at the Youth Law Center in San Francisco, he represented youth in the child welfare and juvenile justice systems in civil rights actions, and studied and trained agency personnel on data sharing agreements. He received his master's and doctoral degrees in social policy from the School of Social Service Administration at the University of Chicago. He has presented, taught, and written widely on issues of juvenile justice, foster care, and child welfare. Dr. Peters currently serves on several state boards and committees, including the Child and Family Services Review Committee and the Youth Independence Interagency Initiative. His current research work examines asset building for vulnerable youths and the correlates of juvenile detention. His work often involves negotiating memoranda of understanding between collaborating organizations that seek share data while maintaining confidentiality. Dr. Peters will provide consultation and work to develop MOUs to facilitate coordination between the FACE of Boone County and law enforcement agencies, juvenile justice, the schools, and existing community service providers.

Kristin Hawley, Ph.D., is an Associate Professor in the Department of Psychological Sciences at MU. Dr. Hawley earned her bachelor's degree from the University of Missouri and her M.A. and Ph.D. from the University of California, Los Angeles. Her graduate work at UCLA focused on clinical child and adolescent psychology and she completed clinical training at Los Angeles' Child and Family Guidance Center, St. John's Child and Family Development Center, and UCLA Neuropsychiatric Institute Childhood Anxiety, OCD, & Tic-Related Disorders Program. She also completed advanced postdoctoral training in youth mental health services and implementation research at San Diego State University, San Diego Children's Hospital and the NIMH-funded Child and Adolescent Services Research Center. She is currently an associate professor in the clinical psychology doctoral program at MU and a Missouri licensed psychologist and health service provider. Dr. Hawley trains and supervises master's and doctoral level providers in evidence based assessment and treatment, and conducts research to understand how we can improve youth mental health services. Some of her recent research projects have focused on (a) identifying conditions that enhance therapy retention, engagement and outcomes; (b) discovering key components of effective interventions; (c) refining promising research-supported therapies to increase their practical usefulness; and (d) improving ongoing training and adoption of research-supported therapy practices. Dr. Hawley will provide consultation on the development and implementation of the FACE. In addition, her work on this project will expand the current Boone County Pilot work she is doing to increase community providers skills and knowledge in evidence-based practices. She will work with Drs. Thompson, Reinke, and Herman to identify gaps in services and community needs for professional development training in evidence-based practices. In addition, she will provide supervision to graduate students from her department placed at FACE to learn to conduct assessments, coordinate services, monitor progress and success and deliver direct services as described within this proposal.

Kelly M. Schieltz, Ph.D., BCBA-D, LBA is an Assistant Teaching Professor of school psychology in the Department of Educational, School, & Counseling Psychology at the University of Missouri (MU). She is a licensed psychologist, Board Certified Behavior

Analyst, and licensed behavior analyst. She earned her doctoral degree in school psychology with an emphasis in Applied Behavior Analysis from the University of Iowa under the supervision of Dr. David Wacker and Dr. Linda Cooper-Brown. She was a behavior specialist in several outpatient clinics at the University of Iowa Children's Hospital for over 10 years. The foci of those clinics included (a) conducting behavioral assessments and interventions (i.e., functional analysis, functional communication training) with individuals with and without developmental disabilities who engaged in severe challenging behavior (e.g., self-injury, aggression, property destruction, noncompliance, food refusal) and (b) consulting with parents regarding behavior management strategies to address their children's health needs (e.g., compliance with diabetes regimens, compliance with dietary and activity recommendations to reduce risk for complications due to obesity). Dr. Schieltz was the behavioral consultant on two federally-funded research projects in which she coached parents to conduct functional analyses and functional communication training with their young children with developmental disabilities who engaged in severe challenging behavior. These projects were conducted in the family's home in-vivo and via telehealth. In addition, she was a trainer on a state-funded project that trained school personnel to conduct behavioral assessments and interventions with children who engaged in challenging behavior. Dr. Schieltz will provide consultation on the development and implementation of the FACE with a particular emphasis on assessment and screening for children and youth with developmental disabilities. In addition, Dr. Schieltz will take lead on developing an accredited PhD internship program that will expand the capacity and scope of the FACE. She will also provide supervision to graduate students from her department placed at FACE to learn to conduct assessments, coordinate services, monitor progress and success and deliver direct services as described within this proposal.

Will Spiller—CEO of Bright Beam—has 21 years of professional information technology experience including 9 years of IT consulting and 15 years of software development experience. Will is a diversely experienced solution provider with rich experience in Insurance, Finance, Manufacturing, Education, and Healthcare. An innovative thinker with the capacity to create technology solutions that bridge complex software requirements and human needs. For example, Will developed a data conversion system for managing insurance policies in a system containing 32,000 policies valued at more than 35 million annually and creating a net savings of \$192,000 for the company. He is passionate about early childhood development and education—and Will is also the developer of the early identification system being implemented by the BCSMHC across the six Boone County school districts. This work will be integrated within the FACE data system—resulting in a County level system for the social, emotional, and mental health monitoring of all youth and families in the county.

In addition to these key personnel, The University of Missouri (MU) has a strong commitment to research, professional training, and service. Accredited by the North Central Association of Colleges and Secondary Schools, MU is one of the five most comprehensive and diverse universities in the United States. MU's library collection is Missouri's largest. Ellis, University Archives and eight specialized libraries hold 3.2 million volumes, 1.7 million government documents and 53,394 journal subscriptions. As

a member of the American Association of Universities (AAU) and a university classified "Research I" by the Carnegie Foundation for the Advancement of Teaching, MU, with its 18 schools and colleges, is a premier provider of undergraduate, graduate and professional education. The College of Education at MU has been ranked consistently within the top fifteen percent in the nation in the preparation of teachers and leadership personnel, and in educational research. The COE is a strong supporter of this researcher-practitioner partnership ensuring that faculty members and practitioners partners have access to the resources needed for the success of this project (See letters from Dean Clay and Division Director Martens in Attachment G).

The College of Education is also the home of **The Missouri Prevention Center**. The prevention center brings community members and researchers together to assist schools to apply strategies that promote social and academic success (Drs. Herman and Reinke are Co-Founders and current Directors). The MPC is an interdisciplinary group of faculty members, graduate students, and community members focused on providing services and conducting research to reduce the societal burden of youth conduct problems and depression. The Center's conceptual model is guided by public health, ecological, and multicultural frameworks. The MPC outreach activities including implementing family resource centers in local schools, conducting family assessments and providing parent training, consulting with teachers and school personnel about problem behaviors at school, and developing and implementing family- and school-based interventions for internalizing and externalizing symptoms. The MPC also conducts rigorous research including several large federally funded projects evaluating the impact of provided school-based training and services.

The School of Social Work. The MU School of Social Work has a strong commitment to service and research that directly benefits the people of Missouri. The MU School of Social work is one of the earliest schools of Social Work, established in 1906, and a founding member of the accrediting body for social work education. The School of Social Work is committed to the success of this partnership, to provide students to fulfill practicum requirements at The FACE, and provide supervision for FACE clinicians (see letter of support in Attachment G).

The Psychological Services Clinic (PSC) provides evidence-based assessment (EBA) and treatment (EBT) services to children, youth and families from Columbia, Boone County, and surrounding communities. We are the primary training clinic for MU's clinical psychology doctoral program, accredited by both the American Psychological Association and the Psychological Clinical Science Accreditation System. We are well known for the quality of our training and consistently rank in the top 25 clinical Ph.D. programs in the country. Consistent with the broader mission of the University of Missouri, as a Carnegie Research 1 institution (and member of American Association of Universities) and a historic land-grant institution, the PSC is focused on world-class clinical training, research, and service to benefit all citizens. We are driven by a commitment to public service — the obligation to produce and disseminate knowledge that will improve the quality of life in the state, the nation and the world. **The PSC Mission Statement** reflects this commitment to (1) provide high-quality, affordable EBA

and EBT services to youth, adults, couples, families, and groups in Columbia, MO and the surrounding community, (2) provide high-quality training for University of Missouri doctoral trainees in clinical psychology and related disciplines in planning, administering, and evaluating empirically-supported assessment and treatment services, and (3) support research that advances understanding and effective promotion of psychological health.

Boone County School Districts. Boone County consists of six independent school districts. All County school districts and superintendents are active participants in the Coalition and partnership described in this application. The largest school district is Columbia Public Schools (CPS), enrolling over 20,000 students. CPS is district accredited with distinction by the Missouri Department of Elementary and Secondary Education and has a total operating budget of \$266 million in 2014-2015. Southern Boone School District serves approximately 1500 students and has received the state Distinction in Performance Award annually since 2002. Hallsville School District, home to just over 1300 students, also received the Distinction in Performance Award this year as well as the Commissioner's Award for Professional Development. Centralia has 1400 students and is accredited with Distinction. Sturgeon and Harrisburg are the Coalition's two smallest districts serving approximately 500 students each. See Attachment D for letters of agreement from each of the District Superintendents.

e.iii. Staff Chart

Table 4. FACE Staff Chart for Year 1.

Position	Qualification	FTE	Salary	Benefits
Director	PhD	1.0	85,000	Full
Admin Asst.	BA	1.0	40,000	Full
Clinician	Licensed PhD	1.0	70,000	Full
Clinician	Licensed Masters	1.0	55,000	Full
Clinician	Licensed Masters	1.0	55,000	Full
Clinician	Licensed Masters	1.0	55,000	Full
Program Evaluator	BA	1.0	33,000	Full
Community Liaison	TBD	20 hours per week	\$20/hr	None
Community Liaison	TBD	20 hours per week	\$20/hr	None
Community Liaison	TBD	20 hours per week	\$20/hr	None

Note: Additional Masters level clinicians will be hired in year 2 and a clinical internship program will be added in year 3 to ensure capacity to implement all components of FACE.

7. EVALUATION

- a. **Services.** The services offered by FACE will build upon the BCSMHC activities and will begin in Phase II of the development detailed above and below in Figure 3. Specifically, FACE services will include (a) assessment, (b) referral, (c) case management, (d) ongoing data collection and reporting, and (e) eventually the adoption and dissemination of free, research driven direct services.
- b. **Outputs.** Outputs will be tracked to describe the total number of youth screened in the BCSMHC activities, the total number of FACE referrals, referral points (i.e., schools, law

enforcement, families, County Health Department), the number of assessments, the number of family based and youth centered support plans.

- c. **Outcomes.** Outcomes follow a developmental sequence of change that is theorized to start with small proximal changes which will lead to medial and distal outcomes with sustained investment and support of the FACE of Boone County. As shown in Figure 3, initial FACE impact from providing assessment driven case management will facilitate and increase service coordination, increased attendance for service referrals, and increases in the number of case management objectives met. These proximal outcomes will lead to increased school attendance and positive school behavior, increased assets and family cohesion (among FACE families). These proximal outcomes will facilitate community level changes in school safety, achievement, increases in positive youth development, and decreases in arrests, rearrests, out of home placements and truancy.
- d. **Indicators.** The above indicators can be tracked using measures from the BCSMHC (i.e., tri-annual screening and assessment). Family and youth level changes can be tracked using in-depth assessment scores and clinical measures completed with FACE youth and families. In addition, county level indicators can be used to examine the impact of FACE on relevant youth (i.e., graduation rates, dropout rates, Missouri Student Survey, minority youth-police contact, truancy, and juvenile crime rates) compared to similar Missouri counties.
- e. **Evaluation Measures.** The measures described in Table 2 focused on assessments that will be used in FACE to guide the menu of options of services for families and intervention planning. Here, we described the measures that we will use to evaluate the success of FACE in meeting proposed objectives.

Integrated Information System. The integrated information management system (see full description section 4, subsection c.iii under the heading “Integrated Information Management Systems.”) will allow the FACE of Boone County to evaluate a series of important questions with regard to effectiveness, including a) determining population changes in prevalence of risk and protective factors across Boone County, b) determining the number of youth and families who utilize the FACE of Boone County (i.e., # assessed, #service plans, #referrals) and their assessment of the quality of the services provided, c) determining the percentage of families who engage in the first meeting with an outside provider, d) determining the number of families who continue with services beyond the first meeting, e) evaluating reasons some families do not continue with services, f) determining the impact of services on targeted risk indicators (e.g., reductions in mental health symptoms, increased school attendance, improved school outcomes, reductions in contact with police contact) for youth and families who receive case management services, and g) determining what service needs are not currently being met in the community.

The following will also be part of the integrated information system and used to measure important indicators of the FACE of Boone County services:

- Case Management and Progress Monitoring: Case management services will be coordinated using a databased dashboard which will track engagement with services, quality of services, and effectiveness of services on targeted goal areas for each youth and family. These tools will be used to track (1) time from initial referral to an assessment or diagnostic interview, (2) time to feedback or referral for services, (3) source of referral, and (4) time to first appointment. We will also track symptoms and functioning at regular intervals to examine the impact of services on youth functioning and family systems.
- Quality Indicators: Youth and families will complete an anonymous acceptability and social validity survey after each visit to the FACE of Boone County that provide information on the quality of the services provided, including how useful, helpful, and productive the visit was with FACE clinicians. In addition, the level of approachability professionalism of FACE staff, accuracy of assessment feedback, and likelihood of the family following through with identified services will be assessed. The purpose of the quality indicators is to ensure the FACE of Boone County engages in a feedback loop from consumers to ensure high quality service provision.
- Assessing Areas of Needed Services in the Community. The use of community services will be tracked by FACE, including waitlist time for families to engage in services. Further, populations or targeted areas of need which have few service opportunities will be identified as needs for training and calls for future services to be funded in our community.

Youth and Family Symptoms and Functioning. We will use a variety of measurement strategies to monitor youth responsive to services provided. The specific measure are outlined in Table 2 of this proposal. Each of these measures will provide baseline information on youth and family symptoms and functioning and can be administered over time to understand changes in functioning and symptoms.

As part of the FCU assessment, all youth will be assessed using the *Behavioral Assessment System for Children* (3rd ed.) (BASC-3; Reynolds & Kamphaus, 2014), the Brief Problem Checklist (Chorpita et al., 2010), and the Top Problems approach (Weisz, Chorpita et al., 2011). Teacher, parents, and youth age 8 or older, will complete the BASC-3 as part of their standard assessment. The BASC-3 is a multidimensional assessment system that includes separate report measures for self, parent, and teacher respondents. The BASC demonstrated Alpha coefficients for the composite scales ranging from .87 to .95. DeMaray, Malecki, Davidson, Hodgson, and Rebus (2005) reported test-retest reliability coefficients ranging from .78 to .84 for the composites of the child version. Validity evidence was provided by the factor structure of the scale and correlations with other measures, such as the Youth Self-Report (Reynolds & Kamphaus, 2014).

The *Brief Problems Checklist* is a 12-item symptom scale for monitoring youth response to treatment. It was developed using item-response theory using established measure of youth psychopathology (the Youth Self-Report and Child Behavior Checklist). Chorpita et al. (201)

found the measure to be psychometrically sound and a valid measure of core constructs assessed by the lengthier scales upon which it is based.

The *Top Problems* approach provides an idiographic approach to treatment response. In this method the clinician helps the parent and youth identify the top three problems that they are requesting help with and these problems are then used to track progress. Weisz et al. (2011) found this to be a practical and informative method for assessing treatment outcomes.

In coordination with service providers who receive referrals we will ask to repeat the *BASC-3*, *Brief Problem Checklist*, and *Top Problems* at one and six month post referral to document improvement in youth symptoms and functioning. We begin the project with all three to assess the viability and promise of each measure for use as a primary outcome. The *BASC* has the advantage of being the most comprehensive and widely used assessment. If we find that community providers routinely administer the *BASC* then it will prevail as the strongest measure of treatment response. However, if as we suspect, there is great variability in the use of the *BASC*, we will turn to the two other brief and practical measures to capture ongoing intervention response.

In a similar manner, the 12-item *General Functioning* (the GF) subscale of the Family Assessment Device will be used to track global family functioning at baseline assessment and at one- and six month post-referral. The GF scale has shown to be highly reliable and sensitive to intervention effects (Byles et al., 1988; Epstein et al., 1983).

Community Mental Health Awareness. The following measures that will be utilized to assess the impact of community mental health awareness, destigmatizing campaigns, and community trainings. Data will be collected electronically via Qualtrics to a random sampling of Boone county residents annually and from participants prior to the trainings, after the trainings: Knowledge of MH Signs will be assessed using the *Mental Literacy Questionnaire* (MHLQ; Davis et al., 2008), a 5 point Likert-type survey with moderate to high reliability ($\alpha=.66.92$) assessing knowledge of MH symptoms and conditions present in youth. Knowledge of available resources will be assessed with the *Resource Allocation Test* (RAT; Corrigan, et al., 2004). The RAT is meant to assess the resource allocation process whereby participants are aware of and recommend specific MH services to support and access treatment options for various MH conditions (Kitchener and Jorm 2002). Confidence in intervening with someone who has symptoms of mental health problems will be assessed using the 4-item *Social Distance Scale* which assesses participants' knowledge of how to interact with others experiencing a MH crisis (Kitchener and Jorm 2004). Efficacy of participants to address students with MH issues will be measured by the *MH literacy questionnaire subscale*—a 5-item Likert-type scale with moderate to high reliability ($\alpha=.66.92$) examining capabilities, belief in rights, values, critical behaviors, and communication skills required to intervene in MH issues effectively. Stigma will be assessed using the *Attribute Questionnaire* (AQ-27; Corrigan, et al., 2004), a 27 item questionnaire addressing common stereotypes attributed to those with MH conditions (i.e., Blame, Anger, Pity, Help, Dangerousness, Avoidance, Segregation, Coercion) using a 9 point Likert-type scale ranging from agree to disagree. Empathy will be assessed using the help subscale of the AQ-27. Feasibility and social acceptability of trainings will be assessed using a 15 item,

Likerty-type scale called the *Primary Intervention Rating Scale* (PIRS). The PIRS has been used in prior studies to examine the acceptability and feasibility of interventions ($\alpha = .97$; Lane et al., 2009).

Clinician Knowledge and Skill. Knowledge tests will be administered before and after all professional development training in EBPs to assess improvements in clinician knowledge about the training topic (e.g., CBT for depression or anxiety, parent behavior management training). Further, participants in trainings will be asked to complete the CBT Adherence Trackers and submit these for review by Dr. Hawley to monitor their use and effectiveness of the targeted intervention. The number of clinicians using the adherence trackers and the percent adherence will be tracked via the online data management system and reported to the FACE Director on a monthly basis.

Parent or Caregiver and Staff Motivation and Engagement Survey. The following measures will be used to examine the FACE of Boone County's impact on improved engagement of youth and families to services. We will use established measures to examine the following family service motivation constructs: accessibility (*Obstacles To Engagement Scale* [OES]; $\alpha = .73, .77, .77$ for *Personal/Family stressors, Relevance/Trust in Intervention; Intervention Demands subscales*; $\alpha = .40$ for 2-item Scheduling Demands subscale; Dumas et al., 2007); perceived efficacy (*Parent Locus of Control Scale* [PLOC]; $\alpha = .62-.79$; Campis et al., 1986); attitudes toward the intervention and participation (*Credibility/ Expectancies Questionnaire-Parent Version* [CEQ-P]; $\alpha = .82$; Nock et al., 2006); expectations of success (*Perceived Intervention Benefits Scale*; $\alpha = .86$; Spoth & Redmond, 1995); social influence factors (*Normative Belief Scale*; Wellington et al., 2006; and questions about legitimate authority [i.e., who recommended the program to the parent] and commitment); salience (Karoly & Ruehlman, 1995); and intent to participate (*Implementation Intentions*; $\alpha = .79$; Rise, Thompson, & Verplanken, 2003). We will adapt and pilot versions of these measures for assessing the perspectives of agency staff as well.

Community Surveillance System. In addition to all measures detailed above community level surveillance of risk indicators will be conducted tri-annually of all youth in pre-school to grade K. The pre-school version of the BCSMHC Early Identification system will be developed and implemented in year one. The BCSMHC has already started piloting this system in the six Boone County School Districts grade K-12. The tool takes approximately 15 minutes to complete. This surveillance system will provide ongoing information to inform the needs and trends in mental health problems across Boone County.

Figure 2. BCSMHC Early Identification Tool.

	Dexter Alford	Anika Allison	Libandio Armstrong	Chancy Beck	Gail Bell	Kylie Bird	Moses Blumer	Mia Cantrell	Yosha Cotton	Victoria Garcia	Ramonis Hyde	Trevor Logan	Chinger Maxwell	Melodie McCall	Lenore Mills	Perbis Monroe	Hanna Murray	Debrae Nielsen	Whitemira Dixon	Ferris Park	Elton Richard	Brodley Ruiz	Darita Russo	Kim Ryan	Brittany Sharpe	Burke Shepard	Lawrence Solomon	Dakota Velez	Kelita Watson	Yetta Willis
Behavior Metrics																														
Poor organizational skills																														
Poor academic performance																														
Early identified																														
Does not complete assignments																														
Is disliked by or avoids peers																														
Is left out of activities by peers																														
Has no true friends																														
Does not work well with others																														
Has poor social skills																														
Is bossy																														
Trouble expressing feelings appropriately																														
Trouble																														

8. BUDGET

a. Budget Worksheets (see Attachments B and C)

b. **Budget Narrative.** We are requesting funding to support the development, implementation, and ongoing quality monitoring and evaluation of the FACE of Boone County over a three year period. The budget starts January 1, 2016 and continues through December 31, 2018.

We request funding to support Personnel on this access to services program, including project staff, Community Liaisons, and MU faculty support totalling \$619,731 with fringe benefits costs at 35.37% (\$218,948) for Year 1, \$767,180 with fringe benefits costs at 36.43% (\$278,585) for Year 2, and \$788,636 with fringe benefits costs at 37.52% (\$294,331) for Year 3. We will hire a FACE director, one PhD licensed clinician, and 3 masters level clinicians in year 1. In addition, we will hire an administrative assistant to support the director, manage scheduling, and coordinate Board member meetings, take notes during meetings, and provide support to clinical staff. In year 3, this individual will be trained to support third-party billing and reporting. We will hire a data management/program evaluator who will monitor the integrity and ongoing systematic collection of quality assurance data, manage data systems, and gather important cost analysis data that

will be used to provide documentation of the cost-benefit analyses each year. Two graduate students will be hired to support data collection and monitoring, support prevention training and social marketing campaigns, implement dissemination activities, and ensure FACE staff fidelity to assessment procedures. During years 1 and 2, Dr. Thompson will devote 20% of his time to the project and 2 months full time during the summer. Drs. Reinke and Herman will devote 15% of their time to the project and 2 months full time during the summer. Drs. Hawley, Schieltz, and Peters will all devote 10% of their time to the project and 2 months full time during the summer. In year 3, Dr. Thompson will reduce his time to 15%, Drs. Reinke and Herman will reduce to 10%, and Dr. Peters will reduce to 5%. Drs. Thompson, Reinke, Herman, Hawley, and Schieltz will provide ongoing supervision of graduate level masters and doctoral students who will participate in clinical activities to support the FACE of Boone County across all three years. In years 2 and 3, we anticipate the need to expand the clinical staff available to meet the demand of the FACE of Boone County, therefore we budget to hire 2 additional master level clinicians in year 2 (\$56,650 each). In year 3, we anticipate that we will have a fully developed and accredited doctoral internship program and request funds to hire two interns who will be supervised by MU Faculty at \$35,000 each. This internship program will attract top-notch doctoral level clinical, school, and counselling psychology interns from across the nation, helping to train the next generation of clinicians and gaining national exposure to this innovative access to services program.

In addition, we request operating costs in the form of monthly rent and utilities for the brick and mortar location of the FACE at \$5,500 per month, phone and internet fees of \$611 per phone for 6 phones (total \$3,666), and \$250 per month for office supplies (total \$3000). We request mileage reimbursement for staff to travel to remote locations in the amount of \$5,300 per year (approximately 10,000 miles/year at \$0.53 per mile). In year 1 we request \$9,000 to purchase computer equipment for six staff. In year 2 and 3 we request \$3,000 to purchase computer equipment for two additional clinical staff each year. In year 1 we request \$5,000 to purchase needed standardized clinical assessment materials, and an additional \$2,000 in years 2 and 3 to sustain assessment material needs. Each year we also request \$3,000 to support printing, and dissemination of publication materials.

In year 1, we include several one-time costs, including fiscal supports to renovate the FACE location (\$90,000) and purchase of family friendly furnishings (\$50,000). The MU ESCP is also committed to supporting the renovation (\$25,000) and provision of video equipment needed (worth \$20,000) to support graduate training activities of clinicians and is willing to provide cost-sharing in these one-time expenses by offering

additional needed fiscal support in addition to the requested funds from Boone County (see letter of support).

We also request funding to support professional development of all staff involved in the FACE of Boone County. Each year we request \$2,000 per clinical staff to support their ongoing professional development. To support the development of a community of learners and EBP practitioners we request funds to incentivise community practitioners in training, ongoing consultation, and monitoring of adherence to EBPs. Given the need for high quality services to ensure public health impact of this access to services initiative, in year 1 we request funding for 75 practitioners to participate in this incentive plan at \$500 each (total \$37,500). In year 2 and 3 we request funds for 100 practitioners each year to participate (\$50,000 each year). Adherence to participation in trainings, specified criteria for ongoing consultation, and pre-determined minimal standards for demonstrating adherence to EBPs will be required prior to practitioners receiving these funds. The Board, FACE director, and MU faculty will be involved in determining the specific criteria and monitoring of those eligible to receive these incentive funds. We also request \$10,000 each year to support wraparound services toward overcoming barriers for high risk youth. Required approvals are necessary to obtain these funds and will only be distributed after plans for using the funds are approved by the director. In addition, we request \$10,000 per year for FACE social marketing campaigns and prevention programming trainings and activities.

We request funds to develop and maintain the integrated information management system, case management dashboard, and early identification surveillance system for pre-school children. In years 1 and 2 we request \$72,800 or 1120 programmer hours at \$65/hour. In year 3 we anticipate needing fewer hours as the primary system will be operational, but will need maintenance, therefore we request \$52,000 or 800 hours at \$65 per hour. Lastly, we request funds for website development and maintenance. The website will be used for dissemination and social marketing activities. In year 1 \$32,500 or 500 hours at \$65 per hour to develop the website, and 150 hours at \$65 per hour in years 2 and 3 for maintenance of the FACE website.

Program costs are as follows across each year: \$1,246,445 (Year 1); \$1,298,281 (Year 2); \$1,318,684 (Year 3).

Indirect costs which include 15% of salary only are as follows across each year: \$92,960 (Year 1); \$115,077 (Year 2); \$118,296 (Year 3).

Total costs across each year: \$1,339,405 (Year 1); \$1,413,358 (Year 2); \$1,436,980 (Year 3).

In years 2 and 3, MU faculty and FACE staff will be actively involved in grant submission activities and devotion to garnering financial supports to ensure sustainability of the FACE of Boone County will occur.

9. SUSTAINABILITY

As defined in the year three of the phased implementation timeline (see 6, Implementation, subsection d), researchers involved in the development of the FACE of Boone County will engage in external grant searches and applications to support the operations of the FACE of Boone County. There are many federal funding mechanisms and government entities that will be the target of FACE operations. For example, external federal funding dollars can be leveraged from institutes and agencies with strategic goals that align with the goals and operations of FACE:

- Office of Juvenile Justice and Delinquency Prevention
- National Institutes of Justice
- National Institutes of Health
- National Institutes of Mental Health
- Substance Abuse and Mental Health Services Administration
- National Institute of Drug Abuse
- Department of Health and Human Services
- Centers for Disease Control

State level funding agencies might include

- Missouri Foundation for Health
- Missouri Foundation for Mental Health
- Department of Mental Health
- Missouri Safety Center

Nonprofit foundations with goals in line with aims of the FACE of Boone County include

- Annie E. Casey Foundation
- Spencer Foundation
- Kauffman Foundation
- W.T. Grant Foundation

Grants can be submitted through these agencies and nonprofit organizations to support various projects as well as sustain the continued development of the FACE of Boone County. Other joint ventures from funding agencies such as the as well as propose innovative services and research opportunities while benefitting the community and the county by offering free services that families may choose to participate in.

Lastly, local agencies—after the development of FACE—may be interested in providing direct support for the operations of FACE. For example, prior studies have shown a

substantial cost benefit analysis relevant to police department and local school budgets. These cost savings show up for law enforcement in the form of reduced time of officers spent sitting in a station house with youth waiting for decisions regarding detainment or waiting for parents/guardians to pick youth up. Similarly, studies have revealed the schools have witnessed savings in the form of increases in average daily attendance due to decreases in dropout and truancy. Under such conditions, local entities—who are represented on the Board of FACE—may elect to offset these savings by supporting FACE operations.

10. AGENCY ASSURANCE, CERTIFICATION, AND WORK AUTHORIZATION SHEETS

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ATTACHMENT A
Program Performance Measures Information Worksheet

ATTACHMENT B
Organizational Financial Worksheet

ATTACHMENT C
Program Budget Worksheet

ATTACHMENT D
2015 Agency Assurance Sheet- *See Signature Section*

ATTACHMENT E
Certification - *See Signature Section*

ATTACHMENT F
Work Authorization Certification - *See Signature Section*

ATTACHMENT G
Letters of Support

1. Boone County School Mental Health Coalition Superintendents
2. Juvenile Court Supervisor—Cindy Garrett & Ruth McClusky
3. Administrative Family Court Judge—Honorable Leslie Schneider
4. Columbia Housing Administration—Becky Markt
5. Boone County Sheriff's Office—Sheriff Carey and Deputy Sheriff Tom Reddin
6. Columbia Police Department—Chief Burton and Officer Steve McCormack
7. Columbia/Boone County Public Health and Human Services—Steve Hollis
8. Central Missouri Community Action—Executive Director, Darin Pries
9. University of Missouri, College Of Ed—Dean Dan Clay and Matthew Martens
10. United Community Builders—Executive Director, Damian Dean
11. Heart of Missouri United Way—Rachel Finch, Community Impact Director
12. First Chance for Children—Executive Director Jack Jensen
13. Integrated Behavioral Health Clinic-Family Impact Center—Director Kelly Canada
14. University of Missouri, School of Social Work—Dr. Margie Sable
15. 105 East Ash Street Property Owner—Ms. Anna Marie Knipp
16. Center for EB Youth Mental Health—Drs. Bell, Hawley, and Waller
17. Educational, School, and Counseling Psychology—Dr. Chris Riley-Tillman

ATTACHMENT H
105 E. Ash Street Floor Plan

ATTACHMENT I
FACE Administration Hierarchy & Flow of Service Model

ATTACHMENT J
Case Management and Progress Monitoring Tools

ATTACHMENT K
Vita of Key Personnel

ATTACHMENT A

Program Performance Measures Information Worksheet

ATTACHMENT A

Program Performance Measures Information Worksheet

Worksheet 1: FACE Effectiveness of Direct/Indirect Care at Youth/Family Levels: Years 1-3				
Service	Output	Outcome	Indicator	Measure
<u>Phase I-II—Indirect:</u>				
Case Management (i.e., assessments, service plan, referrals, case management)	<i>Number of:</i>	10% improved youth and family functioning	15% + school attendance	Pre-post test change in school records, clinical symptoms, and youth and family function using relevant measures listed in section 7, subsection e “Measures” for youth and family assessment
	# assessed			
	# service plans			
<u>Phase III—Prevention</u>				
<u>Direct:</u>				
Brief Therapy Parent Groups Psychoeducational groups	# of sessions	+parenting skill	10% increase in parenting skill, social emotional competence, and reduction in substance use	
	# served	+social support		
		+social- emotional competence		
Worksheet 2: For FACE Effectiveness at County Levels: Years 2-3 and on				
Training for community	# Trainings held and persons trained	+ mental health literacy/trauma - stigma	10% + in mental health literacy 5% - in stigma	Pre/Post Mental Health Literacy Questionnaire
Training/consultations for providers	Trainings held and agencies in attendance	+Adherence to EBP and EBT*	10% + in adherence to EBP/EBT	Ongoing progress monitoring tools
Public Awareness campaign	Ads, events, flyers, etc.	+Public awareness of trauma, mental health, resources and - stigma	10% + in mental health literacy 5% - in stigma	Annual trends using random survey of Boone Residents
MOUs and coordination among community sectors	# MOUs and referral points	+improved service coordination	10% - in youth police contact	Annual FACE data
		+youth/family satisfaction	10% + satisfaction	Pre-post test change in parent satisfaction and school safety survey, see section 7, subsection e “Measures”
		+improved service acquisition at schools	10% + School Safety	

ATTACHMENT B

Organizational Financial Worksheet

ATTACHMENT B

ORGANIZATION FINANCIAL INFORMATION AND BUDGET NARRATIVE

ORGANIZATION NAME: Department of Education, School, and Counseling Psychology (ESCP)

Please complete each narrative box below detailing figures entered into each box:

ORGANIZATION REVENUE	PRIOR YEAR ACTUAL	CURRENT YEAR	PROPOSED YEAR	% OF PROPOSED TOTAL	% CHANGE CURRENT TO PROPOSED
1. DIRECT SUPPORT					
A. Heart of Missouri United Way				0.00%	#DIV/0!
Narrative describing this revenue:					
B. Other United Ways				0.00%	#DIV/0!
Narrative describing this revenue:					
C. Capital Campaigns				0.00%	#DIV/0!
Narrative describing this revenue:					
D. Grants (non-governmental)	0	324,582	0	0.00%	-100.00%
Narrative describing this revenue: Grant with Missouri School Board Association to Missouri Foundation for Health for \$324,582.					
E. Fund Raising & Other Direct Support	19,511	35,469	10,460	0.22%	-70.51%
Narrative describing this revenue: Donations.					
TOTAL DIRECT SUPPORT (sub-totals)	19,511	360,051	10,460	0.22%	
Narrative describing Total Direct Support revenue: Sum of above					
2. GOVERNMENT CONTRACTS/SUPPORT:					
A. Boone County - Children's Services Funding		1,190,865	\$1,339,405	27.54%	12.47%
Narrative describing this revenue: Award 28-Jun14 Pilot, PO # 2014000166 for \$1,190, 865 running until June 30, 2016.					
B. Boone County - Community Health Funding				0.00%	#DIV/0!
Narrative describing this revenue:					
C. Boone County - Other Funding			0		#DIV/0!
Narrative describing this revenue:					
D. Funding from Other Counties				0.00%	#DIV/0!

Narrative describing this revenue:					
E. City of Columbia - Social Service Funding				0.00%	#DIV/0!
Narrative describing this revenue:					
F. City of Columbia - CDGB/Home Funding				0.00%	#DIV/0!
Narrative describing this revenue:					
G. City of Columbia - CHDO Funding			0		#DIV/0!
Narrative describing this revenue:					
H. City of Columbia - Other Funding				0	#DIV/0!
Narrative describing this revenue:					
I. Funding from Other Cities				0.00%	#DIV/0!
Narrative describing this revenue:					
J. Federal (Medicaid, Title III, etc.)				0.00%	#DIV/0!
Narrative describing this revenue:					
K. State (Purchase of Services, Grants, etc.)				0.00%	#DIV/0!
Narrative describing this revenue:					
L. Other (Schools, Courts, etc.)				0.00%	#DIV/0!
Narrative of funding received (if any) and purpose:					
TOTAL GOV'T CONTRACTS/SUPPORT (sub-totals)	0	1,190,865	1,339,405	\$0	
Narrative describing this revenue:					
3. Program Service Fees	138,935	219,536	0	0.00%	-100.00%
Narrative describing this revenue: These are the fees charged for online training and learning that is offered by the department.					
4. Investment Income (realized & unrealized)				0.00%	#DIV/0!
Narrative describing this revenue:					
5. Other Revenue Items	5,230,909	3,502,489	3,514,027	72.25%	0.33%

Narrative describing this revenue: various revenue sources for department.

TOTAL AGENCY REVENUE	\$5,389,355	\$5,272,941	\$4,863,892		-7.76%
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Narrative describing Total Agency revenue: Sum of above

ORGANIZATION EXPENSES	PRIOR YEAR ACTUAL	CURRENT YEAR	PROPOSED YEAR	% OF PROPOSED TOTAL	% CHANGE CURRENT TO PROPOSED
Expenses for Program Services	1886866	1758914	1339405	27.60%	-23.85%

Narrative describing these specific expenses: These expenses are for the department of ESCP within the MU College of Education expenses.

Expenses for Management and General	3502489	3514027	3514027	72.40%	#REF!
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Narrative describing these specific expenses: These expenses are for the department of ESCP within the MU College of Education expenses.

Expenses for Fundraising	0	0	0	0.00%	#DIV/0!
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Narrative describing these specific expenses:

TOTAL AGENCY EXPENSES	5389355	5272941	4853432		-7.96%
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Narrative describing Total Agency expenses: Sum of above

% of Management and Fundraising Expenses	64.99%	66.64%	72.40%		#DIV/0!
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Narrative describing the % of funds spent on Management and Fundraising Expenses:

NET ASSETS	PRIOR YEAR ACTUAL	CURRENT YEAR	PROPOSED YEAR	% CHANGE CURRENT TO PROPOSED
Net Assets, End of Year	\$0	\$0	\$0	#DIV/0!

Narrative of Net Assets and End of Year funds:

CASH FLOWS	PRIOR YEAR ACTUAL	CURRENT YEAR	PROPOSED YEAR	% CHANGE CURRENT TO PROPOSED
Cash, End of Year	\$0	\$0	\$0	#DIV/0!

Narrative of Cash at the End of the Year:

ATTACHMENT C

Program Budget Worksheet

ATTACHMENT C

PROGRAM BUDGET WORKSHEET AND NARRATIVE

PROGRAM NAME:

FACE of Boone County

Please complete each narrative box below detailing figures entered into each box:

TOTAL PROGRAM REVENUE	PRIOR YEAR ACTUAL	CURRENT YEAR	PROPOSED YEAR	% OF PROPOSED TOTAL	% CHANGE CURRENT TO PROPOSED
1. DIRECT SUPPORT					
A. Heart of Missouri United Way				0.00%	#DIV/0!
Narrative of any direct funding currently receiving for this Program:					
B. Other United Ways				0.00%	#DIV/0!
Narrative of any direct funding currently receiving for this Program:					
C. Capital Campaigns				0.00%	#DIV/0!
Narrative of any direct funding currently receiving for this Program:					
D. Grants (non-governmental)	0	0	1,339,405	96.75%	#DIV/0!
Narrative of any direct funding currently receiving for this Program: We are requesting funding to support the development, implementation, and ongoing quality monitoring and evaluation of the FACE of Boone County over a three year period. The budget starts January 1, 2016 and continues through December 31, 2018. Total costs across each year: \$1,339,405 (Year 1); \$1,413,358 (Year 2); \$1,436,980 (Year 3).					
E. Fund Raising & Other Direct Support			45,000	3.25%	#DIV/0!
Narrative of any direct funding currently receiving for this Program: The MU ESCP is also committed to supporting the renovation (\$25,000) and provision of video equipment needed (worth \$20,000) to support graduate training activities of clinicians and is willing to provide cost-sharing in these one-time expenses by offering additional needed fiscal support in addition to the requested funds from Boone County (see letter of support).					
2. GOVERNMENT CONTRACTS/SUPPORT:					
A. Boone County - Children's Services Funding				0.00%	#DIV/0!
Narrative of any direct funding currently receiving for this Program:					
B. Boone County - Community Health Funding			0		#DIV/0!
Narrative of any direct funding currently receiving for this Program:					
C. Boone County - Other Funding				0.00%	#DIV/0!
Narrative of any direct funding currently receiving for this Program:					
D. Funding from Other Counties				0.00%	#DIV/0!
Narrative of any direct funding currently receiving for this Program:					
E. City of Columbia - Social Service Funding				0.00%	#DIV/0!

Narrative of any direct funding currently receiving for this Program:

F. City of Columbia - CDGB/Home Funding				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

G. City of Columbia - CHDO Funding				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

H. City of Columbia - Other Funding				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

I. Funding from Other Cities				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

J. Federal (Medicaid, Title III, etc.)				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

K. State (Purchase of Services, Grants, etc.)				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

L. Other (Schools, Courts, etc.)				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

3. Program Service Fees				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

4. Investment Income (realized & unrealized)				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

5. Other Revenue Items				0.00%	#DIV/0!
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Narrative of any direct funding currently receiving for this Program:

TOTAL PROGRAM REVENUE	\$0	\$0	\$1,384,405		
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Narrative describing Total Program Revenue currently receiving for this Program:

PROGRAM EXPENSES	PRIOR YEAR ACTUAL	CURRENT YEAR	PROPOSED YEAR	% OF PROPOSED TOTAL	% CHANGE CURRENT TO PROPOSED
1. Personnel			838,679	62.62%	#DIV/0!
Narrative describing Total Expenses for Personnel associated with this Program: We request funding to support Personnel on this access to services program, including project staff, Community Liaisons, and MU faculty support totalling \$619,731 with fringe benefits costs at 35.37% (\$218,948) for Year 1.					
2. Non-Personnel			500,726	37.38%	#DIV/0!
Narrative describing Total Expenses for Non-Personnel associated with this Program: In addition, we request operating costs in the form of monthly rent and utilities for the brick and mortar location of the FACE at \$5,500 per month (total \$66,000), phone and internet fees of \$611 per phone for 6 phones (total \$3666), and \$250 per month for office supplies (total \$3000). Each year we also request \$3,000 to support printing, social marketing, and dissemination publication materials. We request mileage reimbursement for staff to travel to remote locations in the amount of \$5,300 per year (approximately 10,000 miles/year at \$0.53 per mile). In year 1 we request \$9,000 to purchase computer equipment for six staff. In year 1 we request \$5,000 to purchase needed standardized clinical assessment materials. In year 1, we include several one-time costs, including fiscal supports to renovate the FACE location (\$90,000) and purchase of family friendly furnishings (\$50,000). We also request funding to support professional development of all staff involved in the FACE of Boone County. Each year we request \$2,000 per clinical staff to support their ongoing professional development (total \$10,000). Given the need for high quality services to ensure public health impact of this access to services initiative, in year 1 we request funding for 75 practitioners to participate in this incentive plan at \$500 each (total \$37,500). We also request \$10,000 each year to support wraparound services toward overcoming barriers for high risk youth. Required approval are necessary to obtain these funds and will only be distributed after plans for using the funds are approved by the director. In addition, we request \$10,000 per year for FACE social marketing campaigns and prevention programming trainings and activities. We request funds to develop and maintain the integrated information management system, case management dashboard, and early identification surveillance system for pre-school children. In year 1, we request \$72,800 or 1120 programmer hours at \$65/hour. Lastly, we request funds for website development and maintenance. The website will be used for dissemination and social marketing activities. In year 1 \$32,500 or 500 hours at \$65 per hour to develop the website. Indirect costs of 15% on Year 1 salary of \$619,731 for a total of \$92,960.					
TOTAL PROGRAM EXPENSES	\$0	\$0	\$1,339,405		
Narrative describing Total Program Revenue currently receiving for this Program:					

NUMBER OF DIRECT PROGRAM STAFF (FTE)	ACTUAL	CURRENT	PROPOSED
<i>FTE = number of direct program service hours worked by employee per year/2080 (e.g. 1040/2080= .5 FTE)</i>			10.52 FTE

Narrative describing how this new funding will support Direct Program Staff: We will hire a FACE director, one PhD licensed clinician, and 3 masters level clinicians in year 1. In addition, we will hire an administrative assistant to support the director, manage scheduling, and coordinate Board member meetings, take notes during meeting, and provide support to clinical staff. In year 3, this individual will be trained to support third-party billing and reporting. We will hire a data management/ program evaluator who will monitor the integrity and ongoing systematic collection of quality assurance data, manage data systems, and gather important cost analysis data that will be used to provide documentation of the cost-benefit analyses each year. Two graduate students will be hired to support data collection and monitoring, support prevention training and social marketing campaigns, implement dissemination activities, and ensure FACE staff fidelity to assessment procedures. During years 1 and 2, Dr. Thompson will devote 20% of his time to the project and 2 months full time during the summer. Drs. Reinke and Herman will devote 15% of their time to the project and 2 months full time during the summer. Drs. Hawley, Schieltz, and Peters will all devote 10% of their time to the project and 2 months full time during the summer. In year 3, Dr. Thompson will reduce his time to 15%, Drs. Reinke and Herman will reduce to 10%, and Dr. Peters will reduce to 5%. Drs. Thompson, Reinke, Herman, Hawley, and Schieltz will provide ongoing supervision of graduate level masters and doctoral students who will participate in clinical activities to support the FACE of Boone County across all three years. In years 2 and 3, we anticipate the need to expand the clinical staff available to meet the demand of the FACE of Boone County, therefore we budget to hire 2 additional master level clinicians in year 2 (\$56,650 each). In year 3, we anticipate that we will have a fully developed and accredited doctoral internship program and request funds to hire two interns who will be supervised by MU Faculty at \$35,000 each. This internship program will attract top-notch doctoral level clinical, school, and counselling psychology interns from across the nation, helping to train the next generation of clinicians and gaining national exposure to this innovative access to services program.

ATTACHMENT G

Letters of Support



**Boone County Schools
Mental Health Coalition**

Coalition Director:

Dr. Lou Ann Tanner-Jones
University of Missouri

Coalition Board Members:

Mr. Darin Ford
Centralia R-VI School District

Dr. Peter Stiepleman
Columbia Public School District

Mr. John Robertson
Hallsville R-IV School District

Mrs. Lynn Proctor
Harrisburg R-VIII School District

Mr. Chris Feimlee
Southern Boone County School District

Mr. Shawn Schultz
Sturgeon R-V School District

Dr. Keith Herman
University of Missouri



May 4, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, Herman, and Hawley,

On behalf of the Boone County School Mental Health Coalition, we could not be more enthusiastic about the development and implementation of the Family Access Center for Excellence (FACE) of Boone County. Project staff will facilitate the training of school personnel on assessing mental health issues, prevention programs, and evidence-based interventions, which fits perfectly with our mission. And, once the Center is developed, FACE clinical faculty and staff will provide mental health and well-being assessments, develop support plans for the family, and provide case management services to oversee the follow through and implementation of service plans. The FACE will truly be a Center for Excellence as it targets particularly vulnerable populations who are experiencing mental health issues. This comprehensive wrap-around service model will holistically address issues that youth and their families are experiencing.

The Boone County School Mental Health Coalition appoints Peter Stiepleman, from Columbia, and Darin Ford, from Centralia, to represent us on the Center's Board of Representatives. In this role, they will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support University of Missouri researchers and other community service providers in their pursuit of external funding to support the Center.

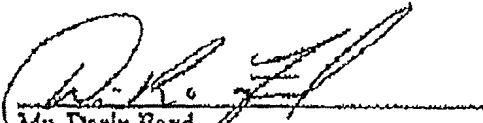
Missouri Prevention Center
201 London Hall
Columbia, MO 65211
573-882-6267
tannerjonesl@missouri.edu

We fully support this project and look forward to assisting in any way we can to bring this great plan to fruition. We thank you and your collaborators for your efforts!

Sincerely,



Dr. Lou Ann Tanner-Jones
Coalition Director



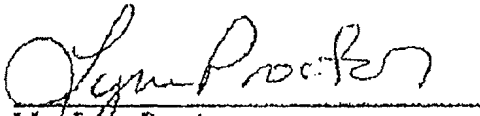
Mr. Darin Ford
ContraLla R-VI School District



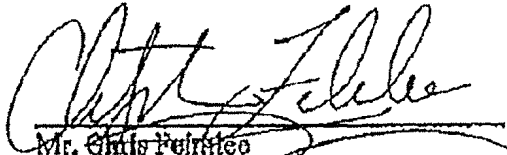
Dr. Peter Stiepleman
Columbia Public Schools



Mr. John Robertson
Hallsville R-IV School District



Mrs. Lynn Proctor
Harrisburg R-VIII School District



Mr. Chris Peirce
Southern Boone County School District



Mr. Shawn Schultz
Sturgeon R-V School District

Boone County Juvenile Office
705 East Walnut
Columbia, Missouri 65201

Phone: (573) 886-4200
Fax: (573) 886-4030



Callaway County Juvenile Office
PO Box 465
Fulton, Missouri 65251

Phone: (573) 642-7992
Fax: (573) 642-6036

Thirteenth Judicial Circuit Court Family Court Juvenile Division

May 7, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman,

As the Chief Juvenile Officer of the 13th Judicial Circuit, I enthusiastically support the development and implementation of the Family Access Center for Excellence (FACE) of Boone County. A comprehensive program, such as this, is highly likely to reduce the number of youth processed through juvenile court and increase positive outcomes of individuals and families who are either at-risk or in-risk of poor outcomes, particularly those with mental health concerns. Having a centralized, collaborative center to address the varied needs of these youth and families will be much more effective than the disparate support currently available.

The position of Chief Juvenile Officer, which I currently hold, will serve on the Center's Board of Representatives. In this role, I will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support University of Missouri researchers and other community service providers in their pursuit of external funding to support the Center.

Again, it is with great excitement that I support this program. We will be happy to collaborate with entities throughout the county to create an integrated program that will be proactive in helping at-risk youth as well as provide holistic interventions and case management services aimed at helping youth and families experience positive outcomes.

Sincerely,

A handwritten signature in cursive script that reads "Ruth A. McCluskey".

Ruth A. McCluskey
Chief Juvenile Officer



CIRCUIT JUDGES

Christine Carpenter
Division I

Gary Oxenhandler
Division II

Kevin Crane
Division III

Jodie Capshaw Asel
Division IV

COMMISSIONER

Sara Miller
Family Court, Division VIII

ASSOCIATE CIRCUIT JUDGES

Kimberly Shaw
Division V

Carol England
Division VI

Sue Crane
Division VII

Michael W. Bradley
Division IX

Leslie Schneider
Division X

Deborah Daniels
Division XI

Circuit Court of Missouri
Thirteenth Judicial Circuit

May 6, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman:

I was very pleased to hear about the development and implementation of the Family Access Center for Excellence (FACE) of Boone County. Youth with mental health issues, and their families, often experience difficulty in school and in life. They are particularly vulnerable to being involved in crime, and can be quite misunderstood by individuals at all levels, such as peers, teachers, police officers, and the judicial system. Providing assessments, centralized services and coordination of service plans are all very helpful for these youth and their families. But, educating individuals who are most often in contact with youth about the signs of mental health problems, and working with schools to identify areas of concern and plans for addressing them, will make a significant impact on early intervention and positive outcomes. It is my hope that many of these youth who might have ended up in court will proceed on a more positive path, avoiding court involvement.

As the Administrative Family Court Judge for the Thirteenth Judicial Circuit, it is with great pleasure and I agree to serve on the Center's Board of Representatives. I understand that I will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support University of Missouri researchers and other community service providers in their pursuit of external funding to support the Center.

Thank you for this opportunity. I am looking forward to working with you.

Sincerely,

A handwritten signature in cursive script, reading "Leslie Schneider".



Columbia
Housing Authority

Housing Authority of the City of Columbia, Missouri

201 Switzler Street, Columbia, MO 65203

Office: 573.443.2556 ♦ TTY Relay 800.735.2966 ♦ Fax: 573.443.0051 ♦ www.ColumbiaHA.com

May 6, 2015

School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman:

I am very pleased to accept your invitation to represent the Housing Authority of the City of Columbia (CHA) and CHA Low-Income Services, Inc. (CHALIS) on the Board of Representatives for the Family Access Center for Excellence (FACE) of Boone County if your project is funded. I understand that, in my position as Director of Resident Services, I will assist with oversight of the Center, work to develop memorandums of understanding with organizations and institutions to support the efforts and services of the FACE of Boone County, and will support University of Missouri researchers and other community service providers as they seek additional funding for the Center.

CHA and CHALIS are constantly seeking opportunities for collaboration like FACE and we're excited to be a part of the innovative endeavor. Working with the families and youth served by CHA and CHALIS, we witness firsthand how difficult it is for individuals to be aware of and access all the services that might meet their needs. We see supporting your effort as another way to support our mission. We are ready to participate as needed to address housing and other issues for referred families as well as provide input and our perspective on how our organization may work hand in hand with the Center.

I wish you the best of luck and I look forward to working with you on this project.

Sincerely,

A handwritten signature in cursive script that reads "Becky Markt".

Becky Markt
Director of Resident Services



BOONE COUNTY SHERIFF'S DEPARTMENT

2121 County Drive
DWAYNE CAREY, Sheriff

Columbia, Missouri 65202-9051
Phone: (573) 875-1111 • Fax (573) 874-8953

May 5, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Dr. Thompson,

The Boone County Sheriff's Department recognizes the need in this community for alternative services and solutions for families in crisis, rather than direct admission into the Juvenile Courts or Criminal Justice System. We support the concept and implementation of services which offer assessments and interventions, direct service access, and greater success in their outcomes for the improved mental health of our youth and stability of our families as a whole.

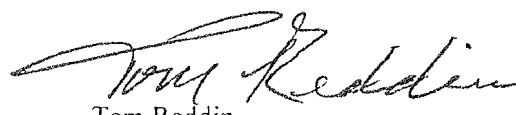
I am writing this letter in support of the development and implementation of the Family Access Center for Excellence (FACE) of Boone County. Combining a variety of services, assessments, and follow-up for families in need is an excellent way to make a huge positive community impact. It can be quite difficult to navigate within the various available services, with one entity not communicating with the other regarding specific families. Helping youth, particularly those suffering from mental health issues, by providing assessments, interventions, and case management highly increases the likelihood of a positive outcome for that family. It is especially helpful for those who live outside of the city limits, because they may not be aware of the types of assistance that are available or where to go. Being able to go to one place that serves as their primary contact for all services will surely make them feel more at ease and successful in dealing with their situation.

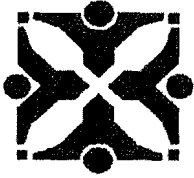
The position of Chief Deputy, currently held by Tom Reddin, will serve on the Center's Board of Representatives. In this role, Major Reddin will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support researchers and other community service providers in their pursuit of external funding to support the Center.

We support this concept for a Family Access Center, and all other similar programs as an alternative referral tool for law enforcement, and believe it to be a much needed resource in Columbia and Boone County. I look forward to hearing about your progress and future successes.

Sincerely,


Dwayne Carey
Sheriff


Tom Reddin
Chief Deputy



CITY OF COLUMBIA, MISSOURI



POLICE DEPARTMENT

April 20, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470


Dear Dr. Thompson,

It is with much enthusiasm that I provide my support for the Family Access Center for Excellence (FACE) of Boone County. The Columbia Police Department recognizes that youth experiencing mental health issues are at a significantly higher risk of being involved in crime, whether as the offender or the victim. The educational component of your program will go far to help individuals gain access to needed services before they become part of the Police Department's statistics. Your Center will also be very important in helping youths and families who have already experienced the judicial system obtain the types of services they need to move along a positive path rather than falling back into the same problematic situations.

Steve McCormick, in the position of <title>, will serve as the Columbia Police Department's representative on the Board of Representative for the FACE of Boone County. In that role, he will assist with oversight of the Center, help to develop memorandums of understanding with entities in the county to support the efforts and services of the Center, and will support University of Missouri researchers and other community service providers in their pursuit of third party funding for the Center.

Good luck with your funding application. I look forward to the development of this worthwhile endeavor.

Sincerely,


Chief Burton
Kenneth M. Burton
Chief of Police
600 E. Walnut St.
Columbia, MO 65201



City of Columbia



County of Boone

Department of Public Health and Human Services

May 7, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dr. Thompson,

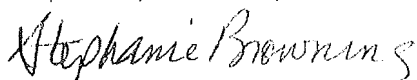
We are very pleased to be invited to be a partner in the development and implementation of the Family Access Center for Excellence (FACE) of Boone County. As the County's public health and human services department, we share the common goal of promoting the success of children and families in Boone County. In addition, the proposed purpose and services of FACE align perfectly with several of the goals, objectives, and strategies in our department's strategic plan as well as our county's Community Health Improvement Plan. These include access to care, improving maternal/child health, and the promotion and destigmatization of behavioral health.

We are also very interested in the opportunity to provide place-based services, particularly in the rural communities of Boone County. We look forward to exploring ways in which a comprehensive set of health and human services could be provided on a regular basis in communities throughout the county.

For these reasons, we are very pleased to have the opportunity to have a seat on the board of FACE. It is my recommendation that the position of Human Services Manager be designated as the representative of our department on the FACE board. This position is currently held by Steve Hollis. In this role, we understand he will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support University of Missouri researchers and other community service providers in their pursuit of external funding to support the Center.

Again, thank you for the opportunity to be a part of this incredible opportunity to measurably change the lives of Boone County children and their families.

Respectfully Submitted,


Stephanie Browning, Director

1005 W. Worley ♦ P.O. Box 6015 ♦ Columbia, Missouri 65205-6015
Phone: (573) 874-7488 (voice) ♦ TTY: (573) 874-7356 ♦ Fax: (573) 874-7756
E-Mail: HumanServices@GoColumbiaMo.com Web Page: www.GoColumbiaMo.com (Search: **Human Services**)

AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION INSTITUTION/SERVICES PROVIDED ON A NONDISCRIMINATORY BASIS



Central Missouri Community Action
807-B North Providence Rd
Columbia, MO 65203
573.443.8706 office
573.875.2689 fax
ShowMeAction.org

May 5, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman,

The mission of Central Missouri Community Action is to empower individuals and families to achieve self-reliance. We do this by helping families take advantage of the unique opportunities in our area. That might include opportunities for higher education, connecting them with employment opportunities, and generally providing a framework of support as they struggle to escape poverty. We work within communities to improve equality factors, diversity, and environmental factors to tip the variables in favor of opportunity, economic stability, and empowerment.

Many families in need of our services also experience mental health challenges that must be overcome in order to succeed in gaining economic independence. We recognize that there are many factors that lead an individual or families to poverty. Since the Family Access Center for Excellence (FACE) of Boone County seeks to provide wrap-around services for families in need, we are happy to collaborate. The goal of providing centralized service plans and case management is an ideal approach to supporting families who may have multiple issues and needs.

On behalf of the CMCA, I am pleased to serve on the Center's Board of Representatives. In this role, I will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support University of Missouri researchers and other community service providers in their pursuit of external funding to support the Center.

I look forward to working with you on this worthwhile project to benefit Columbia and Boone County families.

Sincerely,

A handwritten signature in black ink that reads "Darin Preis". The signature is written in a cursive, flowing style.

Darin Preis, CCAP, MPA
Executive Director



UNIVERSITY *of* MISSOURI

COLLEGE OF EDUCATION

OFFICE OF THE DEAN

May 4, 2015

Aaron Thompson, Ph.D.
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

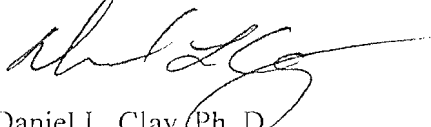
Dear Dr. Thompson,

It is with great enthusiasm that I offer my support for the development and implementation of the Family Access Center for Excellence (FACE) of Boone County, and I am happy to name Matthew Martens to your Board of Representatives on behalf of the University of Missouri's College of Education. Your collaborations with Drs. Wendy Reinke and Keith Herman in the College of Education have produced stellar programs for Central Missouri. Your partnership was instrumental in the creation of the Boone County School Mental Health Coalition, which includes the Superintendents of the six Boone County Schools, and aims to address the mental health needs of school-aged youth throughout our county. The educational component of the FACE of Boone County will add to the knowledge and skill level of individuals who regularly interact with youth. And, having a central point of referral will make it easier, and likely quicker, for youth and families to receive the support that they need. This Center is designed to promote success by pulling together the various services for youth and families in need, particularly those with mental health issues.

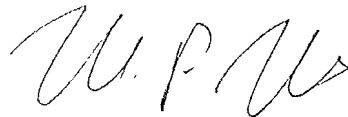
As mentioned above, Dr. Matthew Martens will serve on the Center's Board of Representatives. In this role, he will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support University of Missouri researchers and other community service providers in their pursuit of external funding to support the Center.

I think this is an exceptional project and really look forward to it becoming a reality in Boone County. Thank you for your efforts, and I hope to hear of your success soon.

Sincerely,

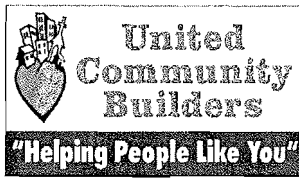


Daniel L. Clay, Ph. D.
Professor and Dean
J. H. Hook Dean's Chair in Educational Renewal



Matthew Martens, Ph. D.
Division Executive Director and Professor





617 N. Providence Rd.
Office: (573) 449-0340
Online: ucbuilders.org

April 22, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman,

At United Community Builders, our mission is to help individuals access the power to transform their mindsets and behaviors to achieve life goals. We want to build strong character and strong families. I see a natural collaboration with the Family Access Center for Excellence (FACE) of Boone County. We can provide support to some of your individuals and families in need, and we can refer individuals who show signs of mental health issues, or those who have multiple needs, to the Center for assessment, intervention, and coordination of care.

On behalf of the United Community Builders, I enthusiastically agree to serve on the Center's Board of Representatives. I understand that I will assist with oversight of the Center's operations, engage in and negotiate memorandums of understanding with other entities to support the efforts and services of the FACE of Boone County, and support University of Missouri researchers and other community service providers in their pursuit of external funding to support the Center.

I think this project is an excellent addition to Columbia and Boone County. I look forward to working with you.

Sincerely,

A handwritten signature in cursive script that reads "Damian Dean".

Damian Dean
Executive Director

LIVE UNITED



Heart of Missouri United Way
uwheartmo.org

27 April 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman,

On behalf of The Heart of Missouri United Way, I am thrilled to offer support of the proposal for the Family Access Center for Excellence (FACE) of Boone County. We are eager and willing to promote the success of FACE by facilitating the development of MOUs between our funded and non-funded certified agencies (listed below) so they can partner to provide quality, evidence-based services for FACE referrals.

The prospect of a more coordinated system of referrals was a need prioritized for strategic investment when we moved to our Community Impact model of funding over three years ago, and we couldn't be more excited that The University of Missouri is the entity taking this on, at this scale. Our agency partners have been doing their best to build and coordinate effective local referral systems, but meet many barriers due caused by increased resource dependency. They are forced to divert nearly all their revenue to direct service provision and evaluation. In-depth assessment, follow-up, and tracking beyond providing contact information is extremely challenging.

We view FACE as a systemic, community-wide asset that will enhance the efficiency and effectiveness through increasing access for all community members through comprehensive service delivery. This is exactly the proactive, preventative approach we have been seeking that is necessary to progress our efforts to reduce long-term poverty in Boone County. In addition to facilitating MOUs, we would like to donate a LAN connection BOC and a cage to hold their remote server that will be needed for secure and HIPPA compliant assessment and case management. Further, this server is an important donation to support the development of the FACE integrated information management system. Furthermore, HMUW can support FACE via the development of MOUs and coordination and patterning of our current service partners who offer services to youth in Boone County. More specifically, our partner agencies include:

- Boys & Girls Club
- Columbia Center for Urban Agriculture
- Family Health Center
- Great Circle
- Phoenix Programs
- Lutheran Family & Children's Services
- Family Counseling Center of Missouri, Inc.
- Rainbow House
- Youth Empowerment Zone
- Columbia Community Montessori
- Columbia Housing Authority
- Big Brothers Big Sisters
- University YMCA
- For His Glory, Inc.
- Mary Lee Johnston Early Learning Center
- United Community Builders

Please recognize The Heart of Missouri United Way as your gift

Executive Committee

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Emerson Johnson

Vice President
Jan Swamy

Secretary
Gordon Galt

Treasurer
Rebecca Wainwright

Human Resources
John H. Hays

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Scott Hays

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John Hays

Chairman
John Hays

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GIVE.

ADVOCATE.

VOLUNTEER.

105 East Ash Street
Suite 300
Columbia, MO 65203
tel: 573.443.4523
fax: 573.874.1285
www.uwheartmo.org

LIVE UNITED



Heart of Missouri United Way
uwheartmo.org

Harrisburg Early Learning Center
Nora Stewart Early Learning Center
Services for Independent Living

We hope to expand our partner networks and footprint beyond Columbia and extend supports to all youth in Boone County. As such, we look forward to supporting the development of FACE and for the opportunity to build capacity for the improvement in community mental health outcomes. Comprehensive and coordinate efforts such as this will not only serve families in Boone County—but these efforts align with HMUW's goal of reducing the effects of poverty on the development of our youth.

Sincerely,

Rachel Finch
Director of Community Impact

Executive Committee

President
Kathleen Humber

Vice President
Jan Swaney

Treasurer
Gladys Jones

Trustee
Lisa Lavigne

Past President
Kathy DeSpain

Communications Chair
John Beazley/Janie McDavid

Marketing Chair
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Directors

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Ellen Price

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Tracy Neville

Teri Norton

Debra Padgett

Christa Simpson

Megan Slayter

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Rody Waters

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Ex-Officio

Mike Brooks

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Tom Gable

Steve Hall

Executive Director
Angela L. Pish

GIVE.

ADVOCATE.

VOLUNTEER.

105 East Ash Street
Suite 300
Columbia, MO 65203
tel: 573-443-4523
fax: 573-874-1285
www.uwheartmo.org

Please contact the Heart of Missouri United Way if you will.



May 6, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman,

Our goal at First Chance for Children is to advocate for high quality early childhood practices—including “evidence-based” programs and practices that facilitate the development of healthy families and youth. We believe that for children to show up at school ready to learn, to do well socially and academically over their elementary and secondary school careers, and to be a contributing member to a community in their life beyond school—we must hone our focus on prevention at the early stages of development. With the birth of a baby there is a window of opportunity to impact two generations, both the child and the parents. Parents who are struggling in their own lives can be positively impacted through interventions that improve their coping and parenting skills. Such early investments mitigate the influence of risk factors on young lives by promoting the protective factors known to buffer those youth against the corrosive power of adverse events.

In order to achieve these goals, it takes collaboration within a community. I see a natural collaboration with the concepts that Dr. Thompson and his colleagues have put forth in their proposal to develop the Family Access Center for Excellence (FACE) of Boone County. Indeed, as this investment in Boone County grows, we also see growth in the collaborations between First Chance and FACE. For example, FACE can assist us in improving our efforts to work with hard to reach families through training opportunities.

For example, Dr. Herman’s expertise in Motivational Interviewing strategies can improve our capacity to engage difficult families. In return, we feel that we can collaborate with FACE to engage at-risk families by developing memoranda of understanding and other similar agreements with FACE to offer home visiting services for families with kids in the 0-5 age range who cannot travel to access assessment and case management services. In addition, we are interested in the treatment fidelity monitoring tools and integrated information management system proposed by FACE. But, overall and most importantly, we hope to see that these collective efforts will lead to bottom-line improvements in the quality of existing services and service providers that will ultimately assist the youth and families in Boone County.

As the Executive Director of the First Chance for Children, I enthusiastically agree to work with Drs. Thompson, Reinke, and Herman as they assist the FACE Board of Representatives and Director in developing the procedures and operations of the center. I hope to collaborate with their efforts, agree to negotiate memorandums of understanding with FACE to support the integration of quality early childhood services, and support University researchers and other community service providers in their pursuit of external funding to support the development of services in at the FACE of Boone County.

Respectfully,

Jack Jensen
Executive Director

dedicated to success through early education



Integrative Behavioral Health Clinic

105 East Ash
Columbia, MO 65203
573-882-2428

May 8, 2015

Dr. Aaron Thompson
718 Clark Hall
MU School of Social Work
Columbia, MO 65211

Dear Dr. Thompson,

This letter is to acknowledge our support of the proposed Family Access Center for Excellence (FACE) of Boone County. As the Director of the Integrative Behavioral Health Clinic (IBHC), I am excited about the contribution that the FACE of Boone County will make in our community and am confident it will address a critical area of need if the initiative receives funding.

The services provided by the FACE of Boone County will complement the services we provide at the IBHC. IBHC offers adults without insurance or who are under-insured direct social work services. All new clients undergo an initial comprehensive needs assessment. Based on initial assessments, clients may receive short- or long-term psychotherapy (e.g., cognitive behavioral therapy, supportive counseling, family treatment, solutions-focused treatment), community resource coordination, advocacy, case management, and/or group therapy. All services are offered free of charge.

IBHC will collaborate in a number of ways with the FACE of Boone County. IBHC will negotiate memorandum's of understanding to support the effort and supplement services of the FACE of Boone County. We will provide services for parents of Boone County youth who may be in need of such supports but lack the access. We have the capacity to offer individual, family, and group treatments, as needed. We will also work with the FACE of Boone County, University of Missouri researchers, and community providers to pursue third party funding (i.e., local, state, and national funding) to help support the efforts of the FACE of Boone County.

We offer our fullest support to you and your team. It is our sincerest hope that this very important resource receives funding. Boone county residents will benefit greatly from it.

Sincerely,

Kelli E. Canada, PhD, LCSW
Director/Assistant Professor
IBHC/MU School of Social Work
706 Clark Hall
Columbia, MO 65211
573-882-3765
canadake@missouri.edu

Sponsored by the:
UNIVERSITY of MISSOURI
SCHOOL OF SOCIAL WORK



UNIVERSITY *of* MISSOURI

SCHOOL OF SOCIAL WORK

May 5, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, MO 65211-4470

Dear Drs. Thompson, Reinke, and Herman:

I am very pleased to support your collaborative proposal between the School of Social Work and the College of Education for the Family Access Center for Excellence (FACE) of Boone County. The three of you have established a strong relationship and have made great strides in improving the knowledge base of area teachers regarding mental health issues and evidence-based prevention and interventions with students in area public schools. As evidenced by the creation of the Boone County School Mental Health Coalition, the Superintendents of six Boone County Schools (including Columbia) support your work with school-aged children and are ready to participate in this new project.

In addition to the wrap-around support you will provide families in need at FACE, the Center will create wonderful opportunities for students at MU, both those in the School of Social work and those in the Counseling and School Psychology programs in the College of Education. These students will participate in meaningful practicum sites where they will be able to provide evidence-based assessments, practice scientifically supported engagement strategies, and bring supportive services to the FACE of Boone County. I could not be more supportive!

I think this is an exceptional project for the community and for our students. I look forward to hearing about your efforts during the development of the Center as well as the experiences of our students as the programs are implemented.

Sincerely,



Marjorie Sable, DrPH, MSW
Director and Professor



Anna Marie Knipp

**P.O. Box 685
Jefferson City, Missouri 65102
(573) 893-2137
(573) 657-7036 fax**

May 1, 2015

Aaron Thompson, PhD
Assistant Professor
School of Social Work
University of Missouri
718 Clark Hall
Columbia, Missouri 65211-4470

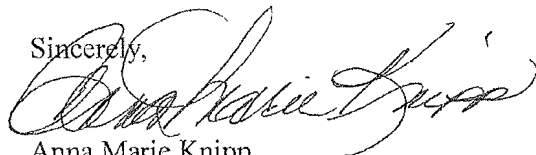
Dear Dr. Thompson:

After our conversation about the development and implementation of the Family Access Center for Excellence (FACE) of Boone County, I am excited that we can provide space for the program. Centrally located within Columbia, this space at 105 East Ash Street will provide about 4500 square feet of office, reception/waiting, and meeting areas that will suit the needs of the project as you described to me.

Currently, the rent is set at \$4500.00 per month. I will work with you to provide minor renovations such as painting and flooring and am agreeable to working with you in making other substantial changes to the site to fit the needs of the Center, at your cost, such as installing observation windows for students. My contractor will be able to work with you and your team on any renovations to the site for \$80/square foot (or as mutually agreed), and all tenants in the building will share the cost of utilities, insurance, trash, and real estate taxes based on the percentage of space occupied which would be approximately be 20% of those expenses billed monthly.

I wish you the best of luck on your grant proposal and hope that we will be able to work together on this project in the near future.

Sincerely,



Anna Marie Knipp
Columbia-Knipp Properties LLC

**Farm Address: 12054 Hardwick Lane South
Ashland, Missouri 65010
(573) 657-4622**



March 13, 2015

Dear Dr. Thompson,

Thanks to funding and support from the Boone County Children's Services Fund and the University of Missouri, we are pleased to announce the development of several exciting new services for Boone County youth, their families, and those dedicated mental health providers who serve them!

These new services support the goals of the Boone County Children's Services Board to increase capacity among service providers to offer *affordable, evidence-based mental health care* to Boone County youth and families. These services also promote the MU Psychological Services Clinic's commitment to clinical training, research, and service that benefit all citizens and improve the quality of life in our community.

First, the MU Psychological Services Clinic is excited to announce *expansion of our existing evidence-based assessment and outpatient treatment to children and families*. This will allow us to significantly shorten the historically lengthy waiting periods that families often face when seeking services. You can contact the clinic directly at **573-882-4677** to schedule appointments for assessment and therapy services.

Second, as part of our *new Center for Evidence-Based Youth Mental Health*, we are excited to announce that the following services are being launched in spring 2015:

- Free Training in Evidence-Based Practices (EBPs) for Youth Mental Health Providers, including:
 - Exposure-Based CBT for Anxiety
 - Behavioral Activation and CBT for Depression
 - Behavioral Parent Training for Conduct Problems
- Weekly Consultation for MH Providers in the use of Youth EBPs
- Confidential Client Outcome Tracking for Youth MH Providers
- Private Session-by-Session Feedback for Youth MH Providers
- Youth Mental Health Screening, Diagnosis and Treatment Recommendations
- Up-To-Date Referral Network of Trained Youth MH Providers
- Help connecting Youth and Families in need with Youth MH Providers


To schedule client appointments, please call us at **573-882-4677**. For more information about upcoming provider trainings, consultation, or if you are interested in becoming part of our referral network, please call us at **573-882-4007** or email hawleyk@missouri.edu. You can also visit our website at <http://psychology.missouri.edu/clinic> to learn more.

We look forward to working with you!

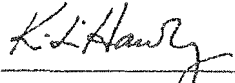
Supported by:



Sincerely,



Debora Bell, Ph.D., Executive Director, MU Psychological Services Clinic



Kristin Hawley, Ph.D., Co-Director, MU Center for Evidence-Based Youth Mental Health



Erika Waller, Ph.D., Co-Director, MU Center for Evidence-Based Youth Mental Health

Supported by:



UNIVERSITY *of* MISSOURI

COLLEGE OF EDUCATION

DEPARTMENT OF EDUCATIONAL, SCHOOL AND COUNSELING PSYCHOLOGY

May 11, 2015

Boone County Children's Services Board
Columbia, MO

Dear Board Members:

It is with great enthusiasm that I write this letter in support of Drs. Aaron Thompson, Wendy Reinke, Keith Herman, and Kristen Hawley's application for the Family Access Center for Excellence (FACE) of Boone County. Their proposal, is timely and needed, and these faculty members are uniquely qualified to complete the project as they describe it.

Drs. Thompson, Reinke, Herman, and Hawley are all exceedingly competent scientists and practitioners. Each brings a unique and important skillset to support this innovative project. Dr. Thompson has a background as a social worker and as an effective school principal prior to earning his doctoral degree. He has expertise in planning and supporting wraparound services for high risk youth and for developing and implementing sophisticated assessment systems to identify children at risk. He also has excellent skills in advanced research methodologies. Drs. Reinke and Herman, faculty members in my school psychology and counseling psychology, respectively, have extensive leadership experience in conducting large intervention projects with a high degree of quality and rigor. They both are nationally recognized experts in training and supervising clinicians in family engagement strategies, family and youth interventions, parenting practices, and behavior consultation at home and school settings. Dr. Hawley, from child clinical psychology, is an expert on disseminating and supporting the implementation of evidence-based practices for clinicians in community settings.

In addition to expressing my support for this impressive team of scholars and professional development experts, and I also wanted to pledge my Department's support for helping FACE become a thriving resource for youth and families in Boone County. In terms of tangible support, I offer approximately \$20,000 in video



16 Hill Hall Columbia, MO 65211-2130 Phone: 573-882-7731 Fax: 573-884-5989

Missouri's Flagship University

equipment to FACE for use in the clinic as a tool for supervision and ensuring high quality services. I also enthusiastically embrace the long-term partnership with FACE as a training site for graduate students in my Department, including school psychology and counseling psychology doctoral students. FACE would serve a tremendous community need and would also be supported by trainees from my Department as they complete required clinical service hours.

As the Associate Division Director for the Department of Educational School and Counseling Psychology (ESCP), I want to express my strong commitment to the successful completion of this project. I believe it has great promise to produce high quality, high impact results in our community to enhance the mental health of all youth in Boone County.

Sincerely,



Chris T. Riley-Tillman, Ph.D.
Association Division Director
Department of Educational School and Counseling Psychology



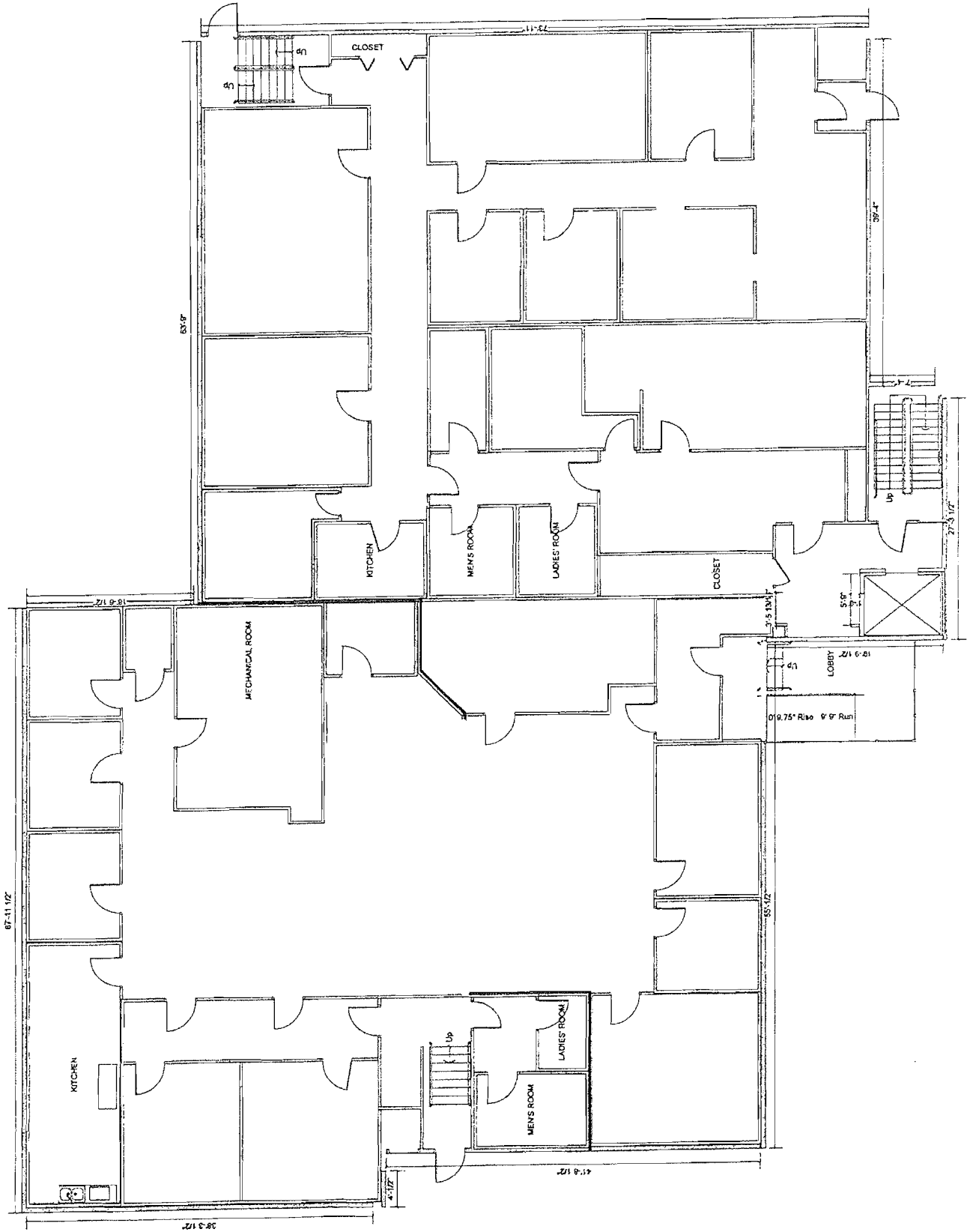
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Missouri's Flagship University

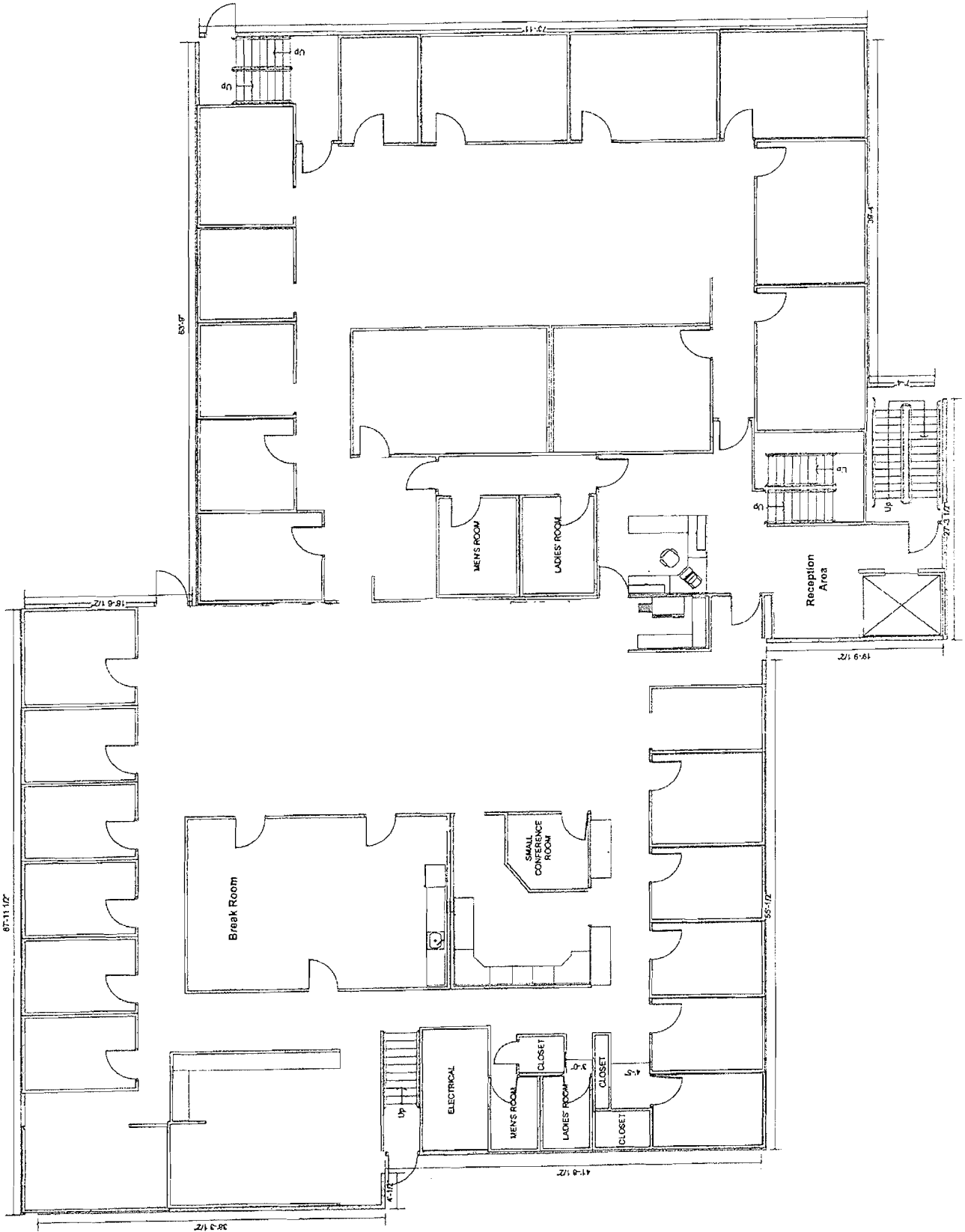
ATTACHMENT H

105 E. Ash Street Floor Plan

105 E. Ash St.
1ST FLOOR



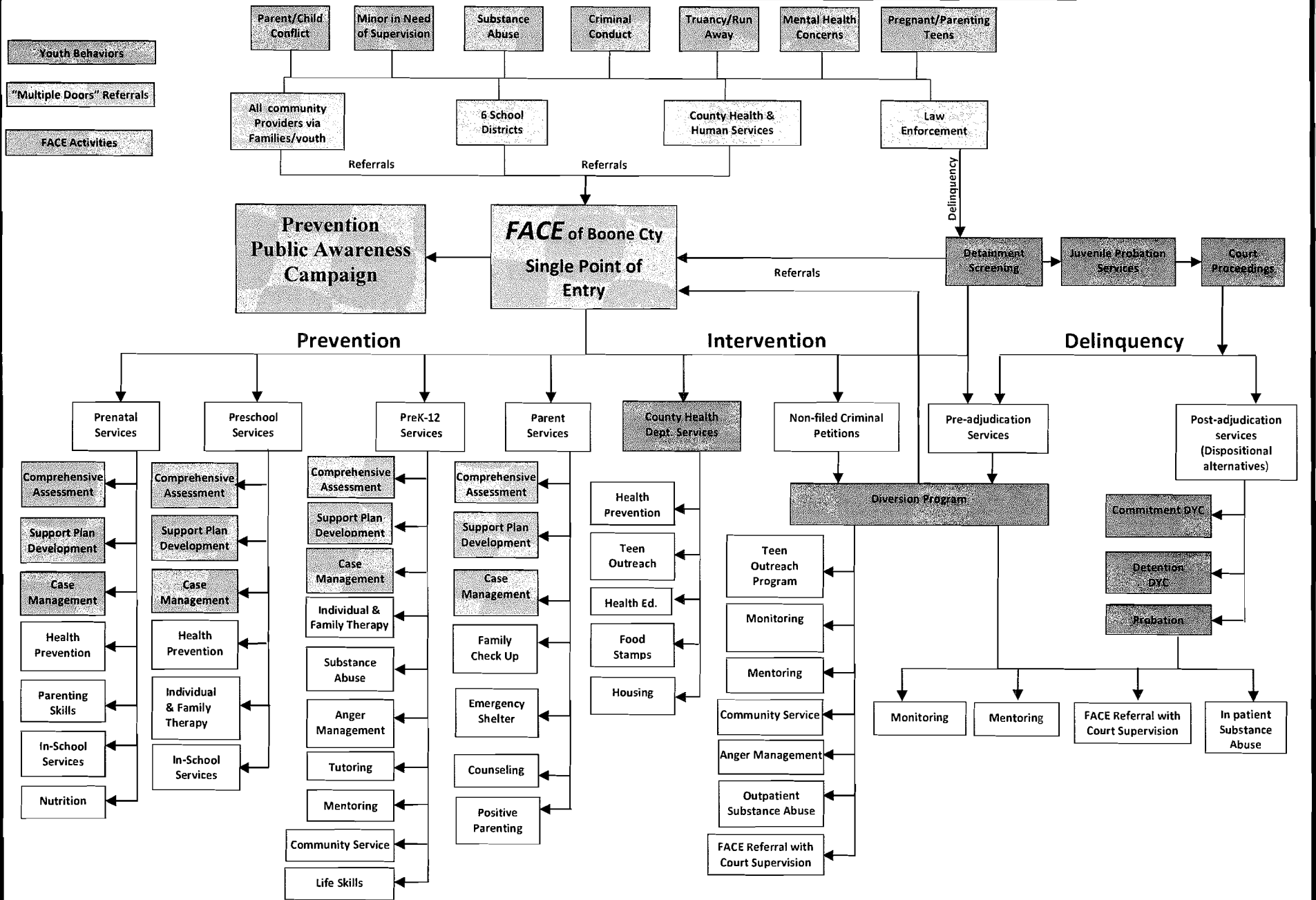
105 E. Ash St.
2ND FLOOR



ATTACHMENT I

FACE Administration Hierarchy & Flow of Service Model

FAMILY ACCESS CENTER for EXCELLENCE – FACE SERVICE FLOW CHART



Family Access Center for Excellence (FACE) of Boone County Organizational Chart

1. Boone County Children's Services Board Director
2. Boone County Administrative Family Court Judge
3. Boone County Juvenile Court, Supervisor
4. Boone County Department of Housing, Director
5. Columbia Police Department, Juvenile Officer
6. Boone County Sheriff's Department, Deputy
7. Boone County Health and Human Services, Supervisor
8. University of Missouri, Administrator
9. Boone County School Mental Health Coalition—CPS Superintendent
10. Boone County School Mental Health Coalition—County Schools Superintendent
11. Central Missouri Community Action, Director
12. Boone County Faith Based Community, Representative

FACE Administrative Core
BC-PACE Director

FACE Clinical STAFF
Masters Level Licensed Clinicians (LCSW, LPC, etc.)
 LCSWs, Ed. Psychs; LPCs
 Graduate Student Placements

University of Missouri
Core Consultation, Training, & Evaluation Leaders

<p>Core FACE Consultation: Clark Peters Kelly Schieltz</p>	<p>Core FACE Training: Keith Herman Kristen Hawley</p>	<p>Core FACE Evaluation: Aaron Thompson Wendy Reinke</p>
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ATTACHMENT J

Case Management and Progress Monitoring Tools

[welcome page]

WELCOME TO THE CBT THERAPY TRACKER!

To begin, please enter your unique therapist ID _ _ _ _ _

(If you have forgotten it, please contact your therapist!)

Next please choose one of the following:

I am a therapist

I am a child or teenager

I am a parent or caregiver

[2nd page]

If you have any questions about this research, you may contact Kristin Hawley at 573-882-4007 or hawleyk@missouri.edu. If you have any questions about your rights as a research participant, please contact the University of Missouri-Columbia Campus Institutional Review Board at 573-882-9585.

CONTINUING ELECTRONIC CONSENT: Please indicate your continuing consent below. Clicking on the "agree" button below indicates that you read the study consent information and voluntarily agree to participate.

[3rd page]

ABOUT THE CHILD...

Is this child a...

BOY

GIRL

How old is s/he? [text box]

ABOUT YOUR LAST APPOINTMENT...

Was the appointment today? YES or NO - if no, what was the appointment date? [text box]

Please check everyone you spoke to during the appointment (even if just for a few minutes)?

CHILD

MOM

DAD

OTHER – who? [text box]

What phase of treatment would you say that you are in with this child?

EARLY or BEGINNING PHASE;

MIDDLE or WORKING PHASE;

ENDING or TERMINATION PHASE

What was the primary problem that you focused on during this appointment?

ANXIETY, WORRY OR FEAR

DEPRESSION OR MOOD

BEHAVIOR PROBLEMS

TRAUMATIC EVENT

OTHER (please describe) [text box]

Next, we would like you to tell us about the therapy appointment **this week**. People can talk about and do a lot of different things during a therapy appointment. We don't expect that you will have done *all* of these things in your last appointment. In fact, you may not have done *any* of these things this week.

In this week's appointment, how much did you do the following?

	Not At All	A Little	Some	A Lot			
1. I established an agenda or plan at the beginning of the appointment.	1	2	3	4	5	6	7
2. I assessed the child's current symptoms and functioning by having them complete a measure or asking questions.	1	2	3	4	5	6	7
3. I provided information about the child's anxiety, depression, reaction to trauma or other condition.	1	2	3	4	5	6	7
4. I described the treatment, such as the format of sessions, what is expected of them, and a rationale for how therapy works.	1	2	3	4	5	6	7
5. We worked together to develop or change goals for therapy.	1	2	3	4	5	6	7
6. I assigned or reviewed therapy homework or tasks to work on outside of therapy.	1	2	3	4	5	6	7
7. We role-played or practiced new skills or behaviors together in the appointment.	1	2	3	4	5	6	7
8. I praised or reinforced the child for working hard in treatment or asked the parent to reward him or her (e.g., stickers, points, positive reinforcement).	1	2	3	4	5	6	7
9. We went over different feelings, such as what they feel like, how they look, what they are called, or how to rate them using a number scale (e.g., feelings thermometer, SUDS ratings).	1	2	3	4	5	6	7
10. I taught relaxation skills, such as breathing exercises, muscle relaxation or pleasant imagery.	1	2	3	4	5	6	7
11. We discussed unhelpful thoughts that make the child upset and ways to change those negative thoughts in order to feel better (e.g., cognitive restructuring, positive self-talk, thought stopping, distraction).	1	2	3	4	5	6	7
12. I taught the child or parent specific steps for how to solve problems in daily life, such as coming up with possible solutions, considering likely consequences of each solution, and choosing a solution to try.	1	2	3	4	5	6	7
13. We worked on scheduling more pleasant, prosocial activities for the child, such as sports, clubs, volunteering or other activities.	1	2	3	4	5	6	7
14. We talked about strategies the parent can use to help manage the child's behavior, such as natural and logical consequences, positive and negative reinforcement, time-out.	1	2	3	4	5	6	7
15. I worked with the child or parent on strategies for improving their relationship and communication.	1	2	3	4	5	6	7
16. We developed a list of anxiety provoking situations and worked on confronting those situations (e.g., fear hierarchy, gradual exposure).	1	2	3	4	5	6	7
17. I helped with the child to write a story, make a video or draw a picture to describe a trauma, or really bad experience, that the child had (e.g., trauma narrative).	1	2	3	4	5	6	7
18. I helped the child or parent develop a safety plan or plan for monitoring and supervising to help keep the child safe.	1	2	3	4	5	6	7
19. We developed strategies or plans for dealing with future problems or situations that might cause the child anger, sadness, or nervousness.	1	2	3	4	5	6	7
20. The child seemed to enjoy meeting with me.	1	2	3	4	5	6	7

[4th page]

THERAPIST CBT TRACKER

The following items describe children in general. For each item, please rate how true you think it is of this child in the **last week**, either "very true," "somewhat true," or "not true." Remember, we are just asking how things have been **this past week**.

1. Argues a lot.	Not True	Somewhat True	Very True
2. Destroys things belonging to his/her family or others.	Not True	Somewhat True	Very True
3. Disobedient at home or school.	Not True	Somewhat True	Very True
4. Feels too guilty.	Not True	Somewhat True	Very True
5. Feels worthless or inferior.	Not True	Somewhat True	Very True
6. Self-conscious or easily embarrassed.	Not True	Somewhat True	Very True
7. Stubborn, sullen, or irritable.	Not True	Somewhat True	Very True
8. Temper tantrums or hot temper.	Not True	Somewhat True	Very True
9. Threatens people.	Not True	Somewhat True	Very True
10. Too fearful or anxious.	Not True	Somewhat True	Very True
11. Unhappy, sad, or depressed.	Not True	Somewhat True	Very True
12. Worries.	Not True	Somewhat True	Very True
13. Are there any other problems you have been working on? If so please type each one in the box and rate how much it has been a problem this past week. [text box]	Not True	Somewhat True	Very True
14. Other problem (please explain) [text box]	Not True	Somewhat True	Very True
15. Other problem (please explain) [text box]	Not True	Somewhat True	Very True

[5th page]

Thank you for completing the CBT Therapy Tracker!

At this point, you have the option to input additional information about the appointment, to generate a complete progress note. Would you like to add any information to your progress note?

YES [link to 6th page progress note option]

NO [end survey]

[welcome page]

WELCOME TO THE CBT THERAPY TRACKER!

To begin, please enter your unique therapist ID _ _ _ _ _

(If you have forgotten it, please contact your therapist!)

Next please choose one of the following:

I am a therapist

I am a child or teenager

I am a parent or caregiver

[2nd page]

**CBT Tracking and Feedback with Practicing Clinicians
Caregiver Informed Consent**

You and your child have been asked by your child's therapist to participate in a research study that involves you and your child filling out a short online questionnaire after each therapy appointment. The questionnaire should take you 5-10 minutes and involves answering questions about your child's therapy appointments and how your child is doing.

The questionnaire is available as part of a research study conducted by Dr. Kristin Hawley at the University of Missouri. The goal of the study is to develop a tool that therapists can use in their work with children and adolescents. Specifically, we have developed a short online questionnaire that therapists, youths and their parents or caregivers fill out after each appointment. The questionnaire asks about what was covered in that appointment and about your child's current level of emotional and behavior problems. This questionnaire will help therapists determine (a) whether they are using treatment strategies consistent with a type of research-supported treatment called cognitive-behavioral therapy, or CBT, (b) whether you and your child understood those strategies, and (c) how much the treatment is helping your child. Your therapist is participating in this study in hopes that this questionnaire will help improve the treatment that you and your child are receiving.

Your participation in this research study is voluntary. You may choose not to participate. If you decide not to participate in this study, or if you withdraw from participating at any time, you and your child will not be penalized in any way.

We keep all information private. Your responses are anonymous. We do not collect any identifying information such as your name, email address or IP address. All data is stored in a password-protected electronic format.

There is little risk involved but you or your child may find the questionnaires boring or unhelpful. You or the child could also feel some amount of distress while answering questions. We hope that your participation in this study will help your therapist to better help you and your child. Findings from this study may also help other researchers and therapists to improve the effectiveness of child and family therapy. When we describe the findings of this study in professional journals and conferences, you and your clients will never be identified.

If you have any questions about the research study, please contact Dr. Kristin Hawley at 573-882-4007. If you have any questions about your rights as a research participant, please contact the University of Missouri-Columbia Campus Institutional Review Board at 573-882-9585 or www.umcresearchcirb.missouri.edu.

ELECTRONIC CONSENT: Please select your choice below.

Clicking on the "agree" button below indicates that:

- you have read the above information
- you voluntarily agree to participate

[3rd page]

ABOUT YOU and YOUR CHILD...

Are you the child's...

MOTHER

FATHER

OTHER (please explain) [text box]

Is your child a....

BOY

GIRL

How old is your child? [text box]

ABOUT YOUR LAST APPOINTMENT...

What is the name of your child's therapist? [text box]

Was the appointment today? YES or NO - if no, what was the appointment date? [text box]

Did you talk with your child's therapist at this appointment (even if just for a few minutes)? YES or NO

What problem did the therapist focus on at this appointment?

ANXIETY, WORRY OR FEAR

DEPRESSION OR MOOD

BEHAVIOR PROBLEMS

TRAUMATIC EVENT

OTHER (please explain) [text box]

I DON'T KNOW

Next, we would like you to tell us about your child's appointment **this week**. People can talk about and do a lot of different things during a therapy appointment. We don't expect that all of these things will have occurred in the last appointment. In fact, none of these things may have occurred this week.

In this week's appointment, how much did you, your child or the therapist do the following?

Please just answer to the best of your knowledge -- If you do not know whether something happened, you can mark 1 (not at all).

	Not At All	A Little	Some	A Lot			
1. The therapist had a specific plan for what to go over in the appointment.	1	2	3	4	5	6	7
2. My child or I filled out a questionnaire or answered questions about how my child has been feeling or acting lately.	1	2	3	4	5	6	7
3. The therapist described anxiety, depression, trauma, or another condition, such as what it looks and feels like and how my child can get better.	1	2	3	4	5	6	7
4. The therapist described my child's therapy, such as how it can help and what is expected of us.	1	2	3	4	5	6	7
5. The therapist worked with my child or me to develop or change the goals for therapy.	1	2	3	4	5	6	7
6. The therapist went over therapy homework or things to work on outside of therapy.	1	2	3	4	5	6	7
7. The therapist helped my child or me role play or practice new skills or behaviors in the appointment.	1	2	3	4	5	6	7

CAREGIVER CBT TRACKER

8. The therapist praised or rewarded my child for working hard in therapy, or encouraged me to provide a reward.	1	2	3	4	5	6	7
9. The therapist talked about different feelings, such as what they feel like, how they look, what they are called, or how to rate them using a thermometer or number scale.	1	2	3	4	5	6	7
10. The therapist taught my child relaxation skills, such as breathing exercises, muscle relaxation, or imagining nice things.	1	2	3	4	5	6	7
11. The therapist talked about unhelpful thoughts that make my child upset and how to change those negative thoughts in order to feel better.	1	2	3	4	5	6	7
12. The therapist taught my child or me some specific steps for how to solve problems in daily life, such as coming up with possible solutions, considering likely consequences of each solution, and choosing a solution to try.	1	2	3	4	5	6	7
13. The therapist worked with us to schedule more positive and pleasant activities, such as sports, clubs, volunteering or other activities for my child.	1	2	3	4	5	6	7
14. The therapist taught me about strategies that I can use to help manage my child's behavior, such as praise, rewards, discipline, consequences and time-out.	1	2	3	4	5	6	7
15. The therapist talked about ways my child and I can improve our relationship, such as spending more time together.	1	2	3	4	5	6	7
16. The therapist helped my child make a list of situations that scare or worry my child and work on facing those feared situations.	1	2	3	4	5	6	7
17. The therapist helped my child to write a story, make a video or draw a picture to describe a trauma, or really bad experience, that my child had.	1	2	3	4	5	6	7
18. The therapist talked about ways that I can help monitor and supervise my child and their activities in order to help keep them safe.	1	2	3	4	5	6	7
19. The therapist helped us come up with plans for how to cope with future problems or situations that might cause my child anger, sadness, or nervousness.	1	2	3	4	5	6	7
20. My child seemed to enjoy meeting with their therapist.	1	2	3	4	5	6	7

CAREGIVER CBT TRACKER

[4th page]

The following items describe children in general. For each item, please rate how true you think it is of your child in the last week , either "very true," "somewhat true," or "not true." Remember, we are just asking how things have been this past week .			
1. Argues a lot.	Not True	Somewhat True	Very True
2. Destroys things belonging to his/her family or others.	Not True	Somewhat True	Very True
3. Disobedient at home or school.	Not True	Somewhat True	Very True
4. Feels too guilty.	Not True	Somewhat True	Very True
5. Feels worthless or inferior.	Not True	Somewhat True	Very True
6. Self-conscious or easily embarrassed.	Not True	Somewhat True	Very True
7. Stubborn, sullen, or irritable.	Not True	Somewhat True	Very True
8. Temper tantrums or hot temper.	Not True	Somewhat True	Very True
9. Threatens people.	Not True	Somewhat True	Very True
10. Too fearful or anxious.	Not True	Somewhat True	Very True
11. Unhappy, sad, or depressed.	Not True	Somewhat True	Very True
12. Worries.	Not True	Somewhat True	Very True
13. Are there any other problems you have been working on? if so please type it in and rate how much it has been a problem this past week. [text box]	Not True	Somewhat True	Very True
14. Other problem (please explain) [text box]	Not True	Somewhat True	Very True
15. Other problem (please explain) [text box]	Not True	Somewhat True	Very True

[5th page]

Thank you so much for completing the Therapy Tracker!

We are interested in your feedback about the Tracker now that you have had a chance to fill it out.

Yes, I would like to give feedback.

No, I don't have any feedback to share. *[Close survey]*

[6th page]

1. Were you satisfied with the Tracker overall?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

2. Did filling out the Tracker help you to think about your child's therapy?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

3. Did filling out the Tracker help you to talk about the therapy with the therapist or your child?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

4. Did filling out the Tracker get in the way of your child's therapy or interfere with your child's therapy?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

5. Was it hard to fill out the Tracker after each appointment?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

6. Are there any changes that would make the Tracker easier to fill out?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

7. Is there anything else you want to share?

[text box]

Thank you for your feedback!

[welcome page]

WELCOME TO THE CBT THERAPY TRACKER!

To begin, please enter your unique therapist ID _ _ _ _ _

(If you have forgotten it, please contact your therapist!)

Next, please choose one of the following:

I am a therapist

I am a child or teenager

I am a parent or caregiver

[2nd page]

**CBT Tracking and Feedback with Practicing Clinicians
Child Informed Assent**

You have been asked by your therapist to fill out this online questionnaire after each appointment. The questionnaire should take you 5-10 minutes and involves answering questions about your therapy appointments.

The questionnaire is available as part of a research study conducted by Dr. Kristin Hawley at the University of Missouri. Your therapist is participating in this study in hopes that this questionnaire will help improve the treatment that you are receiving.

Your participation in this research study is voluntary. It is up to you. You may choose not to participate and that is OK. If you choose not to participate, you will still be able to see your therapist.

We keep all information private. Your responses are anonymous. We do not collect any identifying information such as your name, email address or IP address. All data is stored in a password-protected electronic format.

If you have any questions about the research study, please contact Dr. Kristin Hawley at 573-882-4007. If you have any questions about your rights as a research participant, please contact the University of Missouri-Columbia Campus Institutional Review Board at 573-882-9585 or www.umcresearchcirb.missouri.edu.

ELECTRONIC ASSENT: Please select your choice below.

Clicking on the "agree" button below indicates that:

- you have read the above information
- you voluntarily agree to participate

[3rd page]

ABOUT YOU...

Are you a...

BOY

GIRL

How old are you? [text box]

ABOUT YOUR LAST APPOINTMENT...

What is the name of your therapist? [text box]

Was the appointment today? YES or NO - if no, what was the appointment date? [text box]

What problem did you and your therapist work on during this appointment?

ANXIETY, WORRY, OR FEAR

DEPRESSION OR MOOD

BEHAVIOR PROBLEMS

TRAUMATIC EVENT

OTHER (please explain) [text box]

I DON'T KNOW

Next, we would like you to tell us about your appointment **this week**. People can talk about and do a lot of different things during a therapy appointment. We don't expect that you will have done *all* of these things in your last appointment. In fact, you may not have done *any* of these things this week.

In this week's appointment, how much did you or the therapist do the following?

Please just answer to the best of your knowledge -- If you do not know whether something happened, you can mark 1 (not at all).

	Not At All	A Little	Some	A Lot			
1. At the start of our appointment, we talked about what we were going to do during the appointment.	1	2	3	4	5	6	7
2. I filled out a questionnaire or answered questions about how I have been feeling or acting lately.	1	2	3	4	5	6	7
3. We talked about anxiety, depression, trauma, or another condition, such as what it looks and feels like and how people get better.	1	2	3	4	5	6	7
4. We talked about my therapy, such as how it can help and what is expected of me.	1	2	3	4	5	6	7
5. We worked together to make or change goals for my therapy.	1	2	3	4	5	6	7
6. We went over therapy homework or things for me to work on outside of therapy.	1	2	3	4	5	6	7
7. I practiced new skills or behaviors in my appointment with my therapist.	1	2	3	4	5	6	7
8. My therapist told me I was doing a good job, gave me points or stickers for working on my problems, or asked my parents to reward me.	1	2	3	4	5	6	7
9. We talked about different feelings, such as what they feel like, how they look, what they are called, or how to rate my feelings using a thermometer or number scale.	1	2	3	4	5	6	7
10. We practiced relaxation skills, such as breathing exercises, imagining nice things, or relaxing my muscles.	1	2	3	4	5	6	7

YOUTH CBT TRACKER

11. We talked about unhelpful thoughts that make me feel upset and ways to change those negative thoughts in order to feel better.	1	2	3	4	5	6	7
12. We went over specific steps for how to solve problems in my daily life, such as coming up with possible solutions, what good and bad could come from each solution, and choosing a solution to try.	1	2	3	4	5	6	7
13. We made plans for me to be more active or do fun, positive things more often, such as sports, clubs, volunteering or spending time with friends.	1	2	3	4	5	6	7
14. We talked about family rules and consequences for good and bad behavior.	1	2	3	4	5	6	7
15. We worked on ways to better get along with my parents and other adults.	1	2	3	4	5	6	7
16. We made a list of situations that scare me or make me nervous and worked on facing those feared situations.	1	2	3	4	5	6	7
17. We wrote a story, made a video or drew a picture to help me describe a trauma, or really bad experience that I had.	1	2	3	4	5	6	7
18. We made a safety plan to keep me safe in the future.	1	2	3	4	5	6	7
19. We worked to come up with a plan for how to cope with future problems or bad feelings.	1	2	3	4	5	6	7
20. I liked meeting with my therapist.	1	2	3	4	5	6	7

Below is a list of items that describe kids. For each item, please rate how true you think it is of you in the **last week**, either “very true,” “somewhat true,” or “not true.” Remember, we are just asking how things have been **this past week**.

1. I argue a lot.	Not True	Somewhat True	Very True
2. I destroy things belonging to others.	Not True	Somewhat True	Very True
3. I disobey my parents or people at school.	Not True	Somewhat True	Very True
4. I feel too guilty.	Not True	Somewhat True	Very True
5. I feel worthless or inferior.	Not True	Somewhat True	Very True
6. I am self-conscious or easily embarrassed.	Not True	Somewhat True	Very True
7. I am stubborn.	Not True	Somewhat True	Very True
8. I have a hot temper.	Not True	Somewhat True	Very True
9. I threaten to hurt people.	Not True	Somewhat True	Very True
10. I am too fearful or anxious.	Not True	Somewhat True	Very True
11. I am unhappy, sad, or depressed.	Not True	Somewhat True	Very True
12. I worry a lot.	Not True	Somewhat True	Very True
13. Are there any other problems you have been working on? If so please type it in and rate how much it has been a problem this past week. [text box]	Not True	Somewhat True	Very True
14. Other problem (please explain) [text box]	Not True	Somewhat True	Very True
15. Other problem (please explain) [text box]	Not True	Somewhat True	Very True

[5th page]

Thank you so much for completing the Therapy Tracker!

We are interested in your feedback about the Tracker now that you have had a chance to fill it out.

Yes, I would like to give feedback.

No, I don't have any feedback to share. *[Close survey]*

[6th page]

1. Were you satisfied with the Tracker overall?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

2. Did filling out the Tracker help you to think about your therapy?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

3. Did filling out the Tracker help you to talk about your therapy with your therapist or your parent?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

4. Did filling out the Tracker get in the way of your therapy or interfere with your therapy?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

5. Was it hard to fill out the Tracker after each appointment?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

6. Are there any changes that would make the Tracker easier to fill out?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

7. Is there anything else you want to share?

[text box]

Thank you for your feedback!

My CBT Feedback

Therapist ID #: 12345

Session Date: April 24, 2014

Target Problem: Anxiety, Worries and Fears

Treatment Phase: Middle or Working Phase

PRIMARY TREATMENT STRATEGIES THIS SESSION:

- Clear Session Agenda
- Assessment of Symptoms or Functioning
- Assigning or Reviewing Therapy Homework
- In-Session Practice of New Skills
- Reinforcement of Effort
- Feelings Identification and Education
- Relaxation Training

TIP: Some therapists have told us that they use the above information in charting because it covers what they did in session! You can also use the "progress note" feature to receive this information in sentence form.

CBT FEEDBACK: You focused on Feelings Identification and Relaxation Training which is consistent with the evidence base for CBT for Anxiety - Great!

SUGGESTION FOR UPCOMING SESSIONS: **Exposure is a particularly important component of CBT for Anxiety.** At this point in treatment, many therapists would be preparing to use this strategy next. You may want to consider whether your client would benefit from Exposure in an upcoming session.

NOTE: Suggestions are based on core components of research-supported CBT. However, you are the best judge of what your client needs! If you're unsure about any of the strategies suggested, please contact us – we'd be happy to give you more information!

ANY DIFFERENCES? Here are the CBT strategies for which there was a large difference between your report and your client's report of what happened in session:

Differences between Therapist and Child: Feelings Identification

Differences between Therapist and Caregiver: None!

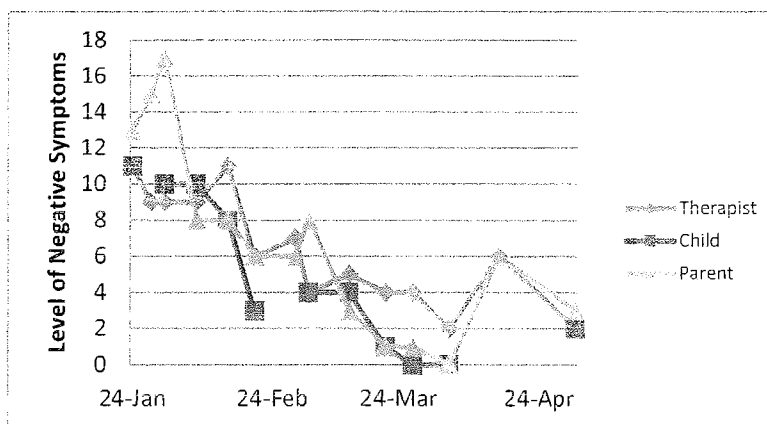
TIP: When a child or caregiver rates a strategy lower than you do, it can mean that they did not understand this part of the appointment. You may want to check in with them, or consider revisiting these strategies, next session.

THERAPY ALLIANCE: The child rated the alliance at a 3 this week. It is not uncommon for the alliance to show a temporary drop following a hard week or a difficult session. If this continues, you may wish to discuss it with your client.

CHILD PROGRESS: This graph shows therapist, caregiver and child ratings of negative symptoms over time, since beginning to use the CBT Tracker. A child experiencing no symptoms at all would score 0 while a child reporting many symptoms could score up to 24.

The child and caregiver reported some child worrying and guilty feelings. The caregiver also reported some child temper outbursts.

Overall, the trend in your client's graph demonstrates decreasing symptoms, which suggests that treatment is going well! Nice work!



TIP: Differences in opinion among therapist, caregiver and child are not uncommon but you might want to talk with the caregiver or child if you notice large differences.

Please remember to complete the CBT Tracker and remind the child and parent at your next session, too!

ATTACHMENT K

Vita of Key Personnel

AARON M. THOMPSON

Assistant Professor

University of Missouri, School of Social Work

719 Clark Hall, Columbia, MO 65211-4470 Ph: 573.882.0124 Fx: 573.882.8926

Email: thompsonaa@missouri.edu

EDUCATION

May, 2012	PhD	University of North Carolina at Chapel Hill, School of Social Work
May, 2005	M.Ed	Southern Illinois University at Carbondale, School of Ed Admin
May, 2001	MSW	Southern Illinois University at Carbondale, School of Social Work
May, 1996	B.S.	Southern Illinois University, School of Legal & Paralegal Studies

SPECIALIZATION

- School-based mental and behavioral health services
- Etiology and prevention of challenging behaviors in childhood and adolescence
- Development, design, and evaluation of school-based interventions
- School-based information systems and multi-tiered systems of support
- Education and training of social work professionals who work in school settings

PROFESSIONAL EXPERIENCE

2012- Present	University of Missouri, School of Social Work, Columbia, MO <ul style="list-style-type: none">• Assistant Professor
2008-2011	University of North Carolina, School of Social Work, Chapel Hill, NC <ul style="list-style-type: none">• PhD Student, Research Assistant• Adjunct Teaching Faculty
2000-2007	Tri-County Special Education, Murphysboro, IL <ul style="list-style-type: none">• Principal• School Social Worker
1999-2000	Southern Illinois University Clinical Center, Carbondale, IL <ul style="list-style-type: none">• Educational Disability Evaluation Specialist
1999, Apr-Aug	Paul Petzoldt Outdoor Leadership Summer School, Raymond, ME <ul style="list-style-type: none">• Outdoor Instructor
1996-1999	Illinois Ninth Judicial Circuit, Galesburg, IL <ul style="list-style-type: none">• Classroom Assistant• Counselor

TEACHING

2012-present	University of Missouri, School of Social Work, Columbia, MO <ul style="list-style-type: none">• Theory and Practice of Social Work Groups• Evaluative Research in Clinical Social Work Practice
2009-2011	University of North Carolina, School of Social Work, Chapel Hill, NC <ul style="list-style-type: none">• Evaluation of Social Work Interventions• School Social Work Practice (Invited Lecturer)• Human Behavior and the Social Environment• Statistics Camp & STATA Workshop (PhD level mini-course)• Child Mental Health (Co-teaching Practicum)
2008-2005	Southern Illinois University at Carbondale, Carbondale, IL <ul style="list-style-type: none">• School Social Work Field Instructor and Liaison

SCHOLARLY WRITING

PEER REVIEWED PUBLICATIONS

1. Frey, A. J., **Thompson, A. M.**, Kelly, M. S., Alvarez, M., & Berzin, S. (*in press*). Assessing the national school social work practice model: Findings from the second national school social work survey. *Social Work Journal*.
2. Kelly, M., S., **Thompson, A. M.**, Frey, A. J., Alvarez, M., & Berzin, S. (*in press*). The state of school social work: *Revisited*. *Journal of School Mental Health*.
3. Maynard, B. R., Brendel, K. E., Bulanda, J. J., **Thompson, A. M.**, & Pigott, T. D. (*in press*). Psychosocial interventions for school refusal behavior with elementary and secondary school students: A systematic review. Psychosocial Interventions for School Refusal Behavior in Elementary and Secondary School Students: A Systematic Review. *The Campbell Collaboration*.
4. Maras, M., **Thompson, A. M.**, Thornburg, K. R., Hawks, J. S., Lewis, C. (*in press*). The transprofessional integration of behavior, academic, and social emotional learning. *Journal of Educational & Psychological Counseling*.
5. Edwards, J. E., Powers, J. D., **Thompson, A. M.**, Rutten-Turner, B. (2014). The value of teaching preparation during doctoral studies: Student and mentor perspectives of a teaching practicum. *Academic Leadership*, 14, 1-13. ([link](#))
6. **Thompson, A. M.** (2014). Randomized trial of the Self-management Training And Regulation Strategy (STARS) disruptive students. *Research on Social Work Practice*, 24, 424-427. ([link](#))
7. **Thompson, A. M.**, Ruhr, L. R., Maynard, B. R., Pelts, M., & Bowen, N. K. (2013) Self-management interventions for reducing challenging behaviors among school-age students: A systematic review. *Campbell Collaboration, Review Protocol*. ([link](#))
8. Maynard, B. R., Kjellstrand, E. & **Thompson, A. M.** (2013). A randomized trial of the effects of Check n' Connect on dropout and academic performance. *Research on Social Work Practice*, 23, 1-14. ([link](#))
9. Fraser, M. W., **Thompson, A. M.**, Day, S. H. & Macy, R. J. (2013). A latent profile transition analysis of third grade students exposed to the Making Choices Program. *Elementary School Journal*, 114, 354-379. ([link](#))
10. **Thompson, A. M.**, & Alvarez, M. (2013). Considerations for integrating school resource officers into school-based mental health models. *Children & Schools*, 35, 131-136. ([link](#))
11. Powers, J. D., & **Thompson, A. M.** (2013). Evidence-based programs for schools: Relationships between effect sizes and resource requirements. *Journal of Evidence-Based Social Work*. 35, 299-307. ([link](#))
12. **Thompson, A. M.**, Maynard, B. R., Bowen, N. K., & Pelts, M. (2013, March). Self-management interventions for reducing challenging behaviors among school-age students: A systematic review. *Campbell Collaboration, Title Proposal*. ([link](#))
13. Bowen, N. K., **Thompson, A. M.**, & Powers, J. D. (2012). A quasi-experimental test of the elementary school success profile model of assessment and prevention. *Journal for the Society for Social Work Research*, 3, 178-196. ([link](#))
14. **Thompson, A. M.**, Macy, R. J., & Fraser, M. F. (2012). Assessing person-centered outcomes in prevention research: A latent transition profile framework. *The Journal of Community Psychology*, 39, 987-1002. ([link](#))

15. Fraser, M. W., Guo, S., Ellis, A. R., **Thompson, A. M.**, Wike, T. L., Li, J. (2011). Outcome studies of social, behavioral, and educational interventions: Emerging issues and challenges. *Research on Social Work Practice, 17*, 1-17. ([link](#))
16. **Thompson, A. M.** (2011). A systematic review of evidence-based classroom interventions for students with challenging behaviors in school settings. *Journal of Evidence-Based Social Work, 8*, 304-322. ([link](#))
17. Wegmann, K. W., **Thompson, A. M.**, & Bowen, N. K. (2010). A confirmatory factor analysis of the parent behavior scales on the ESSP for parents. *Social Work Research, 35*, 117-127. ([link](#))
18. **Thompson, A. M.** & Webber, K. C. (2010). Reconnecting student and teacher perceptions of school rules: A reasonable approach to managing students with difficult behaviors. *Children and Schools, 32*, 29-40. ([link](#))
19. **Thompson, A. M.** (2001). The casual relationship between learning disabilities and juvenile delinquency. *School Social Work Journal, 26*, 30-40.

BOOK CHAPTERS

20. **Thompson, A. M.** & Piester, J. (*in press*). Evidence-Based School Social Work Practice: Scientifically Supported Strategies and Implementation Issues. In L. V. Sosa, T. Cox, & M. Alvarez (Eds.), *School Social Work: National Perspectives on Practice in School*. New York, Oxford Press.
21. Herman, K. C., Reinke, W. M., **Thompson, A. M.**, & Faloughi, R. (*in press*). Universal prevention to support children's mental health in schools. In A. Grills & M. Holt (Eds.), *Critical Issues in School mental Health: Evidence-based Research, Practice, and Interventions*. New York: Routledge.
22. **Thompson, A. M.** The Self-Management Training And Regulation Strategy (STARS): A selective social work intervention to address disruptive classroom behaviors (*in press*). In P. A. Meares (Ed.), *Social Work Desk Reference*, (2nd ed). New York: Oxford University Press.
23. **Thompson, A. M.**, Reinke, W. M., & Herman, K. C. (*in press*). The value, practice, and evaluation of teaching social emotional learning to students with and without disabilities. In C. R. Massat, M. S. Kelly, and R. Constable (Eds.), *School Social Work: Practice, Policy, and Research* (8th ed.). New York: Oxford.
24. **Thompson, A. M.** (2012). *A randomized trial of the Self-management Training And Regulation Strategy (STARS): A selective intervention for students with disruptive classroom behaviors*. ProQuest: Ann Arbor, MI
25. **Thompson, A. M.** (2012). Improving classroom conflict management through positive behavior supports. In C. Franklin, M. B. Harris, & P. Allen-Meares (Eds.), *The school services sourcebook: A guide for school-based professionals* (2nd ed). New York: Oxford University Press.

TECHNICAL REPORTS

26. Kelly, M. S., Frey, A., **Thompson, A. M.**, & Ruhr, L. (June, 2015). Findings from the National School Social Work Survey 2014: 50 state reports prepared for the School Social Work Association of America ([link](#)).
27. **Thompson, A. M.** (2014, Oct.). Juvenile Assessment Centers. A report prepared for the Boone County, MO Children's Services Board.

28. Cronin, J., **Thompson, A.**, Heflin, C., & Price, A. (2014, Aug.). A research evaluation plan of the Teen Outreach Program. A report prepared for Epworth Children & Family Services and the St. Louis County Children's Services Board.
29. Cronin, J., **Thompson, A.**, Heflin, C., & Price, A. (2014, Aug.). A curriculum plan for an adaptation of the Teen Outreach Program. A report prepared for Epworth Children & Family Services and the St. Louis County Children's Services Board.
30. **Thompson, A. M.** (2014, June). Results of an evaluation of the Imagination Library Project on emergent literacy and social, emotional, and behavioral readiness of a community sample of Kindergarten children. A report generated for the Hearth of Missouri United Way.
31. **Thompson, A. M.** (2013). Results of an Evaluation of the Imagination Library Program on Parent and Child Reading Interactions. A report generated for the Heart of Missouri United Way. Featured in Vanguard, 2014. ([link](#))

MANUSCRIPTS UNDER REVIEW OR IN PROGRESS:

- Cox, T., **Thompson, A. M.**, Alvarez M. (*in progress*). Multi-tiered systems of support: School wide evidence based interventions. In L. V. Sosa, T. Cox, & M. Alvarez (Eds.), *School Social Work: National Perspectives on Practice in School*. New York, Oxford Press.
- Thompson, A. M.**, Herman, K. C., Stormont, M., Reinke, W. R., & Webster-Stratton, C. (*under review*). Impact of Incredible Years ® on teacher perceptions of parental involvement: A latent profile transition analysis. *Journal of Educational Psychology*.
- Stormont, M., **Thompson, A. M.**, Herman, K. C., & Reinke, W. R. (*under review*). Investigating the utility of a single item kindergarten readiness screener. *Assessment for Effective Intervention*.
- Thompson, A. M.** & Klemp, H. (*under review*). Effect of the Imagination Library on caregiver-child literacy interactions and school readiness: Findings from two quasi-experimental propensity score studies. *Journal of Community Psychology*.
- Maynard, B. R., Brendel, K. E., Bulanda, J. J., **Thompson, A. M.**, & Pigott, T. D. (*under review*). Psychosocial interventions for school refusal behavior with elementary and secondary school students: A systematic review. Psychosocial Interventions for School Refusal Behavior in Elementary and Secondary School Students: A Systematic Review. *Journal of the American Academy of Child and Adolescent Psychiatry*.
- Ruhr, L. R., & **Thompson, A. M.** (*in progress*). A comparative analysis on the impact of Parents as Teachers and the Imagination Library programs for school readiness.
- Thompson, A. M.**, Vaughn, M., & Maynard, B. R. (*in progress*). A confirmatory factor analysis of the School Dropout Risk Inventory: Concurrent and predictive validity of student engagement.
- Thompson, A. M.** (*in progress*). The role of autonomy, relations, and social competency for improving disruptive classroom behaviors: A mediating model of the theoretical underpinnings of the Self-management Training And Regulation Strategy (STARS).

PROFESSIONAL PRESENTATIONS

REFEREED CONFERENCE PRESENTATIONS

1. *Accepted & Pending*: **Thompson, A. M.**, Herman, K. C., Stormont, M., Reinke, W. R., & Webster-Stratton, C. (2015, May). *Impact of Incredible Years ® on teacher perceptions of*

- parental involvement: A latent profile transition analysis.* Symposium submitted to the 23rd Annual Society for Prevention Research, Washington DC.
2. Maynard, B. R., Brendal, K. E., Bulanda, K. E., **Thompson, A. M.**, & Pigott, T. D. (2015, Mar). *Psychosocial interventions for school refusal behavior with elementary and secondary students: A systematic review.* Poster to be presented at the 2015 Society for Research on Educational Effectiveness, Washington, DC.
 3. **Thompson, A. M.** & Klemp, H. (2015, Jan). *Home literacy and school readiness: Results from two propensity-matched quasi-experimental studies of the imagination library book distribution program.* Paper presented at the 2015 Annual Conference of the Society for Social Work and Research, New Orleans, LA.
 4. Kelly, M. S., **Thompson, A. M.**, & Frey, A. (2015, Jan). *The state of American School Social Work 2014: Initial findings from the 2nd national school social work survey.* Paper to be presented at the 2015 Annual Conference of the Society for Social Work and Research, New Orleans, LA.
 5. **Thompson, A. M.** & Kelly, M. S. (2014, July). *Connecting school mental health and evidence-based practice: Results from the second national school social work survey.* Paper presented at the 3rd International Symposium on Decisions, Assessment, Risk and Evidence in Social Work. University of Ulster, Templepatrick, Ireland.
 6. Maras, M., **Thompson, A. M.**, Warmbold, K. & Oram, L. (2014, Sept). *Integrating social-emotional learning into a comprehensive tiered framework of support.* Paper presented at the 19th Annual Conference on Advancing School Mental Health, Sept 18-20, Pittsburg, PA.
 7. **Thompson, A. M.** (2014, Jan). *Randomized trial of the Self-management Training And Regulation Strategy (STARS) disruptive students.* Paper presented at the 18th Annual Society for Social Work and Research, San Antonio, TX.
 8. Maynard, B. R., Kjellstrand, E. K., & **Thompson A. M.** (2014, Jan). *Effects of Check & Connect on attendance, behavior, and academics: A randomized effectiveness trial.* Paper presented at the 18th Annual Society for Social Work and Research, San Antonio, TX.
 9. Maynard, B. R., Kjellstrand, E. K., & **Thompson A. M.** (2014, Mar). *Effects of Check & Connect on Attendance, Behavior, and Academics: A Randomized Effectiveness Trial.* Poster presented at the 2014 Society for Research on Educational Effectiveness, Washington, DC.
 10. Bowen, N. K., **Thompson, A. M.**, & Powers, J. D. (2013, Feb). *Positive School-Level Effects of the Elementary School Success Profile Model of Assessment & Prevention.* Paper submitted to the National Association of School Psychologists.
 11. Bowen, N. K, **Thompson, A. M.**, & Powers, J. D. (2013, Jan). *Quasi-Experimental Test of the Elementary School Success Profile Model of Assessment & Prevention: Empowering Stakeholders to Improve Academic Success.* Paper submitted to the 17th Annual Society for Social Work and Research, San Diego, CA.
 12. **Thompson, A. M.**, Macy, R. J., & Fraser, M. F. (2012, Jan). *Overview of Longitudinal Person-Centered Methods for Intervention Research.* Paper submission for the 16th Annual Society for Social Work and Research conference, Washington, DC.
 13. **Thompson, A. M.**, Macy, R. J., Fraser, M. F., & Day, S. H. (2012, Jan). *Person-Centered Effects of the Making Choices Program: Results from a Sequential Cohort Trial.* Paper submission for the 16th Annual Society for Social Work and Research conference, Washington, DC.
 14. **Thompson, A. M.**, Macy, R. J., Fraser, M. F., & Day, S. H. (2011, April). *A latent profile transition analysis of third grade students exposed to the Making Choices Program.* Paper

- presented for the American Education Research Association Annual conference, New Orleans, Louisiana.
15. Fraser, M. F., Guo, S., Ellis, A. R., **Thompson, A. M.**, Wike, T. L., & Li, J. (2011, February). *Outcome studies of social, behavioral, and educational interventions: Emerging issues and challenges*. Paper presented for the 2011 Stockholm conference on Outcome Studies in Social, Behavioral, and Educational Interventions, Lejdals slot, Stockholm.
 16. **Thompson, A. M.**, Wegmann, K. M., & Bowen, N. K. (2011, January). *Assessing Parent Perceptions of the Home Environment and Children's Social Behavior to Inform School Interventions*. Society for Social Work Research Annual Conference, Tampa, Florida.
 17. Bowen, N. K., **Thompson, A. M.**, & Webber, K. C. (2011, January). *Workshop on Regression Discontinuity: A Design Solution*. A workshop presentation given at The Society for Social Work Research Annual Conference, Tampa, Florida.
 18. **Thompson, A. M.**, & Webber, K. C. (2010, January). *Reconnecting Student and Teacher Perceptions of School Rules*. Paper presented at the Society for Social Work Research Annual Conference, San Francisco, California.
 19. **Thompson, A. M.**, & Webber, K. C. (2009, November). *Reconnecting Student and Teacher Perceptions of School Rules*. Paper presented at the Annual Conference for the Council on School Mental Health, St. Paul, Minnesota.
 20. Webber, K.C., **Thompson, A.**, Wegmann, K., Bowen, N. K., & Bower, H.A. (2009, November). *Elementary School Success Profile Model of Assessment and Prevention*. Poster presented at the Center for School Mental Health's annual conference on Advancing School Mental Health, Minneapolis, Minnesota.
 21. Powers, J. D., Bower, H., Webber, K. C., Wegmann, K., & **Thompson, A. M.** (2009, April). *Overview of the ESSP MAP Project*. Paper presented for panel discussion at the annual School of Education Symposium, Chapel Hill, North Carolina.
 22. Webber, K.C., **Thompson, A.M.**, Wegmann, K., Bowen, N. K., & Bower, H.A. (2009, March). *Elementary School Success Profile Model of Assessment and Prevention*. Poster presented at the University of North Carolina at Chapel Hill University Research Day. Chapel Hill, North Carolina.
 23. **Thompson, A. M.**, & Webber, K. C. (2009, March). *Reconnecting Student and Teacher Perceptions of School Rules*. Poster presented at the University of North Carolina at Chapel Hill University Research Day. Chapel Hill, North Carolina.
 24. **Thompson, A. M.** (2001, October). *The Casual Relationship Between Learning Disabilities and Juvenile Delinquency*. Paper presented at the Illinois Association of School Social Workers (IASSW) Conference. Arlington Heights, Illinois.

INVITED PROFESSIONAL TRAININGS

- Herman, K. W. & **Thompson, A. M.** (2014, Oct). *Latent Transition Analysis*. Presentation at the Missouri Prevention Center, The University of Missouri-Columbia.
- Thompson, A. M.** (2014, Apr). *United Ways of Missouri & University of Missouri School of Social Work: Partners in Community Impact*. Presentation to the Executive Directors of the Missouri United Ways, Jefferson City, MO.
- Thompson, A. M.** (2014, Apr). *Analyzing data for program evaluation*. United Way Board of Directors, Columbia, MO. ([link](#))
- Thompson, A. M.** (2014, Mar). *Developing measurement models for program efforts-to-outcomes evaluation*. United Way Board of Directors, Columbia, MO. ([link](#))

- Thompson, A. M.** (2014, Jan). *Developing logic models for program efforts-to-outcomes evaluation*. United Way Board of Directors, Columbia, MO. ([link](#))
- Thompson, A. M.** (2013, Oct). *Regression discontinuity: A great idea with a terrible name*. Presentation for The Missouri Prevention Center, The University of Missouri-Columbia.
- Thompson, A. M.** (2013, May). *The Self-Monitoring Training and Regulation Strategies (STARS): A progress monitoring and autonomy support intervention*. School Social Work Association of America. ([link](#))
- Thompson, A. M.** (2013, April). *The Self-Management Training and Regulation Strategy (STARS): From conceptualization to dissemination*. Presentation for The Missouri Prevention Center, The University of Missouri-Columbia.
- Thompson, A. M.** (2012, Jan-May). *A response to intervention framework for behavior support in elementary schools*. Invited presentation for Durham Public School District Ongoing Professional Development Seminars, Durham, NC.
- Thompson, A. M.** (2012, March). *Child and adolescent behavioral and mental health: Identifying the signs and intervening with help early*. Invited presentation for Parent University, Chapel Hill Carrboro Community School District, Chapel Hill, NC.
- Thompson, A. M.** (2011, Sept-Oct). *Research-based strategies and promising practices for managing difficult student behavior*. Invited presentation prepared for Durham Public School District Ongoing Professional Development Seminars, Durham, NC.
- Thompson, A. M.** (2011, August). *Children's Classroom Behavior: Cultivating Children & Creating Sustainable, Supportive Classrooms*. Invited presentation prepared for the Durham Public School District's Summer Institute Teacher Training, Durham, NC.
- Thompson, A. M.** (2011, August). *The Self-management Training And Regulation Strategy (STARS): A Feasible Behavior Intervention for 4th and 5th Grade Students*. Invited Presentation prepared for Wake County School District Pupil Support Personnel Professional Development Institute, Raleigh, NC.
- Thompson, A. M.** (2011, July). *Culturally Responsive Schools*. Invited presentation prepared for the Durham Public School District Response to Intervention Coordinators, Durham, NC.
- Thompson, A. M.** (2011, April). *Elementary School Success Profile: Results and Implications of Social Behavioral Assessment*. Invited presentation for the Central Park School for Children, Durham, NC.
- Thompson, A. M.** (2009, February). *Contracting with Children*. Invited parent training offered at Frank Porter Graham Elementary School. Carrboro, North Carolina
- Thompson, A. M.** (January, 2011). *Professional Learning Communities and Classroom Management Using Creative Conflict Resolution and Positive Behavior Incentives*. Invited presentation prepared for the Durham Public School District staff at C.C. Spaulding Elementary School.
- Thompson, A. M.** (2008, May). *School Based Recreational Therapy and Classroom Learning*. Invited professional development workshop presented at Williamson County Special Education Cooperative. Marion, Illinois.
- Thompson, A. M.** (2004, May). *Violence Prevention and Conflict Resolution among Adolescents and Teenagers*. Invited professional development Workshop presented at Shawnee School District. Wolf Lake, Illinois.
- Thompson, A. M.** (2003, March). *Creative Conflict Resolution in Schools*. Invited professional development workshop presented at Murphysboro Community Unit School District. Murphysboro, Illinois.

COMPETITIVE GRANT PROJECTS

CURRENT FUNDED PROJECTS

Boone County School Mental Health Coalition.

- Proposed Dates: 6/1/2015-5/01/2018
- Funding Agency: Boone County Children's Services Board
- Total Funded: \$1,190,865.00
- Objective: To implement a wraparound training model to support 5% of Boone County families and their children who exhibit early behavioral health problems at school.
- Role: Co-Principal Investigator, Training & Evaluation. Proposed Effort: 10%.

Co-Investigator, Adaptation of the Teen Outreach Program for High School Students

- Date of Funding: 2014-2016
- Funding Agency: St. Louis County Children's Service Fund
- Total Funded: \$75,000.00
- Objective: Testing the effects of an abbreviated and adapted version of the Teen Outreach Program in two high schools with 1,200 9th grade students in Ferguson, MO.
- Role: Contracted (\$20,222.00) evaluation design, data analysis, and report generation.

APPROVED AND PENDING FUNDING

Evaluation of a Self-Monitoring Training Program for Elementary School Students (\$2,779,546 in direct costs).

- Proposed Dates: 7/1/2015-6/30/2019
- Funding Agency: U.S. Department of Education Institute of Education Sciences
- Objective: To test the effects of a self-monitoring training program on social emotional, behavioral, and academic achievement outcomes.
- Role: Principal Investigator. Proposed Effort: 50%.

PENDING REVIEW

Creating a Comprehensive Data-based Coordinated System of Care for School Districts to Promote Youth Academic Success and Social Emotional Development: A Researcher-Practitioner Partnership (\$317,354.95 in direct costs).

- Proposed Dates: 8/1/2015-5/01/2017
- Funding Agency: U.S. Department of Education Institute of Education Sciences
- Objective: Develop an assessment system for all Boone County, MO schools and train school-based teams to use data to select universal, selective, and indicated interventions.
- Role: Co-Principal Investigator. Proposed Effort: 15%.

A Randomized Controlled Trial of Mental Health First Aid's Immediate and Sustained Effects. (\$147,649.00 total cost; \$75,000 requested from Research Board)

- Proposed Dates: 8/1/2015-8/01/2016
- Funding Agency: University of Missouri Research Board
- Objective: Partnership between 6 school districts, the department of educational counseling and school psychology, school of social work, and MU extension to examine effects of mental health first aid training on teacher beliefs, knowledge, stigma towards

mental health issues and the contextual influences of these changes on student-teacher relations.

- Role: Co-Principal Investigator. Proposed Effort: 15%.

FORMER FUNDED PROJECTS

Principal Investigator, the Effects of the Imagination Library on Emergent Literacy Skills, School Readiness and Social Behavior of Children Entering Kindergarten

- Date of Funding: 2013-2014
- Funding Agency: University of Missouri Research Council
- Total Funded: \$7,500
- Objective: Testing the effects of an early childhood book distribution program on emergent literacy skills, school readiness and social behavior of a community sample of children entering Kindergarten.

Co-Principal Investigator, Promoting Social Emotional and Behavioral Health: Early Childhood through Elementary School

- Date of Funding: 2013-2014
- Funding Agency: Mangel Research Catalyst Award
- Total Funded: \$2,480
- Objective: Testing a framework to inform a data-driven model to improve delivery of the Missouri Counseling and Comprehensive Guidance Social Emotional Curriculum.

Principal Investigator, Effectiveness & Feasibility of the STARS Program

- Amount (Dates Funded): \$10,300 (2010-2012)
- Funding Agency: Armfield-Reeves Innovation Fund
- Objective: Testing a manualized training program designed for school social workers to teach self-regulation strategies to students.

Project Manager, Positive Behavioral Incentives and Supports (PBIS)

- Four grants funded (total of \$7,000) for school years of August, 2001 – May, 2005 by the Illinois State Board of Education for the purposes of developing data systems to track building wide student behavior and train teachers in PBIS strategies.

Project Manager, Paul Petzoldt Outdoor Leadership Program

- Three grants funded (total of \$7,500) for school years of August, 2000 – May, 2004 by the Illinois Conservation Foundation to fund a school-based therapeutic recreational program for students with learning and social-emotional disabilities.

Project Manager, Student and Teacher Relationship Support Program

- Two grants funded (\$4,500) for school years of 2001-2002 and 2004-2005 by the Illinois Association of School Social Workers (IASSW) to support the development of positive student-teacher relationships and prosocial student behavior via an opportunity to eat lunch off school campus with a favorite teacher.

HONORS AND AWARDS

2015 Human & Environmental Sciences Distinguished Service Award
2012 Dissertation with Distinction, University of North Carolina
2012 Outstanding Doctoral Student Award, University of North Carolina
2010 John B. Turner Dissertation Award, University of North Carolina
2007 Student Focused Leadership Award, Tri-County Special Education Teachers

- 2001 NASW Graduate Student of the Year Award, Southern Illinois NASW Chapter
- 2001 Marguerite Tiefenthal Symposium, Illinois IASSW Essay Competition
- 1991 Carl Sandburg College, Honorable Mention Essay Award

PROFESSIONAL AFFILIATIONS

- 2013 to present, Mental Health-Education Integration Consortium
- 2008 to present, Society for Social Work and Research
- 2008 to present, School Social Work Association of America
- 2001 to present, National Association of Social Workers
- 2005 to present, Type 75, Administrative Certification, Illinois State Board of Ed
- 2001 to present, Type 73, School Social Work Certification, Illinois State Board of Ed
- 2000 to present, Outdoor Instructor Certification, Wilderness Education Association
- 2004-2008, Illinois Principals' Association
- 2004-2008, Illinois Alliance of Administrators of Special Education
- 2001-2007, Illinois Association of School Social Workers

STATISTICAL TRAINING

- STATA. (2013). Stata Statistical Software, Version 12.0.
- MPLUS (2013). *Mplus*, Version 7.0.
- SPSS. (2013). Statistical Programming for the Social Sciences, Version 21.0.
- Regression Models
 - Linear, Logistic, Logit & Multilevel Linear Models
- Latent Variable Models
 - Exploratory & Confirmatory Factor Models
 - Latent Variable Growth Curve Models
 - Person-Centered Models (Latent Class, Profile, Mixture, & Transition Models)

SERVICE

UNIVERSITY

- **Student Doctoral Committees**
 - David Rorher, Counseling Psychology, Committee Member
 - Sarah Owens, Counseling Psychology, Committee Member
 - Abigail Rolbiecki, Social work, Committee Member
 - Wes Simmons, Counseling Psychology, Committee Member
 - Kristen Harvey, Special Education, Committee Member
- **School of Social Work**
 - 2014, Fall, Faculty Search and Hiring Committee, 2014
 - 2012-2014, MSW Program Committee
 - 2012 Spr, Chair of Research Subcommittee
 - 2013-2014, Co-Chair of MSW Curriculum Committee
 - 2013-2015, Heart of Missouri United Way Ambassador
- **Harry S. Truman School of Public Policy, Columbia, MO**
 - Research Scholar

- **Missouri Prevention Center, Columbia MO**
 - Research Scientist

COMMUNITY

- 2013-present, Heart of Missouri United Way Community Impact Research Consultant
- 2013-present, Columbia, MO Strive & Cradle to Career Committee Advisory Board
- 2013-present, Columbia Children’s Board Service Advisory Panel
- 2013-present, United Way Fundraising Campaign Ambassador and Spokesperson ([link](#))
- 2012-2014, Imagination Library Efforts to Impact Evaluation Team
- 2010-2012, Positive Behavior Systems & Supports Team Coach, Durham Public Schools
- 2011, Program Consultant for Central Park School for Children, Durham, North Carolina
- 2010, Education Consultant for UNC Law Center on Poverty, Work, & Opportunity

STATE

- 2012-present, School Social Work Certification Exploratory Committee, Missouri
- 2013-present, Center for Violence and Injury Prevention—Faculty Affiliate ([link](#))
- 2013-present, Faculty Affiliate at The Violence & Injury Prevention Center ([link](#))

NATIONAL

- 2014, Key Informant, Vanderbilt Med. Center, EBPs for Disruptive Behavior Disorders
- 2012-present, NASW Editorial Board, *Children & Schools*
- 2014-present, Reviewer for *Journal of Adolescent Psychology*
- 2013-present, Assistant Editor, *Social Workers Desk Reference, 3rd Edition*
- 2011-present, Reviewer for NASP, *Journal of Community Psychology*
- 2011-present, reviewer for JSSWR, *Journal for the Society of Social Work Research*
- 2011-present, Reviewer for *Journal of Advances in School Mental Health Promotion*

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EDUCATION AND DEGREES

Johns Hopkins Bloomberg School of Public Health
Prevention Science Postdoctoral Fellow, Baltimore, MD, 2007

Kennedy Krieger Institute/ Johns Hopkins School of Medicine
APA-approved Clinical Psychology Intern, Pediatric Behavioral Psychology, Baltimore, MD, 2005

University of Oregon
Doctor of Philosophy, School Psychology (APA accredited), Eugene, OR, 2005
Co-Chairs: Kenneth Merrell, Ph.D. & Teri Lewis-Palmer, Ph.D.
Committee Members: Thomas Dishion, Ph.D., Randy Sprick, Ph.D., & George Sugai, Ph.D.
Dissertation Title: *The Classroom Check-up: A Brief Intervention to Reduce Current and Future Student Problem Behaviors through Classroom Teaching Practices*

Master of Science, Special Education, Eugene, OR, 2003

University of Houston
Bachelor of Science, Magna Cum Laude, Psychology, Houston, TX, 1995

PROFESSIONAL EXPERIENCE

- 2012- present Associate Professor, School Psychology, Department of Educational, School, & Counseling Psychology, University of Missouri
- 2007- present Co-director, Missouri Prevention Center, University of Missouri
- 2007- 2012 Assistant Professor, School Psychology, Department of Educational, School, & Counseling Psychology, University of Missouri
- 2005- 2007 Indicated Treatments Coordinator, Center for Prevention and Early Intervention, Johns Hopkins University, Bloomberg School of Public Health
- 2005-2007 NIMH Post-Doctoral Fellow, Prevention Research Training in Mental Health, National Institutes of Health, 5T32MH018834-18, PI: Nicholas Ialongo, PhD
- 2004-2005 Pediatric Clinical Psychology Intern. Kennedy Krieger Institute/Johns Hopkins School of Medicine
- 2003-2004 Licensed School Psychologist. 4j School District, Eugene, Oregon
- 2000-2004 Graduate Research Fellow. University of Oregon
- 1999-2000 Research Assistant. Brown University/ Bradley Hospital
- 1997-1999 Senior Research Assistant. Brown University/ Butler Hospital
- 1995-1996 Research Assistant. University of Texas Medical Branch, Galveston, TX

CURRENT PROFESSIONAL CREDENTIALS

Licensed Psychologist (MO #2009007245 – active)

HONORS & AWARDS

Top Faculty Achievers, University of Missouri, 2014
Inducted Member, Society for the Study of School Psychology, 2013
Lightner Witmer Early Career Scholar Award, APA, Division of School Psychology, 2011
Isabelle Lyda Professorship, College of Education, University of Missouri. 2011
Junior Faculty of the Year, Trainers of School Psychologist, 2011
Outstanding Graduate Mentor (Nominated), Graduate School, University of Missouri, 2011
Advisor/Mentor of the Year (Nominated), College of Education, University of Missouri, 2010
Presidential Strand Symposium, National Association of School Psychologists, 2010
Early Career Scholar, Society for the Study of School Psychology, 2009
Outstanding Teaching Award (Nominated), Graduate Student Network, 2009
High Flyer Award for Outstanding Teaching, College of Education, University of Missouri, 2007
Child Intervention Prevention & Service Fellow, National Institute of Mental Health, 2006
Outstanding Graduate Service Award, University of Oregon, 2003
Liz Guillion Award, Oregon School Psychologists Association, 2003
Phi Kappa Phi Honors Society, University of Houston, 1995
Magna Cum Laude, University of Houston, 1995

PROFESSIONAL AFFILIATIONS

American Psychological Association (APA)
APA Division 16, School Psychology
National Association of School Psychologists (NASP)
Society for Prevention Research (SPR)
Association for Positive Behavior Supports (APBS)
Society for the Study of School Psychology (SSSP)

RESEARCH ACTIVITIES

Peer-reviewed Articles

† indicates student co-author

1. Kilgus, S. P., **Reinke, W.M.**, Jimerson, S.R. (in press). Understanding mental health intervention and assessment within a multi-tiered framework: Contemporary science, practice, and policy. *School Psychology Quarterly*.
2. **Reinke, W.M.**, Stormont, M., Herman, K.C., †Wachsmuth, S. & Newcomer, L. (in press) The Brief Classroom Interaction Observation-Revised: An observation system to inform and increase teacher use of universal classroom management practices. *Journal of Positive Behavior Interventions*.
3. Stormont, M., Rodriguez, B., & **Reinke, W.M.**, (in press). Teaching students with behavior problems to take a break. *Intervention in School and Clinic*.

4. King, K., Lembke, E., & **Reinke, W.M.**, (in press). Using latent class analysis to identify academic and behavioral risk status in elementary students. *School Psychology Quarterly*.
5. Maras, M.A., Splett, J.W., **Reinke, W.M.**, Stormont, M., & Herman, K. (in press). School practitioners' perspectives on planning, implementing, and evaluating best practices. *Children and Youth Services Review*.
6. Jenkins, L. N., Floress, M. T., & **Reinke, W.M.** (in press). Rates and types of teacher praise: A review and future directions. *Psychology in the Schools*.
7. Stormont, M., Herman, K.C., **Reinke, W.M.**, †Owens, S. & King, K. (in press). The Kindergarten Academic and Behavior Readiness Screener: The utility of single item teacher ratings of kindergarten readiness. *School Psychology Quarterly*.
8. Stormont, M., **Reinke, W.M.**, Newcomer, L., †Darney, D. & Lewis, C. (in press). Coaching teachers' use of social behavior interventions to improve children's outcomes: A review of the literature. *Journal of Positive Behavior Interventions*
9. Stormont, M., Herman, K.C., & **Reinke, W. M.** (in press). The overlooked children: How teachers can support children with internalizing behavior. *Beyond Behavior*.
10. Wang, Ze, †Roher, D., †Fujiki, M., †Chuang, C., Herman, K.C., & **Reinke, W.M.** (2015). Five methods to score the teacher observation of classroom adaptation checklist and to examine group differences. *The Journal of Experimental Education*, 83, 24-50.
11. Lee, J., Frey, A., Herman, K.C., & **Reinke, W.M.** (2014). Motivational Interviewing as a framework to guide school-based coaching and consultation. *Advances in School Mental Health Promotion*, 7, 225-239.
12. †Borden, L., Herman, K.C., Webster-Stratton, C., Stormont, M., †Darney, D., †Goel, N., & **Reinke, W.M.** (2014). Latent profile analysis of observed parenting behaviors in a clinic sample. *Journal of Abnormal Child Psychology*, 42, 731-742.
13. **Reinke, W.M.** Stormont, M., Herman, K.C., Wang, Z., Newcomer, L., & King, K. (2014). Use of coaching and behavior support planning for students with disruptive behavior within a universal classroom management program. *Journal of Emotional and Behavioral Disorders*, 22, 74-82.
14. Farmer, T., **Reinke, W.M.**, & Brooks, D. (2014). Managing classrooms and challenging behavior: Theoretical considerations and critical issues. *Journal of Emotional and Behavioral Disorders*, 22, 67-73.
15. Stormont, M. & **Reinke, W.M.** (2014). Providing performance feedback for teachers to increase treatment fidelity. *Intervention in School and Clinic*, 49, 219-224.
16. **Reinke, W.M.** Stormont, M., Herman, K.C., & Newcomer, L. (2014). Using coaching to support teacher implementation of classroom-based interventions. *Journal of Behavioral Education*, 23, 150-167.
17. **Reinke, W.M.**, Herman, K.C., Stormont, M., Newcomer, L., & †David, K. (2013). Illustrating the multiple facets and levels of fidelity of implementation to a teacher classroom management intervention. *Administration and Policy in Mental Health and Mental Health Services Research*, 40, 494-506.
18. Stormont, M., Herman, K.C., **Reinke, W.M.**, †David, K., & †Goel, N. (2013). Latent profile analysis of teachers' perceptions of parent contact and comfort. *School Psychology Quarterly*, 28, 195-209.

19. Herman, K.C., Wang, K., †Trotter, R., **Reinke, W.M.**, & Ialongo, N. (2013). Developmental trajectories of maladaptive perfectionism during adolescence. *Child Development, 84*, 1633-1650.
20. Kerr, D., **Reinke, W.M.**, & Eddy, M. (2013). Co-occurring depressive symptoms and externalizing problems in adolescence: Associations with histories of suicide attempt and ideation in young adulthood. *Suicide and Life-threatening Behavior, 43*, 50-66.
21. Stormont, M & **Reinke, W.M.** (2013). Implementing Tier 2 social behavioral interventions: Current issues, challenges, and promising approaches, *Journal of Applied School Psychology, 29*, 121-125.
22. **Reinke, W.M.**, Stormont, M., †Clare, A., †Latimore, T. & Herman, K.C. (2013). Differentiating tier 2 interventions according to function of behavior. *Journal of Applied School Psychology, 29*, 148-166.
23. †Darney, D., **Reinke, W.M.**, Herman, K.C., Stormont, M., & Ialongo, N. (2013). Children with co-occurring academic and behavior problems in 1st grade: Distal outcomes in 12th grade. *Journal of School Psychology, 51*, 117-158.
24. McIntosh, K., **Reinke, W.M.**, Bennett, J., & Sadler, C. (2013). Gender differences in reading skills and problem behavior in elementary school. *Journal of Positive Behavior Interventions, 15*, 51-60.
25. **Reinke, W.M.**, Herman, K.C., & Stormont, M. (2013). Classroom level positive behavior supports in schools Implementing SW-PBIS: Identifying areas for enhancement. *Journal of Positive Behavior Interventions, 15*, 39-50.
26. Herman, K.C., Riley-Tillman, T. C., & **Reinke, W.M.** (2012). The role of assessment in a prevention science framework. *School Psychology Review, 41*, 306-314
27. **Reinke, W.M.**, Eddy, M., Dishion, T., & Reid, J. (2012). Joint trajectories of symptoms of conduct problems and depressive symptoms during early adolescence and adjustment problems during emerging adulthood. *Journal of Abnormal Child Psychology, 40*, 1123-1136.
28. **Reinke, W.M.**, Herman, K.C. & Ialongo, N. (2012). Developing and integrating school-based mental health interventions. *Advances in School Mental Health Promotion, 5*, 158-160.
29. **Reinke, W.M.**, Herman, K.C., †Darney, D., Pitchford, J., Becker, K., Domitrovich, C., & Ialongo, N. (2012). Using the Classroom Check-up to support implementation of PATHS to PAX. *Advances in School Mental Health Promotion, 5*, 220-232.
30. Herman, K.C., **Reinke, W.M.**, Bradshaw, C., Lochman, J., Boxmeyer, C. L., Powell, N., Dunn, K., Cox, J., Stephan, S. & Ialongo, N. (2012). Integrating the family check-up and the parent coping power program. *Advances in School Mental Health Promotion, 5*, 108-129.
31. **Reinke, W.M.**, Stormont, M., Webster-Stratton, C., Newcomer, L., & Herman, K.C. (2012). The Incredible Years Teacher Training: Using coaching to support generalization to real world classroom settings. *Psychology in the Schools, 49*, 416-428.
32. Stormont, M. & **Reinke, W.M.** (2012). Using coaching to improve classroom implementation fidelity within school-wide positive behavior support systems. *Beyond Behavior, 21*, 11-19.
33. Herman, K. C., †Bi, Y., †Borden, L. A., & **Reinke, W. M.** (2012). Latent classes of psychiatric symptoms among Chinese children living in poverty. *Journal of Child and Family Studies, 21*, 391-402.

34. Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2011). Teachers' Importance Ratings for Evidence-Based Behavioral Interventions. *Behavioral Disorders, 37*, 19-29.
35. Webster-Stratton, C., **Reinke, W.M.**, Herman, K.C., & Newcomer, L. (2011). The Incredible Years Teacher Classroom Management Training: The methods and principles that support fidelity of training delivery. *School Psychology Review, 40*, 509-529.
36. Cappella, E., **Reinke, W.M.**, & Hoagwood, K. (2011). Advancing intervention research in school psychology: Finding the balance between process and outcome for social and behavioral interventions. *School Psychology Review, 40*, 455-464.
37. Herman, K. C., †Borden, L., **Reinke, W.M.**, & Webster-Stratton, C. (2011). The impact of the Incredible Years Parent, Child, and Teacher Training Programs on children's co-occurring internalizing symptoms. *School Psychology Quarterly, 26*, 189-201.
38. Riley-Tillman, T.C. & **Reinke, W.M.** (2011). Commentary on building local capacity for training and coaching data-based problem solving with Positive Behavior Intervention and Support Teams. *Journal of Applied School Psychology, 27*, 246-251.
39. Herman, K. C., †Trotter, R., **Reinke, W.M.**, & Jalongo, N. (2011). Developmental origins of perfectionism among African American youth. *Journal of Counseling Psychology, 58*, 321-334.
40. Herman, K.C., †Borden, L., †Hsu, C., †Schultz, T., †Strawsine, M., Brooks, C. & **Reinke, W.M.** (2011). Enhancing family engagement in interventions for mental health problems in youth. *Residential Treatment for Children & Youth, 28*, 102-119.
41. Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2011). Teachers' knowledge of evidence-based interventions and available school resources for children with emotional or behavioral problems. *Journal of Behavioral Education, 20*, 138-147.
42. **Reinke, W.M.**, Stormont, M., Herman, K.C., †Puri, R., & †Goel, N. (2011). Supporting children's mental health in schools: Teacher perceptions of needs, roles, and barriers. *School Psychology Quarterly, 26*, 1-13.
43. Herman, K.C., **Reinke, W.M.**, Stormont, M., †Puri, R., & †Agarwal, G. (2010). Using prevention science to promote children's mental health: Founding of the Missouri Prevention Center. *Counseling Psychologist, 38*, 652-690.
44. **Reinke, W.M.**, Herman, K.C., Stormont, M., Brooks, C., & †Darney, D. (2010). Training the next generation of school professionals to be prevention scientists: The Missouri Prevention Center model. *Psychology in the Schools, 47*, 101-110.
45. Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2010). Using prevention science to address mental health issues in schools. *Psychology in the Schools, 47*, 1-4.
46. Stormont, M., & **Reinke, W.M.** (2009). The importance of precorrection and behavior specific praise strategies. *Beyond Behavior, 18*, 26-32.
47. Herman, K.C., **Reinke, W.M.**, †Traylor, K., †Parkin, J., & †Agarwal, G. (2009). Childhood depression: Rethinking the role of the school. *Psychology in the Schools, 46*, 433-446.
48. **Reinke, W.M.**, †Splett, J., †Robeson, E., & Offutt, C. (2009). Combining school and family interventions for the prevention and early intervention of disruptive behavior problems in children: A public health perspective. *Psychology in the Schools, 46*, 33-43.

49. **Reinke, W.M.**, & Ostrander, R. (2008). Heterotypic versus homotypic continuity: The moderating effects of gender and age. *Journal of Abnormal Child Psychology*, 36, 1109-1121.
50. **Reinke, W.M.**, Lewis-Palmer, T., & Merrell, K. (2008). The Classroom Check-up: A classwide consultation model for increasing praise and decreasing disruptive behavior. *School Psychology Review*, 37, 315-332.
51. Herman, K. C., Lambert, S. F., **Reinke, W.M.**, & Jalongo, N. S. (2008). Academic incompetence in first grade as a risk factor for depressive cognitions and symptoms in middle school. *Journal of Counseling Psychology*, 55, 400-410.
52. **Reinke, W.M.**, Herman, K.C., Petros, H., & Jalongo, N. (2008). Empirically-derived subtypes of child academic and behavior problems: Co-Occurrence and distal outcomes. *Journal of Abnormal Child Psychology*, 36, 759-777.
53. Bradshaw, C. P., **Reinke, W.M.**, Brown, L.D., Bevans, K.B., & Leaf, P.J. (2008) Examining the process of Positive Behavioral Interventions and Supports implementation. *Education and Treatment of Children*, 31, 1-26.
54. **Reinke, W.M.**, Lewis-Palmer, T., & Martin, E. (2007). The effect of visual performance feedback on teacher behavior-specific praise. *Behavior Modification*, 31, 3, 247-263.
55. **Reinke, W.M.** & Lewis-Palmer, T. (2007). Improving classroom management. *Principal- Can Public Education Survive?- Web Exclusive*, 86 (4), March/April. www.naesp.org/principal.
56. **Reinke, W. M.**, Herman, K. C., & Tucker, C. (2006). Building and sustaining communities that prevent mental disorders: Lesson from the field of education. *Psychology in the Schools*, 43, 313-329.
57. Mesa, J., Lewis-Palmer, T., & **Reinke, W.M.** (2005). Providing teachers with performance feedback on praise to reduce student problem behavior. *Beyond Behavior*, Fall, 45-55.
58. Tucker, C. M., Porter, T., **Reinke, W.M.**, Herman, K. C., Ivery, P., Mack, C., & Jackson, E. (2005). Promoting teacher efficacy for working with culturally diverse students. *Preventing School Failure*, 50, 29-34.
59. Herman, K. C., Merrell, K., **Reinke, W.M.**, & Tucker, C. M. (2004). The role of school psychology in preventing and treating internalizing disorders. *Psychology in the Schools*, 41, 763-775.
60. Caraway, K., Tucker, C., **Reinke, W.M.**, & Hall, C. (2003). Self-efficacy, goal orientation, and fear of failure as predictors of school engagement. *Psychology in the Schools*, 40, 417-427.
61. **Reinke, W.M.** & Herman, K. C. (2002). Research agenda for school violence prevention. *American Psychologist*, 57, 796-797.
62. **Reinke, W.M.** & Herman, K. C. (2002). Creating school environments that deter antisocial behaviors in youth. *Psychology in the Schools*, 39, 549-559.
63. Tucker, C. M., Zayco, R. A., Herman, K. C., **Reinke, W.M.**, Trujillo, M., Caraway, K., et al. (2002). Teacher and child variables as predictors of academic engagement among African American children. *Psychology in the Schools*, 39, 477-488.
64. Tucker, C. M., Vogel, D. L., Keefer, N. L., Reid, A. D., Caraway, K., **Reinke, W.M.**, & Herman, K. C. (2002). Maladaptive behavior by African American children: A self-regulation theory based approach. *Educational Forum*, 66, 220-227.

65. Tucker, C. M., Herman, K. C., Petersen, T., Vogel, D., & **Reinke, W.M.** (2000). Student-generated solutions to enhance the academic success of African American youth. *Child Study Journal*, 30, 205-22.

Book Chapters

66. Murrihy, R., Burns, J., **Reinke, W.M.**, Herman, K.C., & King, K. (in press). Evidence-based assessment and intervention for ODD and CD in School Psychology. In M.Thielking & M. Terjesem (Eds.), *Handbook of Australian School Psychology: International Research, Practice, and Policy*. New York: Springer.
67. Herman, K. C., **Reinke, W. M.**, Thompson, A. M., & †Faloughi, R. (in press). Universal prevention to support children's mental health in schools. In A. Grills & M. Holt (Eds.), *Critical Issues in School Mental Health: Evidence-based Research, Practice, and Interventions*. New York: Routledge
68. Thompson, A. M., **Reinke, W. M.**, & Herman, K. C. (in press). The value, practice, and evaluation of teaching social emotional learning to students with and without disabilities. In C. R. Massat, M. S. Kelly, and R. Constable (Eds.), *School Social Work: Practice, Policy, and Research* (8th ed.). New York: Oxford.
69. Herman, K. C., **Reinke, W. M.**, Bradshaw, C., Lochman, J., †Borden, L., & †Darney, D. (2014). Increasing parental engagement in school-based preventive interventions: The integration of the Family Check-up and the Parent Coping Power Program. In M. Weist, N. Lever, C. Bradshaw, & J. Owens (Eds.), *Handbook of School Mental Health (2nd Edition)*. (pp.223-236). New York: Springer.
70. **Reinke, W.M.**, Frey, A., Herman, K.C., & Thompson, C.V. (2014). Improving Engagement and Implementation of Interventions for Children with Behavior Problems in Home and School Settings. In H. Walker & F. Gresham (Eds.), *Handbook of Evidence-Based Practices for Students Having Emotional and Behavioral Disorders*. (pp. 432-445). New York: Guilford Press.
71. McIntosh, K., **Reinke, W.M.**, & Herman, K.C. (2010). School-wide analysis of data for social behavior problems: Assessing outcomes, selecting targets for intervention, and identifying need for support. In G. Peacock, R. Ervin, E. Daly, & K. Merrell (Eds.), *Practical handbook of school psychology: Effective practices for the 21st Century*. (pp. 135-156). New York: Guilford Press.
72. **Reinke, W.M.**, Sprick, R., & Knight, J. (2008). Coaching classroom behavior management. In J. Knight (Ed.), *Coaching Approaches and Perspectives*. (pp. 91-112). Corwin Press: Thousand Oaks, CA.
73. **Reinke, W.M.**, & Walker, H. (2006). Deviant Behavioral Contagion in Education. In K. Dodge, T. Dishion, & J. Lansford (Eds.). *Deviant Peer Influences in Programs for Youth: Problems and Solutions*. (pp. 122-140). New York: Guilford Press.

Books

74. Keperling, J., **Reinke, W.M.**, †Darney, D., & Ialongo, N. (in press). A Guide to Facilitating Group Interventions in Schools. Guilford Press.
75. Herman, K.C. & **Reinke, W.M.** (2015). Stress and Coping for Teachers. New York: Guilford Press.

76. Herman, K.C., **Reinke W.M.**, Frey, A., & Shepard, S. (2013). *Motivational Interviewing in Schools: Strategies for Engaging Parents, Teachers, and Students*. New York: Springer
77. Stormont, M., **Reinke, W.M.**, Herman, K.C., & Lemke, E. (2012). *Academic and Behavior Supports for At-Risk Students: Tier 2 Interventions*. New York: Guilford Press.
78. **Reinke, W.M.**, Herman, K.C., & Sprick, R. (2011). *Motivational Interviewing for Effective Classroom Management: The Classroom Check-up*. New York: Guilford Press.
79. Sprick, R., Knight, J., **Reinke, W.M.**, Skyles, T., & Barnes, L. (2010). *Coaching Classroom Management: Strategies and Tools for Administrators and Coaches*. (2nd ed.). Eugene, OR: Pacific Northwest Publishing.

Under Review

80. Dong, N., **Reinke, W.M.**, Herman, K.C., Bradshaw, C., & Murray, D. (in preparation). Meaningful effect sizes, intra-class correlations, and proportions of variance explained by covariates for planning 3 level cluster randomized trials. *Prevention Science*.
81. Thompson, A., Herman, K.C., Stormont M., **Reinke, W.M.**, & Webster-Stratton, C. (under review). Impact of Incredible Years on teacher perceptions of parent involvement: A latent transition analysis. *Journal of Educational Psychology*.
82. Hendricker, E. N. & **Reinke, W.M.**, (under review). Familial risk profiles of low income, kindergarten students and later academic and behavior problems. *School Mental Health*
83. Herman, K. C., †Cohen, D., **Reinke, W. M.**, Ostrander, R., Burrell, L., & Duggan, A. (under review). Using latent profile and transition analyses to understand patterns of informant ratings of child depression. *Journal of Abnormal Child Psychology*.
84. Herman, K. C., & **Reinke, W. M.** (under review). A latent transition analysis of parent school involvement patterns: Can training improve teacher comfort with difficult families and students? *Journal of Consulting and Clinical Psychology*
85. †Oram, L., Maras, M., **Reinke, W.M.**, & Neier, L. (under review). Pre-service teachers' perceptions and attitudes towards evidence-based interventions for students with emotional and behavioral concerns. *Journal of Applied School Psychology*.
86. **Reinke, W.M.**, Herman, K.C., & Newcomer, L. (under review). The Brief Student-Teacher Interaction Observation: Using dynamic indicators of behaviors in the classroom to predict outcomes and inform practice. *Assessment for Effective Intervention*.
87. Stormont, M., Thompson, A., Herman, K.C., & **Reinke, W.M.** (under review). Utility of a single-item measure of school readiness for kindergarteners. *Assessment for Effective Intervention*.
88. Floress, M., Jenkins, L., **Reinke, W.M.**, & Baij, L. (under review). Direct behavioral classroom observations: Behavior-specific praise and classroom-wide behavior. *Journal of School Psychology*.

Manuscripts in Preparation:

1. **Reinke, W.M.**, Herman, K.C., & Dong, N. (in preparation). The Incredible Years Teacher Classroom Management program: Findings from a group randomized trial.

2. **Reinke, W.M.**, Herman, K.C., & Stormont, M. (in preparation). Examining variation in the impact of a universal classroom management intervention: Findings from a group randomized trial.
3. Herman, K.C., †Hickman-Rosa, J., & **Reinke, W.M.** (in preparation). Empirically derived profiles of teacher stress, burnout, self-efficacy, and coping and associated student outcomes.

EXTRAMURAL SPONSORSHIP

Current Grants

Principal Investigator. **University of Missouri Interdisciplinary Postdoctoral Research and Training Program in the Education Sciences. (2015-2020)**. Funded by Institute of Education Sciences (IES; Postdoctoral Program) R305B150028. Total amount funded: \$699,562.

Co-Principal Investigator. **Evaluation of a Self-Monitoring Training Program for Elementary School Students (2015-2019)**. Funded by Institute for Education Sciences, (Efficacy and Replication Trial) R305A150517. Total amount funded: \$3,461,284.

Principal Investigator. **Boone County Mental Health Coalition County-wide Initiative to Support School-age Youth (2014-2016)**. Funded by the Boone County Children's Fund. Total amount funded: \$1,190,865.

Principal Investigator. **The Classroom Check-up: Supporting Elementary Teachers in Classroom Management Using a Web-based Coaching System (2013-2016)**. Funded by the Institute for Education Sciences, (Development) R305A130375. Total amount funded: \$1,496,990.

Co-Principal Investigator. **Evaluation of a Classroom Management Training Program for Middle School Teachers (2013-2017)**. Funded by the Institute of Education Sciences (IES; Efficacy and Replication) R305A130143. Total amount funded: \$2,998,365.

Completed

Principal Investigator. **Evaluation of a Video-based Modeling Program to Promote Effective Classroom Management Teaching Practices (2010-2015)**. Funded by Institute for Education Sciences, (Efficacy and Replication Trial) R305A100342. Total amount funded: \$2,915,757.

Principal Investigator. **Center for Prevention and Early Intervention subcontract with Johns Hopkins University (2009-2014)**. (Center P.I., Nicholas Ialongo; Campus P.I., Wendy Reinke.), Funded by National Institute of Mental Health, P30 MH066247. Total amount funded: \$644,837.

Principal Investigator. **The Classroom Check-up Collaboration Project (2011-2012)**. Funded by the University of Missouri Richard Wallace Research Incentive Fund. Awarded to Wendy Reinke and Dana Darney. Total amount funded: \$2,000.

Co-Principal Investigator. **Reducing Child Abuse through Parent Training to Expecting and New Caregivers (2009-2010)**. Funded by Target Corporation. Awarded to Connie Brooks, Keith Herman, and Wendy Reinke. Total amount funded: \$3,640.

Co-Principal Investigator. **Providing Evidence-Based Parent Behavior Management Training to Parents in Head Start (2009-2010)** Funded by Missouri Children's Trust Fund. Awarded to Wendy Reinke and Keith Herman in collaboration with Head Start. Total amount funded: \$5,000.

Co-Principal Investigator. **Providing Evidence-Based Parent Behavior Management Training to College Students with Children (2008-2009)**. Funded by MU Parents Association. Awarded to Wendy Reinke (faculty investigator), Joni Splett and Elise Hendricker (student investigators). Total amount funded: \$1,000.

Co-Principal Investigator. **Helping School Districts Evaluate Current Needs and Select Evidence-Based Practices in Early Childhood Mental Health (2008-2009)**. Funded by Missouri Partnership for Educational Renewal. Awarded to Wendy Reinke, Keith Herman, and Melissa Stormont. Total amount funded: \$10,000.

Principal Investigator. **Combining Multilevel School and Family-Centered Interventions to Prevent Disruptive Behavior Problems in Children (2008-2010)**. Funded by University of Missouri, Research Council Internal Funding. Total amount funded: \$ 7,482.30.

Principal Investigator. **Selecting and Evaluating Evidence-based Practices in Early Childhood Mental Health (2008-2009)**. Funded by the University of Missouri Richard Wallace Research Incentive Fund. Total amount funded: \$3,940.

Co-Principal Investigator. **Providing Evidence-Based Parent Behavior Management Training to Parents in Head Start (2008-2009)**. Funded by Missouri Children's Trust Fund. Awarded to Wendy Reinke and Keith Herman in collaboration with Head Start. Total amount funded: \$5,000.

Principal Investigator. **Classroom Check-up: Ensuring Success for all Students (2003-2005).**
Funded by U.S. Department of Education, Office of Special Education, H324B030046.
Total amount funded: \$19,997.

Consultant

Serving as a consultant to establish the content validity of treatment integrity measures for early childhood programs. Project entitled, *Development and Validation of Treatment Integrity Measures of Classroom-Based Instructional Interventions in Early Childhood Settings.* (2014-2016). Funded by Institute for Education Sciences (Exploratory) R305A140487. Awarded to Bryce McLeod (PI).

Serving as consultant to adapt the Classroom Check-up coaching model with an intervention to support teachers in culturally responsive teaching. Project entitled, *Double Check: A Cultural Proficiency and Student Engagement Model* (2011-2014). Funded by Institute for Education Sciences, (Development) R324A110107. Awarded to Catherine Bradshaw (PI).

Served as consultant to adapt and integrating the Classroom Check-up coaching model with First Step to Success. Project entitled, *Enhanced First Step to Success: Improving School Readiness for School Children with Disruptive Behavior* (2009-2012). Funded by Institute for Education Sciences, (Development) R324A090237. Awarded to Andy Frey (PI).

OTHER RESEARCH AWARDS

- 2003 Dissertation Research Award (\$500)
University of Oregon, School Psychology Department
- 2002 Clare Wilkins Chamberlin Memorial Fund Research Award (\$1,500)
University of Oregon, College of Education
- 2002 Gary E. Smith Summer Grant (\$3,000)
University of Oregon, Graduate School

PRESENTATIONS AND WORKSHOPS

Invited Presentations

- Reinke, W.M.,** Herman, K.C., & Weist, M. (February, 2015). Designing, implementing, and understanding school-based randomized trials. Invited workshop presented at the National Association for School Psychology, Orlando, FL.
- Reinke, W.M. &** Herman, K.C. (December, 2014). Engaging families and supporting students with severe behavior problems. Missouri Council of Administrators of Special Education, Columbia, MO.
- Reinke, W.M. &** Herman, K.C. (September, 2014). Using Motivational Interviewing to increase effective practices in schools. Missouri Council of Administrators of Special Education, Lake of the Ozarks, MO.

- Herman, K.C. & **Reinke, W.M.** (May, 2014). Motivational interviewing in schools: Strategies for engaging teachers, parents, and students. Workshop presented at the First Annual Central Pennsylvania Workshops on Evidence-Based Services. State College, PA.
- Reinke, W.M.** (April, 2014). Understanding and preventing disruptive behavior problems in children. Invited talk presented at Tulane University, New Orleans, LA.
- Herman, K.C. & **Reinke, W.M.** (February, 2014). Motivational interviewing for effective classroom management. Workshop presented at the National Association of School Psychology. Washington, D.C.
- Reinke, W.M.**, Herman, K.C., Stormont, M., & Newcomer, L. (March, 2013). Evaluating the process of implementation within a large-scale efficacy trial. Electronic Poster presented at the Annual Institute of Educational Sciences Meeting, Washington DC.
- Reinke, W.M.** (August, 2012). Preventing disruptive behavior problems in children using prevention science logic. American Psychological Association, Orlando, FL.
- Reinke, W.M.**, & Herman, K.C. (March, 2012). Evidence-based classroom management training and infrastructure. Invited talk presented as part of the Training Interdisciplinary Educational Scientists training series at Penn State University, State College, PA.
- Reinke, W.M.** (October, 2011). Promoting effective classroom management with the Incredible Years Teacher training program. Invited talk presented at the Missouri Association for School Psychologist Conference, Columbia, MO.
- Reinke, W.M.** (March, 2011). Combining School and Family Interventions to Prevent Disruptive Behavior Problems. Invited talk presented at the 8th International Conference on Positive Behavior Support. Denver, CO.
- Reinke, W.M.** (February, 2010). The Classroom Check-up: A consultation model for effective classroom management. Invited talk presented at the Missouri Association of School Psychology Mid-Missouri Regional Conference, Columbia, MO.
- Reinke, W.M.**, & Herman, K.C. (October, 2009). Helping schools evaluate needs and select best practices in childhood mental health. Invited talk presented at the Governing Board Meeting of the Missouri Partnership for Educational Renewal, Columbia, MO.
- Reinke, W.M.**, & Herman, K.C. (September, 2009). The Missouri Prevention Center: Preventing youth aggression and depression through school and family partnerships. Invited talk presented to the University of Missouri Alumni Association Board, Columbia, MO.
- Reinke, W.M.**, & Herman, K.C. (April, 2009). Creating balance between work and life: Tips from MU faculty. An invited panel discussion presented by the MU Graduate School. University of Missouri, Columbia, MO.
- Reinke, W.M.**, (March, 2009). The Influence of family functioning on the academic and behavioral outcomes of children: Implications for intervention. Invited colloquium presented at the MU Clinical Psychology Brown Bag Seminar, Columbia, MO.
- Reinke, W.M.**, Sprick, R., & McKale, T. (October, 2008). Coaching classroom management. Workshop presented at the Annual Instructional Coaching Conference, Lawrence, KS.
- Reinke, W.M.** (October 2008). Positive Behavior Interventions and Supports: Supporting all students. Invited talk presented at the School Counselor Conference, Taipei, Taiwan
- Reinke, W.M.** & Herman, K.C. (October, 2008). Prevention Science in action. Invited colloquium presented at National Normal Taiwan University, Taipei, Taiwan.

- Reinke, W.M.** (October, 2008). Evidence-based interventions for children with disruptive behavior problems. Invited workshop presented at the Missouri Association for School Psychology Annual Convention, St. Louis, MO.
- Reinke, W.M.** (April, 2008). Integrating family assessment/interventions in schools for children with disruptive behavior problems. Invited colloquium presented to Missouri Association for School Psychology Mid-Missouri Region, Columbia, MO.
- Reinke, W.M.** (April, 2008). Child academic and behavior problems: Co-occurrence and distal outcomes. Invited colloquium presented at MU Developmental Psychology Brown Bag Seminar.
- Reinke, W.M.** (2007). Starting point: Systems for prevention. Invited keynote presented at the CAMHPS: MPER, School Mental Health Leadership Academy. Columbia, Missouri.
- Reinke, W.M.** (2006). The Classroom Check-up: A tool for communicating with teachers about classroom management. Invited workshop presented at the First Annual Instructional Coaching Conference, Lawrence, KS.
- Sprick, R., **Reinke, W.M.**, Knight, J., & McKale, T. (2006). Coaching for positive classrooms. Invited workshop presented at the First Annual Instructional Coaching Conference, Lawrence, KS.
- Reinke, W.M.** (2006). The Classroom Check-up: An assessment/intervention tool for improving classroom management. Invited talk presented at the Annual Maryland PBIS Summer Institute, Ellicott City, MD
- Bradshaw, C. & **Reinke, W.M.** (2006). What are evidenced-based practices? Invited talk presented at the Annual Maryland PBIS Summer Institute, Ellicott City, MD
- Reinke, W.M.** (2005). The Classroom Check-up: A model of consultation for increasing integrity of classroom interventions. Invited presentation at Safe and Civil Schools Train the Trainers Workshop, Portland, OR.

Symposium/ Paper/ Poster

- Thompson, A., Herman, K.C., & **Reinke, W.M.** (2015). Impact of Incredible Years Training on latent profiles of teacher perceptions of parent involvement. Paper to be presented as part of a symposium at the Society for Prevention Research. Washington, D.C.
- Newcomer, L., **Reinke, W.M.**, & Herman, K.C. (2015). Effects of coaching and teacher quality of implementation of effective classroom management practices on student outcomes. Paper presented as part of the symposium at the International Conference on Positive Behavior Supports, Boston, MA.
- Dong, N., **Reinke, W. M.**, Herman, K.C., Bradshaw, C., & Murray, D. (2015). Meaningful effect sizes, intra-class correlations, and proportions of variance explained by covariates for planning 3 level cluster randomized experiments in prevention science. Paper presented at the Society for Research on Educational Effectiveness. Washington, D.C.
- Owens, S., Stormont, M., Herman, K.C., & **Reinke, W.M.** (2015). Predictive validity and diagnostic accuracy of a school readiness screener. Poster presented at the National Association for School Psychology, Orlando, FL.

- King, K., **Reinke, W.M.**, & Lembke, E. (2015). Identifying academic profiles of children with behavior risk using latent class analysis. Poster presented at the National Association for School Psychology, Orlando, FL.
- Reinke, W.M.** (2014). Classroom observations of teacher behavioral supports. Society for Research on Educational Effectiveness. Washington, D.C.
- Chuang, C., **Reinke, W. M.**, & Herman, K.C. (2014). The effects of a teacher classroom management intervention among students with aggressive behavior. Paper presented at the American Psychological Association, Washington, D.C.
- Wang, Z., Osterlind, S., **Reinke, W.M.**, Stormont, M., & Herman, K.C. (2014). From measurement models to scoring methods: An application to group differences. Paper presented as part of a symposium at the 9th Conference of the International Test Commission, San Sebastián, Spain.
- Reinke, W.M.**, & Herman, K.C. (2014). Use of coaching and behavior support planning for students with disruptive behavior within a universal classroom management program. Paper presented as part of symposium at the Society for Prevention Research Annual Convention, Washington, DC.
- Herman, K.C. & **Reinke, W.M.**, (2014). A latent transition analysis of parent school involvement patterns: Can training improve teacher comfort with difficult families and students? Paper presented as part of symposium at the Society for Prevention Research Annual Convention, Washington, DC.
- Stormont, M, **Reinke, W. M.**, Herman, K.C., & Newcomer, L. (2014). Supporting evidence based practices in schools: Results from an efficacy trial of the Incredible Years Teacher Classroom Management program. Paper presented as part of a roundtable session at the annual American Educational Research Association meeting, Philadelphia, PA.
- Reinke, W.M.**, Herman, K.C., & Dong, N. (2014). The Incredible Year Teacher Classroom Management program: Initial findings from a group randomized control trial. Paper presented as part of a symposium at the conference for the Society for Research on Educational Effectiveness, Washington, D.C.
- Newcomer, L., **Reinke, W.M.**, Stormont, M., & Herman, K.C. (2014). Coaching teacher's to improve classroom management: Lessons learned and recommendations. Paper presented at the 11th International Conference on Positive Behavior Support, Chicago, IL.
- Reinke, W.M.**, & Herman, K.C. (2014). Relations between intervention supports, fidelity, implementation, and student outcomes. Paper presented as part of a symposium at the National Association of School Psychology Conference, Washington, D.C.
- King, K. & **Reinke, W.M.** (2014). Evaluating the effectiveness of positive classroom behavior interventions: A meta-analysis. Poster presented at the National Association of School Psychology Conference, Washington, D.C.
- Darney, D. & **Reinke, W.M.** (2013). Practical and effective teacher consultation. Paper presented at the Center for School Mental Health Conference, Crystal City, VA.
- Reinke, W.M.** & Herman, K.C. (2013). A group randomized evaluation of the Incredible Years Teaching Training program. Paper presented as part of a symposium at the American Psychological Association conference, Honolulu, HI.

- Stormont, M., Herman, K.C., & **Reinke, W.M.** (2013). Latent profile analysis of teacher perceptions of parent contact and comfort. Paper presented as part of a symposium at the American Psychological Association conference, Honolulu, HI.
- Lembke, E. King, K. & **Reinke, W.M.** (2013). Using latent class analysis to identify academic and behavioral risk status in elementary students. Poster presented at the American Psychological Association conference, Honolulu, HI.
- Chuang, C., **Reinke, W.M.**, King, K., & Herman, K.C. (2013). Profiles of disruptive behavior and concentration problems and associated academic outcomes among an at-risk sample of elementary students. Poster presented at the American Psychological Association conference, Honolulu, HI.
- Reinke, W.M.**, Herman, K.C., Stormont, M., & Newcomer, L. (2013). Using coaching to support teacher implementation of classroom-based interventions: Initial results from an efficacy trial. Paper presented as part of a symposium at the Society for Prevention Research conference, San Francisco, CA.
- Reinke, W.M.**, Herman, K.C. & Webster-Stratton, C. (2013). Latent profiles of observed classroom management behaviors. Paper presented as part of a symposium at the Society for Prevention Research conference, San Francisco, CA.
- Reinke, W.M.**, Herman, K.C., Wachsmuth, S. & Newcomer, L. (2013). The brief classroom interaction observation: An observation system to inform and increase teacher use of classroom management practices. Paper presented as part of a symposium at the annual convention of the National Association of School Psychologists, Seattle, WA
- Sullivan, A. L., **Reinke, W.M.**, Sanetti, L., & Harris, B. (2013). Successfully pursuing research funding: Perspectives from early and mid-career faculty. Symposium presented at the annual convention of the National Association of School Psychologists, Seattle, WA.
- Chuang, C. & **Reinke, W.M.** (2012). Development of the social information processing scale for adolescents in Taiwan. Poster presented at the American Psychological Association Conference, Orlando, FL.
- Chuang, C., **Reinke, W.M.**, Fujiki, M., Stormont, M., & Herman, K.C. (2012). The relationship between teachers' confidence, self-efficacy, and burn out, and their ratings of aggressive students. Poster presented at the International Society for Research on Aggression World Meeting, Luxembourg.
- Chuang, C., **Reinke, W.M.**, Fujiki, M., Stormont, M., & Herman, K.C. (2012). An investigation of aggression as a predictor of academic achievement. Poster presented at the International Society for Research on Aggression World Meeting, Luxembourg.
- Reinke, W.M.**, Herman, K.C., Stormont, M., & Newcomer, L. (2012). Incredible Years Teacher Classroom Management Program: Examining the relation of intervention support systems on teacher fidelity of implementation. Paper presented as part of the symposium at the Society for Prevention Research 20th Annual Meeting, Washington, DC
- Wang, K., Herman, K., Bi, Y., **Reinke, W.M.**, & Jalongo, N. (2012). A latent transition analysis of perfectionism profiles in early adulthood. Poster presented at the American Psychological Association Conference, Orlando, FL.

- Kerr, D., **Reinke, W.M.**, & Eddy, M. (2012). Depressive symptoms and externalizing behaviors across adolescence: Co-occurrence and associations with suicide risk. Paper presented as part of a symposium at the Society for Research on Adolescents Biannual Conference, Vancouver, BC, Canada.
- Reinke, W.M.**, Newcomer, L., Stormont, M., & Herman, K.C. (2012). Promoting effective behavior support planning with the Incredible Years Teacher Training program. Paper presented at the annual Association for Positive Behavior Support Conference, Atlanta, GA.
- Clare, A., David, K., Borden, L., Stormont, M., Newcomer, L., Herman, K., & **Reinke, W.M.** (2012). Empirically derived subtypes of teacher behavior: A latent profile analysis. Poster presented at the National Association of School Psychology Conference, Philadelphia, PA.
- Latimore, T., Klemp, H., Fujiki, M., Borden, L., Stormont, M., Newcomer, L., Herman, K., & **Reinke, W.M.** (2012). The effects of teacher burnout on observed classroom behaviors. Poster presented at the National Association of School Psychology Conference, Philadelphia, PA.
- Darney, D., **Reinke, W.M.**, Herman, K.C., Pitchford, J., & Ialongo, N. (2011). A Pilot of the Classroom Check-up coaching model to increase teacher implementation of the PATHS to PAX program. Symposium paper presented at the American Psychological Association Convention, Washington, D.C.
- Wang, K., Herman, K. C., Trotter, R., **Reinke, W.M.**, & Ialongo, N. (2011). Perfectionism growth among African American adolescents. Poster presented at the American Psychological Association Annual Convention, Washington DC.
- Latimore, T., & **Reinke, W.M.** (2011). Evaluation of the Green Dot Strategy: A community level violence intervention program. Poster presented at the Society for Community Research and Action Biennial Conference, Chicago, IL.
- Reinke, W.M.**, Herman, K.C., & Webster-Stratton, C. (2011). Developing and refining interventions over time: Reflections on the Incredible Years Series. Symposium paper presented at the Society for Prevention Research 19th Annual Meeting, Washington, DC.
- Darney, D., **Reinke, W.M.**, Herman, K.C., & Ialongo, N. (2011). Distal outcomes of twelfth grade students identified in first grade as having co-occurring academic and behavior problems. Poster presented at the Society for Prevention Research 19th Annual Meeting, Washington, DC.
- Reinke, W.M.**, Herman, K.C., & Stormont, M. (2011). Enhancing classroom supports in PBIS schools. Symposium paper presented at the National Association of School Psychology Conference, San Francisco, CA.
- Stormont, M., **Reinke, W.M.**, Herman, K.C., & Lemke, E. (2011). School-based interventions for Tier II. Paper presented at the National Association of School Psychology Conference, San Francisco, CA.
- Reinke, W.M.** (2010). Co-occurrence and cross-setting consistency of behavior problems in children. Symposium paper presented at the American Psychological Association in San Diego, CA.
- Bradshaw, C., Leaf, P., Domitrovich, C., Embry, D. **Reinke, W.M.**, Herman, K., & Ialongo, N. (2010). Using findings from randomized trials to inform the integration of school-wide PBS with social-emotional learning. Presentation at the 18th Annual Society for Prevention Research Convention, Denver, CO.

- Reinke, W.M.** (2010). Prevention science: Advancing research and practice in schools. Presidential strand symposium chair and presenter at the National Association for School Psychology Conference, Chicago, IL.
- Hendricker, E., Stormont, M., & **Reinke, W.M.** (2010). Supporting kindergarten transition for Head Start students through ecological interventions. Poster presented at the National Association for School Psychology Conference, Chicago, IL.
- Darney, D., Schultz, T., Goel, N., & **Reinke, W.M.** (2009). The utility of the Family Check-up for promoting family-school collaboration: A case illustration. Poster presented at the National Council on Family Relations Annual Conference. San Francisco, CA.
- Darney, D., Hendricker, E., Splett, J., Coutts, M., & **Reinke, W.M.** (2009). The Family Check-up: school-based implementation to reduce aggressive behaviors in young children. Paper presented at the 14th Annual Conference for Advancing School Mental Health. Minneapolis, MN.
- Reinke, W.M.** & Darney, D. (2009). Combining school and family interventions to prevent disruptive behavior problems in children. Presented as part of the symposium, Beyond efficacy: System level variables in adapting and sustaining school-wide PBS, at the Annual American Psychological Association Conference, Toronto.
- Goel, N., Fu, C., Puri, N., Stormont, M., & **Reinke, W.M.** (2009). Current practices and perceived needs in school-based mental health programming. Poster presented at the Annual American Psychological Association Conference, Toronto.
- Reinke, W.M.** (2009) Innovations in the assessment of disruptive behavior problems in children. Symposium chair and presenter at the Annual National Association for School Psychology Conference, Boston, MA.
- Reinke, W.M.** (2009). Family environments and behavior problems at school. Presented as part of the symposium, Innovations in the assessment of disruptive behavior problems in children at the Annual National Association for School Psychology Conference, Boston, MA.
- Stormont, M. & **Reinke, W.M.** (2009). Preventing behavior problems in children: School and Family-based models. Paper presented at the Annual National Association for School Psychology Conference, Boston, MA.
- Herman, K.C., Lambert, S., **Reinke, W.M.**, & Jalongo, N. (2007). The role of academic competence in preventing depression among urban African American children. Symposium presented at the Society for Prevention Research Annual Convention, Washington, D.C.
- Reinke, W.M.** (2007). Clarifying the timing and co-occurrence of academic and behavior problems. Symposium presented at the National Association for School Psychologists, New York City.
- Reinke, W.M.** & Lewis-Palmer, T. (2005). The Classroom Check-up: Addressing system-wide elements of service delivery at the classroom level. Symposium presented at the Annual American Psychological Association Convention, Washington, D.C.
- Lewis-Palmer, T., Millen, S., & **Reinke, W.M.** (2005). Training and supporting teachers to implement effective classroom management practices. Presented at the MidWest Behavior Symposium Kansas City, Missouri.

- Reinke, W.M.** & Lewis-Palmer, T. (2004). The Classroom Check-up. Invited poster presented at the Office of Special Education Programs Leadership and Research Directors Conference, Washington D.C.
- Reinke, W.M.** & Lewis-Palmer, T. (2004). The Classroom Check-up: a brief intervention to reduce student problem behaviors through classroom teaching practices. Symposium presented at the National Association for Behavior Analysis Convention, Boston, MA.
- Reinke, W.M.**, Lewis-Palmer, T., & Martin, E. (2003). A model for teacher support for reducing antisocial behavior in youth. Poster presented at the Annual American Psychological Association Convention, Toronto.
- Reinke, W.M.**, Martin, E., & Lewis-Palmer, T. (2003). Using weekly feedback to increase the effectiveness of a targeted-group intervention within a school-wide system of behavior support. Symposium presented at the National Association for Behavior Analysis Convention, San Francisco, CA.
- Millen, S., **Reinke, W.M.**, Lewis-Palmer, T., & Martin, E. (2003). Engineering the classroom for success for all students. Presentation given at the Annual Oregon Conference, Eugene, OR.
- Davis, C. A., **Reinke, W.M.**, & Herman, K. C. (2003). A supportive classroom for students with emotional and behavioral disorders. Presentation given at the Annual Oregon Conference, Eugene, OR.
- Reinke, W.M.**, Davis, C. A., & Herman, K. C. (2003). Childhood depression: Rethinking the role of the school. Presentation given at the National Association of School Psychologists Convention, Toronto.
- Davis, C. A., **Reinke, W.M.**, Merrell, K., & Herman, K. (2003). A school-based model for preventing and treating childhood internalizing disorders. Presentation given at the National Association of School Psychologists Convention, Toronto.
- Davis, C. A. & **Reinke, W.M.** (2002). Increasing teacher awareness of internalizing disorders in students through in-service training. Poster presented at the Annual University of Oregon College of Education Poster Session, Eugene, OR.
- Reinke, W.M.** & Davis, C. A. (2002). Functional behavioral assessment of behaviors related to attention deficit hyperactivity disorder. Presentation given at National Association of School Psychologist Convention, Chicago, IL.

Continuing Education/ Workshops

- Reinke, W.M.**, Herman, K.C., Frey, A., & Shepard, S. (February, 2013). Motivational Interviewing in schools. Full day workshop presented at the National Association for School Psychology, Seattle, WA.
- Reinke, W.M.** (March, 2011). Effective classroom behavior management: Advanced skills. Training Workshop presented to RTI International, Baltimore, MD.
- Reinke, W.M.** (November, 2010). Effective classroom behavior management practices: Strategies that work in real classrooms. Training Workshop presented to RTI International, Baltimore, MD.

- Herman, K.C., & **Reinke, W.M.** (November, 2010). Integrating the Family Check-Up and Coping Power II. Training Workshop presented for the Center for Prevention and Early Intervention, Baltimore, MD.
- Reinke, W.M.**, & Herman, K.C. (April 2010). Integrating the Family Check-Up and Coping Power I. Training Workshop presented for the Center for Prevention and Early Intervention, Baltimore, MD.
- Reinke, W.M.**, & Herman, K.C. (March, 2010). The Classroom Check-Up: Applications in the CPEI Trials. Training Workshop presented at the Center for Prevention and Early Intervention, Baltimore, MD.
- Reinke, W.M.**, & Herman, K.C. (February, 2010). The Family Check-Up: Applications in the CARS Trial. Training Workshop presented for the Center for Adolescent Research in Schools, Missouri site, Columbia, MO.
- Reinke, W.M.**, & Herman, K.C. (2010). The Classroom Check-up: A classwide consultation model to promote effective classroom management. Half-day workshop presented at the National Association of School Psychologist Convention, Chicago, IL.
- Reinke, W.M.** (2007). Proactive classroom management. Workshop series presented to Baltimore City elementary teachers and staff, Baltimore, MD.
- Reinke, W.M.** (2007). The Classroom Check-up: Coaching to improve classroom management. Seminar presented to Howard County School District PBIS coaches, teachers, and staff, Columbia, MD.
- Herman, K. C. & **Reinke, W.M.** (2004). Cognitive-behavioral therapy for child and adolescent depression: A skills workshop. Half-day workshop presented at the National Association of School Psychologists Convention, Dallas, TX.
- Herman, K. C. & **Reinke, W.M.** (2003). Motivational interviewing to reduce substance abuse. Learning Institute presented at the American Counseling Association Annual Conference, Anaheim, CA.
- Reinke, W.M.**, et al. (2001). Parenting children with ADHD and related behavior problems. Workshop presented to parents from the Eugene, OR School District.

PROFESSIONAL MATERIALS

- Reinke, W.M.** & Stormont, M. (2012). *Overall Rating Form*. University of Missouri publication.
- Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2011). *The Kindergarten Academic and Behavior Readiness Screener (K-ABR)*. University of Missouri publication.
- Reinke, W.M.**, & Newcomer, L. (2010). *Brief Classroom Interaction Observation Revised (BCIO-R)*. University of Missouri publication.
- Reinke, W.M.** & Newcomer, L. (2010). *Student Teacher Classroom Interaction Observation (ST-CIO)*. University of Missouri publication.
- Millen-Jameson, S., Davis, C., **Reinke, W. M.**, Lewis-Palmer, T., (2005). *Brief Classroom Interaction Observation*. University of Oregon publication.

Major Contributions

Reinke, W.M. & Nakayama, N. (2007). Lesson 2-3 Understanding Your Feelings, Part I & Part II. *Strong Kids: A Social Emotional Learning Curriculum for Students.* Baltimore, MD: Paul H. Brookes Publishing Co.

Nakayama, N. & **Reinke, W.M.** (2007). Lesson 2-3 Understanding Your Feelings, Part I & Part II. *Strong Teens: A Social Emotional Learning Curriculum for Students.* Baltimore, MD: Paul H. Brookes Publishing Co.

EDUCATIONAL ACTIVITIES

Teaching Experience

Medical School

Child and Adolescent Psychiatry Lecture Series

Johns Hopkins School of Medicine
Child and Adolescent Psychiatry
Co-instructor; January-March 2006

Behavioral Psychology Training Seminar

Kennedy Krieger Institute
Pediatric Behavioral Psychology
Lecture, May 10, 2006

Graduate School

Behavioral Assessment and Intervention

University of Missouri

Child and Adolescent Interventions II: Evidence-based Interventions

School Psychology

Professional Issues II: Research Design and Application

Developmental Psychopathology and Exceptionality

Prevention Science Practicum

Prevention Science Seminar

Graduate School

Primary Prevention Course

University of Maryland
Clinical Psychology

COMPLETED DOCTORAL DISSERTATION COMMITTEES

Chair

Elise Hendricker (2010, School Psychology, Co-Chair with Dr. Cheryl Offutt)

Ann Clare (2012, School Psychology)

Nidhi Goel (2012, School Psychology)

Dana Darney (2013, School Psychology)

Kimberly David (2014, School Psychology)

Tracey Latimore (2014, School Psychology)

Lindsay Oram (2014, School Psychology, Co-Chair with Dr. Melissa Maras)

Chi-Ching Chuang (2015, School Psychology)

Committee Member

Natalie Parks (2008, School Psychology)
Dorothy Landon (2010, School Psychology)
Tia Schultz (2011, Special Education)
Joni Splett (2011, School Psychology)
Lindsay Borden (2012, Counseling Psychology)
Megan Strawsine (2012, School Psychology)
Geetika Agarwal (2012, School Psychology)
Aaron Sawyer (2012, Clinical Psychology)
Jon Lee (2012, University of Louisville, Education)
Sarah Beyers (2012, School Psychology)
Barbara Mitchell (2012, Special Education)
Sean Wachsmuth (2013, Special Education)
Martha Early (2013, Clinical Psychology)
Chelsey Brophy (2014, School Psychology)
Alexandra Schmidt (2015, Counseling Psychology)

COMPLETED MASTERS THESIS COMMITTEES

Chair

Dana Darney (2010, School Psychology)
Ann Clare (2011, School Psychology)
Tracey Latimore (2012, School Psychology)

Committee Member

Natalie Modeleski (2011, School Psychology)
Dan Ellis (2010, School Psychology)
Joni Splett (2009, School Psychology)
Chelsey Brophy (2011, School Psychology)
Lindsay Oram (2013, School Psychology)
Wes Sims (2014, School Psychology)

SERVICE

Grant Review Activities

Institute of Education Sciences (IES): Social and Behavioral Review Panel Member, 2013-present.

Professional Science Activities

Committee Member, American Psychological Association, Division 16, Implementation Science/
Research to Practice Workgroup, 2014- current.

Committee Member, National Center on Intensive Intervention, 2012-present.
Committee Member, Center for Prevention and Early Intervention, Johns Hopkins University,
Indicated Treatments Steering Committee, 2005-2008.
Committee Member, Duke Executive Committee on Peer Contagion and Social Policy, 2003-2005

Community Service Activities

Committee Member, School-based Mental Health Committee, Columbia Public Schools, 2012-2013.
Member, Boone County Mental Health Collaborative, Coalition of Boone County Public Schools, 2013-present.
Member, Juvenile Detention Alternative Initiative (JDAI) Collaborative Team, Missouri 13th Circuit Court, Juvenile Division, funded by Annie E. Casey Foundation. 2015- present.

Special Issues Edited

Reinke, W.M., & Herman, K.C. (Eds.). *Assessment for Effective Intervention*, (under review), Special series "Using Brief Assessments of Important Indicators to Inform School-based Interventions and Practice"

Kilgus, S., **Reinke, W.M.**, & Jimerson, S. (Eds.) (2015). *School Psychology Quarterly*, Special Series "Mental Health Intervention and Assessment within a Multi-Tiered Framework"

Farmer, T., **Reinke, W.M.**, & Brooks, D. (Eds.) (2014). *Journal of Emotional and Behavioral Disorders*, 22. Special series "Managing Classrooms and Challenging Behaviors"

Stormont, M. & **Reinke, W.M.** (Eds.) (2013). *Journal of Applied School Psychology*, 29. Special series "Tier 2 Social Behavioral Interventions"

Reinke, W.M., Herman, K.C., & Ialongo, N. (Eds.) (2012). *Advances in School Mental Health Promotion*, 5. Special series "Developing and Implementing Integrated School-based Mental Health Interventions"

Cappella, E., **Reinke, W.M.**, & Hoagwood, K. (Eds.) (2011). *School Psychology Review*, 40. Special series "Developing Social-Emotional and Behavioral Interventions with School Communities: Systematic and Collaborative Processes"

Stormont, M., **Reinke, W.M.**, & Herman, K.C. (Eds.) (2010). *Psychology in the Schools*, 47. Special series "The Role of Prevention Science in Advancing Research and Practice in the Schools"

Associate Editor

School Psychology Quarterly, 2012- present

Editorial Board Appointments

Journal of Applied School Psychology, 2008-present
Journal of Emotional and Behavioral Disorders, 2014- present
Journal of Positive Behavior Interventions 2013-present
Journal of School Psychology, 2008-present
School Psychology Review, 2011-present
School Psychology Quarterly, 2007-2012

Journal Peer Review Activities

Aggressive Behavior, ad hoc reviewer, 2009
Education and Treatment of Children, ad hoc reviewer, 2009
Educational Psychology, ad hoc reviewer, 2013
European Child and Adolescent Psychiatry, ad hoc reviewer, 2009
Journal of Abnormal Child Psychology, ad hoc reviewer, 2007, 2010, 2013, 2014
Journal of Adolescent Health, ad hoc reviewer, 2009
Journal of Behavior Education, ad hoc reviewer, 2013
Journal of Counseling Psychology, ad hoc reviewer, 2009
Journal of Early Adolescents, ad hoc reviewer, 2013, 2014
Journal of Positive Behavioral Interventions, ad hoc reviewer, 2010, 2012
Learning and Individual Differences, ad hoc reviewer, 2011
Prevention Science, ad hoc reviewer, 2014
Psychiatry Research, ad hoc reviewer, 2010
School Psychology Review, ad hoc reviewer, 2011

OTHER PROFESSIONAL ACCOMPLISHMENTS

Specialized Training

Incredible Years Parent, Child, and Teacher certified group facilitator
Secondary Classroom Assessment Scoring System Certification
Family Check-up, University of Oregon
First Step to Success Consultant, University of Oregon
Positive Behavioral Interventions and Supports, University of Oregon
Direct Instruction, University of Oregon
Curriculum Based Measurement & DIBELS, University of Oregon

DEMOGRAPHIC INFORMATION

Contact

University of Missouri, 16 Hill Hall, Columbia, MO 65211
Phone: (573) 355-1140; Email: hermanke@missouri.edu

Current Appointment

Professor, University of Missouri
Counseling Psychology, School Psychology, and School Counseling Programs
Department of Educational, School, & Counseling Psychology

Faculty Affiliations

Missouri Prevention Center, University of Missouri
Center for Prevention and Early Intervention, Johns Hopkins, Public Health
Boone County Mental Health Collaborative, Coalition of Boone County Public Schools

Credentials

Licensed Psychologist (MO #2008007984 – *active*)
Fellow, Divisions 16 & 17, American Psychological Association, 2014

Education and Training (*PdF=Postdoctoral Fellow*)

PdF 2005 Johns Hopkins University, Child & Adolescent Psychiatry
PdF 2003 University of Oregon, School Psychology (APA Accredited)
PdF 1998 Brown University, Clinical Psychology Consortium (APA Accredited)
PhD 1997 University of Florida, Counseling Psychology (APA Accredited)
MA 1992 Chapman University, Counseling Psychology
BA 1990 University of Puget Sound, Psychology
AA 1988 Leeward Community College, Liberal Arts

Professional Experience

2013- Professor, University of Missouri
2007- Co-Director, *Missouri Prevention Center*, University of Missouri
2007-2013 Associate Professor, University of Missouri
2010-2011 Counseling Psychology Program Director, University of Missouri
2005-2007 Assistant Professor, Johns Hopkins School of Medicine
2004-2005 Clinical and Research Fellow, Johns Hopkins University
2003-2004 Visiting Professor, Psychology Department, Willamette University
2003-2004 Adjunct Assistant Professor, Psychology Dept, University of Oregon
2002-2004 School Psychologist, Springfield Public School District
2000-2002 Visiting Assistant Professor, Psychology Department, Reed College
1999-2000 Assistant Professor, Educational Psychology, Rhode Island College
1998-1999 Research Therapist, Butler Hospital/Brown University
1997-1998 Postdoctoral Fellow, Brown University School of Medicine

RESEARCH ACTIVITIES

Publications

Peer-Reviewed Articles (* indicates data-based papers)

1. ***Herman, K. C.**, Cohen, D., Owens, S., Latimore, T., Reinke, W. M., Burrell, L., McFarlane, E., & Duggan, A. (in press). Language delays and child depression: The role of early stimulation in the home. *Prevention Science*.
2. *Reinke, W.M., Stormont, M., **Herman, K. C.**, Wachsmuth, S., & Newcomer, L. (in press). The Brief Classroom Interaction Observation-Revised: An observation system to inform and increase teacher use of universal classroom management practices. *Journal of Positive Behavioral Interventions and Supports*.
3. *Maras, M., Stormont, M., Reinke, W., & **Herman, K. C.** (in press). School practitioner's perspective on planning, implementing, and evaluating evidence-based practices. *Children & Youth Services Review*.
4. *Stormont, M., **Herman, K.C.** et al. (in press). The predictive utility of teacher ratings of kindergarten academic and behavior readiness and end of year student outcome. *School Psychology Quarterly*.
5. Stormont, M., **Herman, K.C.**, & Reinke, W. M. (in press). The overlooked children: How teachers can support children with internalizing behavior. *Beyond Behavior*.
6. *Wang, Z., Rohrer, D., Chuang, C., Fujuki, M., Reinke, W. M., **Herman, K. C.** (2015). Five methods to score TOCA-C and to examine group differences. *Journal of Experimental Education*, 83, 24-50.
7. Lee, J., Frey, A., Reinke, W. M., & **Herman, K. C.** (2014). Motivational interviewing as a framework to guide school-based coaching and consultation. *Advances in School Mental Health Promotion*, 7, 225-239.
8. *Borden, L., **Herman, K. C.**, Stormont, M., Darney, D., Goel, N., Reinke, W. M., & Webster-Stratton, C. (2014). Latent profile analysis of observed parenting behaviors in a clinic sample. *Journal of Abnormal Child Psychology*, 42, 731-742.
9. *Reinke, W.M., Stormont, M., **Herman, K. C.**, & Newcomer, L., & King, K. (2014). Use of coaching and behavior support planning for students with disruptive behavior within a universal classroom management program. *Journal of Emotion and Behavior Disorders*, 22, 67-73.
10. *Reinke, W.M., Stormont, M., **Herman, K. C.**, & Newcomer, L. (2014). Using coaching to support teacher implementation of classroom-based interventions. *Journal of Behavior Education*, 23(1), 150-167.
11. *Reinke, W.M., Stormont, M., **Herman, K. C.**, & Newcomer, L., & David, K. (2013).

Illustrating the multiple facets and levels of fidelity of implementation to a teacher classroom management intervention. *Administration and Policy in Mental Health and Mental Health Services Research*, 40, 494-506.

12. *Maras, M., Coleman, S., Gysbers, N., Stanley, B., & **Herman, K. C.** (2013). Measuring evaluation capacity among school counselors. *Counseling Outcome Research and Evaluation*, 4, 99-111.
13. Reinke, W. M., Stormont, M., Clare, A., Latimore, T., & **Herman, K. C.** (2013). Differentiating Tier 2 interventions according to function of behavior. *Journal of Applied School Psychology*, 29, 148-166.
14. ***Herman, K. C.**, Wang, K., Trotter, R., Reinke, W. M., & Ialongo, N. (2013). Developmental trajectories of maladaptive perfectionism among African American adolescents. *Child Development*, 84, 1633-1650.
15. *Stormont, M., **Herman, K. C.**, Reinke, W. M., David, K., & Goel, N. (2013). Empirically derived subtypes of teachers' contact and comfort with parents: Co-occurring family and child characteristics. *School Psychology Quarterly*, 28, 195-209.
16. *Darney, D., Reinke, W.M., **Herman, K.C.**, & Ialongo, N. (2013). Children with co-occurring academic and behavior problems in 1st grade: Distal outcomes in 12th grade. *Journal of School Psychology*, 51, 117-128.
17. *Reinke, W.M., **Herman, K.C.**, Stormont, M., Newcomer, L. (2013). Classroom level positive behavior supports in schools implementing SW-PBIS: Identifying areas for enhancement. *Journal of Positive Behavior Interventions*, 15, 39-50.
18. **Herman, K. C.**, Riley-Tillman, T. C., & Reinke, W. (2012). The role of assessment in a prevention science framework. *School Psychology Review*, 41, 306-314.
19. ***Herman, K. C.**, Bradshaw, C., Reinke, W. M., Lochman, J., Boxmeyer, C., Powell, N., et al., and Ialongo, N. (2012). Integrating the Family Check-Up and the Parent Coping Power Program. *Advances in School Mental Health Promotion*, 5, 208-219.
20. *Reinke, W. M., **Herman, K. C.**, Darney, D., Pitchford, J., Becker, K., Domitrovich, C., & Ialongo, N. (2012). Using the Classroom Check-up to support implementation of PATHS to PAX. *Advances in School Mental Health Promotion*, 5, 220-232.
21. Reinke, W., **Herman, K. C.**, & Ialongo, N. (2012). Developing and implementing integrated school-based mental health interventions. *Advances in School Mental Health Promotion*, 5, 158-160.
22. *Mascendaro, P.A., **Herman, K. C.**, & Webster-Stratton, C. (2012). Parent discrepancies in rating young children's co-occurring internalizing symptoms. *School Psychology Quarterly*, 27, 134-143.

23. Reinke, W.M., Stormont, M., Webster-Stratton, C., Newcomer, L., & **Herman, K. C.** (2012). The Incredible Years Teacher Classroom Management Program: Using coaching to support generalization to real world classroom settings. *Psychology in the Schools, 5*, 416-428.
24. ***Herman, K. C.**, Bi, Y., Borden, L., & Reinke, W. (2012). Latent classes of psychiatric symptoms among Chinese children living in poverty. *Journal of Child and Family Studies, 21*, 391-402.
25. Webster-Stratton, C., Reinke, W., **Herman, K. C.**, Newcomer, L. (2011). The Incredible Years Teacher Training: The methods and principles that support fidelity of training delivery. *School Psychology Review, 40*, 509-529.
26. *Stormont, M., Reinke, W. M., & **Herman, K. C.** (2011). Teachers' importance ratings for evidence-based behavioral interventions. *Behavioral Disorders, 37*, 19-29.
27. ***Herman, K. C.**, Borden, L., Reinke, W., Webster-Stratton, C. (2011). The impact of the Incredible Years Parent, Child, and Teacher Training Programs on children's co-occurring internalizing symptoms. *School Psychology Quarterly, 26*, 189-201.
28. ***Herman, K. C.**, Trotter, R., Reinke, W., & Ialongo, N. (2011). Developmental origins of perfectionism among African American youth. *Journal of Counseling Psychology, 58*, 321-334.
29. **Herman, K. C.**, Borden, L., Schultz, T., Hsu, C., Brooks, C., Strawsine, M., & Reinke, W. (2011). Motivational interviewing applications with families. *Residential Treatment for Children and Youth, 28*, 102-119.
30. *Stormont, M., Reinke, W., & **Herman, K. C.** (2011). Teachers' knowledge of evidence-based interventions and available school resources for children with emotional or behavioral problems. *Journal of Behavioral Education, 20*, 138-147.
31. *Tucker, C.M., Rice, K., Jones, J. D., & **Herman, K. C.** (2011). Patient-centered culturally sensitive health care: Model testing and refinement. *Health Psychology, 30*, 342-350.
32. *Aguayo, D., **Herman, K. C.**, Ojeda, L., & Flores, L. (2011). The role of generation status in the academic achievement of Mexican American college students. *Journal of Diversity in Higher Education, 4*, 79-89.
33. *Reinke, W., Stormont, M., **Herman, K. C.**, Puri, R., & Goel, N. (2011). Supporting children's mental health in schools: Teacher perceptions of needs, roles, and barriers. *School Psychology Quarterly, 26*, 1-13.
34. **Herman, K. C.**, Reinke, W. M., Stormont, M., Puri, R., & Agarwal, G. (2010). Using prevention science to promote children's mental health: The founding of the Missouri Prevention Center. *The Counseling Psychologist, 38*, 652-690.

Family Access Center for Excellence (FACE) of Boone County Organizational Chart

1. Boone County Children's Services Board Director
2. Boone County Administrative Family Court Judge
3. Boone County Juvenile Court, Supervisor
4. Boone County Department of Housing, Director
5. Columbia Police Department, Juvenile Officer
6. Boone County Sheriff's Department, Deputy
7. Boone County Health and Human Services, Supervisor
8. University of Missouri, Administrator
9. Boone County School Mental Health Coalition—CPS Superintendent
10. Boone County School Mental Health Coalition—County Schools Superintendent
11. Central Missouri Community Action, Director
12. Boone County Faith Based Community, Representative

**FACE Administrative Core
BC-PACE Director**

**FACE Clinical STAFF
Masters Level Licensed Clinicians (LCSW, LPC, etc.)
LCSWs, Ed.Psychs; LPCs
Graduate Student Placements**

**University of Missouri
Core Consultation, Training, & Evaluation Leaders**

Core FACE Consultation:
Clark Peters
Kelly Schieltz

Core FACE Training:
Keith Herman
Kristen Hawley

Core FACE Evaluation:
Aaron Thompson
Wendy Reinke

ATTACHMENT J

Case Management and Progress Monitoring Tools

[welcome page]

WELCOME TO THE CBT THERAPY TRACKER!

To begin, please enter your unique therapist ID _ _ _ _ _

(If you have forgotten it, please contact your therapist!)

Next please choose one of the following:

I am a therapist

I am a child or teenager

I am a parent or caregiver

[2nd page]

If you have any questions about this research, you may contact Kristin Hawley at 573-882-4007 or hawleyk@missouri.edu. If you have any questions about your rights as a research participant, please contact the University of Missouri-Columbia Campus Institutional Review Board at 573-882-9585.

CONTINUING ELECTRONIC CONSENT: Please indicate your continuing consent below. Clicking on the "agree" button below indicates that you read the study consent information and voluntarily agree to participate.

[3rd page]

ABOUT THE CHILD...

Is this child a...

BOY

GIRL

How old is s/he? [text box]

ABOUT YOUR LAST APPOINTMENT...

Was the appointment today? YES or NO - if no, what was the appointment date? [text box]

Please check everyone you spoke to during the appointment (even if just for a few minutes)?

CHILD

MOM

DAD

OTHER – who? [text box]

What phase of treatment would you say that you are in with this child?

EARLY or BEGINNING PHASE;

MIDDLE or WORKING PHASE;

ENDING or TERMINATION PHASE

What was the primary problem that you focused on during this appointment?

ANXIETY, WORRY OR FEAR

DEPRESSION OR MOOD

BEHAVIOR PROBLEMS

TRAUMATIC EVENT

THERAPIST CBT TRACKER

The following items describe children in general. For each item, please rate how true you think it is of this child in the last week , either "very true," "somewhat true," or "not true." Remember, we are just asking how things have been this past week .			
1. Argues a lot.	Not True	Somewhat True	Very True
2. Destroys things belonging to his/her family or others.	Not True	Somewhat True	Very True
3. Disobedient at home or school.	Not True	Somewhat True	Very True
4. Feels too guilty.	Not True	Somewhat True	Very True
5. Feels worthless or inferior.	Not True	Somewhat True	Very True
6. Self-conscious or easily embarrassed.	Not True	Somewhat True	Very True
7. Stubborn, sullen, or irritable.	Not True	Somewhat True	Very True
8. Temper tantrums or hot temper.	Not True	Somewhat True	Very True
9. Threatens people.	Not True	Somewhat True	Very True
10. Too fearful or anxious.	Not True	Somewhat True	Very True
11. Unhappy, sad, or depressed.	Not True	Somewhat True	Very True
12. Worries.	Not True	Somewhat True	Very True
13. Are there any other problems you have been working on? If so please type each one in the box and rate how much it has been a problem this past week. [text box]	Not True	Somewhat True	Very True
14. Other problem (please explain) [text box]	Not True	Somewhat True	Very True
15. Other problem (please explain) [text box]	Not True	Somewhat True	Very True

[5th page]

Thank you for completing the CBT Therapy Tracker!

At this point, you have the option to input additional information about the appointment, to generate a complete progress note. Would you like to add any information to your progress note?

YES [link to 6th page progress note option]

NO [end survey]

[2nd page]

**CBT Tracking and Feedback with Practicing Clinicians
Caregiver Informed Consent**

You and your child have been asked by your child's therapist to participate in a research study that involves you and your child filling out a short online questionnaire after each therapy appointment. The questionnaire should take you 5-10 minutes and involves answering questions about your child's therapy appointments and how your child is doing.

The questionnaire is available as part of a research study conducted by Dr. Kristin Hawley at the University of Missouri. The goal of the study is to develop a tool that therapists can use in their work with children and adolescents. Specifically, we have developed a short online questionnaire that therapists, youths and their parents or caregivers fill out after each appointment. The questionnaire asks about what was covered in that appointment and about your child's current level of emotional and behavior problems. This questionnaire will help therapists determine (a) whether they are using treatment strategies consistent with a type of research-supported treatment called cognitive-behavioral therapy, or CBT, (b) whether you and your child understood those strategies, and (c) how much the treatment is helping your child. Your therapist is participating in this study in hopes that this questionnaire will help improve the treatment that you and your child are receiving.

Your participation in this research study is voluntary. You may choose not to participate. If you decide not to participate in this study, or if you withdraw from participating at any time, you and your child will not be penalized in any way.

We keep all information private. Your responses are anonymous. We do not collect any identifying information such as your name, email address or IP address. All data is stored in a password-protected electronic format.

There is little risk involved but you or your child may find the questionnaires boring or unhelpful. You or the child could also feel some amount of distress while answering questions. We hope that your participation in this study will help your therapist to better help you and your child. Findings from this study may also help other researchers and therapists to improve the effectiveness of child and family therapy. When we describe the findings of this study in professional journals and conferences, you and your clients will never be identified.

If you have any questions about the research study, please contact Dr. Kristin Hawley at 573-882-4007. If you have any questions about your rights as a research participant, please contact the University of Missouri-Columbia Campus Institutional Review Board at 573-882-9585 or www.umcresearchcibrb.missouri.edu.

ELECTRONIC CONSENT: Please select your choice below.

Clicking on the "agree" button below indicates that:

- you have read the above information
- you voluntarily agree to participate

CAREGIVER CBT TRACKER

8. The therapist praised or rewarded my child for working hard in therapy, or encouraged me to provide a reward.	1	2	3	4	5	6	7
9. The therapist talked about different feelings, such as what they feel like, how they look, what they are called, or how to rate them using a thermometer or number scale.	1	2	3	4	5	6	7
10. The therapist taught my child relaxation skills, such as breathing exercises, muscle relaxation, or imagining nice things.	1	2	3	4	5	6	7
11. The therapist talked about unhelpful thoughts that make my child upset and how to change those negative thoughts in order to feel better.	1	2	3	4	5	6	7
12. The therapist taught my child or me some specific steps for how to solve problems in daily life, such as coming up with possible solutions, considering likely consequences of each solution, and choosing a solution to try.	1	2	3	4	5	6	7
13. The therapist worked with us to schedule more positive and pleasant activities, such as sports, clubs, volunteering or other activities for my child.	1	2	3	4	5	6	7
14. The therapist taught me about strategies that I can use to help manage my child's behavior, such as praise, rewards, discipline, consequences and time-out.	1	2	3	4	5	6	7
15. The therapist talked about ways my child and I can improve our relationship, such as spending more time together.	1	2	3	4	5	6	7
16. The therapist helped my child make a list of situations that scare or worry my child and work on facing those feared situations.	1	2	3	4	5	6	7
17. The therapist helped my child to write a story, make a video or draw a picture to describe a trauma, or really bad experience, that my child had.	1	2	3	4	5	6	7
18. The therapist talked about ways that I can help monitor and supervise my child and their activities in order to help keep them safe.	1	2	3	4	5	6	7
19. The therapist helped us come up with plans for how to cope with future problems or situations that might cause my child anger, sadness, or nervousness.	1	2	3	4	5	6	7
20. My child seemed to enjoy meeting with their therapist.	1	2	3	4	5	6	7

[5th page]

Thank you so much for completing the Therapy Tracker!

We are interested in your feedback about the Tracker now that you have had a chance to fill it out.

Yes, I would like to give feedback.

No, I don't have any feedback to share. *[Close survey]*

[welcome page]

WELCOME TO THE CBT THERAPY TRACKER!

To begin, please enter your unique therapist ID _ _ _ _ _

(If you have forgotten it, please contact your therapist!)

Next, please choose one of the following:

I am a therapist

I am a child or teenager

I am a parent or caregiver

[3rd page]

ABOUT YOU...

Are you a...

BOY

GIRL

How old are you? [text box]

ABOUT YOUR LAST APPOINTMENT...

What is the name of your therapist? [text box]

Was the appointment today? YES or NO - if no, what was the appointment date? [text box]

What problem did you and your therapist work on during this appointment?

ANXIETY, WORRY, OR FEAR

DEPRESSION OR MOOD

BEHAVIOR PROBLEMS

TRAUMATIC EVENT

OTHER (please explain) [text box]

I DON'T KNOW

Next, we would like you to tell us about your appointment **this week**. People can talk about and do a lot of different things during a therapy appointment. We don't expect that you will have done *all* of these things in your last appointment. In fact, you may not have done *any* of these things this week.

In this week's appointment, how much did you or the therapist do the following?

Please just answer to the best of your knowledge -- If you do not know whether something happened, you can mark 1 (not at all).

	Not At All	A Little	Some	A Lot			
1. At the start of our appointment, we talked about what we were going to do during the appointment.	1	2	3	4	5	6	7
2. I filled out a questionnaire or answered questions about how I have been feeling or acting lately.	1	2	3	4	5	6	7
3. We talked about anxiety, depression, trauma, or another condition, such as what it looks and feels like and how people get better.	1	2	3	4	5	6	7
4. We talked about my therapy, such as how it can help and what is expected of me.	1	2	3	4	5	6	7
5. We worked together to make or change goals for my therapy.	1	2	3	4	5	6	7
6. We went over therapy homework or things for me to work on outside of therapy.	1	2	3	4	5	6	7
7. I practiced new skills or behaviors in my appointment with my therapist.	1	2	3	4	5	6	7
8. My therapist told me I was doing a good job, gave me points or stickers for working on my problems, or asked my parents to reward me.	1	2	3	4	5	6	7
9. We talked about different feelings, such as what they feel like, how they look, what they are called, or how to rate my feelings using a thermometer or number scale.	1	2	3	4	5	6	7
10. We practiced relaxation skills, such as breathing exercises, imagining nice things, or relaxing my muscles.	1	2	3	4	5	6	7

YOUTH CBT TRACKER

[4th page]

Below is a list of items that describe kids. For each item, please rate how true you think it is of you in the **last week**, either “very true,” “somewhat true,” or “not true.” Remember, we are just asking how things have been **this past week**.

1. I argue a lot.	Not True	Somewhat True	Very True
2. I destroy things belonging to others.	Not True	Somewhat True	Very True
3. I disobey my parents or people at school.	Not True	Somewhat True	Very True
4. I feel too guilty.	Not True	Somewhat True	Very True
5. I feel worthless or inferior.	Not True	Somewhat True	Very True
6. I am self-conscious or easily embarrassed.	Not True	Somewhat True	Very True
7. I am stubborn.	Not True	Somewhat True	Very True
8. I have a hot temper.	Not True	Somewhat True	Very True
9. I threaten to hurt people.	Not True	Somewhat True	Very True
10. I am too fearful or anxious.	Not True	Somewhat True	Very True
11. I am unhappy, sad, or depressed.	Not True	Somewhat True	Very True
12. I worry a lot.	Not True	Somewhat True	Very True
13. Are there any other problems you have been working on? If so please type it in and rate how much it has been a problem this past week. [text box]	Not True	Somewhat True	Very True
14. Other problem (please explain) [text box]	Not True	Somewhat True	Very True
15. Other problem (please explain) [text box]	Not True	Somewhat True	Very True

[6th page]

1. Were you satisfied with the Tracker overall?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

2. Did filling out the Tracker help you to think about your therapy?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

3. Did filling out the Tracker help you to talk about your therapy with your therapist or your parent?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

4. Did filling out the Tracker get in the way of your therapy or interfere with your therapy?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

5. Was it hard to fill out the Tracker after each appointment?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

6. Are there any changes that would make the Tracker easier to fill out?

Yes

No

If you'd like to say more about this, please do so here: *[text box]*

7. Is there anything else you want to share?

[text box]

Thank you for your feedback!

ATTACHMENT K

Vita of Key Personnel

AARON M. THOMPSON

Assistant Professor

University of Missouri, School of Social Work

719 Clark Hall, Columbia, MO 65211-4470 Ph: 573.882.0124 Fx: 573.882.8926

Email: thompsonaa@missouri.edu

EDUCATION

May, 2012	PhD	University of North Carolina at Chapel Hill, School of Social Work
May, 2005	M.Ed	Southern Illinois University at Carbondale, School of Ed Admin
May, 2001	MSW	Southern Illinois University at Carbondale, School of Social Work
May, 1996	B.S.	Southern Illinois University, School of Legal & Paralegal Studies

SPECIALIZATION

- School-based mental and behavioral health services
- Etiology and prevention of challenging behaviors in childhood and adolescence
- Development, design, and evaluation of school-based interventions
- School-based information systems and multi-tiered systems of support
- Education and training of social work professionals who work in school settings

PROFESSIONAL EXPERIENCE

2012- Present	University of Missouri, School of Social Work, Columbia, MO <ul style="list-style-type: none">• Assistant Professor
2008-2011	University of North Carolina, School of Social Work, Chapel Hill, NC <ul style="list-style-type: none">• PhD Student, Research Assistant• Adjunct Teaching Faculty
2000-2007	Tri-County Special Education, Murphysboro, IL <ul style="list-style-type: none">• Principal• School Social Worker
1999-2000	Southern Illinois University Clinical Center, Carbondale, IL <ul style="list-style-type: none">• Educational Disability Evaluation Specialist
1999, Apr-Aug	Paul Petzoldt Outdoor Leadership Summer School, Raymond, ME <ul style="list-style-type: none">• Outdoor Instructor
1996-1999	Illinois Ninth Judicial Circuit, Galesburg, IL <ul style="list-style-type: none">• Classroom Assistant• Counselor

TEACHING

2012-present	University of Missouri, School of Social Work, Columbia, MO <ul style="list-style-type: none">• Theory and Practice of Social Work Groups• Evaluative Research in Clinical Social Work Practice
2009-2011	University of North Carolina, School of Social Work, Chapel Hill, NC <ul style="list-style-type: none">• Evaluation of Social Work Interventions• School Social Work Practice (Invited Lecturer)• Human Behavior and the Social Environment• Statistics Camp & STATA Workshop (PhD level mini-course)• Child Mental Health (Co-teaching Practicum)
2008-2005	Southern Illinois University at Carbondale, Carbondale, IL <ul style="list-style-type: none">• School Social Work Field Instructor and Liaison

SCHOLARLY WRITING

PEER REVIEWED PUBLICATIONS

1. Frey, A. J., **Thompson, A. M.**, Kelly, M. S., Alvarez, M., & Berzin, S. (*in press*). Assessing the national school social work practice model: Findings from the second national school social work survey. *Social Work Journal*.
2. Kelly, M., S., **Thompson, A. M.**, Frey, A. J., Alvarez, M., & Berzin, S. (*in press*). The state of school social work: *Revisited*. *Journal of School Mental Health*.
3. Maynard, B. R., Brendel, K. E., Bulanda, J. J., **Thompson, A. M.**, & Pigott, T. D. (*in press*). Psychosocial interventions for school refusal behavior with elementary and secondary school students: A systematic review. Psychosocial Interventions for School Refusal Behavior in Elementary and Secondary School Students: A Systematic Review. *The Campbell Collaboration*.
4. Maras, M., **Thompson, A. M.**, Thornburg, K. R., Hawks, J. S., Lewis, C. (*in press*). The transprofessional integration of behavior, academic, and social emotional learning. *Journal of Educational & Psychological Counseling*.
5. Edwards, J. E., Powers, J. D., **Thompson, A. M.**, Rutten-Turner, B. (2014). The value of teaching preparation during doctoral studies: Student and mentor perspectives of a teaching practicum. *Academic Leadership*, 14, 1-13. ([link](#))
6. **Thompson, A. M.** (2014). Randomized trial of the Self-management Training And Regulation Strategy (STARS) disruptive students. *Research on Social Work Practice*, 24, 424-427. ([link](#))
7. **Thompson, A. M.**, Ruhr, L. R., Maynard, B. R., Pelts, M., & Bowen, N. K. (2013) Self-management interventions for reducing challenging behaviors among school-age students: A systematic review. *Campbell Collaboration, Review Protocol*. ([link](#))
8. Maynard, B. R., Kjellstrand, E. & **Thompson, A. M.** (2013). A randomized trial of the effects of Check n' Connect on dropout and academic performance. *Research on Social Work Practice*, 23, 1-14. ([link](#))
9. Fraser, M. W., **Thompson, A. M.**, Day, S. H. & Macy, R. J. (2013). A latent profile transition analysis of third grade students exposed to the Making Choices Program. *Elementary School Journal*, 114, 354-379. ([link](#))
10. **Thompson, A. M.**, & Alvarez, M. (2013). Considerations for integrating school resource officers into school-based mental health models. *Children & Schools*, 35, 131-136. ([link](#))
11. Powers, J. D., & **Thompson, A. M.** (2013). Evidence-based programs for schools: Relationships between effect sizes and resource requirements. *Journal of Evidence-Based Social Work*. 35, 299-307. ([link](#))
12. **Thompson, A. M.**, Maynard, B. R., Bowen, N. K., & Pelts, M. (2013, March). Self-management interventions for reducing challenging behaviors among school-age students: A systematic review. *Campbell Collaboration, Title Proposal*. ([link](#))
13. Bowen, N. K., **Thompson, A. M.**, & Powers, J. D. (2012). A quasi-experimental test of the elementary school success profile model of assessment and prevention. *Journal for the Society for Social Work Research*, 3, 178-196. ([link](#))
14. **Thompson, A. M.**, Macy, R. J., & Fraser, M. F. (2012). Assessing person-centered outcomes in prevention research: A latent transition profile framework. *The Journal of Community Psychology*, 39, 987-1002. ([link](#))

15. Fraser, M. W., Guo, S., Ellis, A. R., **Thompson, A. M.**, Wike, T. L., Li, J. (2011). Outcome studies of social, behavioral, and educational interventions: Emerging issues and challenges. *Research on Social Work Practice, 17*, 1-17. ([link](#))
16. **Thompson, A. M.** (2011). A systematic review of evidence-based classroom interventions for students with challenging behaviors in school settings. *Journal of Evidence-Based Social Work, 8*, 304-322. ([link](#))
17. Wegmann, K. W., **Thompson, A. M.**, & Bowen, N. K. (2010). A confirmatory factor analysis of the parent behavior scales on the ESSP for parents. *Social Work Research, 35*, 117-127. ([link](#))
18. **Thompson, A. M.** & Webber, K. C. (2010). Reconnecting student and teacher perceptions of school rules: A reasonable approach to managing students with difficult behaviors. *Children and Schools, 32*, 29-40. ([link](#))
19. **Thompson, A. M.** (2001). The *casual* relationship between learning disabilities and juvenile delinquency. *School Social Work Journal, 26*, 30-40.

BOOK CHAPTERS

20. **Thompson, A. M.** & Piester, J. (*in press*). Evidence-Based School Social Work Practice: Scientifically Supported Strategies and Implementation Issues. In L. V. Sosa, T. Cox, & M. Alvarez (Eds.), *School Social Work: National Perspectives on Practice in School*. New York, Oxford Press.
21. Herman, K. C., Reinke, W. M., **Thompson, A. M.**, & Faloughi, R. (*in press*). Universal prevention to support children's mental health in schools. In A. Grills & M. Holt (Eds.), *Critical Issues in School mental Health: Evidence-based Research, Practice, and Interventions*. New York: Routledge.
22. **Thompson, A. M.** The Self-Management Training And Regulation Strategy (STARS): A selective social work intervention to address disruptive classroom behaviors (*in press*). In P. A. Meares (Ed.), *Social Work Desk Reference*, (2nd ed). New York: Oxford University Press.
23. **Thompson, A. M.**, Reinke, W. M., & Herman, K. C. (*in press*). The value, practice, and evaluation of teaching social emotional learning to students with and without disabilities. In C. R. Massat, M. S. Kelly, and R. Constable (Eds.), *School Social Work: Practice, Policy, and Research* (8th ed.). New York: Oxford.
24. **Thompson, A. M.** (2012). *A randomized trial of the Self-management Training And Regulation Strategy (STARS): A selective intervention for students with disruptive classroom behaviors*. ProQuest: Ann Arbor, MI
25. **Thompson, A. M.** (2012). Improving classroom conflict management through positive behavior supports. In C. Franklin, M. B. Harris, & P. Allen-Meares (Eds.), *The school services sourcebook: A guide for school-based professionals* (2nd ed). New York: Oxford University Press.

TECHNICAL REPORTS

26. Kelly, M. S., Frey, A., **Thompson, A. M.**, & Ruhr, L. (June, 2015). Findings from the National School Social Work Survey 2014: 50 state reports prepared for the School Social Work Association of America ([link](#)).
27. **Thompson, A. M.** (2014, Oct.). Juvenile Assessment Centers. A report prepared for the Boone County, MO Children's Services Board.

28. Cronin, J., **Thompson, A.**, Heflin, C., & Price, A. (2014, Aug.). A research evaluation plan of the Teen Outreach Program. A report prepared for Epworth Children & Family Services and the St. Louis County Children's Services Board.
29. Cronin, J., **Thompson, A.**, Heflin, C., & Price, A. (2014, Aug.). A curriculum plan for an adaptation of the Teen Outreach Program. A report prepared for Epworth Children & Family Services and the St. Louis County Children's Services Board.
30. **Thompson, A. M.** (2014, June). Results of an evaluation of the Imagination Library Project on emergent literacy and social, emotional, and behavioral readiness of a community sample of Kindergarten children. A report generated for the Hearth of Missouri United Way.
31. **Thompson, A. M.** (2013). Results of an Evaluation of the Imagination Library Program on Parent and Child Reading Interactions. A report generated for the Heart of Missouri United Way. Featured in Vanguard, 2014. ([link](#))

MANUSCRIPTS UNDER REVIEW OR IN PROGRESS:

- Cox, T., **Thompson, A. M.**, Alvarez M. (*in progress*). Multi-tiered systems of support: School wide evidence based interventions. In L. V. Sosa, T. Cox, & M. Alvarez (Eds.), *School Social Work: National Perspectives on Practice in School*. New York, Oxford Press.
- Thompson, A. M.**, Herman, K. C., Stormont, M., Reinke, W. R., & Webster-Stratton, C. (*under review*). Impact of Incredible Years ® on teacher perceptions of parental involvement: A latent profile transition analysis. *Journal of Educational Psychology*.
- Stormont, M., **Thompson, A. M.**, Herman, K. C., & Reinke, W. R. (*under review*). Investigating the utility of a single item kindergarten readiness screener. *Assessment for Effective Intervention*.
- Thompson, A. M.** & Klemp, H. (*under review*). Effect of the Imagination Library on caregiver-child literacy interactions and school readiness: Findings from two quasi-experimental propensity score studies. *Journal of Community Psychology*.
- Maynard, B. R., Brendel, K. E., Bulanda, J. J., **Thompson, A. M.**, & Pigott, T. D. (*under review*). Psychosocial interventions for school refusal behavior with elementary and secondary school students: A systematic review. Psychosocial Interventions for School Refusal Behavior in Elementary and Secondary School Students: A Systematic Review. *Journal of the American Academy of Child and Adolescent Psychiatry*.
- Ruhr, L. R., & **Thompson, A. M.** (*in progress*). A comparative analysis on the impact of Parents as Teachers and the Imagination Library programs for school readiness.
- Thompson, A. M.**, Vaughn, M., & Maynard, B. R. (*in progress*). A confirmatory factor analysis of the School Dropout Risk Inventory: Concurrent and predictive validity of student engagement.
- Thompson, A. M.** (*in progress*). The role of autonomy, relations, and social competency for improving disruptive classroom behaviors: A mediating model of the theoretical underpinnings of the Self-management Training And Regulation Strategy (STARS).

PROFESSIONAL PRESENTATIONS

REFEREED CONFERENCE PRESENTATIONS

1. *Accepted & Pending*: **Thompson, A. M.**, Herman, K. C., Stormont, M., Reinke, W. R., & Webster-Stratton, C. (2015, May). *Impact of Incredible Years ® on teacher perceptions of*

- parental involvement: A latent profile transition analysis.* Symposium submitted to the 23rd Annual Society for Prevention Research, Washington DC.
2. Maynard, B. R., Brendal, K. E., Bulanda, K. E., **Thompson, A. M.**, & Pigott, T. D. (2015, Mar). *Psychosocial interventions for school refusal behavior with elementary and secondary students: A systematic review.* Poster to be presented at the 2015 Society for Research on Educational Effectiveness, Washington, DC.
 3. **Thompson, A. M.** & Klemp, H. (2015, Jan). *Home literacy and school readiness: Results from two propensity-matched quasi-experimental studies of the imagination library book distribution program.* Paper presented at the 2015 Annual Conference of the Society for Social Work and Research, New Orleans, LA.
 4. Kelly, M. S., **Thompson, A. M.**, & Frey, A. (2015, Jan). The state of American School Social Work 2014: Initial findings from the 2nd national school social work survey. Paper to be presented at the 2015 Annual Conference of the Society for Social Work and Research, New Orleans, LA.
 5. **Thompson, A. M.** & Kelly, M. S. (2014, July). *Connecting school mental health and evidence-based practice: Results from the second national school social work survey.* Paper presented at the 3rd International Symposium on Decisions, Assessment, Risk and Evidence in Social Work. University of Ulster, Templepatrick, Ireland.
 6. Maras, M., **Thompson, A. M.**, Warmbold, K. & Oram, L. (2014, Sept). *Integrating social-emotional learning into a comprehensive tiered framework of support.* Paper presented at the 19th Annual Conference on Advancing School Mental Health, Sept 18-20, Pittsburg, PA.
 7. **Thompson, A. M.** (2014, Jan). *Randomized trial of the Self-management Training And Regulation Strategy (STARS) disruptive students.* Paper presented at the 18th Annual Society for Social Work and Research, San Antonio, TX.
 8. Maynard, B. R., Kjellstrand, E. K., & **Thompson A. M.** (2014, Jan). *Effects of Check & Connect on attendance, behavior, and academics: A randomized effectiveness trial.* Paper presented at the 18th Annual Society for Social Work and Research, San Antonio, TX.
 9. Maynard, B. R., Kjellstrand, E. K., & **Thompson A. M.** (2014, Mar). *Effects of Check & Connect on Attendance, Behavior, and Academics: A Randomized Effectiveness Trial.* Poster presented at the 2014 Society for Research on Educational Effectiveness, Washington, DC.
 10. Bowen, N. K., **Thompson, A. M.**, & Powers, J. D. (2013, Feb). Positive School-Level Effects of the Elementary School Success Profile Model of Assessment & Prevention. Paper submitted to the National Association of School Psychologists.
 11. Bowen, N. K, **Thompson, A. M.**, & Powers, J. D. (2013, Jan). Quasi-Experimental Test of the Elementary School Success Profile Model of Assessment & Prevention: Empowering Stakeholders to Improve Academic Success. Paper submitted to the 17th Annual Society for Social Work and Research, San Diego, CA.
 12. **Thompson, A. M.**, Macy, R. J., & Fraser, M. F. (2012, Jan). *Overview of Longitudinal Person-Centered Methods for Intervention Research.* Paper submission for the 16th Annual Society for Social Work and Research conference, Washington, DC.
 13. **Thompson, A. M.**, Macy, R. J., Fraser, M. F., & Day, S. H. (2012, Jan). *Person-Centered Effects of the Making Choices Program: Results from a Sequential Cohort Trial.* Paper submission for the 16th Annual Society for Social Work and Research conference, Washington, DC.
 14. **Thompson, A. M.**, Macy, R. J., Fraser, M. F., & Day, S. H. (2011, April). *A latent profile transition analysis of third grade students exposed to the Making Choices Program.* Paper

- presented for the American Education Research Association Annual conference, New Orleans, Louisiana.
15. Fraser, M. F., Guo, S., Ellis, A. R., **Thompson, A. M.**, Wike, T. L., & Li, J. (2011, February). *Outcome studies of social, behavioral, and educational interventions: Emerging issues and challenges*. Paper presented for the 2011 Stockholm conference on Outcome Studies in Social, Behavioral, and Educational Interventions, Lejodals slot, Stockholm.
 16. **Thompson, A. M.**, Wegmann, K. M., & Bowen, N. K. (2011, January). *Assessing Parent Perceptions of the Home Environment and Children's Social Behavior to Inform School Interventions*. Society for Social Work Research Annual Conference, Tampa, Florida.
 17. Bowen, N. K., **Thompson, A. M.**, & Webber, K. C. (2011, January). *Workshop on Regression Discontinuity: A Design Solution*. A workshop presentation given at The Society for Social Work Research Annual Conference, Tampa, Florida.
 18. **Thompson, A. M.**, & Webber, K. C. (2010, January). *Reconnecting Student and Teacher Perceptions of School Rules*. Paper presented at the Society for Social Work Research Annual Conference, San Francisco, California.
 19. **Thompson, A. M.**, & Webber, K. C. (2009, November). *Reconnecting Student and Teacher Perceptions of School Rules*. Paper presented at the Annual Conference for the Council on School Mental Health, St. Paul, Minnesota.
 20. Webber, K.C., **Thompson, A.**, Wegmann, K., Bowen, N. K., & Bower, H.A. (2009, November). *Elementary School Success Profile Model of Assessment and Prevention*. Poster presented at the Center for School Mental Health's annual conference on Advancing School Mental Health, Minneapolis, Minnesota.
 21. Powers, J. D., Bower, H., Webber, K. C., Wegmann, K., & **Thompson, A. M.** (2009, April). *Overview of the ESSP MAP Project*. Paper presented for panel discussion at the annual School of Education Symposium, Chapel Hill, North Carolina.
 22. Webber, K.C., **Thompson, A.M.**, Wegmann, K., Bowen, N. K., & Bower, H.A. (2009, March). *Elementary School Success Profile Model of Assessment and Prevention*. Poster presented at the University of North Carolina at Chapel Hill University Research Day. Chapel Hill, North Carolina.
 23. **Thompson, A. M.**, & Webber, K. C. (2009, March). *Reconnecting Student and Teacher Perceptions of School Rules*. Poster presented at the University of North Carolina at Chapel Hill University Research Day. Chapel Hill, North Carolina.
 24. **Thompson, A. M.** (2001, October). *The Casual Relationship Between Learning Disabilities and Juvenile Delinquency*. Paper presented at the Illinois Association of School Social Workers (IASSW) Conference. Arlington Heights, Illinois.

INVITED PROFESSIONAL TRAININGS

- Herman, K. W. & **Thompson, A. M.** (2014, Oct). *Latent Transition Analysis*. Presentation at the Missouri Prevention Center, The University of Missouri-Columbia.
- Thompson, A. M.** (2014, Apr). *United Ways of Missouri & University of Missouri School of Social Work: Partners in Community Impact*. Presentation to the Executive Directors of the Missouri United Ways, Jefferson City, MO.
- Thompson, A. M.** (2014, Apr). *Analyzing data for program evaluation*. United Way Board of Directors, Columbia, MO. ([link](#))
- Thompson, A. M.** (2014, Mar). *Developing measurement models for program efforts-to-outcomes evaluation*. United Way Board of Directors, Columbia, MO. ([link](#))

- Thompson, A. M.** (2014, Jan). *Developing logic models for program efforts-to-outcomes evaluation*. United Way Board of Directors, Columbia, MO. ([link](#))
- Thompson, A. M.** (2013, Oct). *Regression discontinuity: A great idea with a terrible name*. Presentation for The Missouri Prevention Center, The University of Missouri-Columbia.
- Thompson, A. M.** (2013, May). *The Self-Monitoring Training and Regulation Strategies (STARS): A progress monitoring and autonomy support intervention*. School Social Work Association of America. ([link](#))
- Thompson, A. M.** (2013, April). *The Self-Management Training and Regulation Strategy (STARS): From conceptualization to dissemination*. Presentation for The Missouri Prevention Center, The University of Missouri-Columbia.
- Thompson, A. M.** (2012, Jan-May). *A response to intervention framework for behavior support in elementary schools*. Invited presentation for Durham Public School District Ongoing Professional Development Seminars, Durham, NC.
- Thompson, A. M.** (2012, March). *Child and adolescent behavioral and mental health: Identifying the signs and intervening with help early*. Invited presentation for Parent University, Chapel Hill Carrboro Community School District, Chapel Hill, NC.
- Thompson, A. M.** (2011, Sept-Oct). *Research-based strategies and promising practices for managing difficult student behavior*. Invited presentation prepared for Durham Public School District Ongoing Professional Development Seminars, Durham, NC.
- Thompson, A. M.** (2011, August). *Children's Classroom Behavior: Cultivating Children & Creating Sustainable, Supportive Classrooms*. Invited presentation prepared for the Durham Public School District's Summer Institute Teacher Training, Durham, NC.
- Thompson, A. M.** (2011, August). *The Self-management Training And Regulation Strategy (STARS): A Feasible Behavior Intervention for 4th and 5th Grade Students*. Invited Presentation prepared for Wake County School District Pupil Support Personnel Professional Development Institute, Raleigh, NC.
- Thompson, A. M.** (2011, July). *Culturally Responsive Schools*. Invited presentation prepared for the Durham Public School District Response to Intervention Coordinators, Durham, NC.
- Thompson, A. M.** (2011, April). *Elementary School Success Profile: Results and Implications of Social Behavioral Assessment*. Invited presentation for the Central Park School for Children, Durham, NC.
- Thompson, A. M.** (2009, February). *Contracting with Children*. Invited parent training offered at Frank Porter Graham Elementary School. Carrboro, North Carolina
- Thompson, A. M.** (January, 2011). *Professional Learning Communities and Classroom Management Using Creative Conflict Resolution and Positive Behavior Incentives*. Invited presentation prepared for the Durham Public School District staff at C.C. Spaulding Elementary School.
- Thompson, A. M.** (2008, May). *School Based Recreational Therapy and Classroom Learning*. Invited professional development workshop presented at Williamson County Special Education Cooperative. Marion, Illinois.
- Thompson, A. M.** (2004, May). *Violence Prevention and Conflict Resolution among Adolescents and Teenagers*. Invited professional development Workshop presented at Shawnee School District. Wolf Lake, Illinois.
- Thompson, A. M.** (2003, March). *Creative Conflict Resolution in Schools*. Invited professional development workshop presented at Murphysboro Community Unit School District. Murphysboro, Illinois.

COMPETITIVE GRANT PROJECTS

CURRENT FUNDED PROJECTS

Boone County School Mental Health Coalition.

- Proposed Dates: 6/1/2015-5/01/2018
- Funding Agency: Boone County Children's Services Board
- Total Funded: \$1,190,865.00
- Objective: To implement a wraparound training model to support 5% of Boone County families and their children who exhibit early behavioral health problems at school.
- Role: Co-Principal Investigator, Training & Evaluation. Proposed Effort: 10%.

Co-Investigator, Adaptation of the Teen Outreach Program for High School Students

- Date of Funding: 2014-2016
- Funding Agency: St. Louis County Children's Service Fund
- Total Funded: \$75,000.00
- Objective: Testing the effects of an abbreviated and adapted version of the Teen Outreach Program in two high schools with 1,200 9th grade students in Ferguson, MO.
- Role: Contracted (\$20,222.00) evaluation design, data analysis, and report generation.

APPROVED AND PENDING FUNDING

Evaluation of a Self-Monitoring Training Program for Elementary School Students (\$2,779,546 in direct costs).

- Proposed Dates: 7/1/2015-6/30/2019
- Funding Agency: U.S. Department of Education Institute of Education Sciences
- Objective: To test the effects of a self-monitoring training program on social emotional, behavioral, and academic achievement outcomes.
- Role: Principal Investigator. Proposed Effort: 50%.

PENDING REVIEW

Creating a Comprehensive Data-based Coordinated System of Care for School Districts to Promote Youth Academic Success and Social Emotional Development: A Researcher-Practitioner Partnership (\$317,354.95 in direct costs).

- Proposed Dates: 8/1/2015-5/01/2017
- Funding Agency: U.S. Department of Education Institute of Education Sciences
- Objective: Develop an assessment system for all Boone County, MO schools and train school-based teams to use data to select universal, selective, and indicated interventions.
- Role: Co-Principal Investigator. Proposed Effort: 15%.

A Randomized Controlled Trial of Mental Health First Aid's Immediate and Sustained Effects. (\$147,649.00 total cost; \$75,000 requested from Research Board)

- Proposed Dates: 8/1/2015-8/01/2016
- Funding Agency: University of Missouri Research Board
- Objective: Partnership between 6 school districts, the department of educational counseling and school psychology, school of social work, and MU extension to examine effects of mental health first aid training on teacher beliefs, knowledge, stigma towards

mental health issues and the contextual influences of these changes on student-teacher relations.

- Role: Co-Principal Investigator. Proposed Effort: 15%.

FORMER FUNDED PROJECTS

Principal Investigator, the Effects of the Imagination Library on Emergent Literacy Skills, School Readiness and Social Behavior of Children Entering Kindergarten

- Date of Funding: 2013-2014
- Funding Agency: University of Missouri Research Council
- Total Funded: \$7,500
- Objective: Testing the effects of an early childhood book distribution program on emergent literacy skills, school readiness and social behavior of a community sample of children entering Kindergarten.

Co-Principal Investigator, Promoting Social Emotional and Behavioral Health: Early Childhood through Elementary School

- Date of Funding: 2013-2014
- Funding Agency: Mangel Research Catalyst Award
- Total Funded: \$2,480
- Objective: Testing a framework to inform a data-driven model to improve delivery of the Missouri Counseling and Comprehensive Guidance Social Emotional Curriculum.

Principal Investigator, Effectiveness & Feasibility of the STARS Program

- Amount (Dates Funded): \$10,300 (2010-2012)
- Funding Agency: Armfield-Reeves Innovation Fund
- Objective: Testing a manualized training program designed for school social workers to teach self-regulation strategies to students.

Project Manager, Positive Behavioral Incentives and Supports (PBIS)

- Four grants funded (total of \$7,000) for school years of August, 2001 – May, 2005 by the Illinois State Board of Education for the purposes of developing data systems to track building wide student behavior and train teachers in PBIS strategies.

Project Manager, Paul Petzoldt Outdoor Leadership Program

- Three grants funded (total of \$7,500) for school years of August, 2000 – May, 2004 by the Illinois Conservation Foundation to fund a school-based therapeutic recreational program for students with learning and social-emotional disabilities.

Project Manager, Student and Teacher Relationship Support Program

- Two grants funded (\$4,500) for school years of 2001-2002 and 2004-2005 by the Illinois Association of School Social Workers (IASSW) to support the development of positive student-teacher relationships and prosocial student behavior via an opportunity to eat lunch off school campus with a favorite teacher.

HONORS AND AWARDS

2015	Human & Environmental Sciences Distinguished Service Award
2012	Dissertation with Distinction, University of North Carolina
2012	Outstanding Doctoral Student Award, University of North Carolina
2010	John B. Turner Dissertation Award, University of North Carolina
2007	Student Focused Leadership Award, Tri-County Special Education Teachers

- 2001 NASW Graduate Student of the Year Award, Southern Illinois NASW Chapter
- 2001 Marguerite Tiefenthal Symposium, Illinois IASSW Essay Competition
- 1991 Carl Sandburg College, Honorable Mention Essay Award

PROFESSIONAL AFFILIATIONS

- 2013 to present, Mental Health-Education Integration Consortium
- 2008 to present, Society for Social Work and Research
- 2008 to present, School Social Work Association of America
- 2001 to present, National Association of Social Workers
- 2005 to present, Type 75, Administrative Certification, Illinois State Board of Ed
- 2001 to present, Type 73, School Social Work Certification, Illinois State Board of Ed
- 2000 to present, Outdoor Instructor Certification, Wilderness Education Association
- 2004-2008, Illinois Principals' Association
- 2004-2008, Illinois Alliance of Administrators of Special Education
- 2001-2007, Illinois Association of School Social Workers

STATISTICAL TRAINING

STATA. (2013). Stata Statistical Software, Version 12.0.

MPLUS (2013). *Mplus*, Version 7.0.

SPSS. (2013). Statistical Programming for the Social Sciences, Version 21.0.

Regression Models

- Linear, Logistic, Logit & Multilevel Linear Models

Latent Variable Models

- Exploratory & Confirmatory Factor Models
- Latent Variable Growth Curve Models
- Person-Centered Models (Latent Class, Profile, Mixture, & Transition Models)

SERVICE

UNIVERSITY

- **Student Doctoral Committees**
 - David Rorher, Counseling Psychology, Committee Member
 - Sarah Owens, Counseling Psychology, Committee Member
 - Abigail Rolbiecki, Social work, Committee Member
 - Wes Simmons, Counseling Psychology, Committee Member
 - Kristen Harvey, Special Education, Committee Member
- **School of Social Work**
 - 2014, Fall, Faculty Search and Hiring Committee, 2014
 - 2012-2014, MSW Program Committee
 - 2012 Spr, Chair of Research Subcommittee
 - 2013-2014, Co-Chair of MSW Curriculum Committee
 - 2013-2015, Heart of Missouri United Way Ambassador
- **Harry S. Truman School of Public Policy, Columbia, MO**
 - Research Scholar

- **Missouri Prevention Center, Columbia MO**
 - Research Scientist

COMMUNITY

- 2013-present, Heart of Missouri United Way Community Impact Research Consultant
- 2013-present, Columbia, MO Strive & Cradle to Career Committee Advisory Board
- 2013-present, Columbia Children’s Board Service Advisory Panel
- 2013-present, United Way Fundraising Campaign Ambassador and Spokesperson ([link](#))
- 2012-2014, Imagination Library Efforts to Impact Evaluation Team
- 2010-2012, Positive Behavior Systems & Supports Team Coach, Durham Public Schools
- 2011, Program Consultant for Central Park School for Children, Durham, North Carolina
- 2010, Education Consultant for UNC Law Center on Poverty, Work, & Opportunity

STATE

- 2012-present, School Social Work Certification Exploratory Committee, Missouri
- 2013-present, Center for Violence and Injury Prevention—Faculty Affiliate ([link](#))
- 2013-present, Faculty Affiliate at The Violence & Injury Prevention Center ([link](#))

NATIONAL

- 2014, Key Informant, Vanderbilt Med. Center, EBPs for Disruptive Behavior Disorders
- 2012-present, NASW Editorial Board, *Children & Schools*
- 2014-present, Reviewer for *Journal of Adolescent Psychology*
- 2013-present, Assistant Editor, *Social Workers Desk Reference, 3rd Edition*
- 2011-present, Reviewer for NASP, *Journal of Community Psychology*
- 2011-present, reviewer for JSSWR, *Journal for the Society of Social Work Research*
- 2011-present, Reviewer for *Journal of Advances in School Mental Health Promotion*

Wendy M. Reinke, Ph.D.
University of Missouri
Department of Educational, School, & Counseling Psychology
16 Hill Hall, • Columbia, MO 65211
Office: 573-882-7890 • FAX: 573-884-5989
Email: reinkew@missouri.edu

EDUCATION AND DEGREES

Johns Hopkins Bloomberg School of Public Health
Prevention Science Postdoctoral Fellow, Baltimore, MD, 2007

Kennedy Krieger Institute/ Johns Hopkins School of Medicine
APA-approved Clinical Psychology Intern, Pediatric Behavioral Psychology, Baltimore, MD, 2005

University of Oregon
Doctor of Philosophy, School Psychology (APA accredited), Eugene, OR, 2005
Co-Chairs: Kenneth Merrell, Ph.D. & Teri Lewis-Palmer, Ph.D.
Committee Members: Thomas Dishion, Ph.D., Randy Sprick, Ph.D., & George Sugai, Ph.D.
Dissertation Title: *The Classroom Check-up: A Brief Intervention to Reduce Current and Future Student Problem Behaviors through Classroom Teaching Practices*

Master of Science, Special Education, Eugene, OR, 2003

University of Houston
Bachelor of Science, *Magna Cum Laude*, Psychology, Houston, TX, 1995

PROFESSIONAL EXPERIENCE

- 2012- present Associate Professor, School Psychology, Department of Educational, School, & Counseling Psychology, University of Missouri
- 2007- present Co-director, Missouri Prevention Center, University of Missouri
- 2007- 2012 Assistant Professor, School Psychology, Department of Educational, School, & Counseling Psychology, University of Missouri
- 2005- 2007 Indicated Treatments Coordinator, Center for Prevention and Early Intervention, Johns Hopkins University, Bloomberg School of Public Health
- 2005-2007 NIMH Post-Doctoral Fellow, Prevention Research Training in Mental Health, National Institutes of Health, 5T32MH018834-18, PI: Nicholas Ialongo, PhD
- 2004-2005 Pediatric Clinical Psychology Intern. Kennedy Krieger Institute/Johns Hopkins School of Medicine
- 2003-2004 Licensed School Psychologist. 4j School District, Eugene, Oregon
- 2000-2004 Graduate Research Fellow. University of Oregon
- 1999-2000 Research Assistant. Brown University/ Bradley Hospital
- 1997-1999 Senior Research Assistant. Brown University/ Butler Hospital
- 1995-1996 Research Assistant. University of Texas Medical Branch, Galveston, TX

CURRENT PROFESSIONAL CREDENTIALS

Licensed Psychologist (MO #2009007245 – active)

HONORS & AWARDS

Top Faculty Achievers, University of Missouri, 2014
Inducted Member, Society for the Study of School Psychology, 2013
Lightner Witmer Early Career Scholar Award, APA, Division of School Psychology, 2011
Isabelle Lyda Professorship, College of Education, University of Missouri, 2011
Junior Faculty of the Year, Trainers of School Psychologist, 2011
Outstanding Graduate Mentor (Nominated), Graduate School, University of Missouri, 2011
Advisor/Mentor of the Year (Nominated), College of Education, University of Missouri, 2010
Presidential Strand Symposium, National Association of School Psychologists, 2010
Early Career Scholar, Society for the Study of School Psychology, 2009
Outstanding Teaching Award (Nominated), Graduate Student Network, 2009
High Flyer Award for Outstanding Teaching, College of Education, University of Missouri, 2007
Child Intervention Prevention & Service Fellow, National Institute of Mental Health, 2006
Outstanding Graduate Service Award, University of Oregon, 2003
Liz Guillion Award, Oregon School Psychologists Association, 2003
Phi Kappa Phi Honors Society, University of Houston, 1995
Magna Cum Laude, University of Houston, 1995

PROFESSIONAL AFFILIATIONS

American Psychological Association (APA)
APA Division 16, School Psychology
National Association of School Psychologists (NASP)
Society for Prevention Research (SPR)
Association for Positive Behavior Supports (APBS)
Society for the Study of School Psychology (SSSP)

RESEARCH ACTIVITIES

Peer-reviewed Articles

† indicates student co-author

1. Kilgus, S. P., **Reinke, W.M.**, Jimerson, S.R. (in press). Understanding mental health intervention and assessment within a multi-tiered framework: Contemporary science, practice, and policy. *School Psychology Quarterly*.
2. **Reinke, W.M.**, Stormont, M., Herman, K.C., †Wachsmuth, S. & Newcomer, L. (in press) The Brief Classroom Interaction Observation-Revised: An observation system to inform and increase teacher use of universal classroom management practices. *Journal of Positive Behavior Interventions*.
3. Stormont, M., Rodriguez, B., & **Reinke, W.M.**, (in press). Teaching students with behavior problems to take a break. *Intervention in School and Clinic*.

4. King, K., Lembke, E., & **Reinke, W.M.**, (in press). Using latent class analysis to identify academic and behavioral risk status in elementary students. *School Psychology Quarterly*.
5. Maras, M.A., Splett, J.W., **Reinke, W.M.**, Stormont, M., & Herman, K. (in press). School practitioners' perspectives on planning, implementing, and evaluating best practices. *Children and Youth Services Review*.
6. Jenkins, L. N., Floress, M. T., & **Reinke, W.M.** (in press). Rates and types of teacher praise: A review and future directions. *Psychology in the Schools*.
7. Stormont, M., Herman, K.C., **Reinke, W.M.**, †Owens, S. & King, K. (in press). The Kindergarten Academic and Behavior Readiness Screener: The utility of single item teacher ratings of kindergarten readiness. *School Psychology Quarterly*.
8. Stormont, M., **Reinke, W.M.**, Newcomer, L., †Darney, D. & Lewis, C. (in press). Coaching teachers' use of social behavior interventions to improve children's outcomes: A review of the literature. *Journal of Positive Behavior Interventions*
9. Stormont, M., Herman, K.C., & **Reinke, W. M.** (in press). The overlooked children: How teachers can support children with internalizing behavior. *Beyond Behavior*.
10. Wang, Ze, †Roher, D., †Fujiki, M., †Chuang, C., Herman, K.C., & **Reinke, W.M.** (2015). Five methods to score the teacher observation of classroom adaptation checklist and to examine group differences. *The Journal of Experimental Education*, 83, 24-50.
11. Lee, J., Frey, A., Herman, K.C., & **Reinke, W.M.** (2014). Motivational Interviewing as a framework to guide school-based coaching and consultation. *Advances in School Mental Health Promotion*, 7, 225-239.
12. †Borden, L., Herman, K.C., Webster-Stratton, C., Stormont, M., †Darney, D., †Goel, N., & **Reinke, W.M.** (2014). Latent profile analysis of observed parenting behaviors in a clinic sample. *Journal of Abnormal Child Psychology*, 42, 731-742.
13. **Reinke, W.M.** Stormont, M., Herman, K.C., Wang, Z., Newcomer, L., & King, K. (2014). Use of coaching and behavior support planning for students with disruptive behavior within a universal classroom management program. *Journal of Emotional and Behavioral Disorders*, 22, 74-82.
14. Farmer, T., **Reinke, W.M.**, & Brooks, D. (2014). Managing classrooms and challenging behavior: Theoretical considerations and critical issues. *Journal of Emotional and Behavioral Disorders*, 22, 67-73.
15. Stormont, M. & **Reinke, W.M.** (2014). Providing performance feedback for teachers to increase treatment fidelity. *Intervention in School and Clinic*, 49, 219-224.
16. **Reinke, W.M.** Stormont, M., Herman, K.C., & Newcomer, L. (2014). Using coaching to support teacher implementation of classroom-based interventions. *Journal of Behavioral Education*, 23, 150-167.
17. **Reinke, W.M.**, Herman, K.C., Stormont, M., Newcomer, L., & †David, K. (2013). Illustrating the multiple facets and levels of fidelity of implementation to a teacher classroom management intervention. *Administration and Policy in Mental Health and Mental Health Services Research*, 40, 494-506.
18. Stormont, M., Herman, K.C., **Reinke, W.M.**, †David, K., & †Goel, N. (2013). Latent profile analysis of teachers' perceptions of parent contact and comfort. *School Psychology Quarterly*, 28, 195-209.

19. Herman, K.C., Wang, K., †Trotter, R., **Reinke, W.M.**, & Ialongo, N. (2013). Developmental trajectories of maladaptive perfectionism during adolescence. *Child Development, 84*, 1633-1650.
20. Kerr, D., **Reinke, W.M.**, & Eddy, M. (2013). Co-occurring depressive symptoms and externalizing problems in adolescence: Associations with histories of suicide attempt and ideation in young adulthood. *Suicide and Life-threatening Behavior, 43*, 50-66.
21. Stormont, M & **Reinke, W.M.** (2013). Implementing Tier 2 social behavioral interventions: Current issues, challenges, and promising approaches, *Journal of Applied School Psychology, 29*, 121-125.
22. **Reinke, W.M.**, Stormont, M., †Clare, A., †Latimore, T. & Herman, K.C. (2013). Differentiating tier 2 interventions according to function of behavior. *Journal of Applied School Psychology, 29*, 148-166.
23. †Darney, D., **Reinke, W.M.**, Herman, K.C., Stormont, M., & Ialongo, N. (2013). Children with co-occurring academic and behavior problems in 1st grade: Distal outcomes in 12th grade. *Journal of School Psychology, 51*, 117-158.
24. McIntosh, K., **Reinke, W.M.**, Bennett, J., & Sadler, C. (2013). Gender differences in reading skills and problem behavior in elementary school. *Journal of Positive Behavior Interventions, 15*, 51-60.
25. **Reinke, W.M.**, Herman, K.C., & Stormont, M. (2013). Classroom level positive behavior supports in schools Implementing SW-PBIS: Identifying areas for enhancement. *Journal of Positive Behavior Interventions, 15*, 39-50.
26. Herman, K.C., Riley-Tillman, T. C., & **Reinke, W.M.** (2012). The role of assessment in a prevention science framework. *School Psychology Review, 41*, 306-314
27. **Reinke, W.M.**, Eddy, M., Dishion, T, & Reid, J. (2012). Joint trajectories of symptoms of conduct problems and depressive symptoms during early adolescence and adjustment problems during emerging adulthood. *Journal of Abnormal Child Psychology, 40*, 1123-1136.
28. **Reinke, W.M.**, Herman, K.C. & Ialongo, N. (2012). Developing and integrating school-based mental health interventions. *Advances in School Mental Health Promotion, 5*, 158-160.
29. **Reinke, W.M.**, Herman, K.C., †Darney, D., Pitchford, J., Becker, K., Domitrovich, C., & Ialongo, N. (2012). Using the Classroom Check-up to support implementation of PATHS to PAX. *Advances in School Mental Health Promotion, 5*, 220-232.
30. Herman, K.C., **Reinke, W.M.**, Bradshaw, C., Lochman, J., Boxmeyer, C. L., Powell, N., Dunn, K., Cox, J., Stephan, S. & Ialongo, N. (2012). Integrating the family check-up and the parent coping power program. *Advances in School Mental Health Promotion, 5*, 108-129.
31. **Reinke, W.M.**, Stormont, M., Webster-Stratton, C., Newcomer, L., & Herman, K.C. (2012). The Incredible Years Teacher Training: Using coaching to support generalization to real world classroom settings. *Psychology in the Schools, 49*, 416-428.
32. Stormont, M. & **Reinke, W.M.** (2012). Using coaching to improve classroom implementation fidelity within school-wide positive behavior support systems. *Beyond Behavior, 21*, 11-19.
33. Herman, K. C., †Bi, Y., †Borden, L. A., & **Reinke, W. M.** (2012). Latent classes of psychiatric symptoms among Chinese children living in poverty. *Journal of Child and Family Studies, 21*, 391-402.

34. Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2011). Teachers' Importance Ratings for Evidence-Based Behavioral Interventions. *Behavioral Disorders, 37*, 19-29.
35. Webster-Stratton, C., **Reinke, W.M.**, Herman, K.C., & Newcomer, L. (2011). The Incredible Years Teacher Classroom Management Training: The methods and principles that support fidelity of training delivery. *School Psychology Review, 40*, 509-529.
36. Cappella, E., **Reinke, W.M.**, & Hoagwood, K. (2011). Advancing intervention research in school psychology: Finding the balance between process and outcome for social and behavioral interventions. *School Psychology Review, 40*, 455-464.
37. Herman, K. C., †Borden, L., **Reinke, W.M.**, & Webster-Stratton, C. (2011). The impact of the Incredible Years Parent, Child, and Teacher Training Programs on children's co-occurring internalizing symptoms. *School Psychology Quarterly, 26*, 189-201.
38. Riley-Tillman, T.C. & **Reinke, W.M.** (2011). Commentary on building local capacity for training and coaching data-based problem solving with Positive Behavior Intervention and Support Teams. *Journal of Applied School Psychology, 27*, 246-251.
39. Herman, K. C., †Trotter, R., **Reinke, W.M.**, & Ialongo, N. (2011). Developmental origins of perfectionism among African American youth. *Journal of Counseling Psychology, 58*, 321-334.
40. Herman, K.C., †Borden, L., †Hsu, C., †Schultz, T., †Strawsine, M., Brooks, C. & **Reinke, W.M.** (2011). Enhancing family engagement in interventions for mental health problems in youth. *Residential Treatment for Children & Youth, 28*, 102-119.
41. Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2011). Teachers' knowledge of evidence-based interventions and available school resources for children with emotional or behavioral problems. *Journal of Behavioral Education, 20*, 138-147.
42. **Reinke, W.M.**, Stormont, M., Herman, K.C., †Puri, R., & †Goel, N. (2011). Supporting children's mental health in schools: Teacher perceptions of needs, roles, and barriers. *School Psychology Quarterly, 26*, 1-13.
43. Herman, K.C., **Reinke, W.M.**, Stormont, M., †Puri, R., & †Agarwal, G. (2010). Using prevention science to promote children's mental health: Founding of the Missouri Prevention Center. *Counseling Psychologist, 38*, 652-690.
44. **Reinke, W.M.**, Herman, K.C., Stormont, M., Brooks, C., & †Darney, D. (2010). Training the next generation of school professionals to be prevention scientists: The Missouri Prevention Center model. *Psychology in the Schools, 47*, 101-110.
45. Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2010). Using prevention science to address mental health issues in schools. *Psychology in the Schools, 47*, 1-4.
46. Stormont, M., & **Reinke, W.M.** (2009). The importance of precorrection and behavior specific praise strategies. *Beyond Behavior, 18*, 26-32.
47. Herman, K.C., **Reinke, W.M.**, †Traylor, K., †Parkin, J., & †Agarwal, G. (2009). Childhood depression: Rethinking the role of the school. *Psychology in the Schools, 46*, 433-446.
48. **Reinke, W.M.**, †Splett, J., †Robeson, E., & Offutt, C. (2009). Combining school and family interventions for the prevention and early intervention of disruptive behavior problems in children: A public health perspective. *Psychology in the Schools, 46*, 33-43.

49. **Reinke, W.M.**, & Ostrander, R. (2008). Heterotypic versus homotypic continuity: The moderating effects of gender and age. *Journal of Abnormal Child Psychology*, 36, 1109-1121.
50. **Reinke, W.M.**, Lewis-Palmer, T., & Merrell, K. (2008). The Classroom Check-up: A classwide consultation model for increasing praise and decreasing disruptive behavior. *School Psychology Review*, 37, 315-332.
51. Herman, K. C., Lambert, S. F., **Reinke, W.M.**, & Jalongo, N. S. (2008). Academic incompetence in first grade as a risk factor for depressive cognitions and symptoms in middle school. *Journal of Counseling Psychology*, 55, 400-410.
52. **Reinke, W.M.**, Herman, K.C., Petros, H., & Jalongo, N. (2008). Empirically-derived subtypes of child academic and behavior problems: Co-Occurrence and distal outcomes. *Journal of Abnormal Child Psychology*, 36, 759-777.
53. Bradshaw, C. P., **Reinke, W.M.**, Brown, L.D., Bevans, K.B., & Leaf, P.J. (2008) Examining the process of Positive Behavioral Interventions and Supports implementation. *Education and Treatment of Children*, 31, 1-26.
54. **Reinke, W.M.**, Lewis-Palmer, T., & Martin, E. (2007). The effect of visual performance feedback on teacher behavior-specific praise. *Behavior Modification*, 31, 3, 247-263.
55. **Reinke, W.M.** & Lewis-Palmer, T. (2007). Improving classroom management. *Principal- Can Public Education Survive?- Web Exclusive*, 86 (4), March/April. www.naesp.org/principal.
56. **Reinke, W. M.**, Herman, K. C., & Tucker, C. (2006). Building and sustaining communities that prevent mental disorders: Lesson from the field of education. *Psychology in the Schools*, 43, 313-329.
57. Mesa, J., Lewis-Palmer, T., & **Reinke, W.M.** (2005). Providing teachers with performance feedback on praise to reduce student problem behavior. *Beyond Behavior*, Fall, 45-55.
58. Tucker, C. M., Porter, T., **Reinke, W.M.**, Herman, K. C., Ivery, P., Mack, C., & Jackson, E. (2005). Promoting teacher efficacy for working with culturally diverse students. *Preventing School Failure*, 50, 29-34.
59. Herman, K. C., Merrell, K., **Reinke, W.M.**, & Tucker, C. M. (2004). The role of school psychology in preventing and treating internalizing disorders. *Psychology in the Schools*, 41, 763-775.
60. Caraway, K., Tucker, C., **Reinke, W.M.**, & Hall, C. (2003). Self-efficacy, goal orientation, and fear of failure as predictors of school engagement. *Psychology in the Schools*, 40, 417-427.
61. **Reinke, W.M.** & Herman, K. C. (2002). Research agenda for school violence prevention. *American Psychologist*, 57, 796-797.
62. **Reinke, W.M.** & Herman, K. C. (2002). Creating school environments that deter antisocial behaviors in youth. *Psychology in the Schools*, 39, 549-559.
63. Tucker, C. M., Zayco, R. A., Herman, K. C., **Reinke, W.M.**, Trujillo, M., Caraway, K., et al. (2002). Teacher and child variables as predictors of academic engagement among African American children. *Psychology in the Schools*, 39, 477-488.
64. Tucker, C. M., Vogel, D. L., Keefer, N. L., Reid, A. D., Caraway, K., **Reinke, W.M.**, & Herman, K. C. (2002). Maladaptive behavior by African American children: A self-regulation theory based approach. *Educational Forum*, 66, 220-227.

65. Tucker, C. M., Herman, K. C., Petersen, T., Vogel, D., & **Reinke, W.M.** (2000). Student-generated solutions to enhance the academic success of African American youth. *Child Study Journal*, 30, 205-22.

Book Chapters

66. Murrhly, R., Burns, J., **Reinke, W.M.**, Herman, K.C., & King, K. (in press). Evidence-based assessment and intervention for ODD and CD in School Psychology. In M.Thielking & M. Terjesem (Eds.), *Handbook of Australian School Psychology: International Research, Practice, and Policy*. New York: Springer.
67. Herman, K. C., **Reinke, W. M.**, Thompson, A. M., & †Faloughi, R. (in press). Universal prevention to support children's mental health in schools. In A. Grills & M. Holt (Eds.), *Critical Issues in School Mental Health: Evidence-based Research, Practice, and Interventions*. New York: Routledge
68. Thompson, A. M., **Reinke, W. M.**, & Herman, K. C. (in press). The value, practice, and evaluation of teaching social emotional learning to students with and without disabilities. In C. R. Massat, M. S. Kelly, and R. Constable (Eds.), *School Social Work: Practice, Policy, and Research* (8th ed.). New York: Oxford.
69. Herman, K. C., **Reinke, W. M.**, Bradshaw, C., Lochman, J., †Borden, L., & †Darney, D. (2014). Increasing parental engagement in school-based preventive interventions: The integration of the Family Check-up and the Parent Coping Power Program. In M. Weist, N. Lever, C. Bradshaw, & J. Owens (Eds.), *Handbook of School Mental Health (2nd Edition)*. (pp.223-236). New York: Springer.
70. **Reinke, W.M.**, Frey, A., Herman, K.C., & Thompson, C.V. (2014). Improving Engagement and Implementation of Interventions for Children with Behavior Problems in Home and School Settings. In H. Walker & F. Gresham (Eds.), *Handbook of Evidence-Based Practices for Students Having Emotional and Behavioral Disorders*. (pp. 432-445). New York: Guilford Press.
71. McIntosh, K., **Reinke, W.M.**, & Herman, K.C. (2010). School-wide analysis of data for social behavior problems: Assessing outcomes, selecting targets for intervention, and identifying need for support. In G. Peacock, R. Ervin, E. Daly, & K. Merrell (Eds.), *Practical handbook of school psychology: Effective practices for the 21st Century*. (pp. 135-156). New York: Guilford Press.
72. **Reinke, W.M.**, Sprick, R., & Knight, J. (2008). Coaching classroom behavior management. In J. Knight (Ed.), *Coaching Approaches and Perspectives*. (pp. 91-112). Corwin Press: Thousand Oaks, CA.
73. **Reinke, W.M.**, & Walker, H. (2006). Deviant Behavioral Contagion in Education. In K. Dodge, T. Dishion, & J. Lansford (Eds.). *Deviant Peer Influences in Programs for Youth: Problems and Solutions*. (pp. 122-140). New York: Guilford Press.

Books

74. Keperling, J., **Reinke, W.M.**, †Darney, D., & Jalongo, N. (in press). A Guide to Facilitating Group Interventions in Schools. Guilford Press.
75. Herman, K.C. & **Reinke, W.M.** (2015). Stress and Coping for Teachers. New York: Guilford Press.

76. Herman, K.C., **Reinke W.M.**, Frey, A., & Shepard, S. (2013). *Motivational Interviewing in Schools: Strategies for Engaging Parents, Teachers, and Students*. New York: Springer
77. Stormont, M., **Reinke, W.M.**, Herman, K.C., & Lemke, E. (2012). *Academic and Behavior Supports for At-Risk Students: Tier 2 Interventions*. New York: Guilford Press.
78. **Reinke, W.M.**, Herman, K.C., & Sprick, R. (2011). *Motivational Interviewing for Effective Classroom Management: The Classroom Check-up*. New York: Guilford Press.
79. Sprick, R., Knight, J., **Reinke, W.M.**, Skyles, T., & Barnes, L. (2010). *Coaching Classroom Management: Strategies and Tools for Administrators and Coaches*. (2nd ed.). Eugene, OR: Pacific Northwest Publishing.

Under Review

80. Dong, N., **Reinke, W.M.**, Herman, K.C., Bradshaw, C., & Murray, D. (in preparation). Meaningful effect sizes, intra-class correlations, and proportions of variance explained by covariates for planning 3 level cluster randomized trials. *Prevention Science*.
81. Thompson, A., Herman, K.C., Stormont M., **Reinke, W.M.**, & Webster-Stratton, C. (under review). Impact of Incredible Years on teacher perceptions of parent involvement: A latent transition analysis. *Journal of Educational Psychology*.
82. Hendricker, E. N. & **Reinke, W.M.**, (under review). Familial risk profiles of low income, kindergarten students and later academic and behavior problems. *School Mental Health*
83. Herman, K. C., †Cohen, D., **Reinke, W. M.**, Ostrander, R., Burrell, L., & Duggan, A. (under review). Using latent profile and transition analyses to understand patterns of informant ratings of child depression. *Journal of Abnormal Child Psychology*.
84. Herman, K. C., & **Reinke, W. M.** (under review). A latent transition analysis of parent school involvement patterns: Can training improve teacher comfort with difficult families and students? *Journal of Consulting and Clinical Psychology*
85. †Oram, L., Maras, M., **Reinke, W.M.**, & Neier, L. (under review). Pre-service teachers' perceptions and attitudes towards evidence-based interventions for students with emotional and behavioral concerns. *Journal of Applied School Psychology*.
86. **Reinke, W.M.**, Herman, K.C., & Newcomer, L. (under review). The Brief Student-Teacher Interaction Observation: Using dynamic indicators of behaviors in the classroom to predict outcomes and inform practice. *Assessment for Effective Intervention*.
87. Stormont, M., Thompson, A., Herman, K.C., & **Reinke, W.M.** (under review). Utility of a single-item measure of school readiness for kindergarteners. *Assessment for Effective Intervention*.
88. Floress, M., Jenkins, L., **Reinke, W.M.**, & Baij, L. (under review). Direct behavioral classroom observations: Behavior-specific praise and classroom-wide behavior. *Journal of School Psychology*.

Manuscripts in Preparation:

1. **Reinke, W.M.**, Herman, K.C., & Dong, N. (in preparation). The Incredible Years Teacher Classroom Management program: Findings from a group randomized trial.

2. **Reinke, W.M.**, Herman, K.C., & Stormont, M. (in preparation). Examining variation in the impact of a universal classroom management intervention: Findings from a group randomized trial.
3. Herman, K.C., †Hickman-Rosa, J., & **Reinke, W.M.** (in preparation). Empirically derived profiles of teacher stress, burnout, self-efficacy, and coping and associated student outcomes.

EXTRAMURAL SPONSORSHIP

Current Grants

Principal Investigator. **University of Missouri Interdisciplinary Postdoctoral Research and Training Program in the Education Sciences. (2015-2020)**. Funded by Institute of Education Sciences (IES; Postdoctoral Program) R305B150028. Total amount funded: \$699,562.

Co-Principal Investigator. **Evaluation of a Self-Monitoring Training Program for Elementary School Students (2015-2019)**. Funded by Institute for Education Sciences, (Efficacy and Replication Trial) R305A150517. Total amount funded: \$3,461,284.

Principal Investigator. **Boone County Mental Health Coalition County-wide Initiative to Support School-age Youth (2014-2016)**. Funded by the Boone County Children's Fund. Total amount funded: \$1,190,865.

Principal Investigator. **The Classroom Check-up: Supporting Elementary Teachers in Classroom Management Using a Web-based Coaching System (2013-2016)**. Funded by the Institute for Education Sciences, (Development) R305A130375. Total amount funded: \$1,496,990.

Co-Principal Investigator. **Evaluation of a Classroom Management Training Program for Middle School Teachers (2013-2017)**. Funded by the Institute of Education Sciences (IES; Efficacy and Replication) R305A130143. Total amount funded: \$2,998,365.

Completed

Principal Investigator. **Evaluation of a Video-based Modeling Program to Promote Effective Classroom Management Teaching Practices (2010-2015)**. Funded by Institute for Education Sciences, (Efficacy and Replication Trial) R305A100342. Total amount funded: \$2,915,757.

Principal Investigator. **Center for Prevention and Early Intervention subcontract with Johns Hopkins University (2009-2014)**. (Center P.I., Nicholas Jalongo; Campus P.I., Wendy Reinke,), Funded by National Institute of Mental Health, P30 MH066247. Total amount funded: \$644,837.

Principal Investigator. **The Classroom Check-up Collaboration Project (2011-2012)**. Funded by the University of Missouri Richard Wallace Research Incentive Fund. Awarded to Wendy Reinke and Dana Darney. Total amount funded: \$2,000.

Co-Principal Investigator. **Reducing Child Abuse through Parent Training to Expecting and New Caregivers (2009-2010)**. Funded by Target Corporation. Awarded to Connie Brooks, Keith Herman, and Wendy Reinke. Total amount funded: \$3,640.

Co-Principal Investigator. **Providing Evidence-Based Parent Behavior Management Training to Parents in Head Start (2009-2010)** Funded by Missouri Children's Trust Fund. Awarded to Wendy Reinke and Keith Herman in collaboration with Head Start. Total amount funded: \$5,000.

Co-Principal Investigator. **Providing Evidence-Based Parent Behavior Management Training to College Students with Children (2008-2009)**. Funded by MU Parents Association. Awarded to Wendy Reinke (faculty investigator), Joni Splett and Elise Hendricker (student investigators). Total amount funded: \$1,000.

Co-Principal Investigator. **Helping School Districts Evaluate Current Needs and Select Evidence-Based Practices in Early Childhood Mental Health (2008-2009)**. Funded by Missouri Partnership for Educational Renewal. Awarded to Wendy Reinke, Keith Herman, and Melissa Stormont. Total amount funded: \$10,000.

Principal Investigator. **Combining Multilevel School and Family-Centered Interventions to Prevent Disruptive Behavior Problems in Children (2008-2010)**. Funded by University of Missouri, Research Council Internal Funding. Total amount funded: \$ 7,482.30.

Principal Investigator. **Selecting and Evaluating Evidence-based Practices in Early Childhood Mental Health (2008-2009)**. Funded by the University of Missouri Richard Wallace Research Incentive Fund. Total amount funded: \$3,940.

Co-Principal Investigator. **Providing Evidence-Based Parent Behavior Management Training to Parents in Head Start (2008-2009)**. Funded by Missouri Children's Trust Fund. Awarded to Wendy Reinke and Keith Herman in collaboration with Head Start. Total amount funded: \$5,000.

Principal Investigator. **Classroom Check-up: Ensuring Success for all Students (2003-2005).**
Funded by U.S. Department of Education, Office of Special Education, H324B030046.
Total amount funded: \$19,997.

Consultant

Serving as a consultant to establish the content validity of treatment integrity measures for early childhood programs. Project entitled, *Development and Validation of Treatment Integrity Measures of Classroom-Based Instructional Interventions in Early Childhood Settings*. (2014-2016). Funded by Institute for Education Sciences (Exploratory) R305A140487. Awarded to Bryce McLeod (PI).

Serving as consultant to adapt the Classroom Check-up coaching model with an intervention to support teachers in culturally responsive teaching. Project entitled, *Double Check: A Cultural Proficiency and Student Engagement Model* (2011-2014). Funded by Institute for Education Sciences, (Development) R324A110107. Awarded to Catherine Bradshaw (PI).

Served as consultant to adapt and integrating the Classroom Check-up coaching model with First Step to Success. Project entitled, *Enhanced First Step to Success: Improving School Readiness for School Children with Disruptive Behavior* (2009-2012). Funded by Institute for Education Sciences, (Development) R324A090237. Awarded to Andy Frey (PI).

OTHER RESEARCH AWARDS

- 2003 Dissertation Research Award (\$500)
University of Oregon, School Psychology Department
- 2002 Clare Wilkins Chamberlin Memorial Fund Research Award (\$1,500)
University of Oregon, College of Education
- 2002 Gary E. Smith Summer Grant (\$3,000)
University of Oregon, Graduate School

PRESENTATIONS AND WORKSHOPS

Invited Presentations

- Reinke, W.M.**, Herman, K.C., & Weist, M. (February, 2015). Designing, implementing, and understanding school-based randomized trials. Invited workshop presented at the National Association for School Psychology, Orlando, FL.
- Reinke, W.M.** & Herman, K.C. (December, 2014). Engaging families and supporting students with severe behavior problems. Missouri Council of Administrators of Special Education, Columbia, MO.
- Reinke, W.M.** & Herman, K.C. (September, 2014). Using Motivational Interviewing to increase effective practices in schools. Missouri Council of Administrators of Special Education, Lake of the Ozarks, MO.

- Herman, K.C. & **Reinke, W.M.** (May, 2014). Motivational interviewing in schools: Strategies for engaging teachers, parents, and students. Workshop presented at the First Annual Central Pennsylvania Workshops on Evidence-Based Services. State College, PA.
- Reinke, W.M.** (April, 2014). Understanding and preventing disruptive behavior problems in children. Invited talk presented at Tulane University, New Orleans, LA.
- Herman, K.C. & **Reinke, W.M.** (February, 2014). Motivational interviewing for effective classroom management. Workshop presented at the National Association of School Psychology. Washington, D.C.
- Reinke, W.M.**, Herman, K.C., Stormont, M., & Newcomer, L. (March, 2013). Evaluating the process of implementation within a large-scale efficacy trial. Electronic Poster presented at the Annual Institute of Educational Sciences Meeting, Washington DC.
- Reinke, W.M.** (August, 2012). Preventing disruptive behavior problems in children using prevention science logic. American Psychological Association, Orlando, FL.
- Reinke, W.M.**, & Herman, K.C. (March, 2012). Evidence-based classroom management training and infrastructure. Invited talk presented as part of the Training Interdisciplinary Educational Scientists training series at Penn State University, State College, PA.
- Reinke, W.M.** (October, 2011). Promoting effective classroom management with the Incredible Years Teacher training program. Invited talk presented at the Missouri Association for School Psychologist Conference, Columbia, MO.
- Reinke, W.M.** (March, 2011). Combining School and Family Interventions to Prevent Disruptive Behavior Problems. Invited talk presented at the 8th International Conference on Positive Behavior Support. Denver, CO.
- Reinke, W.M.** (February, 2010). The Classroom Check-up: A consultation model for effective classroom management. Invited talk presented at the Missouri Association of School Psychology Mid-Missouri Regional Conference, Columbia, MO.
- Reinke, W.M.**, & Herman, K.C. (October, 2009). Helping schools evaluate needs and select best practices in childhood mental health. Invited talk presented at the Governing Board Meeting of the Missouri Partnership for Educational Renewal, Columbia, MO.
- Reinke, W.M.**, & Herman, K.C. (September, 2009). The Missouri Prevention Center: Preventing youth aggression and depression through school and family partnerships. Invited talk presented to the University of Missouri Alumni Association Board, Columbia, MO
- Reinke, W.M.**, & Herman, K.C. (April, 2009). Creating balance between work and life: Tips from MU faculty. An invited panel discussion presented by the MU Graduate School. University of Missouri, Columbia, MO.
- Reinke, W.M.**, (March, 2009). The Influence of family functioning on the academic and behavioral outcomes of children: Implications for intervention. Invited colloquium presented at the MU Clinical Psychology Brown Bag Seminar, Columbia, MO.
- Reinke, W.M.**, Sprick, R., & McKale, T. (October, 2008). Coaching classroom management. Workshop presented at the Annual Instructional Coaching Conference, Lawrence, KS.
- Reinke, W.M.** (October 2008). Positive Behavior Interventions and Supports: Supporting all students. Invited talk presented at the School Counselor Conference, Taipei, Taiwan
- Reinke, W.M.** & Herman, K.C. (October, 2008). Prevention Science in action. Invited colloquium presented at National Normal Taiwan University, Taipei, Taiwan.

- Reinke, W.M.** (October, 2008). Evidence-based interventions for children with disruptive behavior problems. Invited workshop presented at the Missouri Association for School Psychology Annual Convention, St. Louis, MO.
- Reinke, W.M.** (April, 2008). Integrating family assessment/interventions in schools for children with disruptive behavior problems. Invited colloquium presented to Missouri Association for School Psychology Mid-Missouri Region, Columbia, MO.
- Reinke, W.M.** (April, 2008). Child academic and behavior problems: Co-occurrence and distal outcomes. Invited colloquium presented at MU Developmental Psychology Brown Bag Seminar.
- Reinke, W.M.** (2007). Starting point: Systems for prevention. Invited keynote presented at the CAMHPS: MPER, School Mental Health Leadership Academy. Columbia, Missouri.
- Reinke, W.M.** (2006). The Classroom Check-up: A tool for communicating with teachers about classroom management. Invited workshop presented at the First Annual Instructional Coaching Conference, Lawrence, KS.
- Sprick, R., **Reinke, W.M.**, Knight, J., & McKale, T. (2006). Coaching for positive classrooms. Invited workshop presented at the First Annual Instructional Coaching Conference, Lawrence, KS.
- Reinke, W.M.** (2006). The Classroom Check-up: An assessment/intervention tool for improving classroom management. Invited talk presented at the Annual Maryland PBIS Summer Institute, Ellicott City, MD
- Bradshaw, C. & **Reinke, W.M.** (2006). What are evidenced-based practices? Invited talk presented at the Annual Maryland PBIS Summer Institute, Ellicott City, MD
- Reinke, W.M.** (2005). The Classroom Check-up: A model of consultation for increasing integrity of classroom interventions. Invited presentation at Safe and Civil Schools Train the Trainers Workshop, Portland, OR.

Symposium/ Paper/ Poster

- Thompson, A., Herman, K.C., & **Reinke, W.M.** (2015). Impact of Incredible Years Training on latent profiles of teacher perceptions of parent involvement. Paper to be presented as part of a symposium at the Society for Prevention Research. Washington, D.C.
- Newcomer, L., **Reinke, W.M.**, & Herman, K.C. (2015). Effects of coaching and teacher quality of implementation of effective classroom management practices on student outcomes. Paper presented as part of the symposium at the International Conference on Positive Behavior Supports, Boston, MA.
- Dong, N., **Reinke, W. M.**, Herman, K.C., Bradshaw, C., & Murray, D. (2015). Meaningful effect sizes, intra-class correlations, and proportions of variance explained by covariates for planning 3 level cluster randomized experiments in prevention science. Paper presented at the Society for Research on Educational Effectiveness. Washington, D.C.
- Owens, S., Stormont, M., Herman, K.C., & **Reinke, W.M.** (2015). Predictive validity and diagnostic accuracy of a school readiness screener. Poster presented at the National Association for School Psychology, Orlando, FL.

- King, K., **Reinke, W.M.**, & Lembke, E. (2015). Identifying academic profiles of children with behavior risk using latent class analysis. Poster presented at the National Association for School Psychology, Orlando, FL.
- Reinke, W.M.** (2014). Classroom observations of teacher behavioral supports. Society for Research on Educational Effectiveness. Washington, D.C.
- Chuang, C., **Reinke, W. M.**, & Herman, K.C. (2014). The effects of a teacher classroom management intervention among students with aggressive behavior. Paper presented at the American Psychological Association, Washington, D.C.
- Wang, Z., Osterlind, S., **Reinke, W.M.**, Stormont, M., & Herman, K.C. (2014). From measurement models to scoring methods: An application to group differences. Paper presented as part of a symposium at the 9th Conference of the International Test Commission, San Sebastián, Spain.
- Reinke, W.M.**, & Herman, K.C. (2014). Use of coaching and behavior support planning for students with disruptive behavior within a universal classroom management program. Paper presented as part of symposium at the Society for Prevention Research Annual Convention, Washington, DC.
- Herman, K.C. & **Reinke, W.M.**, (2014). A latent transition analysis of parent school involvement patterns: Can training improve teacher comfort with difficult families and students? Paper presented as part of symposium at the Society for Prevention Research Annual Convention, Washington, DC.
- Stormont, M, **Reinke, W. M.**, Herman, K.C., & Newcomer, L. (2014). Supporting evidence based practices in schools: Results from an efficacy trial of the Incredible Years Teacher Classroom Management program. Paper presented as part of a roundtable session at the annual American Educational Research Association meeting, Philadelphia, PA.
- Reinke, W.M.**, Herman, K.C., & Dong, N. (2014). The Incredible Year Teacher Classroom Management program: Initial findings from a group randomized control trial. Paper presented as part of a symposium at the conference for the Society for Research on Educational Effectiveness, Washington, D.C.
- Newcomer, L., **Reinke, W.M.**, Stormont, M., & Herman, K.C. (2014). Coaching teacher's to improve classroom management: Lessons learned and recommendations. Paper presented at the 11th International Conference on Positive Behavior Support, Chicago, IL.
- Reinke, W.M.**, & Herman, K.C. (2014). Relations between intervention supports, fidelity, implementation, and student outcomes. Paper presented as part of a symposium at the National Association of School Psychology Conference, Washington, D.C.
- King, K. & **Reinke, W.M.** (2014). Evaluating the effectiveness of positive classroom behavior interventions: A meta-analysis. Poster presented at the National Association of School Psychology Conference, Washington, D.C.
- Darney, D. & **Reinke, W.M.** (2013). Practical and effective teacher consultation. Paper presented at the Center for School Mental Health Conference, Crystal City, VA.
- Reinke, W.M.** & Herman, K.C. (2013). A group randomized evaluation of the Incredible Years Teaching Training program. Paper presented as part of a symposium at the American Psychological Association conference, Honolulu, HI.

- Stormont, M., Herman, K.C., & **Reinke, W.M.** (2013). Latent profile analysis of teacher perceptions of parent contact and comfort. Paper presented as part of a symposium at the American Psychological Association conference, Honolulu, HI.
- Lembke, E. King, K. & **Reinke, W.M.** (2013). Using latent class analysis to identify academic and behavioral risk status in elementary students. Poster presented at the American Psychological Association conference, Honolulu, HI.
- Chuang, C., **Reinke, W.M.**, King, K., & Herman, K.C. (2013). Profiles of disruptive behavior and concentration problems and associated academic outcomes among an at-risk sample of elementary students. Poster presented at the American Psychological Association conference, Honolulu, HI.
- Reinke, W.M.**, Herman, K.C., Stormont, M., & Newcomer, L. (2013). Using coaching to support teacher implementation of classroom-based interventions: Initial results from an efficacy trial. Paper presented as part of a symposium at the Society for Prevention Research conference, San Francisco, CA.
- Reinke, W.M.**, Herman, K.C. & Webster-Stratton, C. (2013). Latent profiles of observed classroom management behaviors. Paper presented as part of a symposium at the Society for Prevention Research conference, San Francisco, CA.
- Reinke, W.M.**, Herman, K.C., Wachsmuth, S. & Newcomer, L. (2013). The brief classroom interaction observation: An observation system to inform and increase teacher use of classroom management practices. Paper presented as part of a symposium at the annual convention of the National Association of School Psychologists, Seattle, WA
- Sullivan, A. L., **Reinke, W.M.**, Sanetti, L., & Harris, B. (2013). Successfully pursuing research funding: Perspectives from early and mid-career faculty. Symposium presented at the annual convention of the National Association of School Psychologists, Seattle, WA.
- Chuang, C. & **Reinke, W.M.** (2012). Development of the social information processing scale for adolescents in Taiwan. Poster presented at the American Psychological Association Conference, Orlando, FL.
- Chuang, C., **Reinke, W.M.**, Fujiki, M., Stormont, M., & Herman, K.C. (2012). The relationship between teachers' confidence, self-efficacy, and burn out, and their ratings of aggressive students. Poster presented at the International Society for Research on Aggression World Meeting, Luxembourg.
- Chuang, C., **Reinke, W.M.**, Fujiki, M., Stormont, M., & Herman, K.C. (2012). An investigation of aggression as a predictor of academic achievement. Poster presented at the International Society for Research on Aggression World Meeting, Luxembourg.
- Reinke, W.M.**, Herman, K.C., Stormont, M., & Newcomer, L. (2012). Incredible Years Teacher Classroom Management Program: Examining the relation of intervention support systems on teacher fidelity of implementation. Paper presented as part of the symposium at the Society for Prevention Research 20th Annual Meeting, Washington, DC
- Wang, K., Herman, K., Bi, Y., **Reinke, W.M.**, & Jalongo, N. (2012). A latent transition analysis of perfectionism profiles in early adulthood. Poster presented at the American Psychological Association Conference, Orlando, FL.

- Kerr, D., **Reinke, W.M.**, & Eddy, M. (2012). Depressive symptoms and externalizing behaviors across adolescence: Co-occurrence and associations with suicide risk. Paper presented as part of a symposium at the Society for Research on Adolescents Biannual Conference, Vancouver, BC, Canada.
- Reinke, W.M.**, Newcomer, L., Stormont, M., & Herman, K.C. (2012). Promoting effective behavior support planning with the Incredible Years Teacher Training program. Paper presented at the annual Association for Positive Behavior Support Conference, Atlanta, GA.
- Clare, A., David, K., Borden, L., Stormont, M., Newcomer, L., Herman, K., & **Reinke, W.M.** (2012). Empirically derived subtypes of teacher behavior: A latent profile analysis. Poster presented at the National Association of School Psychology Conference, Philadelphia, PA.
- Latimore, T., Klemp, H., Fujiki, M., Borden, L., Stormont, M., Newcomer, L., Herman, K., & **Reinke, W.M.** (2012). The effects of teacher burnout on observed classroom behaviors. Poster presented at the National Association of School Psychology Conference, Philadelphia, PA.
- Darney, D., **Reinke, W.M.**, Herman, K.C., Pitchford, J., & Ialongo, N. (2011). A Pilot of the Classroom Check-up coaching model to increase teacher implementation of the PATHS to PAX program. Symposium paper presented at the American Psychological Association Convention, Washington, D.C.
- Wang, K., Herman, K. C., Trotter, R., **Reinke, W.M.**, & Ialongo, N. (2011). Perfectionism growth among African American adolescents. Poster presented at the American Psychological Association Annual Convention, Washington DC.
- Latimore, T., & **Reinke, W.M.** (2011). Evaluation of the Green Dot Strategy: A community level violence intervention program. Poster presented at the Society for Community Research and Action Biennial Conference, Chicago, IL.
- Reinke, W.M.**, Herman, K.C., & Webster-Stratton, C. (2011). Developing and refining interventions over time: Reflections on the Incredible Years Series. Symposium paper presented at the Society for Prevention Research 19th Annual Meeting, Washington, DC.
- Darney, D., **Reinke, W.M.**, Herman, K.C., & Ialongo, N. (2011). Distal outcomes of twelfth grade students identified in first grade as having co-occurring academic and behavior problems. Poster presented at the Society for Prevention Research 19th Annual Meeting, Washington, DC.
- Reinke, W.M.**, Herman, K.C., & Stormont, M. (2011). Enhancing classroom supports in PBIS schools. Symposium paper presented at the National Association of School Psychology Conference, San Francisco, CA.
- Stormont, M., **Reinke, W.M.**, Herman, K.C., & Lemke, E. (2011). School-based interventions for Tier II. Paper presented at the National Association of School Psychology Conference, San Francisco, CA.
- Reinke, W.M.** (2010). Co-occurrence and cross-setting consistency of behavior problems in children. Symposium paper presented at the American Psychological Association in San Diego, CA.
- Bradshaw, C., Leaf, P., Domitrovich, C., Embry, D. **Reinke, W.M.**, Herman, K., & Ialongo, N. (2010). Using findings from randomized trials to inform the integration of school-wide PBS with social-emotional learning. Presentation at the 18th Annual Society for Prevention Research Convention, Denver, CO.

- Reinke, W.M.** (2010). Prevention science: Advancing research and practice in schools. Presidential strand symposium chair and presenter at the National Association for School Psychology Conference, Chicago, IL.
- Hendricker, E., Stormont, M., & **Reinke, W.M.** (2010). Supporting kindergarten transition for Head Start students through ecological interventions. Poster presented at the National Association for School Psychology Conference, Chicago, IL.
- Darney, D., Schultz, T., Goel, N., & **Reinke, W.M.** (2009). The utility of the Family Check-up for promoting family-school collaboration: A case illustration. Poster presented at the National Council on Family Relations Annual Conference. San Francisco, CA.
- Darney, D., Hendricker, E., Splett, J., Coutts, M., & **Reinke, W.M.** (2009). The Family Check-up: school-based implementation to reduce aggressive behaviors in young children. Paper presented at the 14th Annual Conference for Advancing School Mental Health. Minneapolis, MN.
- Reinke, W.M.** & Darney, D. (2009). Combining school and family interventions to prevent disruptive behavior problems in children. Presented as part of the symposium, Beyond efficacy: System level variables in adapting and sustaining school-wide PBS, at the Annual American Psychological Association Conference, Toronto.
- Goel, N., Fu, C., Puri, N., Stormont, M., & **Reinke, W.M.** (2009). Current practices and perceived needs in school-based mental health programming. Poster presented at the Annual American Psychological Association Conference, Toronto.
- Reinke, W.M.** (2009) Innovations in the assessment of disruptive behavior problems in children. Symposium chair and presenter at the Annual National Association for School Psychology Conference, Boston, MA.
- Reinke, W.M.** (2009). Family environments and behavior problems at school. Presented as part of the symposium, Innovations in the assessment of disruptive behavior problems in children at the Annual National Association for School Psychology Conference, Boston, MA.
- Stormont, M. & **Reinke, W.M.** (2009). Preventing behavior problems in children: School and Family-based models. Paper presented at the Annual National Association for School Psychology Conference, Boston, MA.
- Herman, K.C., Lambert, S., **Reinke, W.M.**, & Jalongo, N. (2007). The role of academic competence in preventing depression among urban African American children. Symposium presented at the Society for Prevention Research Annual Convention, Washington, D.C.
- Reinke, W.M.** (2007). Clarifying the timing and co-occurrence of academic and behavior problems. Symposium presented at the National Association for School Psychologists, New York City.
- Reinke, W.M.** & Lewis-Palmer, T. (2005). The Classroom Check-up: Addressing system-wide elements of service delivery at the classroom level. Symposium presented at the Annual American Psychological Association Convention, Washington, D.C.
- Lewis-Palmer, T., Millen, S., & **Reinke, W.M.** (2005). Training and supporting teachers to implement effective classroom management practices. Presented at the MidWest Behavior Symposium Kansas City, Missouri.

- Reinke, W.M.** & Lewis-Palmer, T. (2004). The Classroom Check-up. Invited poster presented at the Office of Special Education Programs Leadership and Research Directors Conference, Washington D.C.
- Reinke, W.M.** & Lewis-Palmer, T. (2004). The Classroom Check-up: a brief intervention to reduce student problem behaviors through classroom teaching practices. Symposium presented at the National Association for Behavior Analysis Convention, Boston, MA.
- Reinke, W.M.**, Lewis-Palmer, T., & Martin, E. (2003). A model for teacher support for reducing antisocial behavior in youth. Poster presented at the Annual American Psychological Association Convention, Toronto.
- Reinke, W.M.**, Martin, E., & Lewis-Palmer, T. (2003). Using weekly feedback to increase the effectiveness of a targeted-group intervention within a school-wide system of behavior support. Symposium presented at the National Association for Behavior Analysis Convention, San Francisco, CA.
- Millen, S., **Reinke, W.M.**, Lewis-Palmer, T., & Martin, E. (2003). Engineering the classroom for success for all students. Presentation given at the Annual Oregon Conference, Eugene, OR.
- Davis, C. A., **Reinke, W.M.**, & Herman, K. C. (2003). A supportive classroom for students with emotional and behavioral disorders. Presentation given at the Annual Oregon Conference, Eugene, OR.
- Reinke, W.M.**, Davis, C. A., & Herman, K. C. (2003). Childhood depression: Rethinking the role of the school. Presentation given at the National Association of School Psychologists Convention, Toronto.
- Davis, C. A., **Reinke, W.M.**, Merrell, K., & Herman, K. (2003). A school-based model for preventing and treating childhood internalizing disorders. Presentation given at the National Association of School Psychologists Convention, Toronto.
- Davis, C. A. & **Reinke, W.M.** (2002). Increasing teacher awareness of internalizing disorders in students through in-service training. Poster presented at the Annual University of Oregon College of Education Poster Session, Eugene, OR.
- Reinke, W.M.** & Davis, C. A. (2002). Functional behavioral assessment of behaviors related to attention deficit hyperactivity disorder. Presentation given at National Association of School Psychologist Convention, Chicago, IL.

Continuing Education/ Workshops

- Reinke, W.M.**, Herman, K.C., Frey, A., & Shepard, S. (February, 2013). Motivational Interviewing in schools. Full day workshop presented at the National Association for School Psychology, Seattle, WA.
- Reinke, W.M.** (March, 2011). Effective classroom behavior management: Advanced skills. Training Workshop presented to RTI International, Baltimore, MD.
- Reinke, W.M.** (November, 2010). Effective classroom behavior management practices: Strategies that work in real classrooms. Training Workshop presented to RTI International, Baltimore, MD.

- Herman, K.C., & **Reinke, W.M.** (November, 2010). Integrating the Family Check-Up and Coping Power II. Training Workshop presented for the Center for Prevention and Early Intervention, Baltimore, MD.
- Reinke, W.M.**, & Herman, K.C. (April 2010). Integrating the Family Check-Up and Coping Power I. Training Workshop presented for the Center for Prevention and Early Intervention, Baltimore, MD.
- Reinke, W.M.**, & Herman, K.C. (March, 2010). The Classroom Check-Up: Applications in the CPEI Trials. Training Workshop presented at the Center for Prevention and Early Intervention, Baltimore, MD.
- Reinke, W.M.**, & Herman, K.C. (February, 2010). The Family Check-Up: Applications in the CARS Trial. Training Workshop presented for the Center for Adolescent Research in Schools, Missouri site, Columbia, MO.
- Reinke, W.M.**, & Herman, K.C. (2010). The Classroom Check-up: A classwide consultation model to promote effective classroom management. Half-day workshop presented at the National Association of School Psychologist Convention, Chicago, IL.
- Reinke, W.M.** (2007). Proactive classroom management. Workshop series presented to Baltimore City elementary teachers and staff, Baltimore, MD.
- Reinke, W.M.** (2007). The Classroom Check-up: Coaching to improve classroom management. Seminar presented to Howard County School District PBIS coaches, teachers, and staff, Columbia, MD.
- Herman, K. C. & **Reinke, W.M.** (2004). Cognitive-behavioral therapy for child and adolescent depression: A skills workshop. Half-day workshop presented at the National Association of School Psychologists Convention, Dallas, TX.
- Herman, K. C. & **Reinke, W.M.** (2003). Motivational interviewing to reduce substance abuse. Learning Institute presented at the American Counseling Association Annual Conference, Anaheim, CA.
- Reinke, W.M.**, et al. (2001). Parenting children with ADHD and related behavior problems. Workshop presented to parents from the Eugene, OR School District.

PROFESSIONAL MATERIALS

- Reinke, W.M.** & Stormont, M. (2012). *Overall Rating Form*. University of Missouri publication.
- Stormont, M., **Reinke, W.M.**, & Herman, K.C. (2011). *The Kindergarten Academic and Behavior Readiness Screener (K-ABR)*. University of Missouri publication.
- Reinke, W.M.**, & Newcomer, L. (2010). *Brief Classroom Interaction Observation Revised (BCIO-R)*. University of Missouri publication.
- Reinke, W.M.** & Newcomer, L. (2010). *Student Teacher Classroom Interaction Observation (ST-CIO)*. University of Missouri publication.
- Millen-Jameson, S., Davis, C., **Reinke, W. M.**, Lewis-Palmer, T., (2005). *Brief Classroom Interaction Observation*. University of Oregon publication.

Major Contributions

Reinke, W.M. & Nakayama, N. (2007). Lesson 2-3 Understanding Your Feelings, Part I & Part II. *Strong Kids: A Social Emotional Learning Curriculum for Students.* Baltimore, MD: Paul H. Brookes Publishing Co.

Nakayama, N. & **Reinke, W.M.** (2007). Lesson 2-3 Understanding Your Feelings, Part I & Part II. *Strong Teens: A Social Emotional Learning Curriculum for Students.* Baltimore, MD: Paul H. Brookes Publishing Co.

EDUCATIONAL ACTIVITIES

Teaching Experience

Medical School

Child and Adolescent Psychiatry Lecture Series

Johns Hopkins School of Medicine
Child and Adolescent Psychiatry
Co-instructor; January-March 2006

Behavioral Psychology Training Seminar

Kennedy Krieger Institute
Pediatric Behavioral Psychology
Lecture, May 10, 2006

Graduate School

Behavioral Assessment and Intervention

University of Missouri

Child and Adolescent Interventions II: Evidence-based Interventions

School Psychology

Professional Issues II: Research Design and Application

Developmental Psychopathology and Exceptionality

Prevention Science Practicum

Prevention Science Seminar

Graduate School

Primary Prevention Course

University of Maryland
Clinical Psychology

COMPLETED DOCTORAL DISSERTATION COMMITTEES

Chair

Elise Hendricker (2010, School Psychology, Co-Chair with Dr. Cheryl Offutt)

Ann Clare (2012, School Psychology)

Nidhi Goel (2012, School Psychology)

Dana Darney (2013, School Psychology)

Kimberly David (2014, School Psychology)

Tracey Latimore (2014, School Psychology)

Lindsay Oram (2014, School Psychology, Co-Chair with Dr. Melissa Maras)

Chi-Ching Chuang (2015, School Psychology)

Committee Member

Natalie Parks (2008, School Psychology)
Dorothy Landon (2010, School Psychology)
Tia Schultz (2011, Special Education)
Joni Splett (2011, School Psychology)
Lindsay Borden (2012, Counseling Psychology)
Megan Strawsine (2012, School Psychology)
Geetika Agarwal (2012, School Psychology)
Aaron Sawyer (2012, Clinical Psychology)
Jon Lee (2012, University of Louisville, Education)
Sarah Beyers (2012, School Psychology)
Barbara Mitchell (2012, Special Education)
Sean Wachsmuth (2013, Special Education)
Martha Early (2013, Clinical Psychology)
Chelsey Brophy (2014, School Psychology)
Alexandra Schmidt (2015, Counseling Psychology)

COMPLETED MASTERS THESIS COMMITTEES

Chair

Dana Darney (2010, School Psychology)
Ann Clare (2011, School Psychology)
Tracey Latimore (2012, School Psychology)

Committee Member

Natalie Modeleski (2011, School Psychology)
Dan Ellis (2010, School Psychology)
Joni Splett (2009, School Psychology)
Chelsey Brophy (2011, School Psychology)
Lindsay Oram (2013, School Psychology)
Wes Sims (2014, School Psychology)

SERVICE

Grant Review Activities

Institute of Education Sciences (IES): Social and Behavioral Review Panel Member, 2013-present.

Professional Science Activities

Committee Member, American Psychological Association, Division 16, Implementation Science/
Research to Practice Workgroup, 2014- current.

Committee Member, National Center on Intensive Intervention, 2012-present.
Committee Member, Center for Prevention and Early Intervention, Johns Hopkins University,
Indicated Treatments Steering Committee, 2005-2008.
Committee Member, Duke Executive Committee on Peer Contagion and Social Policy, 2003-2005

Community Service Activities

Committee Member, School-based Mental Health Committee, Columbia Public Schools, 2012-2013.
Member, Boone County Mental Health Collaborative, Coalition of Boone County Public Schools, 2013-present.
Member, Juvenile Detention Alternative Initiative (JDAI) Collaborative Team, Missouri 13th Circuit Court, Juvenile Division, funded by Annie E. Casey Foundation. 2015- present.

Special Issues Edited

Reinke, W.M., & Herman, K.C. (Eds.). *Assessment for Effective Intervention*, (under review),
Special series "Using Brief Assessments of Important Indicators to Inform School-based Interventions and Practice"

Kilgus, S., **Reinke, W.M.**, & Jimerson, S. (Eds.) (2015). *School Psychology Quarterly*, Special Series "Mental Health Intervention and Assessment within a Multi-Tiered Framework"

Farmer, T., **Reinke, W.M.**, & Brooks, D. (Eds.) (2014). *Journal of Emotional and Behavioral Disorders*, 22. Special series "Managing Classrooms and Challenging Behaviors"

Stormont, M. & **Reinke, W.M.** (Eds.) (2013). *Journal of Applied School Psychology*, 29. Special series "Tier 2 Social Behavioral Interventions"

Reinke, W.M., Herman, K.C., & Ialongo, N. (Eds.) (2012). *Advances in School Mental Health Promotion*, 5. Special series "Developing and Implementing Integrated School-based Mental Health Interventions"

Cappella, E., **Reinke, W.M.**, & Hoagwood, K. (Eds.) (2011). *School Psychology Review*, 40. Special series "Developing Social-Emotional and Behavioral Interventions with School Communities: Systematic and Collaborative Processes"

Stormont, M., **Reinke, W.M.**, & Herman, K.C. (Eds.) (2010). *Psychology in the Schools*, 47. Special series "The Role of Prevention Science in Advancing Research and Practice in the Schools"

Associate Editor

School Psychology Quarterly, 2012- present

Editorial Board Appointments

Journal of Applied School Psychology, 2008-present
Journal of Emotional and Behavioral Disorders, 2014- present
Journal of Positive Behavior Interventions 2013-present
Journal of School Psychology, 2008-present
School Psychology Review, 2011-present
School Psychology Quarterly, 2007-2012

Journal Peer Review Activities

Aggressive Behavior, ad hoc reviewer, 2009
Education and Treatment of Children, ad hoc reviewer, 2009
Educational Psychology, ad hoc reviewer, 2013
European Child and Adolescent Psychiatry, ad hoc reviewer, 2009
Journal of Abnormal Child Psychology, ad hoc reviewer, 2007, 2010, 2013, 2014
Journal of Adolescent Health, ad hoc reviewer, 2009
Journal of Behavior Education, ad hoc reviewer, 2013
Journal of Counseling Psychology, ad hoc reviewer, 2009
Journal of Early Adolescents, ad hoc reviewer, 2013, 2014
Journal of Positive Behavioral Interventions, ad hoc reviewer, 2010, 2012
Learning and Individual Differences, ad hoc reviewer, 2011
Prevention Science, ad hoc reviewer, 2014
Psychiatry Research, ad hoc reviewer, 2010
School Psychology Review, ad hoc reviewer, 2011

OTHER PROFESSIONAL ACCOMPLISHMENTS

Specialized Training

Incredible Years Parent, Child, and Teacher certified group facilitator
Secondary Classroom Assessment Scoring System Certification
Family Check-up, University of Oregon
First Step to Success Consultant, University of Oregon
Positive Behavioral Interventions and Supports, University of Oregon
Direct Instruction, University of Oregon
Curriculum Based Measurement & DIBELS, University of Oregon

DEMOGRAPHIC INFORMATION

Contact

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Phone: (573) 355-1140; Email: hermanke@missouri.edu

Current Appointment

Professor, University of Missouri
Counseling Psychology, School Psychology, and School Counseling Programs
Department of Educational, School, & Counseling Psychology

Faculty Affiliations

Missouri Prevention Center, University of Missouri
Center for Prevention and Early Intervention, Johns Hopkins, Public Health
Boone County Mental Health Collaborative, Coalition of Boone County Public Schools

Credentials

Licensed Psychologist (MO #2008007984 – *active*)
Fellow, Divisions 16 & 17, American Psychological Association, 2014

Education and Training (*PdF=Postdoctoral Fellow*)

PdF	2005	Johns Hopkins University, Child & Adolescent Psychiatry
PdF	2003	University of Oregon, School Psychology (APA Accredited)
PdF	1998	Brown University, Clinical Psychology Consortium (APA Accredited)
PhD	1997	University of Florida, Counseling Psychology (APA Accredited)
MA	1992	Chapman University, Counseling Psychology
BA	1990	University of Puget Sound, Psychology
AA	1988	Leeward Community College, Liberal Arts

Professional Experience

2013-	Professor, University of Missouri
2007-	Co-Director, <i>Missouri Prevention Center</i> , University of Missouri
2007-2013	Associate Professor, University of Missouri
2010-2011	Counseling Psychology Program Director, University of Missouri
2005-2007	Assistant Professor, Johns Hopkins School of Medicine
2004-2005	Clinical and Research Fellow, Johns Hopkins University
2003-2004	Visiting Professor, Psychology Department, Willamette University
2003-2004	Adjunct Assistant Professor, Psychology Dept, University of Oregon
2002-2004	School Psychologist, Springfield Public School District
2000-2002	Visiting Assistant Professor, Psychology Department, Reed College
1999-2000	Assistant Professor, Educational Psychology, Rhode Island College
1998-1999	Research Therapist, Butler Hospital/Brown University
1997-1998	Postdoctoral Fellow, Brown University School of Medicine

RESEARCH ACTIVITIES

Publications

Peer-Reviewed Articles (* indicates data-based papers)

1. ***Herman, K. C.**, Cohen, D., Owens, S., Latimore, T., Reinke, W. M., Burrell, L., McFarlane, E., & Duggan, A. (in press). Language delays and child depression: The role of early stimulation in the home. *Prevention Science*.
2. *Reinke, W.M., Stormont, M., **Herman, K. C.**, Wachsmuth, S., & Newcomer, L. (in press). The Brief Classroom Interaction Observation-Revised: An observation system to inform and increase teacher use of universal classroom management practices. *Journal of Positive Behavioral Interventions and Supports*.
3. *Maras, M., Stormont, M., Reinke, W., & **Herman, K. C.** (in press). School practitioner's perspective on planning, implementing, and evaluating evidence-based practices. *Children & Youth Services Review*.
4. *Stormont, M., **Herman, K.C.** et al. (in press). The predictive utility of teacher ratings of kindergarten academic and behavior readiness and end of year student outcome. *School Psychology Quarterly*.
5. Stormont, M., **Herman, K.C.**, & Reinke, W. M. (in press). The overlooked children: How teachers can support children with internalizing behavior. *Beyond Behavior*.
6. *Wang, Z., Rohrer, D., Chuang, C., Fujuki, M., Reinke, W. M., **Herman, K. C.** (2015). Five methods to score TOCA-C and to examine group differences. *Journal of Experimental Education*, 83, 24-50.
7. Lee, J., Frey, A., Reinke, W. M., & **Herman, K. C.** (2014). Motivational interviewing as a framework to guide school-based coaching and consultation. *Advances in School Mental Health Promotion*, 7, 225-239.
8. *Borden, L., **Herman, K. C.**, Stormont, M., Darney, D., Goel, N., Reinke, W. M., & Webster-Stratton, C. (2014). Latent profile analysis of observed parenting behaviors in a clinic sample. *Journal of Abnormal Child Psychology*, 42, 731-742.
9. *Reinke, W.M., Stormont, M., **Herman, K. C.**, & Newcomer, L., & King, K. (2014). Use of coaching and behavior support planning for students with disruptive behavior within a universal classroom management program. *Journal of Emotion and Behavior Disorders*, 22, 67-73.
10. *Reinke, W.M., Stormont, M., **Herman, K. C.**, & Newcomer, L. (2014). Using coaching to support teacher implementation of classroom-based interventions. *Journal of Behavior Education*, 23(1), 150-167.
11. *Reinke, W.M., Stormont, M., **Herman, K. C.**, & Newcomer, L., & David, K. (2013).

Illustrating the multiple facets and levels of fidelity of implementation to a teacher classroom management intervention. *Administration and Policy in Mental Health and Mental Health Services Research*, 40, 494-506.

12. *Maras, M., Coleman, S., Gysbers, N., Stanley, B., & **Herman, K. C.** (2013). Measuring evaluation capacity among school counselors. *Counseling Outcome Research and Evaluation*, 4, 99-111.
13. Reinke, W., M., Stormont, M., Clare, A., Latimore, T., & **Herman, K. C.** (2013). Differentiating Tier 2 interventions according to function of behavior. *Journal of Applied School Psychology*, 29, 148-166.
14. ***Herman, K. C.**, Wang, K., Trotter, R., Reinke, W. M., & Ialongo, N. (2013). Developmental trajectories of maladaptive perfectionism among African American adolescents. *Child Development*, 84, 1633-1650.
15. *Stormont, M., **Herman, K. C.**, Reinke, W. M., David, K., & Goel, N. (2013). Empirically derived subtypes of teachers' contact and comfort with parents: Co-occurring family and child characteristics. *School Psychology Quarterly*, 28, 195-209.
16. *Darney, D., Reinke, W.M., **Herman, K.C.**, & Ialongo, N. (2013). Children with co-occurring academic and behavior problems in 1st grade: Distal outcomes in 12th grade. *Journal of School Psychology*, 51, 117-128.
17. *Reinke, W.M., **Herman, K.C.**, Stormont, M., Newcomer, L. (2013). Classroom level positive behavior supports in schools implementing SW-PBIS: Identifying areas for enhancement. *Journal of Positive Behavior Interventions*, 15, 39-50.
18. **Herman, K. C.**, Riley-Tillman, T. C., & Reinke, W. (2012). The role of assessment in a prevention science framework. *School Psychology Review*, 41, 306-314.
19. ***Herman, K. C.**, Bradshaw, C., Reinke, W. M., Lochman, J., Boxmeyer, C., Powell, N., et al., and Ialongo, N. (2012). Integrating the Family Check-Up and the Parent Coping Power Program. *Advances in School Mental Health Promotion*, 5, 208-219.
20. *Reinke, W. M., **Herman, K. C.**, Darney, D., Pitchford, J., Becker, K., Domitrovich, C., & Ialongo, N. (2012). Using the Classroom Check-up to support implementation of PATHS to PAX. *Advances in School Mental Health Promotion*, 5, 220-232.
21. Reinke, W., **Herman, K. C.**, & Ialongo, N. (2012). Developing and implementing integrated school-based mental health interventions. *Advances in School Mental Health Promotion*, 5, 158-160.
22. *Mascendaro, P.A., **Herman, K. C.**, & Webster-Stratton, C. (2012). Parent discrepancies in rating young children's co-occurring internalizing symptoms. *School Psychology Quarterly*, 27, 134-143.

23. Reinke, W.M., Stormont, M., Webster-Stratton, C., Newcomer, L., & **Herman, K. C.** (2012). The Incredible Years Teacher Classroom Management Program: Using coaching to support generalization to real world classroom settings. *Psychology in the Schools, 5*, 416-428.
24. ***Herman, K. C.**, Bi, Y., Borden, L., & Reinke, W. (2012). Latent classes of psychiatric symptoms among Chinese children living in poverty. *Journal of Child and Family Studies, 21*, 391-402.
25. Webster-Stratton, C., Reinke, W., **Herman, K. C.**, Newcomer, L. (2011). The Incredible Years Teacher Training: The methods and principles that support fidelity of training delivery. *School Psychology Review, 40*, 509-529.
26. *Stormont, M., Reinke, W. M., & **Herman, K. C.** (2011). Teachers' importance ratings for evidence-based behavioral interventions. *Behavioral Disorders, 37*, 19-29.
27. ***Herman, K. C.**, Borden, L., Reinke, W., Webster-Stratton, C. (2011). The impact of the Incredible Years Parent, Child, and Teacher Training Programs on children's co-occurring internalizing symptoms. *School Psychology Quarterly, 26*, 189-201.
28. ***Herman, K. C.**, Trotter, R., Reinke, W., & Ialongo, N. (2011). Developmental origins of perfectionism among African American youth. *Journal of Counseling Psychology, 58*, 321-334.
29. **Herman, K. C.**, Borden, L., Schultz, T., Hsu, C., Brooks, C., Strawsine, M., & Reinke, W. (2011). Motivational interviewing applications with families. *Residential Treatment for Children and Youth, 28*, 102-119.
30. *Stormont, M., Reinke, W., & **Herman, K. C.** (2011). Teachers' knowledge of evidence-based interventions and available school resources for children with emotional or behavioral problems. *Journal of Behavioral Education, 20*, 138-147.
31. *Tucker, C.M., Rice, K., Jones, J. D., & **Herman, K. C.** (2011). Patient-centered culturally sensitive health care: Model testing and refinement. *Health Psychology, 30*, 342-350.
32. *Aguayo, D., **Herman, K. C.**, Ojeda, L., & Flores, L. (2011). The role of generation status in the academic achievement of Mexican American college students. *Journal of Diversity in Higher Education, 4*, 79-89.
33. *Reinke, W., Stormont, M., **Herman, K. C.**, Puri, R., & Goel, N. (2011). Supporting children's mental health in schools: Teacher perceptions of needs, roles, and barriers. *School Psychology Quarterly, 26*, 1-13.
34. **Herman, K. C.**, Reinke, W. M., Stormont, M., Puri, R., & Agarwal, G. (2010). Using prevention science to promote children's mental health: The founding of the Missouri Prevention Center. *The Counseling Psychologist, 38*, 652-690.

35. Webster-Stratton, C., & **Herman, K. C.** (2010). Disseminating Incredible Years Series Early Intervention Programs: Integrating and sustaining services between school and home. *Psychology in the Schools, 47*, 36-54.
36. Reinke, W. M., **Herman, K. C.**, Stormont, M., Brooks, C., & Darney, D. (2010). Training the next generation of school professionals to be prevention scientists: The Missouri Prevention Center model. *Psychology in the Schools, 47*, 101-110.
37. Stormont, M., Reinke, W. M., & **Herman, K.C.** (2010). Using prevention science to address mental health issues in schools. *Psychology in the Schools, 47*, 1-4.
38. Crow, L., Schulz, T., **Herman, K. C.**, & Brooks, C. (2010). Incredible Years Parent Training Program: Promoting resilience through evidence-based prevention. *Group Dynamics: Theory, Research, and Practice, 14*, 230-241.
39. *Whitney, S., Sullivan, S., & **Herman, K. C.** (2010). Low self-concept as an early indicator of youth depression. *Advances in School Mental Health Promotion, 3*, 5-16.
40. *Mirsu-Paun, A., Tucker, C.M., & **Herman, K. C.** (2010). Validation of a provider self-report inventory for measuring patient-centered cultural sensitivity in health care on a medical student group. *Journal of Community Health, 35*, 198-207.
41. *Lambert, S., **Herman, K. C.**, Bynum, M., & Ialongo, N. (2009). Perceptions of racism and depressive symptoms in African American adolescents: The role of perceived academic and social control. *Journal of Youth & Adolescence, 38*, 519-531.
42. **Herman, K. C.**, Reinke, W., Parkin, J., Traylor, K., & Agarwal, G. (2009). Childhood depression: Rethinking the role of the school. *Psychology in the Schools, 46*, 433-446.
43. *Jones, J. D., Tucker, C. M., & **Herman, K. C.** (2009). Stress and nutrition among African American women with hypertension. *American Journal of Health Behavior, 33*, 661-672.
44. *Webster-Stratton, C. & **Herman, K. C.** (2008). The impact of parent behavior management training on child depressive symptoms. *Journal of Counseling Psychology, 55*, 473-484.
45. *Ostrander, R., **Herman, K. C.**, Sikorski, J., Lambert, S. F., & Mascendaro, P. (2008). Patterns of psychopathology in children with ADHD: A Latent Profile Analysis. *Journal of Child Clinical & Adolescent Psychology, 37*, 833-847.
46. ***Herman, K. C.**, Lambert, S. F., Reinke, W. M., & Ialongo, N. S. (2008). Academic incompetence in first grade as a risk factor for depressive cognitions and symptoms in middle school. *Journal of Counseling Psychology, 55*, 400-410.
47. *Reinke, W. M., **Herman, K. C.**, Petri, H., & Ialongo, N. S. (2008). Empirically-

derived subtypes of child academic and behavior problems: Co-occurrence and distal outcomes. *Journal of Abnormal Child Psychology*, 36, 759-770.

48. ***Herman, K. C.**, Ostrander, R., Walkup, J., Silva, S., & March, J. (2007). Empirically-derived subtypes of adolescent depression: Latent profile analysis of co-occurring symptoms in the TADS study. *Journal of Consulting and Clinical Psychology*, 75, 716-728.
49. ***Herman, K. C.**, Lambert, S., Ialongo, N., & Ostrander, R. O. (2007). Academic pathways between attention problems and depressive symptoms among urban African American children. *Journal of Abnormal Child Psychology*, 35, 265-274.
50. ***Herman, K. C.**, Ostrander, R. O., & Tucker, C. M. (2007). Do family environments and negative cognitions of adolescents with depressive symptoms vary by ethnic group? *Journal of Family Psychology*, 21, 325-330.
51. ***Herman, K. C.**, & Ostrander, R. (2007). The effects of attention problems on depression: Developmental, academic, and cognitive pathways. *School Psychology Quarterly*, 22, 483-510.
52. *Jackson, E. S., Tucker, C. M., & **Herman, K. C.** (2007). Health value, perceived social support, and health self-efficacy as factors in a health-promoting lifestyle. *Journal of American College Health*, 56, 69-74.
53. **Herman, K. C.**, Tucker, C. M., & Ferdinand, L. A. (2007). Culturally sensitive healthcare and counseling psychology: An overview. *The Counseling Psychologist*, 35, 633-649.
54. Tucker, C. M., **Herman, K. C.**, Ferdinand, L. A., Beato, C., Adams, D., Cooper, L. (2007). Providing culturally sensitive healthcare: A formative model. *The Counseling Psychologist*, 35, 679-705.
55. Tucker, C. M., Ferdinand, L. A., Mirsu-Paun, A., **Herman, K. C.**, Delgado-Romero, E., van de Berg, J., et al. (2007). The roles of counseling psychologists in reducing health disparities. *The Counseling Psychologist*, 35, 650-678.
56. Tucker, C. M., & **Herman, K. C.** (2007). Resolving the paradoxes of and barriers to patient-centered culturally sensitive healthcare. *The Counseling Psychologist*, 35, 735-743.
57. *Ostrander, R., & **Herman, K. C.** (2006). Potential cognitive, parenting, and developmental mediators of the relationship between ADHD and depression. *Journal of Consulting and Clinical Psychology*, 74, 89-98.
58. *George, C., **Herman, K. C.**, & Ostrander, R. (2006). The family environment and developmental psychopathology: The unique and interactive effects of depression, attention, and conduct problems. *Child Psychiatry and Human Development*, 37, 163-177.
59. Reinke¹, W. M., **Herman¹, K. C.**, & Tucker, C. M. (2006). Building and sustaining

communities that prevent mental disorders: Lessons from the field of special education. *Psychology in the Schools*, 43, 313-329. (¹Contributed equally as noted.)

60. *Blackman, G. L., Ostrander, R., & **Herman, K. C.** (2005). Children with ADHD and depression: A multisource, multimethod assessment of clinical, social, and academic functioning. *Journal of Attention Disorders*, 8, 195-207.
61. *Tucker, C. M., Porter, T., Reinke, W. R., **Herman, K. C.**, & Ivery, P. (2005). Promoting teacher efficacy for working with culturally diverse students. *Preventing School Failure*, 50, 29-34.
62. **Herman, K. C.**, Merrell, K., Reinke, W. M., & Tucker, C. M. (2004). The role of school psychology in preventing and treating depression. *Psychology in the Schools*, 41, 763-775.
63. McIntosh, K., **Herman, K. C.**, Sanford, A., Florence, K., & McGraw, K. (2004). Teaching transitions: Techniques for promoting success between your lessons. *Teaching Exceptional Children*, 37, 32-38.
64. ***Herman, K.C.**, & Fahnlander, B. (2003). A motivational intervention to reduce cigarette smoking among college students. *Journal of College Counseling*, 6, 46-55.
65. *Tucker, C. M., **Herman, K. C.**, Pedersen, T. R., Higley, B., Montrichard, M., & Ivery, P. (2003). Cultural sensitivity in physician-patient relationships: Perspectives of an ethnically diverse sample of low-income primary-care patients. *Medical Care*, 41, 859-870.
66. Parks, A. C., & **Herman, K. C.** (2003). A sociocultural perspective on the primary prevention of depression. *Prevention and Treatment*, 6, Article 15.
67. *Tucker, C. M., & **Herman, K.C.** (2002). Using culturally-sensitive theories and research to meet the academic needs of low-income African American children. *American Psychologist*, 57, 762-773.
68. Reinke, W. M., & **Herman, K. C.** (2002). A research agenda for school violence prevention. *American Psychologist*, 57, 796-797.
69. Reinke, W. M., & **Herman, K. C.** (2002). Creating school environments that deter antisocial behaviors in youth. *Psychology in the Schools*, 39, 549-559.
70. *Tucker, C. M., Zayco, R. A., **Herman, K. C.**, Reinke, W. R., Trujillo, M., & Carraway, K. (2002). Teacher and child variables as predictors of academic engagement among African American children. *Psychology in the Schools*, 39, 477-488.
71. *Tucker, C. M., Vogel, D., Keefer, N., Reid, A., Carraway, K., Reinke, W. R., & **Herman, K. C.** (2002). Maladaptive behavior in African American children: A self-regulation theory-based approach. *Educational Forum*, 66, 220-227.

72. *Tucker, C. M., Petersen, S., **Herman, K. C.**, Fennell, R. S., Bowling, B., Pedersen, T., & Vosmik, J. (2001). Self-regulation predictors of medication adherence among ethnically different children. *Journal of Pediatric Psychology*, *26*, 455-464.
73. ***Herman, K. C.**, & Tucker, C. M. (2000). Engagement in learning and academic success among at-risk Latino-American students. *Journal of Research & Development in Education*, *33*, 129-136.
74. *Tucker, C. M., **Herman, K. C.**, Petersen, T., Vogel, D., & Reinke, W. M. (2000). Student-generated solutions to enhance the academic success of African American youth. *Child Study Journal*, *30*, 205-224.
75. Tucker, C. M. & **Herman, K. C.** (2000). A postmodern guide for race- and culture-responsive therapy. *Contemporary Psychology*, *45*, 193-194.
76. *Tucker, C. M., **Herman, K. C.**, Reid, A., Vogel, D., & Keefer, N. (1998). The Research-Based Model Partnership Education Program: A four-year outcome study. *Journal of Research and Development in Education*, *32*, 32-37.
77. *Usita, P. M., Hyman, Jr., I. E., & **Herman, K. C.** (1998). Narrative intentions: Listening to life stories in Alzheimer's Disease. *Journal of Aging Studies*.
78. **Herman, K. C.** (1998). Composing and revising a counselor's narrative. *Counseling and Values*, *42*, 101-105.
79. *Tucker, C. M., Harris, Y. R., Brady, B. A., & **Herman, K. C.** (1997). The association of selected parent behaviors with the academic achievement of African-American children and Caucasian children. *Child Study Journal*, *26*, 253-277.
80. *Tucker, C. M., Abrams, J. M., Chennault, S. A., Stanger, T., & **Herman, K. C.** (1997). The relationship between client satisfaction and rehabilitation success as measures of VR counselor performance. *Journal of Rehabilitation*, *63*, 49-52.
81. **Herman, K. C.** (1997). Embracing human science in counseling research. *Counselor Education & Supervision*, *36*, 270-283.
82. **Herman, K. C.** (1997). Increasing counselor's awareness of Irlen Syndrome. *Journal of College Student Psychotherapy*, *11*, 65-73.
83. *Tucker, C. M., **Herman, K. C.**, Brady, B., & Frazier, K. (1996). Operation Positive Expression: A behavior change program for adolescent halfway house residents. *Residential Treatment for Adolescents & Youth*, *13*, 67-80.
84. ***Herman, K. C.** (1995). Appropriate use of the Child Abuse Potential Inventory in a Big Brothers/Big Sisters setting. *Journal for Social Service Research*, *20*, 93-103.
85. ***Herman, K. C.**, & Usita, P. M. (1994). Predictive validity of the 16 PF in

screening volunteers for Big Brothers/Big Sisters. *Psychological Reports*, 74, 249-250.

86. ***Herman, K. C.**, & Usita, P. M. (1994). Predicting volunteer attrition with the 16 PF. *Child & Youth Care Forum*, 23, 207-211.
87. **Herman, K. C.** (1993). Enhancing screening for child care work with problem-specific scales. *Child & Youth Care Forum*, 22, 459-476.
88. **Herman, K. C.** (1993). Reassessing predictors of therapist competence. *Journal of Counseling & Development*, 72, 29-32.

Book Chapters, Monographs (*Peer-Reviewed)

1. Murrity, R., Burns, J., Reinke, W. M., **Herman, K. C.**, & King, K. (in press). Evidence-based assessment and intervention for ODD and CD. In M. Terjesen, and M. Thielking (Eds.), *Handbook of Australian School Psychology: International Research, Practice, and Policy*. New York: Oxford.
2. **Herman, K. C.**, Reinke, W. M., Thompson, A., & Faloughi, R. (in press). Universal prevention to support children's mental health in schools. In A. Grills & M. Holt (Eds.), *Critical Issues in School Mental Health: Evidence-based Research, Practice, and Interventions*. New York: Routledge.
3. Thompson, A. M., Reinke, W. M., & **Herman, K. C.** (in press). The value, practice, and evaluation of teaching social emotional learning to students with and without disabilities. In C. R. Massat, M. S. Kelly, and R. Constable (Eds.), *School social work: Practice, policy, and research* (8th ed.). New York: Oxford.
4. *Reinke, W., Frey, A., **Herman, K. C.**, Thompson, C. (2014). Improving implementation of effective interventions for children with behavior problems in home and school settings: Using motivational interviewing to engage teachers and parents. In H. Walker & F. Gresham (Eds.), *Handbook of evidence-based practices for school-related behavior problems and disorders*. New York: Guilford.
5. ***Herman, K. C.**, Reinke, W. M., Bradshaw, C., Lochman, J., Borden, L., & Darney, D. (2014). Increasing parental engagement in school-based preventive interventions: The integration of the Family Check-up and the Parent Coping Power Program. In M. Weist, N. Lever, C. Bradshaw, & J. Owens (Eds.), *Handbook of School Mental Health (2nd Edition)*. New York: Springer.
6. McIntosh, K., Reinke, W., & **Herman, K.C.** (2010). School-wide analysis of data for social behavior problems: Assessing outcomes, selecting targets for intervention, and identifying need for support. In G. G. Peacock, R. A. Irvin, E. J. Daly, & K. Merrell (Eds.), *Practical handbook of school psychology: Effective practices for the 21st century*. New York: Guilford Press.
7. Tucker, C. M., Daly, K., & **Herman, K. C.** (2010). Customized multicultural health

counseling: Bridging the gap between mental and physical health for racial and ethnic minorities. In J. G. Ponterotto, J. M. Casas, L. A. Suzuki, & C. M. Alexander, *Handbook of multicultural counseling* (4th edition). Thousand Oaks, CA: Sage.

8. Strong Kids Publication Team (2007). Preparing your lessons and your students. In K. W. Merrell, *Strong Kids: A social and emotional learning curriculum*. Baltimore: Brookes Publishing Co.

Books

1. **Herman, K.C.**, & Reinke, W. (2014). *Stress management for teachers: A proactive guide*. New York: Guilford Press.
2. **Herman, K.C.**, Reinke, W., Frey, A., & Shepard, S. (2013). *Motivational interviewing in schools: Strategies for engaging parents, teachers, and students*. New York: Springer.
3. Stormont, M., Reinke, W., **Herman, K.C.**, & Lembke, E. (2012). *Tier 2 interventions: Academic and behavior supports for students at risk for failure*. New York: Guilford Press.
4. Reinke, W., **Herman, K.C.**, & Sprick, R. (2011). *Motivational interviewing for effective classroom management: The Classroom Check-Up*. New York: Guilford Press.
5. Reinke, W., **Herman, K.C.**, & Sprick, R. (expected 2016). *Motivational interviewing for effective classroom management: The Classroom Check-Up* (Korean Translation). New York: Sigma Press.

Treatment Manuals

1. Reinke, W., **Herman, K.C.**, & Sprick, R. (2011). *The Classroom Check-Up: Implementation Manual for PATHS to Pax*. Baltimore, MD: Johns Hopkins School of Public Health.
2. **Herman, K.C.** & Reinke, W. (2011). *School-Enhanced Family Check-Up plus Coping Power: Clinician Treatment Manual*. Baltimore, MD: Johns Hopkins School of Public Health.

Instrument Development

1. Stormont, M., **Herman, K.C.**, & Reinke, W.M. (2011). *The Kindergarten Academic and Behavior Readiness Screener*. Columbia, MO: Missouri Prevention Center.
2. **Herman, K.C.**, Stormont, M., & Reinke, W.M. (2011). *The Secondary Readiness Screener*. Columbia, MO: Missouri Prevention Center.

Manuscripts Under Review

Martens, M.P., **Herman, K. C.**, Takamatsu, S., & Schmidt, A. (2014). An update on the status of sponsored research in counseling psychology. *The Counseling Psychologist*.

Manuscript under review.

- Cadigan, J., Martens, M., & **Herman, K.C.** (2014). A latent profile analysis of drinking motives among heavy drinking college students. *Journal of Studies on Alcohol and Drugs*. Manuscript under review.
- Herman, K. C.**, Cohen, D., Reinke, W. M., Ostrander, R., Burrell, L., McFarlane, E., & Duggan, A. (2014). Using latent profile and transition analyses to understand patterns of informant ratings of child depression. *Child Development*. Manuscript under review.
- Herman, K. C.**, & Reinke, W. M. (2014). Improving teacher perceptions of parent involvement patterns: Findings from a group randomized trial. *Journal of Consulting and Clinical Psychology*. Manuscript under review.
- Thompson, A., **Herman, K. C.**, Stormont, M., Reinke, W. M., & Webster-Stratton, C. (2015). Impact of Incredible Years on teacher perceptions of parent involvement: A Latent transition analysis *Journal of Educational Psychology*. Manuscript under review.
- Reinke, W.M., **Herman, K. C.**, & Newcomer, L. (2015). The Brief Student-Teacher Interaction Observation: Using dynamic indicators of behaviors in the classroom to predict outcomes and inform practice. *Assessment for Effective Intervention*. Manuscript under review.
- Stormont, M., Thompson, A., **Herman, K. C.**, & Reinke, W. M. (2015). Investigating the utility of a single-item kindergarten readiness screener *Assessment for Effective Intervention*. Manuscript under review.
- Reinke, W.M., & **Herman, K. C.** (2015). Using brief assessments of important indicators to inform school-based interventions and practice *Assessment for Effective Intervention*. Manuscript under review.

Manuscripts in Preparation

- Bradshaw, C., **Herman, K. C.**, (2014). Adaptive preventive interventions and tiered prevention approaches. In M. Weist, N. Lever, C. Bradshaw, & J. Owens (Eds.), *Handbook of School Mental Health (2nd Edition)*. New York: Springer. Manuscript in preparation.
- Herman, K. C.** & Reinke, W. M. (2014). Contextual positive psychology: Environmental antecedents to human flourishing. Manuscript in preparation.
- Reinke, W. M., Herman, K. C., & Dong, N. (2015). *A group randomized evaluation of the Incredible Years Teacher Training program*. Manuscript in preparation.
- Dong, N., Reinke, W. M., **Herman, K.C.**, Bradshaw, C., & Murray, D. (2015). *Meaningful effect sizes, intra-class correlations, and proportions of variance explained by covariates for planning 3 level cluster randomized trials*. Manuscript in preparation.
- Hickmon, J., Reinke, W. M., & **Herman, K. C.** (2014). Empirically derived profiles of teacher stress, burnout, self-efficacy, and coping and associated student outcomes
- Bradshaw, C., Debnam, K., Bottiani, L., Pas, E., Reinke, W. M., **Herman, K.C.**, & Rosenberg, M. (2014). Coaching teachers to reduce disproportionality through cultural proficiency: Preliminary impacts of the Double Check model. *School Mental Health*.
- Cohen, D., **Herman, K. C.**, Stormont, M., Reinke, W. M., & Ostrander, R. (2015). Latent profile analyses of multi-informant ratings of depressive symptoms in children with ADHD *Journal of School Psychology*. Manuscript in preparation.

- Sims, W., Cohen, D., & **Herman, K.C.** (2015). Best practices for addressing motivational issues in school-based consultation. *Communique*. Manuscript in preparation.
- Herman, K. C.**, Cohen, D., Owens, S., Latimore, T., Reinke, W. M., Burrell, L., McFarlane, E., & Duggan, A. (2014). Academic and social competence, likeability, and child depression. Manuscript in preparation.
- Reinke, W.M., **Herman, K. C.**, Borden. L., Stormont, M., Darney, D., Goel, N., & Webster-Stratton, C. (2014). Latent transition analysis of changes in observed parenting behaviors randomized controlled trial. *Journal of Consulting & Clinical Psychology*. Manuscript in preparation.
- Puri, R., **Herman, K. C.**, Reinke, W., & Ialongo, N. (2014). *Early childhood and parental predictors of perfectionism in African American children*. Manuscript in preparation.
- Bi, Y., **Herman, K. C.**, Burrell, L., McFarlane, E., & Duggan, A. (2014). *Early predictors of child depression in at-risk families* Manuscript in preparation.
- Borden, L., Ostrander, R., & **Herman, K. C.** (2014). *Latent transitions of depression and inattention*. Manuscript in preparation.
- Borden, L. & **Herman, K. C.** (2014). *A modified Family Check-Up for delivering psychological assessment feedback*. Manuscript in preparation.
- Rohrer, D. & **Herman, K. C.** (2014). *The utility of peer nomination ratings of young children's internalizing symptoms*. Manuscript in preparation.
- Rohrer, D., & **Herman, K. C.** (2014). *Developing an integrated screening and progress monitoring tool for internalizing problems in middle school students*. Manuscript in preparation.
- Traylor, K., **Herman, K. C.**, & Ialongo, N. (2014). *Impact of family routines & rituals on African-American adolescents living in low-income, single-parent homes*. Manuscript in preparation.
- Wang, K., **Herman, K. C.**, Bi, Y., Reinke, W. M., & Ialongo, N. (2014). *Latent transition analysis of perfectionism in early adulthood*. Manuscript in preparation.
- Herman, K. C.**, Reinke, W. M., Lambert, S.F., & Ialongo, N. (2014). *Longitudinal growth of perceptions of control during adolescence: Implications for preventing depression*. Manuscript in preparation.
- Borden, L., **Herman, K. C.**, Reinke, W. M., & Ialongo, N. (2014). *Examining the relationship between low academic competence and depression in middle school: Mediation by perceived control*. Manuscript in preparation.
- Herman, K. C.**, Latimore, T., Robeson, E., & Mascendaro, P., Reinke, W. (2014). *Using motivational interviewing in schools: Strategies for consulting with teachers and families*. Manuscript in preparation.
- Puri, R., & **Herman, K. C.** (2014). Expanding the reach of evidence-based parenting practices beyond the US: How transportable are parenting programs? Manuscript in preparation (*Prevention in Counseling Psychology: Theory, Research, Practice and Training*).
- Lambert, S. F., **Herman, K. C.**, Ialongo, N. S. (2014). *Child reports of depressed mood in elementary school: Implications for mental health and academic functioning*. Manuscript in preparation.
- Lambert, S. F., **Herman, K. C.**, Ialongo, N. S. (2014). *The effects of a universal intervention targeting early learning and aggression on depressive symptoms n adolescence*. Manuscript in preparation.
- Lambert, S. F., **Herman, K. C.**, Ialongo, N. S. (2014). *Patterns of depressive symptoms in African American children*. Manuscript in preparation.

Other media (films, videos, CD-ROMs, slide sets, etc.)

Tucker, C. M., **Herman, K. C.**, Shanbhag, M., & Reid, A. (1995). *The Research-Based Model Partnership Education Program* [Video]. Gainesville, FL: University News & Public Affairs.

Extramural Sponsorship (over \$10 million total; \$8 million as PI/Co-PI)

Active Investigator Roles:

Evaluation of a Video-based Modeling Program to Promote Effective Teacher Classroom Management Practices (2010-2015). PI/Co-PIs: Wendy Reinke, Keith Herman, and Melissa Stormont. Funder: Institute of Education Sciences (IES; Efficacy and Replication), R305A100342. Role (Proposed Effort): Co-Principal Investigator (40%). Award: \$2,959,028.

Evaluation of a Classroom Management Training Program for Middle School Teachers (2013–17). PI/Co-PI: Keith Herman and Wendy Reinke. Funder: Institute of Education Sciences (IES; Efficacy and Replication), R305A130143. Role (Proposed Effort): Principal Investigator (50%). Award: \$2,998,365.

The Classroom Check-Up: Supporting Teacher in Classroom Management Using a Web-based Coaching System (2013 – 2016). PI/Co-PI: Wendy Reinke and Keith Herman. Funder: Institute of Education Sciences (IES; Development), R305A130375. Role (Proposed Effort): Co-Principal Investigator (20%). Award: \$1,496,990.

Designing a Developmentally-Sensitive & Theory-Driven Intervention for Child Depression (2012-14). Funder: University of Missouri Richard Wallace Research Incentive Grant. Role: Principal Investigator. Award: \$2,500.

Evaluating the Incredible Years Parents and Toddlers Program for Promoting Effective Parenting and Reducing Child Maltreatment (2012-14). Funder: University of Missouri Research Council. Role: Principal Investigator. Award: \$7,500.

Active Grant Consultation Roles:

Double Check: A Cultural Proficiency and Student Engagement Model (2011-2015). Funded by Institute for Education Sciences, (Development) R324A110107. Awarded to Catherine Bradshaw (PI). Role: Consultant.

Bridges: School-Based Child Psychiatry (2015-). Funded by Boone County Children Services Board. Awarded to Laine Young-Walker (PI). Role: Evaluator (5%). Pending:

Evaluation of a Self-Monitoring Training Program for Elementary School Students (2015-2019). PI/Co-PI: Aaron Thompson, Wendy Reinke, and Keith Herman

Funder: Institute of Education Sciences (IES; Efficacy and Replication).
Role (Proposed Effort): Co-Principal Investigator (27.5%).
Total Requested: \$3,461,284. Resubmit: prior score 2.15

Creating a Comprehensive Data-based Coordinated System of Care for School Districts to Promote Youth Academic Success and Social Emotional Development: A Researcher-Practitioner Partnership (2015-2017). PI/Co-PI: Wendy Reinke, Aaron Thompson, and Keith Herman.
Funder: Institute of Education Sciences (IES; Research-Practitioner Partnership).
Role (Proposed Effort): Co-Principal Investigator (10%).
Total Requested: \$ 399,969.

University of Missouri Interdisciplinary Postdoctoral Research and Training Program in the Education Sciences (2015-2020). PI/Co-PI: Wendy Reinke, Keith Herman, Nianbo Dong, & Chris Riley-Tillman. Funder: Institute of Education Sciences (IES; Postdoctoral Program).
Role (Proposed Effort): Co-Principal Investigator (10%). Total Requested: \$699,562.

The University Missouri Interdisciplinary Training Program to Build Capacity to Support Students with EBDs (2015-2019). PI/Co-PI: Wendy Reinke, Keith Herman, & Aaron Thompson.
Funder: US Department of Education, Office of Special Education Programs (OSEP; Personnel Preparation). Role (Proposed Effort): Co-Principal Investigator (10%).
Total Requested: \$974,581.

Development of a framework to guide school-based coaching to improve implementation fidelity (2015-2017). PI/Co-PI: Andy Frey, Wendy Reinke, Keith Herman, Jon Lee, & Melissa Stormont. Funder: National Institute of Child Health and Development (NICHD).
Role (Proposed Effort): Co-Investigator (10%). Total Requested: \$300,000 (Direct Costs).

Under Revision/Resubmission (previously scored):

An Evaluation of Restorative Practices in Kansas City Public Schools (2015-2020).
PI/Co-PI: James Sebastian, Wendy Reinke, and Keith Herman (Evaluation)
Funder: National Institute of Justice (NIJ; 2014-3878).
Role (Proposed Effort): Co-Investigator (3.75%). Total Requested: \$1,684,426.

Family Institute (2014-2015).
PI/Co-PI: Wendy Reinke. Funder: Stafford Charitable Family Trust
Role (Proposed Effort): Co-Principal Investigator. Total Requested: \$10,640.

The Development of a Comprehensive Transition to Kindergarten Intervention Bridge for Children with Behavior and Academic Readiness Concerns (08/01/2013 – 7/31/2016). Submitted to Institute of Education Sciences (IES; Development). Role: Co-Principal Investigator. Proposed Effort: 30%. Total amount requested: \$ 1,495,208.

National Research and Development Center on Interventions for Families of Students with Emotional and Behavioral Disorders (08/01/2013 – 7/31/2018). Submitted to Institute of Education Sciences (IES; Families and EBD Research Center). Role: Principal Investigator.

Proposed Effort: 50%. Total amount requested: \$ 9,993,092.

Completed:

Center for Prevention and Early Intervention (2009-2014).

PIs: Nicholas Ialongo (Center PI) and Wendy Reinke (Campus Co-PI).

Funder: National Institute of Mental Health (NIMH), P30 MH066247.

Role (Proposed Effort): Campus Co-Principal Investigator (20%)

Award: \$644,837 (total subcontract).

Helping School Districts Select and Implement Best Practices in Early Childhood Mental Health Prevention (2008-2010). Funded by Missouri Partnership for Educational Renewal grant awarded to Wendy Reinke, Keith Herman, Melissa Stormont). Role: Co-Investigator.

Award: \$10,000.

Promoting Effective Behavior Management in Schools (2010-2011). Funded by Missouri Partnership for Educational Renewal grant awarded to Walker Elementary in partnership with Wendy Reinke and Keith Herman. Role: Co-Investigator. Award: \$1,500.

A Family-Centered Intervention for Child Depression (2008-2010). Funded by University of Missouri Research Council grant awarded to Keith Herman. Role: Principal Investigator.

Award: \$7,340.

Providing Evidence-Based Parent Behavior Management Training to Parents in Head Start (2009-2010). Funded by Children's Trust Fund grant awarded to Keith Herman and Wendy Reinke submitted in partnership with Central Missouri Community Action, Head Start. Role: Co-Principal Investigator. Award: \$5,000.

Providing Evidence-Based Parent Behavior Management Training to College Students with Children (2009-2010). Funded by University of Missouri Parent Association Student grant awarded to Elise Hendrickson and Joni Splett (Student Investigators); Keith Herman and Wendy Reinke (Faculty PI's). Role: Principal Investigator. Award: \$1,000.

Reducing Child Abuse through Parent Training to Expecting and New Caregivers (2010-2011). Funded by Target Foundation grant awarded to Connie Brooks, Keith Herman and Wendy Reinke. Role: Co-Principal Investigator. Award: \$4,500.

Providing Evidence-Based Parent Behavior Management Training to Parents in Head Start (2008-2009). Funded by Children's Trust Fund grant awarded to Keith Herman and Wendy Reinke submitted in partnership with Central Missouri Community Action, Head Start. Role: Co-Principal Investigator. Award: \$5,000.

Use of Culturally Sensitive Health Care Assessments and Patient Health Care Promotion Training (2007-2008). Funded by Robert Wood Johnson Foundation grant awarded to Carolyn Tucker. Role: Co-Investigator. Award: \$251,000.

Center for Prevention and Early Intervention (2005-2007). Funded by the National Institute of Mental Health (NIMH), 5P30MH066247-02, awarded to Nicholas Ialongo. Role: Consultant Investigator. Effort: 10%.

Center for Youth Violence Prevention (2005-2007). Funded by Centers for Disease Control (CDC), 5U49CE000728-02, awarded to Philip Leaf. Role: Co-Investigator; Co-authored R01 Core Project for this successful CDC Center grant application: "Legislatively Mandated School Violence Prevention" (Leaf, Bradshaw, Herman, Plank, & Feldman). Award: \$163,936 (first year).

Children's Health Self-Empowerment Project (2003-2005). Funded by the Florida Department of Health/Medical Services awarded to Carolyn M. Tucker. Role: Co-Investigator. Award: \$237,492.

A Patient Centered Culturally Sensitive Care Model (2002-2005). Funded by US Department of Health and Human Services, Agency Healthcare Research and Quality awarded to Carolyn Tucker. Role: Co-Investigator. Award: \$909,991.

Preventing Depression in College Freshmen (2002). Funded by Northwest Health Foundation grant awarded to Keith Herman (Research Supervisor) and Acacia Parks (Student Investigator). Award: \$2,557.

Completed Grant Consultation Roles:

Enhanced First Step to Success: Improving School Readiness for School Children with Disruptive Behavior. (2009-2012). Funded by IES, (Development) R324A090237. Awarded to Andy Frey (PI). Role: Consultant. Total amount funded: \$1,495,511.

Missouri Prevention Center Funding:

Incredible Years: School-Age Parent and Child Group Programs (2008). Funding provided by University of Missouri Office of Student Affairs awarded to Keith Herman and Wendy Reinke. Award: \$2,600.

Missouri Prevention Center (2007). Funding provided by University of Missouri Department of Educational and Counseling Psychology. Award: \$2,000.

Other Research Grants and Awards:

Acheson J. Duncan Fund for the Advancement of Research in Statistics, 2005, competitive award to support cross-disciplinary collaboration, sponsor: Johns Hopkins University, Mathematical Science, \$2600.

Stillman Drake Award, 2001, competitive award to support faculty research, sponsor: Reed College, \$1,000.

Summer Research Grant, 2001, competitive award to support faculty research, sponsor:

Reed College, \$1,000.

NSF AIRE Mentor, awarded to support faculty and student collaboration, sponsors:
National Science Foundation, Reed College, \$1,000.

Dissertation Fellowship, 1997, competitive award to support dissertation research,
sponsor: University of Florida, \$3,300.

Dissertation Research Award, 1997, competitive award to support dissertation research,
sponsor: American Psychological Association, \$500.

Grinter Fellowship, 1993, competitive award to support predoctoral research, sponsor:
University of Florida, \$4300.

Slater Graduate Scholarship, 1990, competitive award to support undergraduate research,
sponsor: Phi Kappa Phi, \$500.

ORGANIZATIONAL AND SERVICE ACTIVITIES

Professional Societies

Society for the Study of School Psychology (SSSP), 2013-present, member
Society for Prevention Research (SPR), 2008-present, member
Motivational Interviewing Network of Trainers (MINT), 2002-present, member
International Society for Research on Child & Adolescent Psychopathology, 2009-present
American Psychological Association (Div.16,17,53), 1990-2010, 2013-present (#4101-1153)
National Association of School Psychology (NASP), 2002-2010, 2012-present
Association of Positive Behavior Supports (APBS), 2013-present.

Editorial Activities

Editorial Board Appointments

School Psychology Quarterly, Reviewer, 2007-present
Journal of Counseling Psychology, Reviewer, 2009 – present
Prevention Science, Reviewer, 2014-present

Guest Editor Activities

School Psychology Review, 2014, Guest Associate Editor
Advances in School Mental Health Promotion, 2012; Special Issue: “Developing and implementing
integrated family and school-based mental health interventions”
Psychology in the Schools, 2010; Special Issue: “The role of prevention science in advancing
research and practice in the schools”

Journal Peer Review Activities

New Directions for Child and Adolescent Development, Ad hoc reviewer, 2015-present
Child Development, Ad hoc reviewer, 2012-present
Journal of Consulting and Clinical Psychology, Ad hoc reviewer, 2006-present
Journal of Abnormal Child Psychology, Ad hoc reviewer, 2005-present
Clinical Psychology Review, Ad hoc reviewer, 2012-2013

Archives of General Psychiatry, Ad hoc reviewer, 2008 – 2009
Journal of Research on Adolescence, Ad hoc reviewer, 2008 –2010
Journal of Counseling Psychology, Ad hoc reviewer, 2007 - 2008
Primary Care Companion, Ad hoc reviewer, 2006-2010
Psychology, Health, & Medicine, Ad hoc reviewer, 2007-2010
Vulnerable Children and Youth Studies, Ad hoc reviewer, 2007-2010
School Psychology Quarterly, Ad hoc reviewer, 2006-2007
Journal of Social & Clinical Psychology, Ad hoc reviewer, 2000-2002
Journal of Clinical Psychology in Medical Settings, Ad hoc reviewer, 2000-2002
Journal of College Counseling, Ad hoc reviewer, 2002

Professional Committees

Program Committee, APA Division 17, 2008-2009

Advisory Committees, Review Groups

Search Committees

- Associate Dean Search Committee, College of Education, U of Missouri (2013).
- Faculty Search Committees, School Psychology (2007-2008; 2010-2011; 2013); Health Education (2013); Counseling Psychology (2008-2009; 2014); U of Missouri
- Dean Search Committee, College of Education, U of Missouri (2008, 2009).

Department Committees

- Doctoral Admissions Committee, Counseling Psychology, University of Missouri (2007-2010, 2013-2014).
- Strategic Planning Workgroup, Counseling Psychology, University Missouri.
- Research Enhancement Committee (2011), College of Education, U of Missouri
- ESCP Grant Enhancement Committee (2009-2010).
- ESCP Academic & Personnel Committee (2009-2014).
- Chair, ESCP Academic & Personnel Committee (2013-2014).
- ESCP Tenure and Merit Criteria Committee (2011-2013).
- ESCP Candidate Committee (Bryana French, Ze Wang, Melissa Maras) (2012).
- ESCP Candidate Committee (Bryana French, Ze Wang, Melissa Maras, Francis Hwang, Nianbo Dong) (2013).
- ESCP Conference Committee Chair (2009-2013).

College Committees

- MO State Accreditation, School Counseling (2009-2010).
- COE Curriculum Committee (2010-2013).

MU Campus/System Committees

- Research Responsibility Committee (2014-2015).
- Ad Hoc Research Ethics Inquiry Committee (2014).

External Committees

- External Reviewer UC Santa Barbara, U of Oregon (2013)

- Data Safety and Monitoring Board. (Matthew Martens, P.I.), University of Missouri. (2013-present).
- Data Safety and Monitoring Board. *Project Catch-It* (Benjamin Van Voorhees, P.I.), University of Chicago (2008).
- Expert Advisory Committee, Parenting Strategies Program, University of Melbourne (2014)
- Expert Advisory Committee, Double Check Cultural Proficiency Grant (2011-2014).
- Expert Advisory Committee, Enhancing First Step to Success Grant (2010-2013).
- Expert Reviewer, Technical Review Committee, National Center on Intensive Intervention (funded by the Office of Special Education Programs) (2012- present).
- Research and Statistical Consultant (2002-2004), *Children's Health Self-Empowerment Project* Carolyn M. Tucker, P.I.), Florida Department of Health, Medical Services
- Statistical Consultant (2000-2002), *Behavioral Medicine Team*, University of Florida

EDUCATIONAL ACTIVITIES

Teaching

Classroom Instruction

Graduate:

Prevention Science Research, 2011-2013, University of Missouri, Professor
 Motivational Interviewing in Schools, 2012, University of Missouri, Professor
 Prevention Science Practicum, 2011, University of Missouri, Professor
 Counseling Psychology Research II, 2009-2013, University of Missouri, Professor
 Child and Adolescent Psychopathology, 2008-2011, University of Missouri, Professor
 Program Evaluation for School Counselors, 2008-2009, University of Missouri, Professor
 School Counseling Practicum, 2008-2009, University of Missouri, Professor
 Parent Counseling and Consultation, 2007-2009, 2012, University of Missouri, Professor
 Interventions for Child Behavior Disorders, 2004, University of Oregon, Professor
 Psychological Assessment, 2003-2004, University of Oregon, Professor
 Diversity in Education, 2002-2003, University of Oregon, GTF
 Diagnostic Assessment, 1999, Rhode Island College, Professor
 Theories of Counseling, 1999, Rhode Island College, Professor
 Group Counseling, 1999, Rhode Island College, Professor
 Practicum, 1999-2000, Rhode Island College, Professor
 Advanced Practicum, 1999-2000, Rhode Island College, Professor

Undergraduate:

Introduction to Psychology, 2003-2004, Willamette University, Professor
 Clinical/Abnormal Psychology, 2003-2004, Willamette University, Professor
 Psychopathology, 2000-2002, Reed College, Professor
 Health Psychology, 2000-2002, Reed College, Professor
 Community Psychology, 2000-2002, Reed College, Professor
 Clinical Psychology, 2000-2001, Reed College, Professor
 Senior Thesis, 2000-2002, Reed College, Professor
 General Psychology, 1995-1996, New River Community College, Instructor
 Educational Psychology, 1995-1996, New River Community College, Instructor

Psychology of Adjustment, 1995-1996, New River Community College, Instructor
General Psychology, 1994-1995, Santa Fe Community College, Instructor
Abnormal Psychology, 1994-1995, Santa Fe Community College, Instructor
College Study Skills, 1994-1995, University of Florida, Instructor

Clinical Instruction

Parent Interventions, 2006, John Hopkins Child Psychiatry Residency Seminars
Practicum, 1999-2000, Rhode Island College, Professor
Advanced Practicum, 1999-2000, Rhode Island College, Professor
Internship Supervisor, 1999-2000, Rhode Island College, Supervisor

Mentoring

Doctoral Advisees (status; start date-defense date)

- Borden, Lindsay (graduated; 2008-2012). *Project Arches: An evaluation of a modified Family Check-Up intervention in an assessment setting*. Dissertation, University of Missouri, Columbia, MO.
- Francisco, Jaci (graduated; 2009-2013). *Examining the role of the university environment, cultural congruity, social support, and self-efficacy on the academic persistence of black students attending predominantly white institutions and historically black college or universities*. Dissertation, University of Missouri, Columbia, MO.
- Traylor, Karen (defended dissertation proposal; 2008-). *Impact of family routines and rituals on kinship care youth and family functioning*. Dissertation, University of Missouri, Columbia, MO.
- Bi, Yu (graduated; 2008-2014). *Early predictors of child depression in at-risk families: Latent profile analysis of risk factors occurring in ecological systems of young children*. Dissertation, University of Missouri, Columbia, MO.
- Puri, Rohini (defended proposal; 2009). *Structure, correlates and distal outcomes of early childhood perfectionism*. Dissertation, University of Missouri, Columbia, MO.
- Sykes, Jennifer (defended dissertation proposal; 2010). *Evaluating Incredibly Years Parents and Babies as a preventive intervention for physical child maltreatment*. Dissertation, University of Missouri, Columbia, MO.
- Schmidt, Alexandra (defended proposal; 2010). *Extending the Classroom Check-Up to parent engagement: A brief consultation intervention with teachers*. Dissertation, University of Missouri, Columbia, MO.
- Rohrer, David (defended dissertation proposal; 2010). *Developing and integrated screening and progress monitoring tool for internalizing problems in middle school students*. Dissertation, University of Missouri, Columbia, MO.
- Faloughi, Reuben (first year; 2013).

Dissertation and Doctoral Portfolio Committees

- Mayo Fujiki (2011; defended 2014). *The impact of teacher engagement in a training program on skill implementation and student outcomes*.
- Kimberly David (2011; defended 2014). *The impact of teacher engagement in a training program on skill implementation and student outcomes*.
- Reesha Adamson (2013; defended 2013). *A comparison of three opportunities to respond strategies across students with emotional and behavioral disorders in high school*.

Marcia Kearns (2012; defended 2012).
 Dana Darney (2011; defended 2013). *The Classroom Check-Up: Modularizing effective classroom interventions to support teacher implementation.*
 Ann Claire (2011; defended 2012). *Empirically derived profiles of classroom management strategies and related student outcomes: A latent profile analysis.*
 Nidhi Goel (2011; defended 2012). *The Parenting Check-Up: Linking assessment to intervention a brief individualized intervention or adoptive foster families to promote effective parenting practices.*
 Elise Robeson (2009; defended 2011). *An exploration of the familial risk profiles of kindergarten students and later academic and behavior problems.*
 Pat Garriott (2009; defended 2011). *Testing a social cognitive model of math/ science career goals in low-income prospective first generation college students.*
 Andrew Lammy (2008). *Gender roles and religion.*
 Sheriece Sadbury (2008). *Latent profile analysis of student athlete adjustment.*

Doctoral Comprehensive Exam Committees

2014: Sarah Mays, Stephanie Takamatsu, Taj Sconyers, Han Na Suh
 2013: David Rohrer, Jennifer Sykes, Lexi Schmidt, Jennifer Cadigan

Master's Thesis and Research Competency Committees

Carroll, V. (2014). *Positive reinforcement and alcohol outcomes among college athletes: The moderating role of protective behavioral strategies.* University of Missouri, Columbia, MO. Committee.
 Simmons, A. (2014). *Where are their voices? A review of the literature on black student mothers.* University of Missouri, Columbia, MO. Committee.
 Rohrer, D. (2012). *Measuring internalizing problems in young children using peer nominations.* University of Missouri, Columbia, MO. Chair.
 Schmidt, Alexandra (2012). *The role of teachers and schools in parent involvement.* University of Missouri, Columbia, MO. Chair.
 Hausman, Estee (2012). *Rumination in depression: An opportunity to increase positive affect?* University of Missouri, Columbia, MO. Committee.
 Latimore, Tracey (2012). *Evaluation of the Green Dot strategy: A community level violence prevention program.* University of Missouri, Columbia, MO. Committee.
 Mascendaro, Phil (2011). *Parent discrepancies in ratings of young children's internalizing symptoms.* University of Missouri, Columbia, MO. Chair.
 Clare, Ann (2011). *Effects of the Behavior Education Program and a home-based reinforcement system on antisocial behaviors in elementary school students.* University of Missouri, Columbia, MO. Committee.
 Darney, Dana (2011). *Children with co-occurring academic and behavior problems: A look at distal outcomes in 12th grade.* University of Missouri, Columbia, MO. Committee.
 Wagner, David (2010). *Long-term preventive effects of Multisystemic Therapy on criminality in siblings of juvenile offenders.* University of Missouri, Columbia, MO. Committee.
 Traylor, Karen (2009). *Impact of family routines & rituals on African-American adolescents living in low-income, single-parent homes.* University of Missouri, Columbia, MO.

Masters Advisees (year graduated; * dual degree student from NTNU)

Julia Heilich (2014); Julie Bollinger (2014); Courtney McArthur (2014); Roisin Burton-Beahan (2013); Lauren Erickson (2013); Meredith McCoy (2013); Zi-Hui Laoi* (2013); Jennifer Chism (2012); Carolyn Hubert (2012); Michelle Lackey (2012); Abbie Wang*

(2011); Ching-Hui Hsu* (2011); Kelley Levine (2011); Jessica Rosehill (2011); Sarah O'Neill (2011); Hsueh-Chi Lin (2011); Jennifer Naughton (2011); Rebecca West (2010); Erika Reynolds (2010); Jessica Schumacher (2010); Cindy Thomeczek (2012); Jian-Liang Chen* (2009); Amelia Fagioli (2009); Jasmine Tilghman (2009); Rohini Puri (2009); Catherine Green (2009)

Masters Portfolio Defenses

Jacelyn Miller (2014); Courtney McArthur (2014); Julie Bollinger (2014); Julia Heilich (2014); Brooklyn Shearer (2014); Hannah Wiese (2014); Casey Schwab (2014); Natalie Ratcliff (2014); Roisin Eagleberger Burton (2013); Meredith McCoy (2013); Jacob Biener (2013); Erica Wright (2013); Lauren Ericson (2013); Cindy Haley (2013); Katherine Glover (2013); Kaitlin Hopke (2012); Jenny Chism (2012); Elizabeth Jansen (2012); Danielle Fee (2012); Michelle Lackey (2012); Colleen Wenstrup (2012); Sarah Lusher (2012); Rebecca Legel (2012); Kathleen Dorsey (2011); Katherine Glover (2011); Clinton Hawley (2011); Hsiang-En Hung (2011); Jason Kertz (2011); Kelley LeVine (2011); Jennifer Naughton (2011); Sarah O'Neill (2011); Jessica Rosehill (2011); Hsueh-Chi Lin (2011); Tiffany Grayer (2010); Rebecca West (2010); Erika Reynolds (2010); Jessica Schumacher (2010); Rebecca Allen (2009); Patricia Williams (2009); Clayton Heinke (2009); Angela Wee (2009); Rebecca Seitz (2009); Jasmine Tilghman (2009); Amelia Fagiolo (2009); Belinda Harris (2009); Catherine Green (2009); Matthew Miltenberg; Leslie (2008); Elizabeth Jones (2008); Becky Allen (2008); Anne Meinert (Curran) (2008); Sandra Sites (2008); Meng Chin Lim (2008); Gina Mattli (2008); Jane Mittler (2008); Melissa Quattlebaum (2008); Julie Williamson (2008); Kendra Horton (2008); Danielle Starkey (2008)

McNair Scholar Project

Aguayo, D. (2008). *The interaction between generation status and acculturation in Mexican American college student adaptation*. McNair Project, University of Missouri, Columbia, MO.

Undergraduate Thesis and Capstone Projects Chaired

Klemp, H. (2010). *Using bibliotherapy to teach effective parenting practices to college students with children*. Capstone Project, University of Missouri, Columbia, MO.

Edelson, N. (2001). *Perceived discrimination and academic engagement among ESL students*. Thesis, Reed College, Portland, OR.

Harmon, M. (2002). *Alcohol and college: Challenging assumptions*. Thesis, Reed College, Portland, OR.

Harper, M. (2002). *Usefulness of the Theory of Planned Behavior in predicting syringe-sharing among current injection drug users*. Thesis, Reed College, Portland, OR.

Fahnlander, B. S. (2001). *Effects of a brief motivational interview with student smokers at Reed College*. Thesis, Reed College, Portland, OR.

Folkman, J. (2001). *An analysis of the mediating role of hardiness on the relationship between stress and illness*. Thesis, Reed College, Portland, OR.

Kanter, L. (2001). *An asana a day may keep the psychiatrist away: The impact of yoga on mental health*. Thesis, Reed College, Portland, OR.

Lapsley, G. M. (2001). *Increasing adherence to eating disorder aftercare treatment*. Thesis, Reed College, Portland, OR.

- Parks, A. (2002). *Preventing depression and anxiety in college freshmen: Testing the efficacy of a 3-week bibliotherapy workshop*. Thesis, Reed College, Portland, OR.
- Pierson, R. (2002). *Monkey see, monkey do: Mimicry of subliminally presented stereotypes*. Thesis, Reed College, Portland, OR.
- Rivera, M. (2002). *Stress and nature: The physiological and psychological benefits of plants*. Thesis, Reed College, Portland, OR.
- Seese-Bieda, A. (2002). *Research, practice, and clinical resources: Conversations with eleven practitioners*. Thesis, Reed College, Portland, OR.
- Spencer, H. (2001). *A brief motivational intervention to reduce problematic substance use among students at Reed College*. Thesis, Reed College, Portland, OR.

Training Program Participation

Postdoctoral Fellowship in Child Clinical Psychology. Co-developed training program application that was accredited by the Graduate Medical Education Committee Executive Committee, Johns Hopkins Medical Institutions, 2007.

PRESENTATION ACTIVITIES

Keynote Address

The role of academic and social competence in youth depression: Implications for group interventions. October, 2009. Keynote presented at the School-Based Mental Health Group Interventions Annual Conference, Aiken, SC.

Preventing youth mental disorders: A call for interdisciplinary collaboration. October, 2008. Keynote presented at the Taiwanese Psychological Association Annual Meeting, Taipei, Taiwan.

Workshops/Trainings/Continuing Education

1. *Motivational interviewing for child and family community practitioners*. March-April, 2015. Three workshop series presented at Burrell Mental Health, Columbia, MO.
2. *A model for school mental health*. March, 2015. Talk presented to Greater Missouri Leadership Challenge Conference, Columbia, MO.
3. *Stress management for teachers*. January, 2015. Workshop presented at the 7th Student Council for Exceptional Children Research to Practice Conference. Columbia, MO.
4. *Preparing your research plan*. January, 2015. Training presented as part of the IES Training sponsored by the Office of Research, College of Education, University of Missouri. Columbia, MO.
5. *Engaging families and supporting students with severe behavior problems*. December, 2014. Workshops presented at the Winter Institute of the Missouri Council of Administrators of Special Education. Columbia, MO.
6. *Motivational interviewing in schools: Strategies for engaging teachers, parents, and students*. May,

2014. Workshop presented at the First Annual Central Pennsylvania Workshops on Evidence-Based Services. State College, PA.
7. *Motivational interviewing with teachers*. February, 2014. Workshop presented at the National Association of School Psychologists Annual Convention, Washington, DC.
 8. *Motivational interviewing for effective classroom management: The Classroom Check-Up*. October, 2013. Workshops presented at the 8th Annual Instructional Coaching Institute and Conference, University of Kansas Center for Research on Learning, Lawrence, KS.
 9. *Motivational interviewing in schools*. February, 2013. Workshop presented at the National Association of School Psychologists Annual Convention, Seattle, WA.
 10. *Social emotional learning in the classroom*. February, 2013. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 11. *Time out in the classroom*. February, 2013. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 12. *Reducing inappropriate behaviors in the classroom*. December, 2012. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 13. *Motivating children through incentives*. December, 2012. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 14. *Preventing problem behaviors through proactive teaching*. October, 2012. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 15. *Building positive relationships with students and parents*. October, 2012. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 16. *Social emotional learning in the classroom*. February, 2012. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 17. *Time out in the classroom*. February, 2012. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 18. *Reducing inappropriate behaviors in the classroom*. December, 2011. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 19. *Motivating children through incentives*. December, 2011. Full-day workshop presented at Hazelwood School District, Florissant, MO.
 20. *Preventing problem behaviors through proactive teaching*. October, 2011. Full-day workshop presented at Hazelwood School District, Florissant, MO.

21. *Building positive relationships with students and parents*. October, 2011. Full-day workshop presented at Hazelwood School District, Florissant, MO.
22. *The Classroom Check-Up for Double Check: Classwide Consultation to Promote Culturally Proficient Classroom Management*. August, 2011. Training Workshop presented to for the Double Check IES Developmental Project.
23. *Promoting Effective Classroom Management with the Incredible Years of Teaching Program*. April, 2011. 3rd Annual Educational, School, & Counseling Psychology Conference, Columbia, MO.
24. *Social emotional learning in the classroom*. February, 2011. Full-day workshop presented at Hazelwood School District, Florissant, MO.
25. *Time out in the classroom*. February, 2011. Full-day workshop presented at Hazelwood School District, Florissant, MO.
26. *Reducing inappropriate behaviors in the classroom*. December, 2010. Full-day workshop presented at Hazelwood School District, Florissant, MO.
27. *Motivating children through incentives*. December, 2010. Full-day workshop presented at Hazelwood School District, Florissant, MO.
28. *Preventing problem behaviors through proactive teaching*. October, 2010. Full-day workshop presented at Hazelwood School District, Florissant, MO.
29. *Building positive relationships with students and parents*. October, 2010. Full-day workshop presented at Hazelwood School District, Florissant, MO.
30. *Integrating the Family Check-Up and Coping Power*. November, 2010. Training Workshop presented for the Center for Prevention and Early Intervention, Baltimore, MD.
31. *Motivational Interviewing with families*. October, 2010. Training Workshop presented for the Douglas County Community Services Regional Head Start, Hannibal, MO.
32. *Integrating the Family Check-Up and Coping Power*. April, 2010. Training Workshops presented for the Center for Prevention and Early Intervention, Baltimore, MD.
33. *The Classroom Check-Up*. March, 2010. Workshop presented at the National Association of School Psychologists Annual Convention, Chicago, IL.
34. *The Classroom Check-Up: Applications in the CPEI Trials*. March, 2010. Training Workshop presented at the Center for Prevention and Early Intervention, Baltimore, MD.
35. *The Family Check-Up: Applications in the CARS Trial*. February, 2010. Training Workshop presented for the Center for Adolescent Research in Schools, Missouri

site, Columbia, MO.

36. *Cognitive-behavioral therapy for child and adolescent depression: A skills workshop*. October, 2008. Workshop presented at the Taipei School District, Taipei, Taiwan.
37. *How children process information and show distress: Implications for disaster preparedness and response*. May, 2007. Talk given as part of the How to Talk to Children about Disasters Conference, Johns Hopkins Center for Public Health Preparedness. Dover, DE.
38. *Motivational interviewing: Applications in child psychiatry*. February, 2007. Training presented at Child & Adolescent Psychiatry Divisional Conference, Johns Hopkins University. Baltimore, MD
39. *How children think: Implications for disaster preparedness and response*. January, 2007. Talk given as part of the How to Talk to Children about Disasters Conference, Johns Hopkins Center for Public Health Preparedness. Columbia, MD.
40. *What instructional coaches can learn from the field of motivational interviewing*. October, 2006. Workshops presented at the 1st Annual Instructional Coaching Institute and Conference, University of Kansas Center for Research on Learning, Lawrence, KS.
41. *Cognitive-behavioral therapy for child and adolescent depression: A skills workshop*. March, 2004. Workshop presented at the National Association of School Psychologists Annual Convention, Dallas, TX.
42. *Motivational interviewing to reduce student substance abuse*. March, 2003. Learning Institute presented at the American Counseling Association Annual Convention, Anaheim, CA.
43. *Meeting the academic and adaptive skills needs of African-American children: A research-based partnership education approach*. December, 1993. Workshop presented at the Kappa Delta Pi Biennial Convocation, Orlando, FL.

Conference Organizer, Session Chair, Discussant

1. *Implementation science*. February, 2014. Discussant as part of symposium entitled, *School-based implementation of evidence-based intervention: Understanding facilitators and barriers toward informing sustainability of practices*, at the National Association of School Psychology Conference, Washington, D.C.
2. *Advancing prevention science research and practice in counseling psychology (Chair)* August, 2013. Symposium presented at the American Psychological Association Annual Convention, Honolulu, HI.
3. *Challenging myths of counseling psychology research*. August, 2013. Discussant as part of symposium entitled, *Advancing prevention science research and practice in counseling psychology*,

at the American Psychological Association Annual Convention, Honolulu, HI.

4. *Direct observations: Benefits and future directions*. May, 2013. Discussant presented as part of symposium entitled, *Direct observation of classroom management practices: Using data to support effective classroom environments*, at the Society for Prevention Research Annual Convention, San Francisco, CA.
5. *Beyond efficacy trials: Revisiting the prevention science research cycle*. June, 2011. Discussant as part of symposium entitled, *Mental health and behavioral interventions within schools: The development and evolution of evidence-based interventions*, at the Society for Prevention Research Annual Convention, Washington DC.
6. *Clarifying the timing and co-occurrence of academic and behavior problems (Co-Chair)*. March, 2007. Symposium presented at the National Association of School Psychologists Annual Convention, New York, 2007.
7. *Using a public health framework to inform, implement, and evaluate effective behavior interventions in schools (Co-Chair)*. August, 2005. Symposium presented at the American Psychologist Association Annual Convention, Washington, DC.

Papers, Posters, Invited Talks and Panels

1. *Teacher stress management*. April, 2014. Interactive webinar interview and discussion presented for Edspiration.
2. *Effects of coaching and teacher quality of implementation of effective classroom management practices on student outcomes*. March 2015. Paper presented as part of the symposium, *Implementation science research in schools: From implementation to sustainability*, at the International Conference on Positive Behavior Supports, Boston, MA.
3. *Meaningful effect sizes, intra-class correlations, and proportions of variance explained by covariates for planning 3 level cluster randomized trials*. March, 2015. Paper presented as part of symposium entitled, *Improving the design of cluster randomized trials in education and prevention research*, at the Society for Research on Educational Effectiveness, Washington, DC.
4. *Designing, Implementing, and Understanding School-Based Randomized Trials*. February, 2015. Invited documented session presented at the National Association of School Psychology Conference, Orlando, FL.
5. *Predictive Validity and Diagnostic Accuracy of a School Readiness Screener*. February, 2015. Poster presented at the National Association of School Psychology Conference, Orlando, FL.
6. *What makes a good IES grant*. January, 2015. Panel participant as part of the IES Training sponsored by the Office of Research, College of Education, University of Missouri. Columbia, MO.

7. *Early predictors of child depression in at-risk families: Latent profile analysis of risk factors occurring in ecological systems of young children.* August, 2014. Poster presented at the American Psychological Association Annual Convention, Washington, DC.
8. *The effects of a teacher classroom management intervention among students with aggressive behavior.* August, 2014. Poster presented at the American Psychological Association Annual Convention, Washington, DC.
9. *Motivational interviewing in schools.* June, 2014. Interactive teleconference interview and discussion presented for The Practice Institute. Atlanta, GA.
10. *Menu of Options & Check Ups.* May, 2014. Panel discussion presented as part of pre-convention workshop, *Promising practices in teaching applied prevention science*, at the Society for Prevention Research Annual Convention, Washington, DC.
11. *A latent transition analysis of parent school involvement patterns: Can training improve teacher comfort with difficult families and students?* May, 2014. Paper presented as part of symposium entitled, *Applying innovative longitudinal methods to examine the role of parents in promoting adjustment in youth*, at the Society for Prevention Research Annual Convention, Washington, DC.
12. *Use of coaching and behavior support planning for students with disruptive behavior within a universal classroom management program.* May, 2014. Paper presented as part of symposium entitled, *Comprehensive prevention in schools: Coaching as a means for improving implementation quality and outcomes*, at the Society for Prevention Research Annual Convention, Washington, DC.
13. *Supporting evidence-based practices in schools: Results from an efficacy trial of the Incredible Years Teacher Classroom Management Program.* April 2014. Paper presented as part of symposium entitled, *Social-emotional factors in educational contexts*, at the American Education Research Association Annual Convention, New Orleans, LA.
14. *Evaluation of a classroom management program for middle school students.* April 2014. Poster presented at the 6th Annual Educational, School, & Counseling Psychology Conference, Columbia, MO.
15. *Coaching teachers to improve classroom management: Lessons learned and recommendations.* March 2014. Paper presented at the International Conference on Positive Behavior Supports, Chicago, IL.
16. *A group randomized evaluation of the Incredible Years Teacher Training program.* March, 2014. Paper presented as part of symposium entitled, *What can we learn through replication? The role of individual-level risk factors and implementation supports in the impact of social-emotional learning programs on student outcomes*, at the Society for Research on Educational Effectiveness, Washington, DC.

17. *Understanding the relations between intervention supports, fidelity, implementation, and student outcomes.* February, 2014. Paper presented as part of a symposium entitled, *School-based implementation of evidence-based intervention: Understanding facilitators and barriers toward informing sustainability of practices*, at the National Association of School Psychology Conference, Washington, D.C.
18. *Evidence-based parenting and family interventions (December 2013).* Ground Rounds presented at the University of Missouri/VA Psychology Consortium. Columbia, MO.
19. *Differentiating Tier II interventions by function.* Paper to be presented as part of a symposium at the National Association of School Psychology Conference, Washington, D.C.
20. *A group randomized evaluation of the Incredible Years Teacher Training program.* August, 2013. Paper presented as part of symposium entitled, *Advancing prevention science research and practice in counseling psychology*, at the American Psychological Association Annual Convention, Honolulu, HI.
21. *Latent profile analysis of teacher perceptions of parent comfort and contact.* August, 2013. Paper presented as part of symposium entitled, *Addressing tomorrow's needs through parents: Parent factors affecting child development*, at the American Psychological Association Annual Convention, Honolulu, HI.
22. *An update on the status of sponsored research in counseling psychology.* August, 2013. Poster presented at the American Psychological Association Annual Convention, Honolulu, HI.
23. *Profiles of disruptive behavior problems and associated academic outcomes among an at-risk sample of elementary students.* August, 2013. Poster presented at the American Psychological Association Annual Convention, Honolulu, HI.
24. *Latent profiles of observed classroom management behaviors.* May, 2013. Paper presented as part of symposium entitled, *Direct observation of classroom management practices: Using data to support effective classroom environments*, at the Society for Prevention Research Annual Convention, San Francisco, CA.
25. *Using coaching to support teacher implementation of classroom-based interventions: Initial results from an efficacy trial of the Incredible Years Teacher Classroom Management program.* May, 2013. Paper presented as part of symposium entitled, *Examining coaching as a method for increasing implementation quality and outcomes of school-based preventive interventions*, at the Society for Prevention Research Annual Convention, San Francisco, CA.
26. *The Classroom Check-up: A classroom-level model for supporting teachers with classroom management.* March 2013. Workshop presented at the International Conference on Positive Behavior Supports, San Diego, CA.

27. *Coaching teachers in cultural proficiency: The Double Check Model*. March 2013. Workshop presented at the International Conference on Positive Behavior Supports, San Diego, CA.
28. *Evaluating the process of implementation within a large-scale efficacy trial*. March, 2013. Paper presented at the Institute for Education Sciences Annual Meeting, Washington, DC.
29. *The Brief Classroom Interaction Observation: An observation system to inform and increase teacher use of classroom management practices*. February, 2013. Paper presented as part of symposium entitled, *A tale of three measures: Validating fidelity of implementation tools*, at the National Association of School Psychologists Annual Convention, Seattle, WA.
30. *Using the prevention guidelines to inform best practices in training prevention scientists*. August, 2012. Paper presented as part of symposium entitled, *An ounce of prevention: Applying the prevention guidelines in real world contexts*, at the American Psychological Association Annual Convention, Orlando, FL.
31. *A latent transition analysis of perfectionism profiles in early adulthood*. August, 2012. Poster presented at the American Psychological Association Annual Convention, Orlando, FL.
32. *The relationship between teachers' self-efficacy and burn out, and their ratings of aggressive students*. July, 2012. Poster presented at the International Society for Research on Aggression Annual Convention, Luxembourg.
33. *Teachers' self-efficacy, confidence, burn out and ratings of aggressive students*. July, 2012. Poster presented at the International Society for Research on Aggression Annual Convention, Luxembourg.
34. *Integrating the Family Check-up with Coping Power*. June, 2012. Paper presented as part of symposium entitled, *Applying Coping Power in new settings*, at Society for Prevention Research Annual Convention, Washington DC.
35. *Incredible Years Teacher Classroom Management Program: Examining the relation of intervention support systems on teacher fidelity of implementation*. June, 2012. Paper presented as part of symposium entitled, *Beyond the intervention: Multidimensional considerations for high quality implementation in schools*, at Society for Prevention Research Annual Convention, Washington DC.
36. *Developmental trajectories of perfectionism during adolescence*. April, 2012. Invited talk presented at the Developmental Psychology Colloquium Series, University of Missouri, Columbia, MO.
37. *Evidence-based classroom management training and infrastructure*. March, 2012. Invited talk presented as part of the Training Interdisciplinary Educational Scientists training series at Penn State University, State College, PA.

38. *Promoting Effective Behavior Support Planning with the Incredible Years Teacher Training Program*. March, 2012. Paper presented at the Annual Association of Positive Behavior Supports Annual Convention, Atlanta, GA.
39. *Empirically derived subtypes of teacher behavior: A latent profile analysis*. February, 2012. Poster presented at the National Association of School Psychologists Annual Convention, Philadelphia, PA.
40. *The effects of teacher burnout on observed classroom behaviors*. February, 2012. Poster presented at the National Association of School Psychologists Annual Convention, Philadelphia, PA.
41. *An evaluation of a modified Family Check-Up in an assessment clinic setting*. August, 2011. Paper presented as part of symposium entitled, *Adapting and Integrating Evidence-Based Interventions*, at the American Psychological Association Annual Convention, Washington DC.
42. *A pilot study of the Classroom Check-Up coaching model to increase teachers' implementation of the PATHS to PAX program*. August, 2011. Paper presented as part of symposium entitled, *Adapting and Integrating Evidence-Based Interventions*, at the American Psychological Association Annual Convention, Washington DC.
43. *Perfectionism growth among African American adolescents*. August, 2011. Poster presented at the American Psychological Association Annual Convention, Washington DC.
44. *Developing and refining interventions over time: Reflections on the Incredible Years Series*. June, 2011. Paper presented as part of symposium entitled, *Mental health and behavioral interventions within schools: The development and evolution of evidence-based interventions*, at the Society for Prevention Research Annual Convention, Washington DC.
45. *Distal outcomes of twelfth grade students identified in first grade as having co-occurring academic and behavior problems*. June, 2011. Poster presented at the Society for Prevention Research Annual Convention, Washington DC.
46. *Examining the relationship between low academic competence and depression in middle school: Mediation by perceived control*. June, 2011. Poster presented at the Society for Prevention Research Annual Convention, Washington DC.
47. *A Pilot of the Classroom Check-up Coaching Model in Baltimore City*. April, 2011. 3rd Annual Educational, School, & Counseling Psychology Conference, Columbia, MO.
48. *The Incredible Years Teaching Training Program*. April, 2011. 3rd Annual Educational, School, & Counseling Psychology Conference, Columbia, MO.
49. *Childhood social isolation and outcomes in adolescence*. April, 2011. 3rd Annual Educational, School, & Counseling Psychology Conference, Columbia, MO.

50. *An Overview of the Prevention Science Practicum's Intervention Services to Children and Families.* April, 2011. 3rd Annual Educational, School, & Counseling Psychology Conference, Columbia, MO.
51. *Enhancing classroom supports in PBIS schools.* February, 2011. Paper presented as part of the symposium presented at the National Association of School Psychologists Annual Convention, Chicago, IL.
52. *School-based interventions for Tier 2.* February, 2011. Mini-skills workshop at the National Association of School Psychologists Annual Convention, Chicago, IL.
53. *Getting to Outcomes in school counseling: Examining state-wide practices to support evaluative practice.* November, 2010. Paper presented as part of symposium entitled, *Evaluation capacity in school mental health: Lessons from school counseling*, presented at the American Evaluation Association Annual Convention, San Antonio, TX.
54. *The impact of the Incredible Years Parent, Child, and Teacher Training programs on children's co-occurring internalizing symptoms.* August, 2010. Paper presented as part of symposium entitled, *Enhancing school readiness: Preventing multiple challenges in preschool and kindergarten*, presented at the American Psychological Association Annual Convention, San Diego, CA.
55. *Latent classes of psychiatric symptoms among poor Chinese children.* August, 2010. Poster presented at the American Psychological Association Annual Convention, San Diego, CA.
56. *Avoiding the ivory tower.* August, 2010. Discussion presented as part of symposium entitled, *Organizing community-based prevention programs in at-risk communities: University-community partnerships*, presented at the American Psychological Association Annual Convention, San Diego, CA.
57. *"Routeens": Assessing the socioemotional impact of positive parenting routines on low-income, African-American adolescents.* June, 2010. Poster presented at the APA Division 45 Annual Convention. Ann Arbor, MI.
58. *Using Findings from Randomized Trials To Inform the Integration of School-wide PBS with Social-Emotional Learning.* June, 2010. Paper presented as part of symposium at Society for Prevention Research Annual Convention, Denver, CO.
59. *Incredible Years for Spanish-speaking families.* May, 2010. Paper presented as part of symposium for the Ninth Annual Conference Cambio de Colores (Change of Colors) - Latinos and Immigrants in Midwestern Communities, Columbia, MO.
60. *Implementation of evidence-based interventions with high fidelity and issues of dissemination: The Incredible Years.* March, 2010. Paper presented as part of the Presidential Strand symposium entitled, *Prevention Science: Advancing Research and Practice in the Schools*, presented at the National Association of School Psychologists Annual Convention,

Chicago, IL.

61. *Partnerships to create healthier parenting practices in Latina/o families in the Midwest*. March, 2010. Paper presented as part of a symposium entitled, *Promoting the Adjustment of Latina/o Children and Adolescents in the Midwest: Research and Clinical Applications*, presented at the Cambio de Colores Annual Convention, Columbia, MO.
62. *Helping schools evaluate needs and select best practices in childhood mental health*. October, 2009. Talk presented at the Governing Board Meeting of the Missouri Partnership for Educational Renewal, Columbia, MO.
63. *The Missouri Prevention Center*. October, 2009. Invited talk presented at the School-Based Mental Health Group Interventions Annual Conference, Aiken, SC.
64. *Building school and family partnerships*. October, 2009. Invited talk presented at the Blue Spring School District, Blue Spring, MO.
65. *Preventing youth aggression and depression through school and family partnerships*. August, 2009. Invited talk presented at the University of Missouri College of Education Alumni Board Meeting, Columbia, MO.
66. *Positive Behavior Supports: Lessons learned and future directions*. August, 2009. Discussion presented as part of symposium entitled, *Beyond efficacy: System level variables in adapting and sustaining school-wide PBS*, presented at the American Psychological Association Annual Convention, Toronto, Ontario, Canada.
67. *Internalizing symptoms of Chinese children in low-income families: Mediating effect of family environment*. August, 2009. Poster presented at the American Psychological Association Annual Convention, Toronto, Ontario, Canada.
68. *Impact of the Incredible Years Series on child depression*. June, 2009. Paper presented as part of the symposium titled, *Preventive Family Interventions and Collateral Effects on Internalizing Problems*, at the International Society for Research in Child and Adolescent Psychopathology, Seattle, WA.
69. *Creating balance between work and life: Tips from MU faculty*. April, 2009. A panel discussion presented by the MU Graduate School. University of Missouri, Columbia, MO.
70. *Developmental pathways to child depression*. March, 2009. Invited talk presented at the Clinical Psychology Colloquium Series, University of Missouri, Columbia, MO.
71. *Redefining ADHD subtypes using co-occurring symptoms*. February, 2009. Paper presented as part of symposium presented at the National Association of School Psychologists Annual Convention, Boston, MA.
72. *The role of generation status in the academic achievement of Latina/o college students*. November,

2008. Poster presented at the National Latina/o Psychological Association Annual Conference, Costa Mesa, CA.
73. *Prevention science in action*. October, 2008. Talk presented at National Taiwan Normal University, Taipei, Taiwan.
74. *The application of psychology in schools*. October, 2008. Invited panel presentation at the Taiwanese Psychological Association Annual Meeting, Taipei, Taiwan.
75. *The Missouri Prevention Center: Creating school partnerships*. September, 2008. Talk presented at the Operation Council Meeting of the Missouri Partnership for Educational Renewal, Columbia, MO.
76. *The role of prevention science in promoting children's mental health in school psychology*. August, 2008. Poster presented at the American Psychological Association Conference, Boston, MA.
77. *Helping schools select and implement empirically supported practices in prevention*. August, 2008. Poster presented at the American Psychological Association Conference, Boston, MA.
78. *The utility of universal preventive interventions for urban and low income children and adolescents*. May, 2008. Paper and symposium presented at the Society for Prevention Research Annual Convention, San Francisco, CA.
79. *Academic problems and depression symptoms in low-income African American children*. March, 2008. Paper presented at the International Counseling Psychology Conference, Chicago, IL.
80. *Using prevention science to promote children's mental health*. March, 2008. Poster presented at the International Counseling Psychology Conference, Chicago, IL.
81. *Another inconvenient truth: Latent profiles of co-occurring symptoms in adolescent depression*. March, 2008. Seminar presented at the Development Psychology Colloquium Series, University of Missouri, Columbia, MO.
82. *The role of academic competence in preventing depression among urban African American children*. May, 2007. Paper presented as part of a symposium, *Prevention and Early Intervention of Affective Disorders*, given at the Society for Prevention Research Annual Convention, Washington, DC.
83. *Patterns of depressive symptoms in African American children*. May, 2007. Paper presented as part of a symposium, *Advancing Our Understanding of the Nature and Course of Depressive Symptoms and Other Symptom Co-Occurrence*, given at the Society for Prevention Research Annual Convention, Washington, DC.
84. *The utility of universal preventive interventions for urban and low income youth*. March, 2007.

- Paper and symposium presented at the Society for Research on Child Development Annual Convention, Boston, MA.
85. *Academic pathways between attention problems and depression*. March, 2007. Paper presented as part of a symposium, *Clarifying the timing and co-occurrence of academic and behavior problems*, given at the National Association of School Psychologists Annual Convention, New York, NY.
 86. *What instructional coaches can learn from the field of motivational interviewing*. October, 2006. Workshop presented at the 1st Annual Instructional Coaching Institute and Conference, University of Kansas Center for Research on Learning, Lawrence, KS.
 87. *Academic pathways between attention problems and depression among urban African American children*. June, 2006. Paper presented at the Society for Prevention Research Annual Convention, San Antonio, TX.
 88. *Multisystemic treatment of childhood depression*. June 2006. Paper presented at the 2nd Child, Intervention, Services, and Prevention Summer Institute, Los Angeles, CA.
 89. *Academic pathways between attention problems and depression among urban African American children*. May, 2006. Paper presented at the 30th Annual Research Potpourri Grand Rounds, Department of Psychiatry and Behavioral Sciences, Johns Hopkins School of Medicine, Baltimore, MD.
 90. *Contextual factors predicting depressive symptoms in African American adolescents*. March, 2006. Paper presented at the Society for Research on Adolescence Annual Convention, San Francisco, CA.
 91. *Cognitive, parenting, and developmental mediators of the relationship between ADHD and depression*. August, 2005. Paper presented at the American Psychologist Association Annual Convention, Washington, DC.
 92. *Using a public health framework to inform, implement, and evaluate effective behavior interventions in schools*. August, 2005. Symposium presented at the American Psychologist Association Annual Convention, Washington, DC.
 93. *Prevention and early intervention of behavioral inhibition*. December, 2005. Paper presented at Johns Hopkins University Child & Adolescent Psychiatry Research Seminar. Baltimore, MD.
 94. *Identifying school children with depression*. July, 2005. Seminar presented at the Safe and Civil Schools Annual Convention, Portland, OR.
 95. *Developmental, social, and cultural considerations in childhood depression*. January, 2005. Paper presented at Johns Hopkins University Child & Adolescent Psychiatry Research Seminar. Baltimore, MD.
 96. *Cognitive-behavioral therapy for child and adolescent depression: A skills workshop*. March, 2004.

Workshop presented at the National Association of School Psychologists Annual Convention, Dallas, TX.

97. *Developing a brief and inexpensive method to prevent depression.* May, 2003. Paper presented at the Western Psychological Associations Annual Convention, Vancouver, BC, Canada.
98. *Childhood depression: Rethinking the role of the school.* March, 2003. Paper presented at the National Association of School Psychologists Annual Convention, Toronto, ON, Canada.
99. *A school-based model for preventing and treating childhood internalizing disorders.* March, 2003. Mini-skills workshop presented at the National Association of School Psychologists Annual Convention, Toronto, ON, Canada.
100. *Motivational interviewing to reduce student substance abuse.* March, 2003. Learning Institute workshop presented at the American Counseling Association Convention, Anaheim, CA.
101. *Promoting teacher self-efficacy for working with culturally diverse children.* February, 2003. Poster presented at the Southeastern Psychological Association Annual Convention, New Orleans, LA.
102. *A supportive classroom for students with emotional and behavioral disorders.* January, 2003. Workshop presented at the Annual Oregon Conference, Eugene, OR.
103. *Self-regulation predictors of medication adherence among ethnically different children.* May, 2002. Paper presented at the 8th Florida Conference on Child Health Psychology, Gainesville, FL.
104. *Patient-defined culturally sensitive healthcare.* April, 2001. Poster presented at the 4th National Counseling Psychology Conference, Houston, TX.
105. *Characteristics of smoking cessation participants who lapse on quit date.* August, 1998. Poster presented at the First International Conference for the Society for Research on Nicotine and Tobacco, Copenhagen, Denmark.
106. *Characteristics of smoking cessation participants who lapse on quit date.* December, 1997. Poster presented at the 3rd Annual Research Symposium on Mental Health Services, Brown University, Providence, RI.
107. *Meeting the academic and adaptive skills needs of African-American children: A research-based multicultural partnership approach.* February, 1996. Workshop presented at the SEPA Annual Convention, Norfolk, VA.
108. *Operation Positive Expression: A behavior change program for teens who commit drug-related crimes.* February, 1994. Poster presented at the SEPA Annual Convention, New

Orleans, LA.

109. *Meeting the academic and adaptive skills needs of African-American children: A research-based partnership education approach.* December, 1993. Workshop presented at the Kappa Delta Pi Biennial Convocation, Orlando, FL.
110. *Effective application of the Child Abuse Potential Inventory in a Big Brothers/Big Sisters setting.* August, 1993. Workshop and paper presented at the Washington State Big Brothers/Big Sisters Annual Convention, Mt. Vernon, WA.
111. *Screening sexual offenders against minors with the Child Abuse Potential Inventory.* August, 1993. Paper presented at the Washington State Big Brothers/Big Sisters Annual Convention, Mt. Vernon, WA.
112. *Screening Big Brothers/Big Sisters volunteers with the 16 PF.* August, 1993. Paper presented at the Washington State Psychological Association Spring Convention, Seattle, WA.
113. *The Kaufman Brief Intelligence Test.* August, 1991. Poster presented at the Neuropsychological Association Annual Convention, Dallas, TX.

CLINICAL ACTIVITIES

Certification

Licensed Psychologist (MO #2008007984 – *active*)

Licensed Psychologist (MD #04063 - *inactive*)

Licensed Psychologist (RI # PS00707 - *inactive*)

Hospital Privileges

Clinical Privileges, Psychologist, Johns Hopkins Medical Institutions (2004-2009)

RECOGNITION

Awards, Honors

- *Fellow*, Division 17, American Psychological Association, 2015
- *Fellow*, Division 16, American Psychological Association, 2014
- *Top Faculty Achievers*, University of Missouri, 2014, 2015
- *Nominated President, Prevention Section APA Division 17*, 2014
- *Society for the Study of School Psychology (SSSP), invited member*, 2013
- *International Society for Research on Child & Adolescent Psychopathology, member*, 2009
- *Presidential Strand Symposium Paper*, 2010, *National Association of School Psychologists*. Only 1% of all convention submissions were selected for this special strand highlighting excellence in school psychology.
- *High Flyer for Teaching Excellence*, 2007-2008, *University of Missouri, College of Education*
- *Children, Youth, & Families Committee Nominee*, 2008, *American Psychological Association*

- *Best Article in The Counseling Psychologist Nominee*, 2008, Sage Publications
- *President's Academic Leadership Institute Nominee*, 2008, University of Missouri
- *Excellence in Teaching*, 2006, Johns Hopkins School of Medicine, Child Psychiatry
- *Research Fellow*, 2006, Child Intervention, Prevention, and Service (CHIPS) Career Development Institute, sponsor: National Institute of Mental Health
- *See the Big Picture*, 2006, First annual award for research on ADHD and co-occurring conditions, sponsors: Mindroom and Journal of Attention Disorders
- *Ted Landsman Award for Counseling Psychology*, 1995, awarded to outstanding counseling psychology student, sponsor: University of Florida
- *Trustee Scholar*, 1988-1990, awarded to outstanding undergraduate student, sponsor: University of Puget Sound

OTHER PROFESSIONAL ACCOMPLISHMENTS

Specialized Training

CLASS-Secondary, Certified Observer, 2013, Teachstone/UVa

Incredible Years, Teacher Training, 2010, U of Washington

Incredible Years, Parent and Child Trainings, 2005, U of Washington

Neuropsychology/Developmental Psychopathology, 2004, Johns Hopkins University

Applied Behavior Analysis, 2002-2003, University of Oregon

Positive Behavior Supports, 2002-2003, University of Oregon

Direct Instruction, 2002-2003, University of Oregon

Curriculum-Based Measurement, 2002-2003, University of Oregon

First Step to Success Consultant, 2002-2003, University of Oregon

Motivational Interviewing Network of Trainers, 2002

Focus Group Interviewing, 1999, Richard Krueger

Cognitive Behavior Therapy, 1997-1999, Brown University

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May 1, 2015

EMPLOYMENT

Associate Professor	Department of Psychological Sciences, University of Missouri, Columbia	2012-date
Assistant Professor	Department of Psychological Sciences, University of Missouri, Columbia	2004-2012
Kaplan Postdoctoral Fellow	Department of Psychology, San Diego State University	2002-2004

EDUCATION

Ph.D.	Clinical Psychology, minor in Measurement and Psychometrics University of California, Los Angeles	2002
M.A.	Clinical Psychology University of California, Los Angeles	1997
B.E.S.	Educational and Counseling Psychology, minor in Anthropology Honor Scholar, <i>summa cum laude</i> University of Missouri, Columbia	1994

RESEARCH INTERESTS

Implementation of Evidence-Based Practices in Community Mental Health
Streamlining research-supported interventions to ease use within routine clinical practice
Enhancing clinician training in and use of research-supported assessment and treatment practices

Youth Mental Health Services and Interventions
Identifying therapeutic change processes and core components of effective interventions
Improving treatment engagement and outcome within routine clinical practice

SELECTED AWARDS AND HONORS

Robert S. Daniel Junior Faculty Teaching Award	University of Missouri, Columbia	2011
Commencement Speaker, College of Arts and Sciences	University of Missouri, Columbia	2008
Child Intervention, Prevention and Services Fellow (R25)	National Institute of Mental Health	2005-2006
Oscar Kaplan Postdoctoral Fellowship	San Diego State University Foundation	2002-2004
Goldstein Outstanding Dissertation Nominee	University of California, Los Angeles	2002
National Research Service Award (F31)	National Institute of Mental Health	2000-2002
Pauley Alumni Fellowship	Pauley Family Foundation	1996-2000
University and University Regents Fellowships	University of California, Los Angeles	1996-1997
University Scholar	University of Missouri, Columbia	1991-1994
Missouri Bright Flight Scholarship	Missouri Department of Education	1990-1994
Academic Excellence Award	University of Missouri, Columbia	1990-1992

PROFESSIONAL SERVICE AND AFFILIATIONS

Department and University Service

UM Research Board Reviewer	2014
UMC Psychological Sciences Faculty Mentor for Students with Disabilities	2012-2014
UMC Arts & Sciences Undergraduate Research Mentorship Committee	2010-date
UMC Psychological Sciences Curriculum Committee	2010-2012
UMC Psychological Sciences Computer Committee	2009-2010
UMC Psychology Clinic Licensed Psychologist and Supervisor	2008-date
UMC Psychological Sciences Alumni and Media Relations Committee	2005-2009
UMC Lizette Peterson-Homer Graduate Fellowship Committee	2005-2009
UMC Clinical Psychology Area Faculty Search Committee	2005-2006
UMC Developmental Psychology Area Affiliated Faculty	2004-date
UMC Clinical and Joint Clinical-Developmental Graduate Admissions Subcommittee	2004-2008

Professional Memberships

American Psychological Association (APA)
 Association for Behavioral and Cognitive Therapies (ABCT)
 Society of Clinical Child and Adolescent Psychology (APA Division 53)
 Society of Clinical Psychology (APA Division 12)

State and National Service

Society of Clinical Child and Adolescent Psychology (APA Div53) Newsletter, <i>In Balance</i> , Editor	2013-date
APA Science Directorate Dissertation Research Awards Review Panel	2013-date
ABCT Conference Program Committee	2011
Society of Clinical Child and Adolescent Psychology (APA Div53) Newsletter, <i>In Balance</i> , Associate Editor	2010-2012
Missouri Therapy Network, Co-Director	2008-date
ABCT Ambassador	2008-date
ABCT Public Education and Media Dissemination Committee Member	2007-2009
Missouri DMH Comprehensive Child Mental Health Clinical Advisory Council Member	2007-2009
Missouri DSS Children's Division QA in Psych Services Advisory Committee Member	2007-2008
SAMHSA Science to Service Award, Mental Health Treatment Review Panel	2007
APA Interdivisional Task Force on Child and Adolescent Mental Health Scientific Review Board Member	2006-2007
MacArthur Foundation Research Network on Youth Mental Health Care Associate Member	2002-2012
APA Division 16 Task Force on Evidence-Based School Psychology Interventions Liaison	2000-2002
APA Division 53 Committee on Evidence-Based Practice Member	1999-date
Interdisciplinary Committee on Evidence-Based Youth Mental Health Care	1999-2002
APA Division 12 Committee on Science and Practice, EST Review Consultant	1998-2002

Grant Reviews

NIMH Services Research (SERV) Review Panel	2014-date
NIMH Child Interventions Research (ITVC) Review Panel	2013
NIMH NRSA and Minority Fellowships (F31, F32, R36) Review Panel	2007-date
NIMH Small Business Innovation Research (SBIR) Review Panel	2007

Ad Hoc Manuscript Reviews

Administration and Policy in Mental Health and Mental Health Services Research;
American Journal of Orthopsychiatry (APA journal); *American Psychologist* (APA
 journal); *Behavior Therapy*; *Behaviour Research and Therapy*; *Child Maltreatment*;
Child and Youth Care Forum; *Child and Youth Services Review*; *Clinical Psychology*;
Science and Practice (APA Division 12 journal); *Community Mental Health Journal*;
Journal of Abnormal Child Psychology; *Journal of American Academy of Child and
 Adolescent Psychiatry*; *Journal of Child and Family Studies*; *Journal of Clinical Child
 and Adolescent Psychology* (APA Division 53 journal); *Journal of Consulting and*

Clinical Psychology (APA journal); *Professional Psychology: Research and Practice* (APA journal); *Psychology and Aging* (APA journal); *Psychological Assessment* (APA journal); *Psychotherapy Research*; *Social Work in Mental Health*; *Training and Education in Professional Psychology* (APA journal)

PROFESSIONAL PUBLICATIONS

Citation Count: SCOPUS = 1276; h index = 17. GOOGLE SCHOLAR = 2100; h index = 21

Book Chapters (1)

Hawley, K.M., & Jensen-Doss, A. (2007). Evidence-based psychological interventions for emotional and behavioral disorders. In M.L. Wolraich, P.H. Dworkin, D.D. Drotar, and E.C. Perrin (Eds.), *Developmental-Behavioral Pediatrics: Evidence and Practice* (pp. 240-259), Philadelphia, PA: Elsevier.

Book Reviews (1)

Hawley, K.M., & Cook, J.R. (2005). The long road to improving adolescent mental health: Where we've been, where we stand, and where we need to be [Review of the book *Treating and Preventing Adolescent Mental Health Disorders: What We Know and What We Don't Know—A Research Agenda for Improving the Mental Health of Our Youth*]. *PsycCRITIQUES—Contemporary Psychology: APA Review of Books*, 50, Article 6.

Journal Articles (29)

- McMillen, J.C., Hawley, K.M., & Proctor, E.K. (2015). Mental health clinicians' participation in web-based training for an evidence supported intervention: Signs of encouragement and trouble ahead. *Administration and Policy in Mental Health and Mental Health Services Research*.
- Kearns, M.A. & Hawley, K.M. (2014). Predictors of polypharmacy and off-label prescribing of psychotropic medications: A national survey of child and adolescent psychiatrists. *Journal of Psychiatric Practice*, 20, 438-447.
- Accurso, E.C., Hawley, K.M., & Garland, A.F. (2013). Psychometric properties of the Therapeutic Alliance Scale for Caregivers and Parents. *Psychological Assessment*, 25, 244-252.
- Klein, A.S., Skinner, J.B., & Hawley, K.M. (2013). Targeting binge eating through components of dialectical behavior therapy: Preliminary outcomes for individually-supported diary card self-monitoring versus group-based DBT. *Psychotherapy*, 50, 543-552.
- Powell, B.J., McMillen, J.C., Hawley, K.M., & Proctor, E.K. (2013). Mental health clinicians' motivation to invest in training: Results from a practice-based research network survey. *Psychiatric Services*, 64, 816-818.
- Wesiz, J.R., Kuppens, S., Eckshtain, D., Ugueto, A.M., Hawley, K.M., & Jensen-Doss, A. (2013). Performance of evidence-based youth psychotherapies compared with usual clinical care: A multilevel meta-analysis. *JAMA Psychiatry*, 70, 750-761.
- Klein, A.S., Skinner, J.B., & Hawley, K.M. (2012). Adapted group-based dialectical behavior therapy for binge eating in a practicing clinic: Clinical outcomes and attrition. *European Eating Disorders Review*, 20, e148-e153.
- Lindsey, M.A., Gilreath, T.D., Thompson, R., Graham, J.C., Hawley, K.M., Weisbart, C., Browne, D. & Kotch, J.B. (2012). Influence of caregiver network support and caregiver psychopathology on child mental health need and service use in the LONGSCAN study. *Children and Youth Services Review*, 34, 924-932.
- Jensen-Doss, A. & Hawley, K.M. (2011). Understanding clinicians' diagnostic practices: Attitudes toward the utility of diagnosis and standardized diagnostic tools. *Administration and Policy in Mental Health and Mental Health Services Research*, 38, 476-485.
- Jensen-Doss, A. & Hawley, K.M. (2010). Understanding barriers to evidence-based assessment: Clinician attitudes toward standardized assessment tools. *Journal of Clinical Child and Adolescent Psychology*, 39, 885-896.

- Hawley, K.M., Cook, J.R., & Jensen-Doss, A. (2009). Do noncontingent incentives increase survey response rates among mental health providers? A randomized trial comparison. *Administration and Policy in Mental Health and Mental Health Services Research, 36*, 343-348.
- Jensen-Doss, A., Hawley, K.M., Lopez, M.A., & Osterberg, L.D. (2009). Using evidence-based treatments: The experiences of youth providers working under a mandate. *Professional Psychology: Research and Practice, 40*, 417-424.
- McMillen, J.C., Lenze, S.L., Hawley, K.M., & Osborne, V.A. (2009). Revisiting practice-based research networks as a platform for mental health services research. *Administration and Policy in Mental Health and Mental Health Services Research, 36*, 308-321.
- Garland, A.F., Hawley, K.M., Brookman-Frazee, L., & Hurlburt, M.S. (2008). Identifying common elements of evidence-based psychosocial treatments for children's disruptive behavior problems. *Journal of the American Academy of Child and Adolescent Psychiatry, 47*, 505-514.
- Hawley, K.M., & Garland, A.F. (2008). Working alliance in adolescent outpatient therapy: Youth, parent and therapist reports and associations with therapy outcomes. *Child and Youth Care Forum: Journal of Research and Practice in Children's Services, 37*, 59-74.
- Lindsey, M.A., Browne, D.C., Thompson, R., Hawley, K.M., Graham, J.C., Weisbart, C., Harrington, D., & Kotch, J.B. (2008). Caregiver mental health, neighborhood, and social network influences on mental health need among African American children. *Social Work Research, 32I*, 79-88.
- Thompson, R., Lindsey, M.A., English, D.J., Hawley, K.M., Lambert, S., & Browne, D.C. (2007). The influence of family environment on mental health need and service use among vulnerable children. *Child Welfare, 86*, 57-74.
- Garland, A.F., Hurlburt, M.S., & Hawley, K.M. (2006). Examining psychotherapy processes in a services research context. *Clinical Psychology: Science and Practice, 13*, 30-46.
- Weisz, J.R., Jensen-Doss, A., & Hawley, K.M. (2006). Evidence-based youth treatments versus usual clinical care: A meta-analysis of direct comparisons. *American Psychologist, 61*, 671-689.
- Hawley, K.M., & Weisz, J.R. (2005). Youth versus parent working alliance in usual clinical care: Distinctive associations with retention, satisfaction and treatment outcome. *Journal of Clinical Child and Adolescent Psychology, 34*, 117-128.
- Weisz, J.R., Doss, A.J., & Hawley, K.M. (2005). Youth psychotherapy outcome research: A review and critique of the evidence base. *Annual Review of Psychology, 56*, 337-363.
- Garland, A.F., Lewczyk-Boxmeyer, C.M., Gabayan, E.N., & Hawley, K.M. (2004). Multiple stakeholder agreement on desired outcomes for adolescents' mental health services. *Psychiatric Services, 55*, 671-676.
- Weisz, J.R., Hawley, K.M., & Doss, A.J. (2004). Evidence update: Empirically tested psychotherapies for youth internalizing and externalizing problems and disorders. *Child and Adolescent Psychiatric Clinics of North America, 13*, 729-815.
- Garland, A.F., Aarons, G.A., Hawley, K.M., & Hough, R.L. (2003). Relationship of youth satisfaction with mental health services and changes in symptoms and functioning. *Psychiatric Services, 54*, 1544-1546.
- Hawley, K.M., & Weisz, J.R. (2003). Child, parent, and therapist (dis)agreement on target problems in outpatient therapy: The therapist's dilemma and its implications. *Journal of Consulting and Clinical Psychology, 71*, 62-70.
- Hawley, K.M., & Weisz, J.R. (2002). Increasing the relevance of evidence based treatment review to practitioners and consumers. *Clinical Psychology: Science and Practice, 9*, 225-230.
- Weisz, J.R., & Hawley, K.M. (2002). Developmental factors in the treatment of adolescents. *Journal of Consulting and Clinical Psychology, 70*, 21-43.

Weisz, J.R., Hawley, K.M., Pilkonis, P.A., Woody, S.R., & Follette, W.C. (2000). Stressing the (other) three Rs in the search for empirically supported treatments: Review procedures, research quality, relevance to practice and the public interest. *Clinical Psychology: Science and Practice*, 7, 243-258.

Weisz, J.R., & Hawley, K.M. (1998). Finding, evaluating, refining, and applying empirically supported treatments for children and adolescents. *Journal of Clinical Child Psychology*, 27, 206-216.

Manuals and Research Instruments (7)

Hawley, K.M. (2013). *Cognitive Behavioral Therapy Adherence Measure (CBTAM)*. Columbia, MO: University of Missouri-Columbia.

Hawley, K.M. & Jensen-Doss, A. (2010). *Attitudes toward Standardized Assessment (ASA) Scales*. Columbia, MO: University of Missouri-Columbia.

Hawley, K.M., Jensen-Doss, A., Weersing, V.R., & Weisz, J.R. (2002). *Procedural and coding manual for meta-analysis of youth treatment outcome studies*. Los Angeles, CA: University of California-Los Angeles.

Hawley, K.M. & Weisz, J.R. (2000). *Therapeutic Alliance Scale for Children: Parent-Therapist Alliance*. Los Angeles, CA: University of California-Los Angeles.

Hawley, K.M. & Weisz, J.R. (2000). *Satisfaction with Mental Health Services: Youth and Caregiver Reports*. Los Angeles, CA: University of California-Los Angeles.

Hawley, K.M., & Yeh, M. (1999). *Target Problems and Treatment Goals: Coding Child, Caregiver and Therapist free response into Child Behavior Checklist items*. Los Angeles, CA: University of California-Los Angeles.

Weisz, J.R., & Hawley, K.M. (2001). *Procedural and Coding Manual for Identification of Evidence-Based Treatments*. Los Angeles, CA: University of California-Los Angeles.

Newsletter Articles (1)

Hawley, K.M. (2011) Clinician training in research supported interventions: Can it be affordable, accessible and effective? *In Balance: Society of Clinical Child and Adolescent Psychology Newsletter*, 26, 8. (with clinician response/commentary on p9)

SELECTED PRESENTATIONS

Conference Presentations

Hawley, K.M. (2014, November). Discussant for K.H. Okamura (Chair), *Understanding the importance of provider knowledge and attitudes in the implementation of evidence-based practices*, Symposium at the Association for Behavioral and Cognitive Therapies Annual Conference, Philadelphia, Pennsylvania.

Kearns, M.A., Hawley, K.M. & Bickman, L. (2014, November). *Relationship among insight, behavior changes, and symptom reduction in youth undergoing psychotherapy*. Poster presented at the Association for Behavioral and Cognitive Therapies Annual Conference, Philadelphia, Pennsylvania.

Taylor, E.K., Hausman, E.M., & Hawley, K.M. (2013, November). *Implementation of evidence-based treatment in clinical practice: results from a national survey*. Poster presented at the Association for Behavioral and Cognitive Therapies Annual Conference, Nashville, Tennessee.

Jensen-Doss, A. & Hawley, K.M. (2012, November). *Understanding clinician attitudes that impact use of evidence-based assessment*. In A.R. Lyons (Chair), Promoting evidence-based assessment in usual care: Promising practices, barriers to uptake, and the impact of training, Symposium at the Association for Behavioral and Cognitive Therapies Annual Conference, National Harbor, Maryland.

Kearns, M.A. & Hawley, K.M. (2012, November). *Predictors of polypharmacy and off-label prescribing of psychotropic medications: A national survey of child psychiatrists*. Poster presented at the Association for Behavioral and Cognitive Therapies Annual Conference, National Harbor, Maryland.

- Malvaceda, C.M., Kearns, M.A., & Hawley, K.M. (2011, May). *Quality of mental health care for low income and ethnic minority youths: A national survey of practicing clinicians*. Poster presented at the Midwest Psychological Association Annual Conference, Chicago, Illinois.
- Eckshtain, D., Langer, D.A., Borner, K., Hawley, K.M. & Weisz, J.R. (2010, November). *Child and caregiver agreement on target problems as predictor of treatment outcome*. Poster presented at Association for Behavioral and Cognitive Therapies Annual Conference, San Francisco, California.
- Gibson, H.M., Kearns, M.A., & Hawley, K.M. (2010, May). *Psychiatric medication usage among children and adolescents receiving psychotherapy: Data from a national survey of therapists*. Poster presented at the Midwest Psychological Association Annual Conference, Chicago, Illinois.
- Walsh, C.E., Kearns, M.A., & Hawley, K.M. (2010, May). *Assessment and identification of comorbid diagnoses within usual care characteristics of usual child mental health services*. Poster presented at the Midwest Psychological Association Annual Conference, Chicago, Illinois.
- Kearns, M.A., Shah, S., & Hawley, K.M. (2009, November). *Characteristics of usual care for ethnic minority youths: Data from a national survey of psychologists, psychiatrists, social workers, marriage and family therapists, and counselors*. Poster presented at Association for Behavioral and Cognitive Therapies Annual Conference, New York, New York.
- McQueen, M.S., Hawley, K.M., & Weersing, V.R. (2009, May). *The relevance of evidence-based treatments for school mental health services: Anxiety, depression, and disruptive behavior*. Poster presented at the Midwest Psychological Association Annual Conference, Chicago, Illinois.
- Hawley, K.M. (2008, November). *Beyond efficacy trials: Use of cognitive-behavioral strategies in child therapy as usual*. In L.D. Osterberg (Chair), *Implementation of ESTs in clinical service settings: What do services look like following dissemination efforts?* Symposium at the Association for Behavioral and Cognitive Therapies Annual Conference, Orlando, Florida.
- Jensen-Doss, A., Hawley, K.M., Lopez, M., & Osterberg, L. (2008, November). *A statewide implementation of evidence-based treatments: Description and prediction of provider attitudes*. In A. De Los Reyes (Chair), *We have great treatments and... new and continued challenges facing the evidence-based treatment movement*. Symposium at the Association for Behavioral and Cognitive Therapies Annual Conference, Orlando, Florida.
- Cook, J.R. & Hawley, K.M. (2008, October). *A structural model of organization- and clinician-specific factors that predict standardized measure use among child and adolescent clinicians*. Poster presented at the Kansas Conference in Clinical Child Psychology, Lawrence, Kansas.
- Kearns, M.A., & Hawley, K.M. (2008, October). *Characteristics of child psychiatrists and their prescribing practices: Data from a national survey*. Poster presented at the Kansas Conference in Clinical Child Psychology, Lawrence, Kansas.
- Cain, A.S., Ramos, M.A., Skinner, J.B., & Hawley, K.M. (2008, May). *Findings from a dialectical behavior therapy program for binge eating disorder and bulimia nervosa: Binge eating and the Eating Disorder Inventory*. Poster presented at the International Conference on Eating Disorders, Seattle, Washington.
- Cook, J.R. & Hawley, K.M. (2007, November). *Assessment practices among child and adolescent clinicians: Data from a national survey*. Poster presented at the Association for Behavioral and Cognitive Therapies Annual Conference, Philadelphia, Pennsylvania.
- Carpentier, M.R. & Hawley, K.M. (2007, May). *Substance use among college women with disordered eating attitudes and behaviors*. Poster presented at the Midwest Psychological Association Annual Conference, Chicago, Illinois.
- Lindsey, M., Browne, D., Kotch, J.B., Thompson, R., Graham, J.C., Hawley, K.M., Weisbart, C., & Harrington, D. (2007, January). *Caregiver influences on child mental health need and service use among children at-risk for maltreatment*. Presented at the Society for Social Work and Research, San Francisco, California.

- Cook, J.R., & Hawley, K.M. (2006, October). *Assessment practices of child and adolescent clinicians: Pilot data from a national survey of psychologists, psychiatrists, social workers, marriage and family therapists, and counselors*. Poster presented at the Kansas Conference in Clinical Child Psychology, Lawrence, Kansas.
- Jensen-Doss, A., Lopez, M., Hawley, K.M., & Billy, T. (2006, August). *Practitioner attitudes regarding evidence-based treatments following a state-wide implementation effort*. Poster presented at the American Psychological Association Annual Conference, New Orleans, Louisiana.
- Lindsey, M., Browne, D., Kotch, J.B., Thompson, R., Graham, J.C., Hawley, K.M., Weisbart, C., & Harrington, D. (2006, January). *Caregiver influences on child mental health need: An analysis using structural equation modeling*. Presented at the Society for Social Work and Research, San Antonio, Texas.
- Hawley, K.M. (2005, November). *Child, therapist and setting factors and the transportability of evidence-based treatments to clinical practice*. In A. Jensen Doss (Chair), *Moving toward dissemination: An assessment of the youth therapy evidence base*. Symposium at the Association for Behavioral and Cognitive Therapies Annual Conference, Washington, D.C.
- Hawley, K.M. (2004, May). *Family involvement in treatment: Evidence for improved outcomes*. In D.E. Marto (Chair), *Family-professional partnerships*. Symposium at 3rd Annual Children's System of Care Conference: Evidence-Based Practices, San Diego, California.
- Hawley, K.M., Garland, A.F., & Seljan, S. (2004, March). *Therapeutic working alliance in community-based outpatient mental health services*. In M.B. Rautkis (Chair), *Promoting favorable outcomes: Understanding and enhancing therapeutic alliance between youth and intervening adults*. Symposium at 17th Annual Research Conference, A System of Care for Children's Mental Health: Expanding the Research Base, Tampa, Florida.
- Southam-Gerow, M.A., Hawley, K.M., Weisz, J.R., Chu, B.C., & Miller, L.M. (2003, November). *Who stays in therapy? Prediction of treatment retention in community clinics*. In M.K. Nock & A.L. Krain (Chairs), *Issues related to initiation of, and adherence to, treatments for childhood disorders*. Symposium at Association for the Advancement of Behavior Therapy Conference, Boston, MA.
- Lewczyk, C.M., Garland, A.F., Gabayan, E., Hawley, K.M., & Stein, M. (2003, September). *Adolescent, parent, and therapist agreement on mental health treatment goals*. Presented at the Society for Developmental and Behavioral Pediatrics 21st Annual Scientific Meeting, Pittsburgh, PA.
- Hawley, K.M., & Weisz, J.R. (2003, March). *Engaging families in mental health services: Focus on the therapeutic working alliance*. Poster presented at the 16th Annual Research Conference, A System of Care for Children's Mental Health: Expanding the Research Base, Tampa, Florida.
- Hawley, K.M. (2001, August). *Evidence based treatments: Review procedures and reliability across coding teams*. Presented at a joint meeting of the Committee on Empirically Supported Practice (Div 53) and Committee on Science and Practice (Div 12), American Psychological Association Annual Conference, San Francisco, California.
- Hawley, K.M. (2001, July). *Evidence based treatments: Identification, review, and dissemination*. Presented at a meeting of the Interdisciplinary Committee on Evidence Based Treatments, Niagara Conference on Empirically Supported Treatments, Niagara, Ontario.
- Hawley, K.M., & Weisz, J.R. (2000, October). *The relation between child-therapist alliance and outcome in child psychotherapy*. Poster presented at the Kansas Conference in Clinical Child Psychology, Lawrence, Kansas.
- Weisz, J.R., & Hawley, K.M. (1998, June). *Procedural and coding manual for the identification of empirically supported treatments*. Presented at American Psychological Association Task Force on Science and Practice meeting, Western Psychiatric Institute and Clinics, Pittsburgh, Pennsylvania.
- Hawley, K.M., & Weisz, J.R. (1998, April). *Agreement among the participants in child outpatient treatment: child, parent, and therapist views of target problems*. Poster presented at the Fourth Annual PsychFest, University of California, Los Angeles.

Grand Rounds, Seminars, Workshops

- Hawley, K.M. (2011, March). *Implementation of evidence-based treatment in clinical practice: Results from a national survey*. Developmental Area Seminar, Department of Psychological Sciences, University of Missouri, Columbia.
- Hawley, K.M. (2010, November). *Evidence-based treatments for emotional and behavioral problems in school aged youths*. Missouri Association of School Psychologists, Columbia, Missouri.
- Hawley, K.M. (2010, October). *Evidence-based assessment and treatment of pediatric obsessive-compulsive disorder*. Thompson Center, University of Missouri, Columbia, Missouri.
- Hawley, K.M. (2007, November). *Transportability of evidence-based practices into youth mental health service settings*. Center for Mental Health Services Research, Washington University, St. Louis, Missouri.
- Hawley, K.M. (2007, April). *Building a connection in therapy: Child, parent and therapist contributions to the alliance*. Developmental Area Seminar, Department of Psychological Sciences, University of Missouri, Columbia.
- Hawley, K.M. (2007, February). *Evidence-based psychosocial treatments for children, adolescents and their families*. Missouri Division of Medical Services Medicaid Mental Health Prior Authorization Advisory Committee, Jefferson City, Missouri.
- Hawley, K.M. (2005, April). *Attention to developmental issues in youth treatment research*. Developmental Area Seminar, Department of Psychological Sciences, University of Missouri, Columbia.
- Hawley, K.M. (2005, March). *Fidelity and flexibility within a manualized cognitive-behavioral intervention for youth depression*. Psychological Services Clinic Grand Rounds, University of Missouri, Columbia.
- Hawley, K.M. (2005, February). *Increasing the evidence-base for youth community mental health services*. Missouri Institute of Mental Health, St. Louis, Missouri.
- Hawley, K.M. (2004, September). *Improving therapy processes and outcomes in community mental health service settings*. Clinical Area Seminar, Department of Psychological Sciences, University of Missouri, Columbia.
- Hawley, K.M. (2004, June). *Bridging the gap between science and practice...between student and independent scholar*. Department of Psychology, San Diego State University, San Diego, California.
- Hawley, K.M. (2004, February). *The working alliance in youth community mental health services*. Department of Psychiatry at Children's Hospital and Division of Child and Adolescent Psychiatry Grand Rounds, University of California, San Diego.
- Southam-Gerow, M., Hawley, K.M., & Jensen, A.L. (2001, July). *Primary and Secondary Control Enhancement Therapy (PASCET) for youth depression*. Workshop presented for Youth Depression Study clinician training, University of California, Los Angeles.
- Weisz, J.R., & Hawley, K.M. (2001, July). *Primary and Secondary Control Enhancement Therapy (PASCET) for youth depression*. Workshop presented at the Niagara Conference on Empirically Supported Treatments, Niagara, Ontario.
- Weisz, J.R., Connor-Smith, J., & Hawley, K.M. (2000, September). *Primary and Secondary Control Enhancement Therapy (PASCET) for youth depression*. Workshop presented for Youth Depression Study clinician training, University of California, Los Angeles.

Other Invited Addresses

- Hawley, K.M. (2008, December). *Commencement Address to the Graduates*. College of Arts and Sciences Undergraduate Commencement, University of Missouri, Columbia.
<http://www.youtube.com/watch?v=G3x3SJZg6ow>

GRANTS AND CONSULTATION

MU Coordinating Center for Evidence Based Youth Mental Health Boone County Children's Services Fund Pilot Grant Investigator: Direct the community provider training, consultation, tracking and feedback components of the new Center for Evidence-Based Youth Mental Health, an implementation pilot research program operating within the existing MU Psychological Services Clinic (Executive Director: Debora Bell, Ph.D.).	2015-date
MU Psychological Services Clinic, Child and Family Services Boone County Children's Services Fund Purchase of Services Contract Co-Director: Co-direct (with Erika Waller) the evidence-based outpatient child and family services specialty assessment and treatment practicum within the existing MU Psychological Services Clinic (Executive Director: Debora Bell, Ph.D.).	2015-date
Increasing the Capacity of Providers to Monitor Fidelity to Child and Family CBT National Institute of Mental Health (R21 MH090460; PI: Kristin Hawley, Ph.D.) Principal Investigator: Development and piloting of clinician, caregiver and youth participant report measures of adherence to CBT for anxiety, depression and disruptive behavior problems coupled with standardized and idiographic client progress monitoring, and clinician feedback regarding CBT adherence and client progress.	2011-2015
Implementing ESI through a Network of Missouri's Private Medicaid Practitioners Missouri Foundation for Health (MPIs: Curtis McMillen, Ph.D. and Kristin Hawley, Ph.D.) Principal Investigator: Dissemination trial comparing enhanced, web-based training in Trauma-Focused Cognitive-Behavioral Therapy, an evidence supported intervention (ESI), versus waitlist on clinician attitudes, knowledge and practices.	2009-2012
Participant Report of Fidelity to Child and Family CBT University of Missouri Research Board (PI: Kristin Hawley, Ph.D.) Principal Investigator: Item development for clinician, caregiver and youth participant report measures of adherence to CBT for anxiety, trauma, depression and disruptive behavior problems.	2011
Web-based Translation-2 Research for Psychiatric Illness Using a PBRN Pilot funded by Barnes-Jewish Hospital and Washington University Institute of Clinical and Translational Sciences Research Funding Program National Center for Research Resources (UL1 RR024992; PI: Bradley Evanoff, M.D.) Co-Investigator on Pilot: Prospective randomized trial examining web-based clinician training procedures within a practice-based research network. (PI on Pilot: Enola Proctor, Ph.D.)	2009-2011
Missouri Therapy Network Pilot funded by Washington University Center for Mental Health Services Research National Institute of Mental Health (P30 MH068579; PI: Enola Proctor, Ph.D.) Principal Investigator on Pilot: Statewide survey of youth MH providers who provide services to low income children and adolescents via Missouri Medicaid to (a) characterize everyday clinical practice within publicly funded MH services, and (b) form an ongoing practice-based research network.	2007-2009
National Survey of Youth Mental Health Providers National Institute of Mental Health (R03 MH077752; PI: Kristin Hawley, Ph.D.) Principal Investigator: National survey of mental health providers to characterize the most common treatment strategies used in routine clinical practice with youth experiencing problems with anxiety, depression, or disruptive behavior.	2006-2008

- Risk for Internalizing During Kindergarten for Children with Dysregulated Fear
Subcontract from Penn State University
National Institute of Mental Health (R01 MH075750; PI: Kristin Buss, Ph.D.)
Principal Investigator on Subcontract: Overall onsite project management, as well as training and supervision of clinical evaluations for children and parents enrolled in a longitudinal evaluation of dysregulated fear behaviors from 2 to 6 years of age. 2006-2008
- Child Intervention, Prevention and Services Research Fellowship
National Institute of Mental Health (R25 MH068367; PI: Neal Ryan, Ph.D.)
Early Career Fellow: Funded by the NIMH Division of Services and Intervention Research, ChIPS is an interdisciplinary training consortium created to enhance career development for selected early career scientists in child intervention, prevention, and services research. 2005-2006
- Identification of Core Treatment Strategies Common across Evidence-Based Treatment Manuals
University of Missouri-Columbia Research Council Grant and Summer Research Fellowship (PI: Kristin Hawley, Ph.D.)
Principal Investigator: Identification of core treatment strategies common across multiple evidence-based psychosocial treatment manuals for youth anxiety, depression and disruptive behavior disorders. 2005-2006
- Quality of Mental Health Care and Treatment Outcomes for Youth
National Institute of Mental Health (K01 MH064079; PI: Michael Hurlburt, Ph.D.)
Consultant: Consultation regarding appropriate content and methods for therapist-report and observational measures of usual care treatment strategies. 2003
- Oscar Kaplan Postdoctoral Fellowship in Developmental Issues
San Diego State University Foundation (PI: Kristin Hawley, Ph.D.)
Postdoctoral Investigator: Two years of funding to think, write, participate in the research of an established laboratory, and develop an independent research program. (Postdoctoral Advisor: Al Litrownik, Ph.D.) 2002-2004
- Integrating Evidence and Practice of Youth Psychotherapy
National Institute of Mental Health (R01 MH066070; PI: Ann Garland, Ph.D.)
Co-Investigator: Implementation of therapist advisory group and consultation regarding usual therapy process assessment methods for youths with conduct problems. 2002-2004
- Linking Science and Practice to Improve Youth Mental Health Care
John D. and Catherine T. MacArthur Foundation (PI: John Weisz, Ph.D.)
Project Manager: Assistance in design and budget for proposal to review, identify, disseminate and evaluate evidence-based treatments in practice settings. Provision of oversight and management of evidence-based treatment review once funded. 2001-2004
- UCLA Video-Guided Depression Prevention Study
National Institute of Mental Health (R01 MH068806; PI: John Weisz, Ph.D.)
Graduate Assistant-Method Consultant: Design and analysis sections of grant proposal, including methods for analyzing longitudinal data within nested design. 2002-2003
- The Role of the Therapeutic Alliance in Child Psychotherapy
National Institute of Mental Health (F31 MH012853; PI: Kristin Hawley, Ph.D.)
Predoctoral Investigator: Therapy process-outcome study to examine associations between parent-therapist and child-therapist working alliances, and therapy engagement and outcome in community clinics. (Predoctoral Advisor: John Weisz, Ph.D.) 2000-2002
- Developing a Robust Youth Depression Prevention Program
National Institute of Mental Health (R21 MH063302; PI: John Weisz, Ph.D.)
Graduate Assistant-Method Consultant: Design and analysis sections of grant proposal, including methods for analyzing longitudinal data. 2000-2001

Community Clinic Test Of Youth Anxiety and Depression Treatments National Institute of Mental Health (R01 MH057347; PI: John Weisz, Ph.D.) Graduate Assistant-Clinical Supervisor: Provision of training and supervision of clinicians in manualized cognitive-behavioral therapy for youth depression.	1999-2001
Studying Clinic-Based Child Mental Health Care National Institute of Mental Health (R01 MH049522; PI: John Weisz, Ph.D.) Graduate Assistant-Data Manager: Data management of a two-year longitudinal investigation of attrition and outcomes for children receiving usual community clinic services. Provision of parent and child assessments; training and supervision of graduate and undergraduate research assistants; scoring standardized clinical instruments; statistical consultation.	1996-2001

TEACHING ACTIVITIES

Awarded the Robert S. Daniel Junior Faculty Teaching Award in 2011.

Psychology 9550: Clinical Child Interventions University of Missouri, Columbia Graduate course in psychosocial interventions covering interventions research methods, evidence-based assessment and psychosocial interventions for children, adolescents and their families, intervention planning, implementation and evaluation, and issues of cultural and individual diversity. Mean student evaluation: 5/5 (FS2007), 4.9/5 (FS2009), 4.8/5 (SP2011), 4.9/5 (FS2012), (SP2015).	2007-date
Psychology 9510: Studies in Clinical Psychology University of Missouri, Columbia Graduate seminar covering clinical science, practice and professional development issues. Mean student evaluation: 4.4/5 (FS2007), 4.4/5 (SP2008), 4.5/5 (FS2008), 4.8/5 (SP2009).	2007-2009
Psychology 9545: Clinical Practicum University of Missouri, Columbia Clinical supervision for clinical psychology graduate students in assessment and evidence-based intervention for children, adolescents and adults with a range of psychiatric diagnoses. Mean student evaluations: 4.6/5 (SP2006), 4.8/5 (SP2007), 4.9/5 (SP2008), 4.9/5 (SP2009), 4.8/5 (SP2013), 4.8/5 (SP2014), (SP2015).	2006-date
Psychology 4540: Emotional and Behavioral Disorders of Childhood and Adolescence University of Missouri, Columbia Upper division undergraduate course in developmental psychopathology and research-supported assessment procedures and interventions through lecture, discussion, individual and group exercises. Mean student evaluations: 4.8/5 (SP2005), 4.7/5 (FS2006), 4.9/5 (SP2010), 4.7/5 (FS2010), 4.8/5 (SP2011), 4.8/5 (FS2011), 4.7/5 (SP2013), 4.6/5 (FS2013), 4.8/5 (SP2014), 4.7/5 (FS2014).	2005-date
Psychology 9530: Clinical Child Assessment University of Missouri, Columbia Graduate course in clinical child assessment covering assessment approaches used with children and adolescents, including screening and diagnosis, intervention planning and evaluation, and issues of cultural and individual diversity. Mean student evaluation: 3.3/5 (SP2006).	2006
Psychology 852: Experimental Psychopathology Research Seminar San Diego State University / University of California, San Diego Graduate seminar covering methodological issues in experimental psychopathology research through a combination of guest lectures, journal reviews, and the development of individual grant proposals. Mean student valuation: 4.5/5.	2004

Undergraduate Work-Study Program University of California, Los Angeles	1997-2001
Recruitment and supervision of low-income undergraduate students on a variety of tasks (e.g., literature searches, maintenance of bibliographies, data coding and entry, and conducting child assessments) for the UCLA Clinic Study and UCLA Youth Anxiety and Depression Study. Student evaluations not collected.	
Psychology 194: Research Seminar University of California, Los Angeles	2001
Upper division undergraduate structured research experience, responsible for weekly seminar series focused on etiology and treatment of youth anxiety and depression. Constructed syllabus; selected readings; graded quarterly research papers. Student evaluations not collected.	
Psychology 177: Counseling Relationships University of California, Los Angeles	2000
Upper division undergraduate course on the theory and practice of psychotherapy. Co-taught with two other graduate students including lectures, preparation and grading of exams and papers. Mean student evaluation: 8/9.	
Psychology 177: Counseling Relationships University of California, Los Angeles	1998
Upper division elective in psychotherapy. Reviewed lecture material; facilitated discussions; led role-plays. Mean student evaluation: 8/9.	
Psychology 129D: Personality University of California, Los Angeles	1997
Introductory undergraduate course. Conducted review sessions; wrote and graded exams. Mean student evaluation: 7/9.	

CLINICAL SERVICE

Co-Director, MU Center for Evidence-Based Youth Mental Health Columbia, Missouri	2015-date
Oversee graduate trainee and professional clinician training in evidence-based youth mental health practices.	
Licensed Psychologist (MO #2007007417) and Supervisor, MU Psychological Services Clinic Columbia, Missouri	2008-date
Supervise predoctoral trainees in evidence-based assessments and psychosocial interventions for children, adolescents, and adults with mood, anxiety, tic and related disorders.	
Psychology Resident, MU Psychological Services Clinic (Postdoctoral Clinical Residency) Columbia, Missouri	2006-2008
Conducted clinical intakes and diagnostic evaluations; provided evidence-based psychosocial interventions for children and adolescents with depression, anxiety, tics and related disorders. Supervised clinical post-doctoral experience required for Missouri psychology licensure (minimum 500 direct client contact hours within 24 months required by state).	
Psychology Intern, Child and Family Guidance Center (APA Accredited Predoctoral Internship) Northridge, California	2001-2002
Conducted clinical intakes, diagnostic and cognitive evaluations; completed rotations in outpatient, day treatment, and residential programs; provided a variety of treatments (short-term, behavioral parent training, systemic family, cognitive-behavioral, and play therapy) to children with affective, anxiety, disruptive behavior and substance use disorders, and to families with history of trauma, abuse and neglect.	

- Psychology Extern, Neuropsychiatric Institute Child OCD, Anxiety, and Tic Disorders Program 1999-2001
University of California, Los Angeles
Conducted clinical intakes and diagnostic evaluations; provided cognitive-behavioral therapy for anxiety disorders, exposure and response-prevention therapy for OCD, and habit reversal for tic and related disorders. Provided baseline, interim and outcome evaluations for a clinical trial of exposure and response prevention for pediatric OCD (NIMH R01 MH058459).
- Psychology Extern, St. John's Child and Family Development Center 1998-1999
Santa Monica, California
Conducted clinical intakes; performed diagnostic evaluations for children referred to the Attention-Deficit/Hyperactivity Disorder Clinic; provided short-term individual and family therapy to children and adolescents with a range of psychiatric diagnoses.
- Psychology Trainee, UCLA Psychology Clinic and University Elementary School 1997-1998
University of California, Los Angeles
Conducted intakes, diagnostic and cognitive evaluations with children, adolescents, and adults; provided after-hours on-call service; provided psychotherapy for child and adult depression.
- Psychiatric Aide and Volunteer, Mid-Missouri Mental Health Center 1992-1995
Columbia, Missouri
Supervised patients on child, adolescent and adult inpatient units; performed cognitive evaluations with children and adolescents; assisted multidisciplinary team with development and implementation of individual behavioral management, education, recreational and occupational therapy programs.

Kelly M. Schieltz, Ph.D.

University of Missouri
Department of Educational, School, and Counseling Psychology
16 Hill Hall
Columbia, MO 65211

CURRENT POSITION

Assistant Teaching Professor

School Psychology Program (M.A., Ed.S., Ph.D.)
Department of Educational, School, and Counseling Psychology
College of Education
University of Missouri

BIOGRAPHICAL INFORMATION

Office Address: 23 Hill Hall
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Email Address: schieltzk@missouri.edu
Website: http://education.missouri.edu/faculty/ESCP/Schieltz_Kelly

EDUCATION

Ph.D., 2013 **University of Iowa**
Department of Psychological and Quantitative Foundations
Ph.D. in School Psychology (APA Accredited)
Advisor: John Northup, Ph.D.
Dissertation: *Effects of motivating operations on academic performance and problem behavior maintained by escape from academic tasks*

M.A., 2006 **University of Iowa**
Department of Teaching and Learning
Masters in Special Education
Focus: K-12 Instructional Strategist II: BD/LD

B.A., 2001 **University of Iowa**
Departments of Psychology and Dance
Bachelors in Psychology and Dance
Minor in Human Relations

PROFESSIONAL CREDENTIALS

- 2014-Present **Licensed Psychologist**
MO #2014031970; Active
- 2014-Present **Board Certified Behavior Analyst, Doctoral**
#1-14-15850; Active
- 2014-Present **Licensed Behavior Analyst**
MO #2014030535; Active

HONORS AND AWARDS

- 2014 **Alfred Healy Leadership Award in Developmental Disabilities**
University of Iowa
- 2013-2014 **Iowa Leadership and Education in Neurodevelopmental and
Related Disabilities (ILEND) Post-Doctoral Fellowship**
University of Iowa
- 2012-2013 **Ballard Seashore Dissertation Year Fellowship**
Graduate College
University of Iowa
- 2012 **Graduate Student Research Award**
College of Education
University of Iowa
- 2012 **T. Anne Cleary Psychological Research Scholarship**
Department of Psychological and Quantitative Foundations
University of Iowa
- 2012 & 2009 **Sandra H. Barkan Graduate Student Mentor Award**
Graduate College
University of Iowa
- 2009-2010 **Iowa Leadership and Education in Neurodevelopmental and
Related Disabilities (ILEND) Pre-Doctoral Fellowship; Autism
Supplement**
University of Iowa
- 2006-2013 **Audrey Qualls Travel Award**
College of Education
University of Iowa
- 2006 **Debra Clausen Memorial Fellowship**

Department of Teaching and Learning
University of Iowa

PUBLICATIONS IN REFEREED JOURNALS

1. Lee, J. F., **Schieltz, K.**, Suess, A., Wacker, D., Romani, P., Lindgren, S., & Padilla Dalmau, Y. (2014). Guidelines for developing telehealth services and troubleshooting problems with telehealth technology when coaching parents to conduct functional analyses and functional communication training in their homes. *Behavior Analysis in Practice*. DOI 10.1007/s40617-014-0031-2
2. Suess, A. N., Kopelman, T. G., Wacker, D. P., Lindgren, S. D., Lee, J. F., Romani, P. W., & **Schieltz, K. M.** (2014). Orienting caregivers to conduct in-home functional analyses via telehealth. *Association of Professional Behavior Analysts Reporter*, 50.
3. Wacker, D.P., Lee, J.F., Padilla Dalmau, Y.C., Kopelman, T.G., Lindgren, S.D., Kuhle, J., Pelzel, K.E., Dyson, S., **Schieltz, K.M.**, & Waldron, D.B. (2013). Conducting functional communication training via telehealth to reduce the problem behavior of young children with autism. *Journal of Physical and Developmental Disabilities*, 25, 35-48.
4. Wacker, D.P., Harding, J.H., Morgan, T.A., Berg, W. K., **Schieltz, K.M.**, Lee, J.F., & Padilla, Y. C. (2013). An evaluation of resurgence during functional communication training. *The Psychological Record*, 63, 3-20.
5. Padilla Dalmau, Y.C., Wacker, D.P., Harding, J.H., Berg, W.K., **Schieltz, K.M.**, Lee, J.F., Breznican, G.P., & Kramer, A. R. (2011). A preliminary evaluation of functional communication training effectiveness and language preference when Spanish and English are manipulated. *Journal of Behavioral Education*, 20, 233-251.
6. **Schieltz, K.M.**, Wacker, D. P., Harding, J. W., Berg, W. K., Lee, J. F., Padilla Dalmau, Y. C., Mews, J., & Ibrahimović, M. (2011). Indirect effects of functional communication training on disruptive behavior. *Journal of Behavioral Education*, 20, 15-32.
7. Wacker, D.P., Harding, J.H., Berg, W. K., Lee, J.F., **Schieltz, K.M.**, Padilla, Y. C., Nevin, J.A. & Shahan, T.A. (2011). An evaluation of persistence of treatment effects during long-term treatment of destructive behavior. *Journal of the Experimental Analysis of Behavior*, 96, 261-282.
8. **Schieltz, K.M.**, Wacker, D. P., Harding, J. W., Berg, W. K., Lee, J. F., & Padilla Dalmau, Y. C. (2010). An evaluation of manding across functions prior to functional communication training. *Journal of Developmental and Physical Disabilities*, 22, 131-147.

MANUSCRIPTS UNDER REVIEW

1. Lindgren, S., Wacker, D., Suess, A., **Schieltz, K.**, Pelzel, K., Kopelman, T., & Waldron, D. (under review). Telehealth expands access and reduces costs for treating challenging behavior in young children with autism spectrum disorders using applied behavior analysis. *Pediatrics*.

BOOKS AND BOOK CHAPTERS

1. Wacker, D. P., Schieltz, K. M., & Romani, P. (in press). Brief experimental analyses of problem behavior in a pediatric outpatient clinic. In Roane, H. S., Ringdahl, J. E., & Falcomata, T. S. (Eds.), *Clinical and organizational applications of applied behavior analysis*. Amsterdam: Elsevier, Inc.
2. Wacker, D.P., Berg, W. K., Bassingthwaite, B.J., Kopelman, T.G., **Schieltz, K.M.**, Padilla Dalmau, Y.C., Lindgren, S.D., & Lee, J.F. (2015). Conducting functional analyses of behavior. In R.L. DePry, F. Brown, & J. Anderson (Eds.), *Individual positive behavior supports: A standards-based guide to practices in school and community-based settings* (pp.295-313). Baltimore, MD: Paul H. Brookes.
3. Wacker, D.P., Berg, W. K., **Schieltz, K.M.**, Romani, P.W., & Padilla Dalmau, Y.C. (2013). Outpatient units. In D.D. Reed, F.D. Reed, & J.K. Luiselli (Eds.), *Handbook of crisis intervention for individuals with developmental disabilities*, (pp. 409-422). New York, NY: Springer.
4. Wacker, D.P., Harding, J.H., Berg, W. K., Padilla, Y. C., **Schieltz, K.M.**, & Lee, J.F. (2011). Evaluating intervention effectiveness. In J. Luiselli (Ed.), *Teaching and behavior support for children and adults with autism spectrum disorders: A "how to" practitioner's guide*, (pp. 195-203). New York, NY: Oxford University Press.

GRANTS, AWARDS, & CONTRACTS

Currently, none

INTERNATIONAL PROFESSIONAL PRESENTATIONS

1. Casey, S. D., Bassingthwaite, B. J., Wacker, D. P., Lee, J. F., Christensen, T. J., **Schieltz, K. M.**, Kopelman, T. G., & Schwartz, J. E. (2015, September). How the Department of Education in Iowa systematically addressed the expertise gap of school-based consultants who develop behavior interventions plans (BIPs) designed to address challenging behavior. In X. X. XX (Chair), XX Symposium accepted for presentation at the annual international conference of the Association of Behavior Analysis, Kyoto, Japan.
2. Suess, A. N., Wacker, D. P., Detrick, J., & **Schieltz, K. M.** (2015, September). Evaluating renewal and resurgence during functional communication training conducted via telehealth. In X. X. XX (Chair), XX Symposium accepted for presentation at the annual international conference of the Association of Behavior Analysis, Kyoto, Japan.

3. **Schieltz, K. M.**, Pelzel, K., Wacker, D. P., Suess, A., Lindgren, S., & Kopelman, T. (2015, August). Conducting assessment and treatment of problem behavior for children with autism via telehealth. In *Using technologies to assess, improve, and monitor behaviors in real-time*. Collaborative symposium accepted for presentation at the annual convention of the American Psychological Association, Toronto, ON, Canada.
4. Pelzel, K., Schloeman, D., Lindgren, S., Wacker, D., Romani, P., Lee, J., Kopelman, T., Waldron, D., **Schieltz, K.**, & Suess, A. (2014, June). *Parents as behavioral therapists for their young children with autism: Improvements in parent functioning over time*. Poster presented at the annual World Association for Infant Mental Health World Congress, Edinburgh, Scotland.
5. Wacker, D.P., Padilla Dalmau, Y.C., & **Schieltz, K.M.** (2010, March). *Conducting functional analysis and functional communication training in home settings*. Workshop presented at FLENI, Buenos Aires, Argentina.
6. Padilla, Y.C., Harding, J.W., Wacker, D.P., Berg, W.K., Lee, J.F., & **Schieltz, K.M.** (2008, September) *An evaluation of relations between functional analyses and choice assessment outcomes with young children*. Poster presented at the European Association for Behaviour Analysis IV Conference, Madrid, Spain.

NATIONAL PROFESSIONAL PRESENTATIONS

1. Holland, B. M., Wacker, D. P., Cooper, L. J., Willms, A., & **Schieltz, K. M.** (2015, May). Outpatient evaluation of the effects of response effort and quality of reinforcement on increasing bite acceptance of food. In D. N. Dolezal (Chair), *Advancements in the treatment of pediatric feeding disorders*. Symposium accepted for presentation at the annual conference of the Association of Behavior Analysis, San Antonio, TX.
2. **Schieltz, K. M.**, Wacker, D. P., & Natchev, B. (2015, May). An evaluation of behavioral persistence with academic performance. In C. A. Podlesnik (Chair), *Translational research on persistence and relapse*. Symposium accepted for presentation at the annual conference of the Association of Behavior Analysis, San Antonio, TX.
3. Suess, A. N., Wacker, D. P., Detrick, J., & **Schieltz, K. M.** (2015, May). Evaluation of renewal and resurgence during functional communication training. In W. K. Berg (Chair), *Translational research: Setting, prompt and reinforcement schedule effects on response persistence for children with autism*. Symposium accepted for presentation at the annual conference of the Association of Behavior Analysis, San Antonio, TX.
4. Casey, S. D., Bassingthwaite, B. J., Wacker, D. P., Lee, J. F., **Schieltz, K. M.**, Christensen, T. J., & Kopelman, T.G. (2014, May). The challenging behavior specialist project: What have we learned after 4.5 years? In B. J. Bassingthwaite (Chair), *Examples of behavioral analysts meeting the needs of school-based personnel in behavior assessment and intervention*. Symposium presented at the annual conference of the

Association of Behavior Analysis, Chicago, IL.

5. Lee, J. F., Wacker, D. P., Romani, P., Suess, A.N., **Schieltz, K. M.**, Lindgren, S. D., & Kopelman, T.G. (2014, May). Training parents to conduct functional analyses and functional communication training via telehealth. In W. K. Berg (Chair), *Evaluations of four training models to teach behavioral treatment procedures to direct care providers*. Symposium presented at the annual conference of the Association of Behavior Analysis, Chicago, IL.
6. **Schieltz, K. M.**, Wacker, D. P., Suess, A. N., Schwartz, J. E., & Lustig, N. H. (2014, May). An evaluation of the influence of various dimensions of response effort on choice responding. In P. F. Kurtz (Chair), *Diverse applications of preference assessment procedures*. Symposium presented at the annual conference of the Association of Behavior Analysis, Chicago, IL.
7. Kuhle, J.K., **Schieltz, K.M.**, & Wacker, D.P. (2014, February). Evaluating oral reading fluency interventions using a brief experimental analysis. Poster to be presented at annual conference of the National Association of School Psychologists, Washington, D.C.
8. Bassingthwaite, B. J., Wacker, D. P., Casey, S.D., Lee, J.F., **Schieltz, K. M.**, & Kopelman, T.G. (2013, May). Training Iowa school-based challenging behavior specialists to design and conduct experimental analyses. In S. Casey (Chair), *Effects of a state-wide training program to improve behavioral assessment practices for students in school settings*. Symposium presented at the annual conference of the Association of Behavior Analysis, Minneapolis, MN.
9. Kuhle, J., **Schieltz, K.M.**, & Wacker, D. P. (2013, May). *Assessing reading interventions using a brief experimental analysis in an outpatient clinic*. Poster presented at the annual conference of the Association of Behavior Analysis, Minneapolis, MN.
10. **Schieltz, K.M.**, Wacker, D. P., & Romani, P. (2013, May). *Effects of positive reinforcers as motivating operations for negative reinforcement*. Poster presented at the annual conference of the Association of Behavior Analysis, Minneapolis, MN.
11. **Schieltz, K.M.**, Wacker, D. P., & Suess, A. N. (2013, May). Effects of motivating operations on academic performance and problem behavior maintained by escape from academic tasks. In S. Snidarich (Chair), *Matching academic intervention to results of experimental analysis: Principles, theories, and procedures*. Symposium presented at the annual conference of the Association of Behavior Analysis, Minneapolis, MN.
12. Schwartz, J. E., Bassingthwaite, B. J., Scheib, M., **Schieltz, K. M.**, Lee, J. F., Kopelman, T. G., Wacker, D. P., & Casey, S.D., (2013, May). *An evaluation of findings of brief functional analyses conducted in school and clinic settings*. Poster presented at the annual conference of the Association of Behavior Analysis, Minneapolis, MN.
13. Casey, S.D., Wacker, D.P., Bassingthwaite, B.J., **Schieltz, K.M.**, Christensen, T.J.,

- Kopelman, T.G., Lee, J.F., & Kuhle, J. (2012, May). Developing capacity for FBAs and behavior intervention plans through a state-wide training program. In J. Woods (Chair), *Toward competent and practical functional behavioral assessment of severe problem behavior*. Symposium presented at the annual conference of the Association of Behavior Analysis, Seattle, WA.
14. Holland, B.M., **Schieltz, K.M.**, Wacker, D.P., & Suess, A.N. (2012, May). *Effects of reinforcers identified in a concurrent operants on low preferred activities in an outpatient clinic*. Poster presented at the annual conference of the Association of Behavior Analysis, Seattle, WA.
 15. **Schieltz, K.M.**, Bassingthwaite, B. J., Casey, S.D., Wacker, D. P., Kopelman, T.G, Lee, J.F., & Christensen, T.J. (2012, May). *Outcomes of training challenging behavior teams across Iowa in behavior analytic assessments*. Poster presented at the annual conference of the Association of Behavior Analysis, Seattle, WA.
 16. **Schieltz, K.M.**, Wacker, D.P., Holland, B.M., & Suess, A.N. (2012, May). Evaluation of the use of self-management strategies during academic tasks in an outpatient clinic. In J. Asmus (Chair), *Applications of self-management strategies across school and clinic settings for elementary-aged children*. Symposium presented at the annual conference of the Association of Behavior Analysis, Seattle, WA.
 17. Suess, A.N., **Schieltz, K.M.**, & Wacker, D.P. (2012, May). *Evaluation of motivating operations on escape maintained problem behavior*. Poster presented at the annual conference of the Association of Behavior Analysis, Seattle, WA.
 18. Casey, S.D., Wacker, D.P., Bassingthwaite, B.J., Christensen, T.J., **Schieltz, K.M.**, Kopelman, T.G., Lee, J.F., & Kuhle, J. (2011, May). Developing capacity for function-based assessment and behavior intervention plans through a state-wide training program. In B. J. Bassingthwaite (Chair), *Increasing the use of behavior analysis in school settings: Successes in systemic change*. Symposium presented at the annual conference of the Association for Behavior Analysis, Denver, CO.
 19. Christensen, T.J., Bassingthwaite, B.J., Kuhle, J., **Schieltz, K.M.**, Wacker, D. P., Kopelman, T.G., Lee, J.F., & Casey, S.D. (2011, May). *Year two of a training service for members of challenging behavior teams in Iowa*. Poster presented at the annual conference of the Association for Behavior Analysis, Denver, CO.
 20. Dyson, S., Berg, W.K., **Schieltz, K.M.**, Ringdahl, J.E., & Wacker, D.P. (2011, May). *The use of choice assessment to complete a health-related task*. Poster presented at the annual conference of the Association for Behavior Analysis, Denver, CO.
 21. Lustig, N.H., **Schieltz, K.M.**, Padilla Dalmau, Y.C., Wacker, D.P., & Dyson, S. (2011, May). *Assessment and treatment of apparent masturbation*. Poster presented at the annual conference of the Association for Behavior Analysis, Denver, CO.

22. **Schieltz, K.M.**, Wacker, D.P., Romani, P., & Kuhle, J. (2011, May). Evaluation of mild punishment on problem behavior maintained by positive reinforcement in an outpatient clinic. In A. Barretto (Chair), *Assessment and treatment of severe behavior in outpatient and natural settings*. Symposium presented at the annual conference of the Association for Behavior Analysis, Denver, CO.
23. Turbes, J., Langstraat-Janisch, B., Lyle, B., Hill, C., Lee, J.F., Christensen, T.J., **Schieltz, K.M.**, & Wacker, D.P. (2011, May). *The effects of directed activities and free play activities on occurrences of stereotypy*. Poster presented at the annual conference of the Association for Behavior Analysis, Denver, CO.
24. Christensen, T. J., Bassingthwaite, B. J., Wacker, D. P., **Schieltz, K. M.**, Kopelman, T. G., Casey, S. D., & Ohlund, B. (2010, May). *The challenging behavior service: A training service for members of challenging behavior teams in Iowa*. Poster presented at the annual conference of the Association for Behavior Analysis, San Antonio, TX.
25. Padilla Dalmau, Y. C., Wacker, D. P., Harding, J. W., Berg, W. K., **Schieltz, K. M.**, & Lee, J. F. (2010, May). Evaluating child behavior when type of language is manipulated during functional communication training. In M. F. O'Reilly (Chair), *Applied behavior analysis and children with autism from culturally and linguistically diverse backgrounds*. Symposium presented at the annual conference of the Association for Behavior Analysis, San Antonio, TX.
26. Romani, P., **Schieltz, K. M.**, Wacker, D. P., & Hall, T. (2010, May). *An evaluation of motivating operations within brief functional analyses*. Poster presented at the annual conference of the Association for Behavior Analysis, San Antonio, TX.
27. **Schieltz, K. M.**, Wacker D. P., Romani, P., & O'Bleness, J. (2010, May). An evaluation of motivating operations for negative reinforcement and discriminative stimuli for positive reinforcement. In B. J. Bassingthwaite (Chair), *The advancement of functional analysis methodology in outpatient clinic settings*. Symposium presented at the annual conference of the Association for Behavior Analysis, San Antonio, TX.
28. Wacker, D. P., Harding, J. W., Berg, W. K., Lee, J. F., **Schieltz, K. M.**, & Padilla Dalmau, Y. C. (2010, May). Evaluating resurgence of problem behavior during long-term functional communication training. In J. E. Ringdahl (Chair), *Applied evaluations of basic behavioral phenomena: Resistance to change and resurgence*. Symposium presented at the annual conference of the Association for Behavior Analysis, San Antonio, TX.
29. **Schieltz, K.M.**, Wacker, D.P., Harding, J.W., Berg, W.K., & Lee, J.F. (2010, January). *Long-term effects of functional communication training*. Poster presented at the annual autism conference of the Association of Behavior Analysis, Chicago, IL.
30. Lee, J.F., Harding, J.W., Wacker, D.P., Berg, W.K., & **Schieltz, K.M.** (2009, May). *Evaluation of choice making in the assessment of young children with problem behavior*.

- Poster presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
31. Mews, J., Romani, P., Wacker, D.P., & **Schieltz, K.M.** (2009, May). *An evaluation of treatment preferences for children evaluated in an outpatient clinic for noncompliant behavior*. Poster presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
 32. O'Brien, M., Engebretson, B.J., Wacker, D.P., **Schieltz, K.M.**, Bachmeyer, M.H., & Romani, P. (2009, May). Expediting the brief functional analysis by using hypotheses derived from descriptive assessments. In J.H. Tiger (Chair), *Variations of functional analysis methodology*. Symposium presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
 33. Padilla, Y.C., Harding, J.W., Wacker, D.P., Berg, W.K., Lee, J.F. & **Schieltz, K.M.** (2009, May). Evaluation of relations between functional analyses and choice assessment outcomes pre- and post- functional communication training. In J.E. Ringdahl (Chair), *Choice and response allocation in the assessment and treatment of severe problem behavior*. Symposium presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
 34. Padilla, Y.C., Wacker, D.P., Harding, J.W., Berg, W.K., Lee, J.F., & **Schieltz, K.M.** (2009, May). *Using mand analyses to evaluate child behavior across languages*. Poster presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
 35. **Schieltz, K.M.**, Harding, J.W., Wacker, D.P., Berg, W.K., Lee, J.F. & Padilla Dalmou, Y.C. (2009, May). A comparison of functional analysis and mand analysis results. In A. Barretto (Chair), *The effects of establishing operations on assessment and treatment outcomes*. Symposium presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
 36. **Schieltz, K.M.**, Wacker, D.P., Harding, J.W., Berg, W.K., & Lee, J.F. (2009, May). *Long-term effects of functional communication training*. Poster presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
 37. Wacker, D.P., Berg, W.K., Harding, J.W., Lee, J.F., **Schieltz, K.M.**, & Padilla, Y.C. (2009, May). Behavioral persistence following long-term treatment with functional acommunication training. In E. Boelter (Chair), *Variables influencing the persistence of adaptive and problem behavior*. Symposium presented at the annual conference of the Association of Behavior Analysis, Phoenix, AZ.
 38. Moreano, G. M., Wacker, D. P., Padilla, Y., Harding, J. W., **Schieltz, K. M.**, & Berg, W. K. (2008, July). *Evaluating functional analysis and functional communication training with young children with developmental disabilities*. Poster presented at the 2008 Annual CIC SROP Conference, Michigan State University, East Lansing, MI.

39. Moreano, G. M., Wacker, D. P., Padilla, Y., Harding, J. W., **Schieltz, K. M.**, & Berg, W. K. (2008, November). *Evaluating functional analysis and functional communication training with young children with developmental disabilities*. Poster presented at the 2008 Annual Biomedical Research Conference for Minority Students, Orlando, FL.
40. **Schieltz, K. M.**, Harding, J. W., Wacker, D. P., Berg, W. K., & Mews, J. (2008, May). *Extensions to undifferentiated functional analyses*. Poster presented at the annual conference of the Association of Behavior Analysis, Chicago, IL.
41. Engebretson, B. J., Wacker, D. P., Cooper-Brown, L. J., Lind, M.A., **Schieltz, K.**, & Zaman, M. (2007, May). *Analyses of appropriate communication in children with selective mutism and children with disruptive behavior disorder*. Poster presented at the annual conference of the Association of Behavior Analysis, San Diego, CA.
42. **Schieltz, K.M.**, Harding, J.W., Wacker, D.P., Berg, W.K., & Lee, J.F. (2007, May). *Indirect effects of functional communication training on non-targeted behavior*. Poster presented at the annual conference of the Association of Behavior Analysis, San Diego, CA.
43. Harding, J. W., Wacker, D. P., Berg, W. K., Lee, J., & **Schieltz, K.** (2006, May). An evaluation of competition between positive and negative reinforcement during a choice assessment. In S. Peterson (Chair), *Variables influencing response allocation in the treatment of behavior disorders*. Symposium presented at the annual conference of the Association of Behavior Analysis, Atlanta, GA.
44. Lee, J.F., Harding, J. W., Wacker, D. P., Berg, W. K., & **Schieltz, K.M.** (2006, May). Evaluation of target behaviors across two alternating visual cue conditions during a mand analysis. In A. Barretto (Chair), *Further developments in FCT: Assessment of communication modalities and stimulus prompts*. Symposium presented at the annual conference of the Association of Behavior Analysis, Atlanta, GA.
45. **Schieltz, K. M.**, Harding, J. W., Wacker, D. P., Berg, W. K., & Lee, J. F. (2006, May). *A comparison of functional analysis and mand analysis results*. Poster presented at the annual conference of the Association of Behavior Analysis, Atlanta, GA.

REGIONAL PROFESSIONAL PRESENTATIONS

1. **Schieltz, K.** (2014, October). *An approach to evaluating the interaction between academic performance issues and problem behavior displayed by school-aged children*. Mid-MO Missouri Association of School Psychology, Columbia, MO.
2. **Schieltz, K.** (2013, September). *Translation of behavioral economic theory to children with learning disabilities and problem behavior*. The Second Annual Mental Health Research Networking Workshop: Cognitive, Emotional, & Behavioral Origins of Childhood Health & Disease, Iowa City, IA.

3. Wacker, D., Kopelman, T., & **Schieltz, K.** (2012, February). *Linking functional analysis with function-based treatment*. Invited workshop for Heartland Area Education Agency, Des Moines, IA.
4. Christensen, T., O'Brien, M., **Schieltz, K.**, & Vinqvist, K. (2010, April). *The basics of applied behavior analysis: Solving problem behaviors using a functional approach*. Presentation at the ARC, Dubuque, IA.

RESEARCH EXPERIENCE

- August 2014-Present **Assistant Teaching Professor** (University of Missouri), School Psychology Program (M.A./Ed.S., Ph.D.; NASP Approved, APA Accredited), Department of Educational, School, and Counseling Psychology, College of Education
- August 2013-August 2014 **Post-Doctoral Fellow**, (MCH funded grant: *Behavioral treatment through in-home telehealth for young children with autism*)
Center for Disabilities and Development, Iowa City, IA
Supervisors: David P. Wacker, Ph.D. & Todd Kopelman, Ph.D.
- August 2013-August 2014 **Post-Doctoral Fellow**, (Iowa Department of Education, Bureau of Special Education funded grant: *Statewide behavioral consultation service*)
Center for Disabilities and Development, Iowa City, IA
Supervisors: Brenda Bassingthwaite, Ph.D. & David P. Wacker, Ph. D.
- August 2009-August 2013 **Graduate Research Assistant**, (Iowa Department of Education, Bureau of Special Education funded grant: *Statewide behavioral consultation service*)
Center for Disabilities and Development, Iowa City, IA
Supervisors: Brenda Bassingthwaite, Ph.D. & David P. Wacker, Ph. D.
- July 2005-July 2009 **Graduate Research Assistant**, (NIH funded grant: *Maintenance effects of functional communication training*)
Center for Disabilities and Development, Iowa City, IA
Supervisors: Jay Harding, Ed.S. & David P. Wacker, Ph. D.
- October 2004-May 2005 **Research Assistant**, (Pediatric Feeding Disorders Clinic)
University of Iowa Children's Hospital, Iowa City, IA
Supervisors: Linda Cooper-Brown, Ph. D.

TEACHING EXPERIENCE

- August 2014-Present **Assistant Teaching Professor** (University of Missouri)
Graduate Courses:

- Developmental Psychopathology and Exceptionality (ESCP 8530)
 - Applied Behavior Analysis: Behavioral Assessment and Evaluation (ESCP 8087:15)
 - Psychological Interventions with Children & Adolescents: Behavior Intervention (ESCP 8145)
 - Psychological Interventions with Children & Adolescents: Evidence-Based Therapies (ESCP 8165)
 - School Psychology Practicum: Community-Based (ESCP 9250)
 - School Psychology Practicum: Policy (ESCP 9260)
- Fall 2013 **Teaching Assistant** – Assessment of Behavior (7P:352)
University of Iowa, Department of Psychological & Quantitative Foundations
Supervisor: Matthew O'Brien, Ph.D.
- Fall 2013 **Teaching Assistant** – Principles of Behavior Analysis and Learning (70:262/70:264/70:265/70:300/EPsy 8707)
University of Iowa, Department of Pediatrics and University of Minnesota, Department of Educational Psychology
Supervisors: David Wacker, Ph.D. and Jennifer McComas, Ph. D.
- Spring 2012 **Instructor** – Assessment of Behavior Disorders: Clinical Case Conceptualization & Single-Case Designs (70:253)
University of Iowa, Department of Pediatrics
Supervisor: David Wacker, Ph.D.
- Spring 2012 **Guest Lecturer**
University of Iowa, Center for Disabilities and Development
Supervisor: Sue Pearson, M. A.
- Spring 2011 **Instructor** – Assessment of Behavior Disorders: Clinical Assessment & Intervention Procedures (70:253)
University of Iowa, Department of Pediatrics
Supervisor: David Wacker, Ph.D.
- Spring 2010 **Visiting Professor** – General Psychology
Universidad Austral, Buenos Aires, Argentina
Professor: Mauro Mauscotena
- Spring 2009 **Teaching Assistant** – Assessment of Behavior Disorders (70:253)
University of Iowa, Department of Pediatrics
Supervisor: David Wacker, Ph.D.
- Fall 2005 **Teaching Assistant** – Behavioral Assessment and Evaluation (7P:352/7U:252)

University of Iowa, Department of Psychological & Quantitative
Foundations and Department of Special Education
Supervisor: David Wacker, Ph.D.

CLINICAL EXPERIENCE

- August 2013-July 2014 **Post-Doctoral Psychology Fellow**
University of Iowa Children's Hospital and Center for Disabilities and Development, Iowa City, IA
Supervisors: Linda Cooper-Brown, Ph.D., Matthew O'Brien, Ph.D., Kelly Pelzel, Ph.D.
Clinics:
- Biobehavioral Outpatient Service
 - Biobehavioral Day Treatment Service
 - Pediatric Feeding Disorders Clinic
 - Cardio-Metabolic Clinic
 - Autism Clinic
 - Neuropsychology Clinic
- June 2012-July 2013 **Pre-Doctoral Psychology Intern**
University of Iowa Children's Hospital and Center for Disabilities and Development, Iowa City, IA
Supervisor: Linda Cooper-Brown, Ph.D.
Clinics:
- Biobehavioral Outpatient Service
 - Cardio-Metabolic Clinic
 - Pediatric Endocrinology Clinic
- June 2010-December 2011 **Lead Student**
Center for Disabilities and Development, Iowa City, IA
Supervisor: David Wacker, Ph.D.
Clinic:
- Biobehavioral Outpatient Service
- June 2007-June 2010 **Lead Student**
University of Iowa Children's Hospital, Iowa City, IA
Supervisors: David Wacker, Ph.D. and Linda Cooper-Brown, Ph.D.
Clinic:
- Behavioral Pediatrics Clinic
- March 2010 **Consultant**
FLENI, Buenos Aires, Argentina
Supervisor: David Wacker, Ph.D.
- October 2005-June 2010 **Behavior Specialist**
University of Iowa Children's Hospital, Iowa City, IA

Supervisors: David Wacker, Ph.D. and Linda Cooper-Brown, Ph.D.

Clinic:

- Behavioral Pediatrics Clinic

June 2004-May 2005

Behavior Specialist

Center for Disabilities and Development, Iowa City, IA

Supervisor: David Wacker, Ph.D.

Clinic:

- Biobehavioral Outpatient Service

PROFESSIONAL SERVICE

2013-Present

Ad-hoc Reviewer

- *Behavioral Interventions*
- *Education and Treatment of Children*
- *Journal of Applied Behavior Analysis*
- *Journal of Behavioral Education*

INTERNAL SERVICE

2014-2015

**Department of Educational, School, and Counseling Psychology
Search Committee**

University of Missouri, Columbia MO

PROFESSIONAL AFFILIATIONS

Association for Behavior Analysis, Full Member

American Psychological Association, Full Member

Clark M. Peters

University of Missouri ▪ School of Social Work ▪ 719 Clark Hall ▪ Columbia, MO 65211
573.884.1411 ▪ peterscm@missouri.edu

EDUCATION

- 2010 Ph.D., School of Social Service Administration, University of Chicago**
Dissertation: Essays on Foster Youth Remaining in Care beyond Age 18 in Illinois
Co-Chairs: Mark Courtney & Colleen Grogan
- 2005 A.M. in Social Policy, School of Social Service Administration, University of Chicago**
- 1992 J.D. with Honors, Cornell Law School**
Co-founder and Articles Editor, *Cornell Journal of Law and Public Policy*
- 1988 B.A. with Honors in Behavioral Science, College of the University of Chicago**
Thesis: Labeling Theory and the Juvenile Delinquent. Advisor: Irving Spergel

ACADEMIC AND RESEARCH EMPLOYMENT

- 2009-present *Assistant Professor*, University of Missouri
School of Social Work
- 2010-present *Additional appointments*, University of Missouri
Truman School of Public Affairs
- 2009-present *School of Law (Courtesy Appointment)*
- 1995 –2003 *Researcher*, Chapin Hall Center at the University of Chicago
- 2005-2009 Led and conducted policy research in the areas of juvenile justice, child welfare, community development, and child maltreatment.

GRANT AWARDS

- 2011- present **Title 4E Graduate Education Project U.S. Department of Health and Human Services**
Oversee training program directed at child welfare workers employed by the Missouri Children's Division. Develop curricula, facilitate field placements, manage budget, and help lead training team. Co-I, awarded \$409,000 - 729,000 annually.
- 2014 **Jim Casey Youth Opportunities Initiative**
Survey Administration and Analysis on Asset Development Literature for Young Adults Aging Out of Foster Care. PI, \$24,948, submitted March 2014, pending.
- 2013-2014 **First Focus**
Speaking for Children and Youths: Mapping Representation of Young People in Juvenile Court. PI, awarded \$5,000.

GRANT AWARDS, continued

- 2014-2015 **Campus Writing Program Faculty Development Award**
Giving Voice through Writing: Capturing the Stories of the Disadvantaged. PI, awarded \$10,000.
- 2013-2015 **Margaret Mangel Research Catalyst Award**
Understanding Deficiencies in Dental Care for Foster Youths: Implications for Disadvantaged Populations. PI, awarded \$2,774.
- 2010-2011 **Jim Casey Youth Opportunities Initiative**
Research grant to study asset-building program directed at current and former foster youth. Co-PI, awarded \$76,419.
- 2008 **Fahs-Beck Fund for Research and Experimentation**
Research support for dissertation costs. PI, awarded \$4,895.
- 2005 **Sentencing Project Research Award**
Awarded stipend to examine how felony disenfranchisement laws affect those charged with juvenile delinquency. PI, awarded \$2,500.
- 1999-2000 **Annie E. Casey Foundation**
Research funding provided to examine timeliness and delay in the Cook County Juvenile Court. PI, awarded \$25,000.

PENDING GRANTS

Missouri Foundation for Health

Improving Oral Health Care Attitudes and Habits in Missouri Foster Care Youth. PI, \$83,377, submitted June 2014, PI.

ADDITIONAL RECENT GRANTS SUBMITTED

National Institute of Mental Health

Adapting CIT for Corrections: Improving Outcomes for People with Mental Illnesses in Prison. Co-I, \$654,321, submitted February 2014, PI: Dr. Kelli Canada, not funded.

National Science Foundation

Exploring Predictors of Divergent Legal Outcomes: Abusive Head Trauma as a Case Study. Co-PI, \$195,176, submitted August 2013, PI: Dr. Dale Fitch, not funded.

Center for Financial Services Innovation

Evaluation of Mission Asset Fund's Security Deposit Loan Program. PI, \$149,710, submitted February 2013, not funded.

Missouri KidsFirst

Evaluation of Missouri's Child Advocacy Centers. PI, \$50,000, submitted December 2012, not funded.

ADDITIONAL RECENT GRANTS SUBMITTED, continued

Mizzou Advantage Network Proposal: Disruptive and Transformational Technologies

The Emerging Human Service Infrastructure in Vietnam: Expanding Missouri's Key Southeast Asian Partnership. PI, \$19,790, not funded.

Mizzou Advantage Network Proposal: Disruptive and Transformational Technologies

Developing the Self-Advocacy Skills of Vulnerable Young Adults: Programmatic, Curricular and Research Opportunities. PI, \$19,824, not funded.

FELLOWSHIPS AND AWARDS

- 2008 **Robert L. Schneider Influencing State Policy (ISP) Dissertation Award**
Dissertation proposal recognized in a national competition for the study's potential to affect state-level child welfare policy. Awarded \$2,000
- 2008 **SSA Dissertation Fellowship**
Competitive award provided to doctoral students in the final stages of dissertation completion. Awarded \$12,000, plus tuition and fees
- 2008 **Doolittle-Harrison Travel Award**
Travel support provided by the University of Chicago Office of Graduate Affairs to attend Society for Social Work and Research annual conference. Awarded \$400
- 2006-2007 **Bernice Simon Teaching Fellowship**
Coordinated year-long workshop addressing issues of social work teaching and professional development at the School of Social Service Administration, University of Chicago. Awarded \$5,000
- 1994 **Wiley W. Manuel Pro Bono Services Award**
Awarded by San Francisco Legal Services for Children for exemplary advocacy on behalf of youth seeking guardianship or emancipation.
- 1992-1994 **Skadden Public Interest Fellowship**
Awarded fellowship to engage in civil rights legal advocacy on behalf of foster youth; fellowship funded staff attorney position at the Youth Law Center. Awarded \$65,000
- 1991 **Public Interest Law Fellowship**
Provided summer support to work with nonprofit legal agency; fellowship funded civil rights advocacy position at the Youth Law Center. Awarded \$3,000

OTHER EMPLOYMENT

- 1999-2009 **Office of Undergraduate Student Housing, University of Chicago**
Resident Head
Resided among 130 graduate students (2008-2009) and 68 undergraduate students (1999-2008). Engaged in counseling, community building, crisis intervention, and programming for students.

OTHER EMPLOYMENT, continued

- 1995 **Prairie State Legal Services, Inc.**, Waukegan, IL
Volunteer Attorney
Conducted intake interviews, represented students in expulsion hearings, investigated public housing cases, and assisted with special education cases for indigent clients.
- 1992-1994 **Youth Law Center**, San Francisco, CA
1991 *Staff Attorney, Intern*
Provided advocacy on behalf of children and families involved in the juvenile justice, health, education, and child welfare systems. Provided legal assistance, training, and advocacy on issues affecting children across the country. Supervised student interns.

TEACHING

University of Missouri School of Social Work

Graduate Level Courses

Research Methods in Social Work (SW 7952)
Foundations of Human Behavior for Administrators (SW 7920)
Community and Organization Dynamics (SW 7740)
Law and Social Work Practice (SW 7410 crosslisted, see below)

Undergraduate Courses

Giving Voice through Writing: Capturing the Stories of the Disadvantaged (Topics Course)
Perspectives in Social and Economic Justice (SW 2000)
Law and Social Work Practice (SW 4410)

University of Chicago, School of Social Service Administration

Teaching Assistant, Research Methods

University of Chicago Law School

Lecturer in Law, Seminar on Juvenile Justice (co-taught with Professor Emily Buss)

Cornell Law School

Teaching Assistant, Legal Research

PUBLICATIONS: PEER REVIEWED

Peters, C., Sherraden, M., & Kuchinski, A.M. (under review). From foster care to adulthood: The role of income

Peters, C. & Kelly, M., (under review). Organizational correlates of workers' intent to leave agency employment: Results from the Survey of Employee Engagement.

PUBLICATIONS: PEER REVIEWED, continued

Dannerbeck-Janku, A., **Peters, C.**, & Perkins, J. (in print). A Comparison of female delinquents: The impact of child maltreatment histories on risk and need characteristics among a Missouri sample.

Nguyen, H., Shiu, C., & **Peters, C.** (revised and resubmitted). Examining the relationship between Vietnamese youths' access to HIV information and social capital with their level of HIV knowledge: Results from a national survey.

Havlicek, J. & **Peters, C.** (in print). Re-Entry among former foster youth in early adulthood: Findings from Illinois *Children and Youth Services Review*. DOI: 10.1016/j.chilyouth.2014.09.001

Zinn, A. & **Peters, C.** (in print) Expressed-interest legal representation for children in substitute care: Evaluation of the impact of representation on children's permanency outcomes. *Family Court Review*.

Canada, K. E., Brinkley, A., **Peters, C.**, & Albright, D. L. (in press). Military veterans: Therapeutic journaling in a veterans treatment court. *The Journal of Poetry Therapy*.

Sherraden, M., **Peters, C.**, Wagner, K., Clancy, M., & Guo, B. (2013) Contributions of qualitative research to understanding savings for children and youth. *Economics of Education Review* 32: 66-77.

Peters, C. (2012). Examining regional variation in extending foster care beyond 18: Evidence from Illinois. *Child and Youth Services Review* 34(9): 1709-1719.

Peters, C. (2011). Social Work and Juvenile Probation: Historical Tensions and Contemporary Convergences. *Social Work* 56(4): 355-365.

PUBLICATIONS: LAW REVIEWS

Peters, C. (2013). Moving from life without parole to life with opportunity for young adults: Law and policy reforms recognizing emerging adulthood. *Missouri Law Review* (in press).

Peters, C. and Walsh, J. (2012) Fiscal returns on improved representation of children in dependency court: The state of the evidence. *Nova Law Review* 36 (2): 435-449.

PUBLICATIONS: BOOK REVIEWS

Peters, C. (December 2012). Review of R. Settersten and B.E. Ray, *Not Quite Adults: Why 20-Somethings are Choosing a Slower Path to Adulthood, and Why It's Good for Everyone* [book], *Social Service Review*, 86(4), pp. 704-708.

Peters, C. (March 2010). Review of L. Nybell, J. Shook & J. Finn, *Childhood, youth, & social work in transformation: Implications for policy and practice* [book], *Qualitative Social Work: Research and Practice*, 9 (3).

Peters, C. (2005). Review of D.S. Tanenhaus, *Juvenile Justice in the Making* [book], *Social Service Review*, 79 (1), pp. 200-203.

PUBLICATIONS: REPORTS AND MANUSCRIPTS

- Peters, C.**, Claussen Bell, K., Zinn, A., Goerge, R.M., Courtney, M.E. (2009). *Continuing in foster care beyond age 18: How courts can help*. Chapin Hall Center for Children.
- Courtney, M. E., Dworsky, A., & **Peters, C.** (2009). *California's fostering connections to success act and the costs and benefits of extending foster care to 21*. Seattle, WA: Partners for Our Children.
- Peters, C.**, Dworsky, A., Courtney, M. E., & Pollack, H. *Extending foster care to age 21: weighing the costs to government against the benefits to youth* (2009). Chapin Hall Center at the University of Chicago.
- Peters, C.**, Skyles, A., Jedrasko, A. (2003) *Alternatives, Inc.'s restorative justice program at Senn Metro Academy: Applying a restorative approach in an urban high school* (Working Paper). Chapin Hall Center for Children.
- Goerge, R.M., Lee, B.J. Mackey Bilaver, L., Dilts, J., Harden, A., Moore, J., Perry, T., **Peters, C.**, Ray, B., Reidy, M. Weir, M., Wurr, J., Haywood, T., Skyles, A. (2002). *The state of the child in Illinois: 2000*. Chapin Hall Center for Children.
- Peters, C.**, Haywood, T. Goerge, R. (2002). *Illinois abuse and neglect court assessment: An assessment of data resources and needs*. Chapin Hall Center for Children.
- Peters, C.**, Haywood, T., Lee, B.J., Skyles, A. (2002). *Case processing and services to children in the Juvenile Justice Division of the Cook County Juvenile Court*. Chapin Hall Center for Children.
- Chaskin, R., **Peters, C.** (1999). *Decision making and action at the neighborhood level: An exploration of mechanisms and processes*. Chapin Hall Center for Children.
- Merry, S., **Peters, C.**, Mackey Bilaver, L. Goerge, R., Lee, B.J. (1999). *The impact of reform in the Cook County Juvenile Court's Child Protection Division*. Chapin Hall Center for Children.
- Peters, C.**, Merry, S. (1997). *Child abuse and neglect proceedings in Illinois jurisdictions outside of Cook County: A descriptive report*. Chapin Hall Center for Children and the Citizens Committee on the Juvenile Court.
- Merry, S., **Peters, C.**, Goerge, R., Budde, S. Osuch, R. (1997). *Timeliness and delay in the Child Protection Division of the Cook County Juvenile Court*. Chapin Hall Center for Children and the Citizens Committee on the Juvenile Court.
- Chaskin, R., **Peters, C.** (1997). *Governance in the empowerment zone communities: A preliminary examination of governance structures and issues in the fifteen empowerment zone communities*. Chapin Hall Center for Children and the Annie E. Casey Foundation.
- Ostro, G., **Peters, C.**, Goerge, R., Richman, H. (1996). *Child welfare reform: The big picture—A state perspective*. Chapin Hall Center for Children.
- Soler, M., **Peters, C.** (1993). *Who should know what? Confidentiality and information sharing in service integration*. National Center for Service Integration, Resource Brief 4.

PUBLICATIONS: REPORTS AND MANUSCRIPTS, continued

Peters, C. (1994). “The prevalence of disabilities among children in the juvenile court,” and “Special education for children in out-of-home care,” in *California Juvenile Court Special Education Manual*. Youth Law Center.

Stagner, M., Merry, S., **Peters, C.** (1989). *Group residential care in the Illinois Department of Children and Family Services: children and providers in the 1980's*. Chapin Hall Center for Children.

PRESENTATIONS: PEER REVIEWED

Child welfare caseworkers administering asset-building programs for foster youths: Three states, four sites, and many challenges (accepted paper, with Sherraden, M., & Kuchinski, A.M.) A Convening on Financial Capability and Asset Building: Advancing Education, Research and Practice in Social Work, Center for Social Development at Washington University in St Louis, St. Louis, MO. (April 2015).

They Teach You How to Weather the Storm, but They Don't Teach You How to Dance in the Rain: ” Veterans' Perspectives on the Causes and Contributors to Their Criminal Justice Involvement (accepted poster, with Canada, K. & Albright, D.). Society for Social Work and Research annual conference, New Orleans, LA (January 2015).

Fostering justice: Can lawyers improve outcomes for foster youth? (poster, with Hamilton-Acker, F., & Downing, M.) American Professional Society on the Abuse of Children Annual Colloquium, New Orleans, LA. (June 2014).

Understanding of HIV transmission among young adults in Vietnam: influences of family, social networks, and access to information (accepted poster) with Nguyen, H. & Shiu, C. July 2014. Joint World Conference on Social Work, Education and Social Development. Melbourne, Australia.

Emerging Adults in Child Welfare: Evidence From Illinois (symposium organizer). Society for Social Work and Research annual conference, San Antonio, TX (January 2014).

Time to Exit From Care During the Transition to Adulthood: Who Stays and Who Leaves? (paper, with Havlicek, J.) Society for Social Work and Research annual conference, San Antonio, TX (January 2014).

Re-Entering Foster Care As An Adult: Examining Recent Legislation and Population Characteristics (paper). Society for Social Work and Research annual conference, San Antonio, TX (January 2014).

Awareness of HIV Among Emerging Adults in Vietnam: The Relationship Between Information Access and Social Capital (poster). Society for Social Work and Research annual conference, San Antonio, TX (January 2014).

PRESENTATIONS: PEER REVIEWED, continued

Examining the Relationship between Vietnamese Youths' Access to HIV Information and Social Capital with Their Level of HIV Knowledge (paper), Society for the Study of Emerging Adulthood, Chicago, IL (October 2013).

Perspectives On the Financial Lives and Savings of Foster Youths Participating in an Asset-Building Program, Society for Social Work and Research Annual Conference, San Diego, CA (January 2013).

Determinants of Staying in Care Beyond Age 18 in Illinois. Society for Social Work and Research annual conference, New Orleans, LA (January 2009).

Serving Foster Children in Partnership with Dependency Courts (symposium organizer). Society for Social Work and Research annual conference, New Orleans, LA (January 2009).

A Benefit-Cost Analysis of Extending State Care of Foster Children into Early Adulthood. Society for Social Work and Research annual conference, New Orleans, LA (January 2009).

Preliminary Research Examining the Challenges to Keeping Foster Youths in Care Beyond 18 in Illinois. Society for Social Work and Research annual conference, Washington, D.C. (January 2008).

Social Justice (Is Dead?) in Social Work Doctoral Education. Council on Social Work Education Annual Program Meeting, Chicago, IL (February 2006).

Historical and Cross-National Perspectives on Social Work and Juvenile Probation: A Call for Increased Professional Involvement. National Organization of Forensic Social Work, Chicago, IL (July 2006).

Predicting Success in Juvenile Probation: The Effects of Drug and Alcohol Abuse and Treatment. Society for Social Work and Research annual conference, San Antonio, TX. (January 2006).

SELECTED PRESENTATIONS : INVITED

The National Perspective on Innovative Practice for Foster Youths, Missouri Children's Division Older Youth Summit, St. Louis, MO (December 2014).

Models of Case Oversight that Improve Young Adult Outcomes and Engagement, Transitions for Young Adults in Foster Care Convening. Juvenile Law Center and Chapin Hall at the University of Chicago, Chicago, IL (May 2014).

Asset Development for Foster Youths, National Summit on Youth Aging Out of Foster Care, University of Pennsylvania. Philadelphia, PA (May 2014).

Next Generation Evaluation for the John H. Chafee Foster Care Independence Program: Financial Education and Asset Development. United States Department of Health and Human Services, Administration for Children and Families; Urban Institute; and Chapin Hall at the University of Chicago. Washington, DC (November 2013).

SELECTED PRESENTATIONS: INVITED, continued

The National Perspective on Innovative Practice for Foster Youths, Missouri Children's Division Older Youth Summit, Kansas City, MO (March 2014).

Current Foster Youth Research Initiatives in Missouri, Missouri Children's Division Youth Advisory Board, Jefferson City, MO (March 2014).

Effective Transition Plans and Reviews Under Ideal & Less than Ideal Circumstances, Jim Casey Youth Opportunities Initiative Symposium on Addressing Effective Case Oversight & Review for Young People in Extended Care and Older Youth in Foster Care, Detroit, MI (August 2013).

Moving the Ball Forward on Outcomes, Fostering Justice: Third National Conference on the Right to Counsel for Abused and Neglected Children Seattle, WA (June 2013).

Innovation Approaches for Serving Foster Youths: A National Perspective, Missouri Children's Division Older Youth Summit, Kingdom City, MO (April 2013).

Youths in State Court: Changing Legal and Policy Landscapes, Keene State College, Keene, NH (April 2013).

Moral and Policy Considerations for Juvenile Justice, Missouri Law Review Symposium, Bombshell or Baby Step? The Ramifications of Miller v. Alabama for Sentencing Law and Juvenile Crime Policy, Columbia, MO (March 2013, with Paul Litton, Emily Buss, and Mary Price)

Our Evolving Understanding of Court Supervision of Foster Youths, Missouri CASA Fostering Futures Training, Columbia, MO (May 31, 2012).

Contributions of Qualitative Research to Understanding Saving Theory for Children and Youth, Assets and Education Research Symposium, Lawrence, KS (March 2012, with Margaret Sherraden, Kristen Wagner, and Baorong Guo)

Where Do We Go from Here? The State of the Evidence of Fiscal Returns on Improved Representation of Children in Dependency Court. The ABA Model Act Governing the Representation of Children in Abuse, Neglect and Dependency Proceedings-Improving Outcomes for Children Symposium, Nova Southeastern University Law Center, Ft. Lauderdale, FL (February 2012).

Juvenile Law: Working to Improve Children's Lives (panel presentation). Public Interest Career Symposium, Cornell Law School, Ithaca NY (January 2012).

Finding Voice in Legal Settings for Young Adults in Foster Care: The Roles for Social Workers, Attorneys, and Other Professionals (poster). Society for Social Work and Research annual conference, Tampa, FL (January 2011).

The State of the Evidence on Crossover Youth. Missouri Juvenile Officer Annual Meeting. Jefferson City, MO (June 2010).

What Keeps Foster Youth in Care? Illinois Statewide Foster Care Advisory Council. Lisle, Illinois (May 2009).

SELECTED PRESENTATIONS: INVITED, continued

Child Welfare and the Courts: Recent Research Findings. A series of briefings conducted in four locations across Illinois on behalf of the Illinois CASA Association (Summer 2003).

Balanced and Restorative Justice Research and Evaluation: Principles and Practices. Co-organizer of symposium held at the Cook County Juvenile Court involving state and county, police authorities, advocates, and private agency representatives (Summer 2002).

Racial Disproportionality in the Juvenile Justice System. University of Chicago Divinity School, Chicago, IL (May 2001).

Numerous presentations discussing legal and practical barriers concerning confidentiality mandates and interagency information sharing, 1993-1995.

ACADEMIC SERVICE

University of Missouri

- 2011-present *University of Missouri Research Board*, Proposal Reviewer
- 2011-present Annual guest speaker in SSC 1151—Learning and Motivation
- 2011-2014 Annual guest speaker in SW 1110—Introduction to the Social Work Major

University of Missouri School of Social Work

- 2012-present *Doctoral Program Committee*
- 2010-present *Bachelors of Social Work Curriculum Committee*
- 2010-2011 *Faculty Search Committee* (successfully hired three tenure-track faculty members)

University of Chicago School of Social Service Administration

- 2002-2006 *Doctoral Students Association*, Vice President
- 2003-2005 *Faculty Doctoral Committee*, Student Representative
- 2004-2006 *Workshop on Crime and Punishment* (a bi-weekly interdisciplinary forum at the University of Chicago Law School), Co-founder & Coordinator

SERVICE TO STUDENTS

- 2011-Present Doctoral social work dissertation committee membership
 - 2013-present Yu-Ling Chiu, *University of Illinois*
 - 2013-present Eve McGee, *University of Missouri*
 - 2013 graduate Mustapha Alhassan, *University of Missouri*
 - 2012-present Amanda Keys, *University of Missouri*
 - 2011-2013 Kevin Mungai, *University of Missouri*, Chair
- 2009-Present Undergraduate advising (approximately 30 students)

SERVICE TO THE COMMUNITY

National

- 2013 *Federal Asset Building Evaluation Convening* (a gathering organized by the Urban Institute for the US Department of Health and Human Services' Administration for Children and Families, to give shape to the next round of federal assessments of effectiveness of Chafee Act programs) Washington, D.C.
- 2012-2014 *Jim Casey Youth Opportunities Initiative*, Advisor on innovations regarding asset building, legal representation of youths in dependency court, and criminal justice involvement of foster youths aging out of care
- 2012 *Center for Financial Services Innovation*, Innovation Fund Reviewer
- 2009-present *Right to Counsel Working Group, American Bar Association-Litigation Section*

Missouri

- 2012-present *Central Missouri Stop Human Trafficking Coalition*, Advisory Board
- 2011-present *Missouri Children's Division Child and Family Services Review*, Team Member
- 2011-present *Missouri Juvenile Justice Association*, Member
- 2010-present *Missouri Court Appointed Special Advocate Association Board of Directors* (Vice President 2012-present)
- 2010-2013 *Missouri Youth Independence Interagency Initiative* (YIII, a statewide governmental board that guided reforms to improve services for young people aging out of foster care), Member

Illinois

- 2000-2009 *Illinois Criminal Justice Information Authority*, Institutional Review Board Member
- 2006-2009 *Illinois Policy Advocacy Team, National Governors Association* (an interdisciplinary group of agency stakeholders seeking to improve services for youth transitioning from foster care within Illinois and informing efforts in other states as part of a national initiative), Member
- 2005-2006 *Annie E. Casey Foundation's Juvenile Detention Alternatives Initiative, Cook County Juvenile Temporary Detention Center Citizen Assessment*, Team Leader
- 1999-2005 *Illinois Court Appointed Special Advocate Association Board of Directors* (President 2002-2004)
- 1995-2002 *Illinois Court Improvement Project Steering Committee*, Resource Member
- 2000-2008 *John Howard Association, Statewide Juvenile Facility Visiting Program*, Volunteer

PROFESSIONAL AFFILIATIONS

- 2011-present *University of Missouri, Institute for Public Policy, Truman School of Public Affairs, Policy Fellow*
- 2010-present *Washington University in St. Louis, Center for Violence and Injury Prevention, George Warren Brown School of Social Work, Faculty Affiliate*
- 2010-present *University of Missouri Vietnam Institute, Initiatives Group Member*

PROFESSIONAL ACTIVITIES

Journal Submission Reviewer

- 2013-present *Child & Adolescent Social Work Journal*
- 2012-present *Journal of Public Child Welfare*
- 2011-present *Social Service Review*
- 2011-present *Society for Social Work Research Abstracts*
- 2011-present *Journal of Health Politics, Policy and Law*
- 2010-present *Children and Youth Services Review*
- 2007 *Evaluation and Program Planning Journal*

Social Work and Research Memberships

- 2003-present *Society for Social Work Research*
- 2013-2014 *Society for the Study of Emerging Adulthood*
- 2007-2011 *American Sociological Association*
- 2002-2010 *National Association of Social Workers, Illinois Chapter*

Law Memberships

- 2014-present *American Bar Association*
- 2007-2012 *Law and Society*
- 1996-2002 *Chicago Bar Association, Juvenile Law Committee*
- 1995 *State Bar of Illinois (admitted, currently on inactive status)*
- 1992 *State Bar of California (admitted, currently on inactive status)*
- 1989-1992 *Cornell Public Interest Law Union (Chairperson 1991-1992)*

MEDIA

Heartland, Missouri: A Special Investigative Report, (Ryan Famuliner and Abigail Keel, *KBLA radio*)
<http://kbia.org/post/heartland-missouri> (October 20, 2014).

International Sex Trafficking (Jason McLure, *Global Journalist* syndicated radio program)
<http://globaljournalist.org/2014/10/radio-international-sex-trafficking/> (October 16, 2014).

Access to Social Workers Could Keep Veterans Out of Criminal Justice System (*South County Mail*)
http://southcountymail.com/neighbors/access-to-social-workers-could-keep-veterans-out-of-criminal/article_37c8338c-48a3-11e4-87c6-bbd5ad41e371.html (October 10, 2014).

MEDIA, continued

MU Professor's Research Helps Bill Pass through Congress, (Sam Meyers, *KBIA radio*) kbia.org/post/mu-professor-s-research-helps-bill-pass-through-congress (September 19, 2014).

Alternative Career - Professor and Policy Researcher (blog entry for University of Missouri Law School Career Services web page) law.missouri.edu/mizzoulawcareercafe/2014/04/25/alternative-career-professor-and-policy-researcher (April 25, 2014).

Child welfare is a problem without borders, (Judy L. Thomas & Laura Bauer, *Kansas City Star*) kansascity.com/2013/12/21/4706752/child-welfare-is-a-problem-without.html#storylink=cpy (December 21, 2013).

Law Expert Raises Awareness for Child Welfare and Youth in Court (Michael Woodworth, *The Equinox*, Keene, NH) keene-equinox.com/2013/04/law-expert-raises-awareness-for-child-welfare-and-youth-in-court (April 24, 2013).

Program teaches financial lessons to foster care youth (Dalton Barker, *KBIA radio*) kbia.org/post/program-teaches-financial-lessons-foster-care-youth (December 27, 2012).

Stories from prison: Roar! (Jacob Fenston, *KBIA radio*). kbia.org/post/stories-prison-roar (May 15, 2012).

City works to offset gang activity following rise in gun violence (Kelsey Maffett, *University of Missouri Maneater*) theman eater.com/stories/2012/4/20/city-works-offset-gang-activity-following-rise-gun (April 20, 2012).

Social workers ready to help teen offenders (Janese Silvey, *Columbia Daily Tribune*) columbiatribune.com/business/saturday_business/social-workers-ready-to-help-teen-offenders/article_5c4a2000-ea95-5987-ac4d-e9584d228ca9.html (February 18, 2012).

Missouri lawmaker proposes expanding abuse reporting (*St. Joseph News Press newspressnow.com/news/article_943380d0-b42c-5bdb-97d6-7125de2707d0.html?mode=jqm*) (May 18, 2012).

Measure aims to require reporting of sexual abuse in Missouri (Jason Hancock, *St. Louis Post-Dispatch*) stltoday.com/news/local/govt-and-politics/measure-aims-to-require-reporting-of-sexual-abuse-in-missouri/article_5c9e069e-3412-5b2b-98be-b182cb8d0104.html (December 28, 2011).

Missouri lawmaker proposes expanding abuse reporting (*Jefferson City News Tribune*) newstribune.com/news/2011/dec/29/missouri-bill-would-expand-abuse-reporting/ (December 29, 2011).

SKILLS: Proficient in Stata, SPSS, NVivo, ATLAS.ti, and Qualtrics; Proficient in German



BOONE COUNTY, MISSOURI

Request for Proposal #: 17-18MAY15 – Access to Services Program for the Children's Services Fund

ADDENDUM #3 - Issued April 20, 2015

This addendum is issued in accordance with the RFP Response Page in the Request for Proposal and is hereby incorporated into and made a part of the Request for Proposal Documents. Offerors are reminded that receipt of this addendum **should be acknowledged** and submitted with Offeror's *Response Form*.

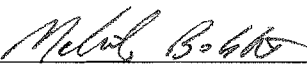
Specifications for the above noted Request for Proposal and the work covered thereby are herein modified as follows, and except as set forth herein, otherwise remain unchanged and in full force and effect.

The County received the following question and is providing a response:

1) Does the organization/agency applying for funding have to be non profit?

Response: Any organization/agency may apply for funds if they are in the process of applying for nonprofit status but no contract can be executed until the organization/agency receives this designation.

By:


Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

OFFEROR has examined **Addendum #3** to Request for Proposal# **17-18MAY15 – Access to Services Program for the Children's Services Fund**, receipt of which is hereby acknowledged:

Company Name: _____

Address: _____

Phone Number: _____ Fax Number: _____

E-mail: _____

Authorized Representative Signature: _____ Date: _____

Authorized Representative Printed Name: _____



BOONE COUNTY, MISSOURI

Request for Proposal #: 17-18MAY15 – Access to Services Program for the Children's Services Fund

ADDENDUM #2 - Issued March 20, 2015

This addendum is issued in accordance with the RFP Response Page in the Request for Proposal and is hereby incorporated into and made a part of the Request for Proposal Documents. Offerors are reminded that receipt of this addendum should be acknowledged and submitted with Offeror's *Response Form*.

Specifications for the above noted Request for Proposal and the work covered thereby are herein modified as follows, and except as set forth herein, otherwise remain unchanged and in full force and effect.

- 1) Written questions are due by May 4, 2015, 5:00 p.m. central time. Submit by mail, fax or e-mail:

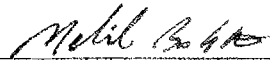
Mail: Boone County Purchasing, Attn: Melinda Bobbitt, 613 E. Ash Street, Room 110, Columbia, MO 65201.

Fax: (573) 886-4390

E-mail: mbobbitt@boonecountymo.org

- 2) Sign-in sheets from the pre-proposal conference held on March 20 are attached for information purpose.
- 3) Please number the pages of your proposal reponse.

By:


Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

OFFEROR has examined **Addendum #2** to Request for Proposal# 17-18MAY15 – Access to Services Program for the Children's Services Fund. receipt of which is hereby acknowledged:

Company Name: _____

Address: _____

Phone Number: _____ Fax Number: _____

E-mail: _____

Authorized Representative Signature: _____ Date: _____

Authorized Representative Printed Name: _____

PRE-PROPOSAL CONFERENCE SIGN IN SHEET

17-18MAY15 - "Access to Services" Program

	Representative Name	Business Name	Telephone Number	Fax Number
1.	Melinda Bobbit	Boone County Purchasing	886-4391	886-4390
2.	Kelly Wallis	Children's Services Board	886-7218	
3.	Joanne Nelson	Children's Services Board	886-4298	
4.	Alan Saunders	Columbiates Bellview Ag	573 356 9372	
5.	Chrissy Mayer	DCCCA, Inc.	785-841-4138	
6.	Cindy Chapman	The Salvation Army	573-442-3229	573-449-6184
7.	Becky Mack	CHA Low Income Sels	573-443-2556	573-443-0099
8.	Carmen Shulze	Great Circle	636-576-6864	
9.	TRJenne Egan	Presbyterian Children	573-827-2892	
10.	Jance Robison	Child Care Aware of mo	573-445-5437	573-445-5450
11.	Emily Sims	Child Care Aware of MO	573-445-5437	573-445-5450
12.	Nicole Thomas	Great Circle	573-442-8331	573-442-8330
13.	Lara Whitefield	SpokUp foundation	573-268-3284	NA
14.	Corby Kelly	Child Care	573-	
15.	Football Club	TO	886-4000	
16.	Wendy Hayes	North Employment (573) Center	677-5218	
17.	Dakina Albus	Central MO Foster Care & Adaptive Association	573-353-0574 (573) 998-0258	
18.	Jack Andrews	MO Psychological Services Dept.	573-882-4007	
19.	Les Wagner	BCCSB		

20.	Jela Frevier	Thompson Center	573-884-6052	
21.	Brian Martin	Pathways Community Health	571-480-4781	—
22.	Erিকা Waller	Psychological Services Clinic	882-4677	882-4583
23.	Greg Carters	Phoenix Programs	875-8880 x2123	
24.	Consuela Johnson	Fun City Youth Academy	573-256-1436	573-256-8641
25.	Greg Grube	OCCSB		
26.	Chel. Haley	Purchasing		
27.				
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44	882-8531	Judy Healy-Moritz UMC - Bellegarde	
43	84517-2428	Great Credit	Barrie Balm



BOONE COUNTY, MISSOURI

Request for Proposal #: 17-18MAY15 – Access to Services Program for the Children's Services Fund

ADDENDUM #1 - Issued March 19, 2015

This addendum is issued in accordance with the RFP Response Page in the Request for Proposal and is hereby incorporated into and made a part of the Request for Proposal Documents. Offerors are reminded that receipt of this addendum should be acknowledged and submitted with Offeror's *Response Form*.

Specifications for the above noted Request for Proposal and the work covered thereby are herein modified as follows, and except as set forth herein, otherwise remain unchanged and in full force and effect.

1) The County received the following questions and is providing a response:

- a. Regarding 3.6. *Funding Available* -- Would you please provide more information about "The Board will consider indirect expenses up to a maximum of 15%. ." For example, does this mean that if salary/personnel expenses are \$100,000 that non-personnel expenses cannot exceed \$15,000?

Response: Indirect expenses include up to 15% of salary expenses only. This does not include benefits.

- b. Regarding 5. *Access to Services Program Concepts Narrative* -- Most of the concepts listed are requested to be covered elsewhere within the RFP except Informed Choice Access. What is More Right Doors?

Response: Please refer to 3.7.3.2. of the proposal.

- c. **Narrative, Page Limitation 4.1:** What is the page limitation for the proposals?

Response: Please be as succinct as possible.

- d. **Proposal Submission:** May previous submitted proposals (purchase of service and pilot programs) be re-submitted? If so, will those proposals be re-reviewed for consideration and allotted funding?

Response: No

- e. **Proposal Clarification 1.5:** What are the main differences between this proposal "Access to Services" and the previous proposals for "purchase of service" and "pilot programs"?

Response: The Access to Services Program RFP is a targeted RFP specifically looking for a proposal for an Access to Services program. Where as, the Purchase of Service (POS) and the Pilot Programs that Provide Innovative Services (Pilot) programs were open to any statutorily eligible service.

- f. **Proposal Submission Quantity:** May an organization submit more than one proposal?

Response: No

- g. **Match Funding 3.17.1.3** Are there any restrictions to what funding sources will be recognized and considered as eligible for match funding?

Response: Matching fund opportunities will be evaluated by value added to the program.

- h. **Collaboration 3.6:** Will there be any preferences towards what agencies, organizations or businesses are ultimately considered strong collaborators? If so, may you please clarify the qualifications used to evaluate if a collaborative agency, organization or business strengthens or weakens a proposal.

Response: Collaborative opportunities will be evaluated by value added to the program.

- i. **Partner Benefit Referrals:** May you please clarify what criteria will be used to evaluate partner referrals and the outlined benefits?

Response: Please clarify your question.

- j. **Non-conflicted Assessment, Referral, and Case Management 3.7.3.1.:** Is there a criteria that will be used to evaluate the quality of evidence base tools, training and interviewing/engagement strategies. If so may clarification be given on the criteria to be used?

Response: Evaluation will be based on information provided to the reviewers about the quality of the evidence-based tools, training and interviewing/engagement strategies.

- k. **Board Representation 3.7.1.1:** May clarification be provided on if board representation requirements suggest organizations should add board members that fall within the classification provided to be eligible for funding to be granted?

Response: The Access to Service Program must have board representation as indicated in 3.7.1.1.

- l. **Customer Feedback System 3.7.5.2:** How will customer feedback systems be evaluated are there requirements outlined to clarify what the expectations are an effective system.

Response: Customer feedback systems will be evaluated based on the information provided in the proposal.

- m. **Eligibility Criteria:** What is the difference between any "eligibility criteria" that an organization outlines in the proposals, and compliance with statutes in which notes children and families will not be denied service?

Response: Need more clarification on what statute(s) are being referred to when you write, "...compliance with statutes in which notes children and families will not be denied service?"

n. What is the definition of a "conflicted party?"

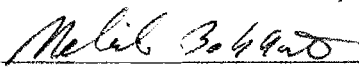
Response: The entity that provides the Access to Service Program would derive no direct benefit from the referrals made through the Access to Service Program; or there is a system in place that will assure that the best interest of the child, youth, and families are served.

o. Are any of the priority areas more urgent to them?

Response: No priority areas are listed in this RFP, please clarify your question.

p. Under 3.5, what is exactly meant by certified, accredited or licensed for the services for which funds are requested? By whom does the facility have to be certified, accredited or licensed?

Response: If the program provides a service that requires certification, accreditation, or licensure the provider of the service needs to be certified, accredited, or licensed pursuant to the entity that requires certification, accreditation, or licensure.

By: 
Melinda Bobbitt, CPPO, CPPB
Director of Purchasing

OFFEROR has examined **Addendum #1** to Request for Proposal# *17-18MAY15 – Access to Services Program for the Children's Services Fund*, receipt of which is hereby acknowledged:

Company Name: _____

Address: _____

Phone Number: _____ Fax Number: _____

E-mail: _____

Authorized Representative Signature: _____ Date: _____

Authorized Representative Printed Name: _____



COUNTY OF BOONE - MISSOURI

REQUEST FOR PROPOSAL (RFP) #: 17-18MAY15

"Access to Services" Program

Boone County Children's Services Fund

2015 Application

BOONE COUNTY CHILDREN'S SERVICES BOARD MISSION:

*To improve the lives of children, youth and families in Boone County
by strategically investing in the creation and maintenance of integrated systems
that delivers effective and quality services for children and families in need.*

RFP TIMELINE:

Important Events	Location	Dates
Issue - Release Date	Boone County Purchasing 613 E. Ash St, Room 110 Columbia, MO 65201	March 5, 2015
Written Questions Due By	mbobbitt@boonecountymmo.org	March 18, 2015 12:00 p.m. Central Time
Pre-Proposal Conference - Information Session	Boone County Commission Chambers 801 E. Walnut Columbia, MO 65201	March 20, 2015 9:30 a.m. Central Time
Response Submission Deadline	Boone County Purchasing 613 E. Ash St, Room 110 Columbia, MO 65201	May 18, 2015 5:00 p.m. Central Time
Proposal Opening – Names of Offerors Read Aloud	Boone County Commission Chambers 801 E. Walnut Columbia, MO 65201	May 19, 2015 9:30 a.m. Central Time

CONTACT INFORMATION:

Boone County Purchasing
Boone County Annex
613 E. Ash, Rm. 110, Columbia, MO 65201

Melinda Bobbitt, CPPO, CPPB
Director of Purchasing
Phone: (573) 886-4391 Fax: (573) 886-4390
Email: mbobbitt@boonecountymmo.org

NOTICE OF REQUEST FOR PROPOSAL

Boone County is accepting Request for Proposals for the following:

RFP # 17-18MAY15 – Access to Services Program for the Boone County Children’s Services Fund

A pre-proposal conference has been scheduled for **Friday, March 20, 2015, at 9:30 a.m.** central time in the Boone County Commission Chambers, 801 E. Walnut Street, Columbia, Missouri.

Sealed proposals will be accepted until **5:00 p.m. central time on Monday, May 18, 2015** in the Boone County Purchasing Office, Boone County Purchasing, 613 E. Ash Street, Room 110, Columbia, MO 65201.

The Request for Proposal is scheduled to be **opened shortly after 9:30 a.m. on Tuesday, May 19, 2015** in the Boone County Commission Chambers, 801 E. Ash Street, Columbia, MO 65201.

Request for Proposals are available in the Purchasing Office and requests for copies may be made by phone (573) 886-4391; fax (573) 886-4390 or e-mail: mbobbitt@boonecountymmo.org. A copy may also be downloaded from our web page at www.showmeboone.com. Select Purchasing / Current Bids / 17-18MAY15.

Vendors may view Bids, Bid Tabulations, and Bid Awards on the Boone County Web Page at <http://www.showmeboone.com>.

Melinda Bobbitt, CPPO, CPPB

Director, Boone County Purchasing

Insertion Date: Sunday, March 8, 2015

COLUMBIA MISSOURIAN

1. INSTRUCTIONS AND GENERAL CONDITIONS

1.1 Delivery of Proposals:

Sealed proposals, subject to Instructions and General Conditions and any special conditions set forth herein, will be received at the Boone County Purchasing office until the proposal closing date and time indicated herein for furnishing the County with services as detailed in the following request for proposal.

- a) If you have obtained this proposal document from our web page or from a source other than the Boone County Purchasing Department, please check with our office prior to submitting your proposal to ensure that you have a complete package. The Purchasing Department cannot be responsible for providing addenda if we do not have you on our Vendor list for this proposal.
- b) The County reserves the right to withdraw this RFP at any time and for any reason and to issue such clarifications, modifications, and/or amendments as it may deem appropriate.
- c) Receipt of a proposal by the County or a submission of a proposal to the County offers no rights upon the Offeror nor obligates the County in any manner.
- d) No negotiations, decisions, or actions shall be initiated by any agency as a result of any verbal discussion with any County employee prior to the opening of responses to the Request for Proposal. Boone County reserves the right to select the Offeror which best meets its goals and objectives, needs, fiscal constraints, quality levels and service expectations.

1.2. Ambiguity, Conflict, or Other Errors in the RFP:

- a) If an Offeror discovers any ambiguity, conflict, discrepancy, omission, or other error in the Request for Proposal, they shall immediately notify the Department of such error in writing and request modification or clarification of the document. The County will make modifications by issuing a written revision and will give written notice to all parties who have received this RFP from the County.
- b) The Offeror is responsible for clarifying any ambiguity, conflict, discrepancy, omission, or other error in the Request for Proposals prior to submitting the proposal or it shall be waived.
- c) Implied Requirements: Products and services that are not specifically requested in this RFP, but which are necessary to provide the functional capabilities proposed by the Offeror, shall be included in the proposal.
- d) The County will not be liable in any way for any costs incurred by any Offeror in the preparation of their proposal in response to this RFP, nor for the presentation of their proposal and/or participation in any discussions or negotiations.

1.3. Rejection of Proposals:

The right is reserved to accept or reject in whole or in part any or all proposals submitted, to waive technicalities, and to accept the offer the County considers the most advantageous to the County.

Further, the County shall reject the proposal of any Offeror that is determined to be non-responsive. The unreasonable failure of an Offeror to promptly supply information in connection with respect to responsibility may be grounds for a determination of non-responsibility.

1.4. Acceptance of Proposals:

The County will accept for evaluation all proposals that are submitted properly. However, the County reserves the right to request clarifications or corrections to proposals.

1.5. Requests for Clarification of Proposals:

Requests by the Purchasing Department for clarification of proposals shall be in writing.

1.6. Validity of Proposals:

Offeror should state how many days or months proposals remain valid beyond the 120 days minimum.

1.7. Receipt and Opening of Advertised, Sealed Proposals: The Offeror(s) and public are invited, but not required, to attend the formal opening of proposals. Offeror(s) names only will be read aloud to the public. No decisions related to an award of a contract or creation of any contractual or lease relationship, or purchase order will be made at the opening.

- a) Information provided in your response will be considered proprietary and will not be divulged during the selection process. The successful firm's proposal will become public record after its acceptance by the County Commission. All proposals and tabulation sheets are kept by the County for a period of time established by regulation or statutes after the award is made and are available for inspection at any time during regular working hours.
- b) Offeror's names will be read aloud during the Boone County Commission meeting in the Boone County Commission Chambers, 801 E. Walnut Street, Columbia, MO 65201, **Tuesday, May 19, 2015 at 9:30 a.m.** central time. RFP opening listing proposer's names will be posted on the County web page following the opening at www.showmeboone.com. Select "Purchasing", then "2015 Bid Tabulations".
- c) Proposal responses are due by **Monday, May 18, 2015 at 5:00 p.m.** central time. No late proposals will be accepted.

1.8. Withdrawal of Proposals:

Proposals may be withdrawn without prejudice any time before the deadline for receipt of proposals. If a mistake or error is discovered by the Offeror or by the County after the proposal opening, the County has the right to call this error to the Offeror's attention and request verifications of the proposal. If the Offeror acknowledges the mistake and requests relief, the County will proceed in the following manner:

- a) **Withdrawal:** Permission to allow an Offeror to withdraw their proposal without prejudice may be given when clear and convincing evidence supports the existence of an error. If there is a significant and obvious disparity between the prices of the lowest Offeror and of the other Offerors, an Offeror may be permitted to withdraw without prejudice, upon submission of evidence that a non-intentional error occurred.

2. INTRODUCTION AND GENERAL INFORMATION

2.1 Introduction:

2.1.1. This document constitutes a request for competitive, sealed proposals for the furnishing of “**Access to Services**” program for the **Boone County Children’s Services Board (BCCSB)**, as set forth herein.

2.1.2. Organization – This document, referred to as a Request for Proposal (RFP), is divided into the following parts:

- 1) Instructions and General Conditions
- 2) Introduction and General Information
- 3) Project Information and Requirements
- 4) Application Information
- 5) Application Outline for Funding
- 6) Attachment A - Program Performance Measures Information Sheet
- 7) Attachment B – Organization Financial Information
- 8) Attachment C – Program Budget Worksheet
- 9) Attachment D – 2015 Agency Assurance Sheet
- 10) Attachment E – Certification Regarding Debarment
- 11) Attachment F - Work Authorization Certification

2.2. Guideline for Written Questions:

2.2.1. All questions regarding this Request for Proposal should be submitted in writing, prior to the pre-proposal conference, no later than 12:00 p.m., March 18, 2015. All questions must be mailed, faxed or e-mailed to the attention of Melinda Bobbitt, CPPO, CPPB, and Director of Purchasing. All such questions will be discussed at the pre-proposal conference and answered in writing, and such answers will be provided to all parties having obtained a Request for Proposal packet.

Melinda Bobbitt, CPPO, CPPB
Director of Purchasing
613 E. Ash Street, Room 110
Columbia, Missouri 65201
Phone: (573) 886-4391 Fax: (573) 886-4390
E-mail: mbobbitt@boonecountymo.org

2.3. Pre-Proposal Conference

2.3.1. To assist interested Offerors in preparing a thorough proposal, a pre-proposal conference has been scheduled for **March 20, 2015 at 9:30 a.m.** central time in the Boone County Commission Chambers, 801 E. Walnut Street, Columbia, Missouri 65201.

2.3.2. All potential Offerors are **strongly** encouraged to attend this conference in order to ask questions and provide comment on the Request for Proposal. Attendance is not mandatory to submit a

response; however, Offerors are encouraged to attend since information relating to this RFP will be discussed in detail. Minutes of the pre-proposal conference will not be recorded or published. Offerors should bring a copy of the RFP since it will be used as the agenda for the pre-proposal conference.

- 2.3.3. Offerors are strongly encouraged to advise the Purchasing Department of Boone County within five (5) days of the scheduled pre-proposal conference of any special accommodations needed for disabled personnel who will be attending the conference so that these accommodations can be made.

2.4. Term; Termination of Contract Agreement:

- 2.4.1. The initial term of the resulting contract agreement from this Request for Proposal for an “**Access to Services**” program will be negotiated. The negotiated contract may have an option for renewal.
- 2.4.2. The resulting contract agreement may be terminated by the County upon 15 days prior written notice should the other party fail substantially to perform in accordance with its terms through no fault of the party initiating the termination. In addition, the contract agreement may be terminated at will by the County upon at least 60 days prior written notice to the Contractor.

3. PROJECT INFORMATION AND REQUIREMENTS

3.1. Project Description:

The Boone County Children’s Services Board, hereby solicits formal written proposals from qualified, non-conflicted organizations for the provision and delivery of an “**Access to Services**” program.

3.2. Purpose Statement:

BCCSB desires to create a system/structural change for families and children in Boone County to access services which promote the well-being and safety of children, youth, and strengthen families.

3.3. Background:

On November 6, 2012, the citizens of Boone County passed County of Boone Proposition 1, which created a Children’s Services Fund for children and youth nineteen years of age or less in Boone County. The Boone County Children’s Services Board (BCCSB) has been appointed by the County Commission and entrusted to oversee this Fund. The Fund is created pursuant to RSMo §67.1775, RSMo §210.861, and the ballot language presented to the voters on November 6, 2012. RSMo §210.861 specifies the types of services that may be funded by the BCCSB. By statute, funds may be invested to address the following needs:

- up to thirty days of temporary shelter for abused, neglected, runaway, homeless or emotionally disturbed youth
- respite care services
- unmarried parent services
- outpatient chemical dependency and psychiatric treatment programs
- counseling and related services as a part of transitional living programs
- home-based and community-based family intervention programs
- prevention programs which promote healthy lifestyles among children and youth and strengthen families
- crisis intervention services, inclusive of telephone hotlines
- individual, group, or family professional counseling and therapy services
- psychological evaluations
- mental health screenings

Revenues collected and deposited in the community children's services fund **may not** be expended for inpatient medical, psychiatric, and chemical dependency services, or for transportation services.

3.4. Funding Goals:

This RFP seeks “**Access to Services**” Program proposal applications. This RFP seeks to address the issues identified by the Institute of Public Policy, Harry S. Truman School of Public Affairs, University of Missouri, *Community Input Report* (July 2014), particularly the issues of Access and Systems/Structures. Applicants should detail in their proposal how the issues of Access and System/Structures will be addressed by their “Access to Services” Program.

3.5. Minimum Eligibility Requirements:

Agencies must, at a minimum, meet the following criteria to be eligible for funding:

- Any tax-exempt, not organized for profit agency or governmental entity
- Be in good standing with the state of Missouri
- Conduct an annual independent financial audit
- File a Federal 990 annually
- Be certified, accredited or licensed in the services for which funds are requested
- Require annual background checks, including child abuse and neglect screenings on all employees and volunteers
- Refrain from discrimination on the basis of race, color, religion, sex, national origin, ancestry, disability, age, sexual orientation, genetic information, and familial status and comply with all applicable provisions of Federal and State laws which prohibit discrimination in employment and the delivery of services
- Comply with RSMo §285.530 in that they shall not knowingly employ, hire for employment or continue to employ an unauthorized alien to perform work within the state of Missouri

3.6. Funding Available

Applications for funding will be accepted to fund an “**Access to Services**” program for children and youth nineteen years of age or less and their families. Preference will be given to programs which provide an opportunity for the BCCSB to partner with other funding sources in providing match funding for procurement of program services to maximize the ability to reach and serve children, youth and families in need in Boone County. Preference will also be given to organizations that demonstrate substantive and ongoing collaboration with other organizations.

The Board will consider indirect expenses up to a maximum of 15% of salary expense only (salary expense does not include benefits). Indirect expenses include general organizational expenses such as executive management time, finance, human resources or other support services effort, liability insurance, facility rent/lease, postage, telephone, utilities, etc.

3.7. Scope of Work, Deliverables, and BCCSB Expectations:

Offeror shall demonstrate in their proposal response how they propose to deliver and provide an “**Access to Services**” program. The proposal must include information on the following BCCSB expectations listed below:

3.7.1. Program Administration:

3.7.1.1. Board Representation – There must be a Board of Representatives that has oversight of the “**Access to Services**” Program including representatives from law enforcement, schools, city, county, and state government offices, juvenile office, judiciary and any other non-conflicted agencies deemed appropriate for this type of program as determined by the BCCSB and the “**Access to Services**” Program Administration.

3.7.1.2. Memorandums of Understanding/Intergovernmental Agreements - The “**Access to Services**” Program must develop and maintain MOUs and Agreements to ensure continued

collaborations and cooperation between entities. There must be coordination among sectors not just agencies to provide change at the system/structure level.

3.7.1.3. Multi-funding of “**Access to Services**” Program - The “**Access to Services**” Program must pursue other funding opportunities beyond the Boone County Children’s Service Funding. Such funding must be pursued at the Federal, State, and Local (schools, law enforcement, and court) levels, from private foundations, and other funding opportunities that become available.

3.7.2. **Target Population:**

3.7.2.1. Children and Youth 19 Years of Age or Less and Their Families - The “**Access to Services**” Program will provide access to services which promote the well-being and safety of children and strengthen families through evidence-based assessments and non-conflicted referrals to appropriate providers and service coordination for children and youth birth to 19 years.

3.7.2.2. Focus on Prevention and Intervention - The “**Access to Services**” Program will create a trauma informed community through prevention and intervention efforts. Facilitate supportive and caring homes, child cares, schools and community climates. Recognize early signs and symptoms of mental health concerns and effectively respond to children, youth, and families at risk for behavioral and mental health conditions.

3.7.3. **Informed Choice Access:**

3.7.3.1. Non-Conflicted Assessment, Referral, and Case Management - The “**Access to Services**” Program must be made well-known and accessible to all Boone County children, youth, and families. Assessments are provided by non-law enforcement and non-conflicted professionals. Professionals should be trained in evidence-based case management, interviewing/engagement strategies and use reliable, evidence-based assessment tools. A centralized assessment/referral facility reduces duplication, facilitates coordination and reduces lag time between intake and services.

3.7.3.2. More Right Doors – Referrals accepted from law enforcement, juvenile office and schools (with established protocols in place), parents/guardians, self-referrals and stakeholders.

3.7.4. **Integrated Information and Management System:**

3.7.4.1. Coordination - The “**Access to Services**” Program will utilize consent procedures to enable information sharing across stakeholders (through MOU/inter-governmental agreement). Streamline a responsive service plan for multi-problem youth, allowing for ongoing monitoring of children, youth, and family service plans and detect newly trending problems.

3.7.4.2. Evaluation - The “**Access to Services**” Program will use data to evaluate effectiveness and improvement to access to services, the success of service usage patterns, guide funding decisions to address gaps in service and determine the impact of the BCCSB’s policies and funding decisions.

3.7.5. **Terms of Participation:**

3.7.5.1. Voluntary - The “**Access to Services**” Program will be a voluntary program with provision of children, youth, and family-centered, strength-based approach services. This program will not act as a detention facility. There will be coordination with law enforcement and the juvenile office to ensure that children, youth, and families referred to the program that choose not access services are followed-up with appropriately.

3.7.5.2. Consumer Choice - The choice of a service provider will be that of the child, youth and family. Assistance by an “**Access to Services**” Program professional will enable families to make informed choices. A system of customer feedback regarding provider services referrals must

also be in place to determine effectiveness of referrals and satisfaction of children, youth, and families.

3.8. Contractor Agency Requirements:

3.8.1. **Boone County Insurance Requirements:** The Contractor shall not commence work under this contract until they have obtained all insurance required under this paragraph and such insurance has been approved by the County. All policies shall be in amounts, form and companies satisfactory to the County which must carry an A-6 or better rating as listed in the A.M. Best or equivalent rating guide.

Compensation Insurance: The Contractor shall take out and maintain during the life of this contract, **Employee's Liability and Worker's Compensation Insurance** for all of their employees employed at the site of work, and in case any work is sublet, the Contractor shall require the subcontractor similarly to provide Worker's Compensation Insurance for all of the latter's employees unless such employees are covered by the protection afforded by the Contractor.

Worker's Compensation coverage shall meet Missouri statutory limits. Employers' Liability limits shall be \$1,000,000.00 each employee, \$1,000,000.00 each accident, and \$1,000,000.00 policy limit.

Comprehensive General Liability Insurance: The Contractor shall take out and maintain during the life of this contract, such comprehensive general liability insurance as shall protect them from claims for damages for personal injury including accidental death, as well as from claims for property damages, which may arise from operations under this contract, whether such operations be by themselves or by anyone directly or indirectly employed by them. The amounts of insurance shall be not less than \$2,000,000.00 per limit for any one occurrence covering both bodily injury and property damage, including accidental death. If providing Comprehensive General Liability Insurance, then the Proof of Coverage of Insurance shall also be included. **Proof of Coverage of Insurance** - The Contractor shall furnish the County with Certificate(s) of Insurance which name **the County of Boone – Missouri as additional insured** in an amount as required in this contract and requiring a thirty (30) day mandatory written cancellation notice. In addition, such insurance shall be on an occurrence basis and shall remain in effect until such time as the County has made final acceptance of the project.

The Contractor shall provide the County with proof of General Liability and Property Damage Insurance with the County as additional insured, which shall protect the County against any and all claims which might arise as a result of the operations of the Contractor in fulfilling the terms of this contract during the life of the Contract. The minimum limit of such insurance will be \$2,000,000.00 per occurrence, combined single limits. Limits can be satisfied by using a combination of primary and excess coverages. Should any work be subcontracted, these limits will also apply. Coverage wording shall include hold harmless agreement as written below, subrogation waiver and protection against third party suits to further protect Boone County from liability belonging to the Contractor.

The Contractor is required to carry Professional Liability Insurance with a limit of no less than \$2,000,000.00 and naming Boone County as additional insured.

Commercial Automobile Liability: The Contractor shall maintain during the life of this contract, automobile liability insurance in the amount of not less than \$2,000,000.00 combined single limit for any one occurrence, covering both bodily injury, including accidental death, and property damage, to protect themselves from any and all claims arising from the use of the Contractor's own automobiles, teams and trucks; hired automobiles, teams and trucks; and both on and off the site of work.

- 3.8.2. **Indemnity Agreement:** To the fullest extent permitted by law, Contractor shall indemnify, hold harmless and defend the County, its directors, agents, and employees from and against all claims arising by reason of any act or failure to act, negligent or otherwise, of Contractor, (meaning anyone, including but not limited to consultants having a contract with Contractor or subcontractor for part of the services), of anyone directly or indirectly employed by Contractor, or of anyone for whose acts the Contractor may be liable, in connection with providing these services. This provision does not, however, require Contractor to indemnify, hold harmless, or defend the County of Boone from its own negligence.
- 3.8.3. **Subcontracts:** The Contractor may enter into subcontracts for components of the consulting service as the Contractor deems necessary to comply with the terms of the contract. All such subcontracts require the prior written approval of the County or their designated representative.
- 3.8.4. In performing all services under the resulting contract agreement, the Contractor shall comply with all local, state and federal laws.

4. APPLICATION INFORMATION

4.1. Narrative

The Application Outline for Funding must be submitted on standard white paper, with at least 12-point font and one-inch margins.

4.2. Submission of Proposal

4.2.1. Please submit five original copies to:

Boone County Purchasing Department
Attn: Melinda Bobbitt, CPPO, CPPB
Director of Purchasing
Boone County Annex
613 E. Ash, Rm. 110
Columbia, MO 65201

4.2.2. Paper copies must be sealed in an envelope with RFP # and name of Offeror on the outside of the envelope. Please include, in the sealed envelope, an electronic copy (Microsoft Word or PDF) on a USB flash drive/thumb drive/jump drive or CD. Proposals, both written and electronic, **MUST** be delivered no later than **5:00 p.m. central time, May 18, 2015**. Proposals will not be accepted after this date and time and the County will return such late proposals to the Offeror.

4.2.3. To facilitate the evaluation process, the Offeror must organize their proposal into distinctive sections that correspond with the individual evaluation categories described herein.

- a) Each distinctive section should be titled with each individual evaluation category and all the material related to that category should be included therein.
- b) The signed response page from the original RFP and all signed amendments should be placed at the beginning of the proposal.
- c) The proposal must, at a minimum, address all mandatory and desired services, equipment, materials, etc. Responses will fully describe how the service will be implemented.

4.2.4. The Offeror is cautioned that it is the Offeror's sole responsibility to submit information related to the evaluation categories, and that the County is under no obligation to solicit such information if it is not included with the proposal. The Offeror's failure to submit such information may cause an adverse impact on the evaluation of the proposal. Any Offeror whose responses deviate from the outlined specifications may automatically be disqualified.

4.2.5. Offeror's Contacts: Offerors and their agents (including subcontractors, employees, consultants, or anyone else acting on their behalf) must direct all of their questions or comments regarding the RFP, the evaluation, etc. to the buyer of record indicated on the first page of this RFP. Offerors and

their agents may not contact any County employee other than the buyer of record regarding any of these matters during the solicitation and evaluation process. Inappropriate contacts are grounds for suspension and/or exclusion from specific procurements. Offerors and their agents who have questions regarding this matter should contact the buyer of record.

4.3. Competitive Negotiation of Proposals:

The Offeror is advised that under the provisions of this Request for Proposal, the County reserves the right to conduct negotiations of the proposals received or to award a contract without negotiations. If such negotiations are conducted, the following conditions shall apply:

- 4.3.1. Negotiations may be conducted in person, in writing, or by telephone.
- 4.3.2. Negotiations will only be conducted with potentially acceptable proposals. The County reserves the right to limit negotiations to those proposals, which received the highest rankings during the initial evaluation phase.
- 4.3.3. Terms, conditions, prices, methodology, or other features of the Offeror's proposal may be subject to negotiation and subsequent revision. As part of the negotiations, the Offeror may be required to submit supporting financial, pricing and other data in order to allow a detailed evaluation of the feasibility, reasonableness, and acceptability of the proposal.
- 4.3.4. The mandatory requirements of the Request for Proposal shall not be negotiable and shall remain unchanged unless the County determines that a change in such requirements is in the best interest of the entities.
- 4.3.5. The County may request presentations or interviews by Offerors, and carry out negotiations for the purpose of obtaining best and final offers. Attendance cost for presentations/interviews at the Boone County designated location shall be at the Offeror's expense. All arrangements and scheduling will be coordinated by the County.
- 4.3.6. The County reserves the right to contact any and all references to obtain without limitation, information regarding the Offeror's performance on previous projects. A uniform sample of references may be checked for each short-listed Offeror.

5. APPLICATION OUTLINE FOR FUNDING

**BOONE COUNTY CHILDREN'S SERVICES FUND
2015 "Access to Services" Program**

1. ORGANIZATIONAL PROFILE

a. Organization Name (the official name of the organization that enters into the contract):

b. DBA (if any):

c. Physical Address:

d. Mailing Address:

e. Phone:

Fax:

f. Website:

g. Head of Organization (Executive Director, President, CEO):

h. Name:

i. Title:

j. Phone:

Email Address:

k. Federal Tax ID (or Social Security #):

l. If there is a local office, please provide the local contact information below:

m. Local Organization Name:

n. Local Contact Name/Title:

o. Local Physical Address:

p. Local Mailing Address:

q. Local Contact Email Address:

r. Local Contact Phone:

Local Contact Fax:

2. ORGANIZATION INFORMATION

- a. Organization Type: (choose one)
 tax-exempt/not-for-profit for-profit governmental
- b. Organization Mission Statement (Purpose):
 Provide your organization’s mission statement.
- c. Organization History:
 Provide a brief history of your organization including the number of years the agency has been in operation.
- d. Brief Statement of Organization’s Major Goals:
 Provide a brief statement of the ultimate goals toward which your agency is committed to working.
- e. Organization Governing Board: Please include information for **all** board members.

Name	Board Position	Address	Phone Number	Email	Employer	Total Years Served on Board	Compensation

- f. Organization Advisory Board (if applicable): Please include information for **all** advisory board members.

Name	Board Position	Address	Phone Number	Email	Employer	Total Years Served on Board	Compensation

- g. Funding Request:

Total Amount Requested for Program Services: _____

Total Amount Requested for Indirect Services: _____

Limited to maximum of 15% of salary expense only (salary expense does not include benefits). These expenses include general organizational expenses such as management time, finance, human resources or other support services effort, liability insurance, facility rent/lease, postage, telephone, utilities, etc.

Program Services + Indirect = Total Amount Requested for This Proposal: _____

Signature: _____

Date: _____

3. BACKGROUND INFORMATION

- a. Provide the name of the proposed program.
- b. Describe your interest in implementing an “**Access to Services**” program to provide services to children, youth, and families in Boone County.

4. PROGRAM OVERVIEW SECTION

a. Statement of Issue Being Addressed:

- i. Provide a brief statement of the issue(s) being addressed by this program.
- ii. Provide documentation of the issue(s) to be addressed by the proposed program utilizing objective, relevant, information and data, from outside sources.
- iii. Describe the population affected by the issue(s) to be addressed by the proposed program including demographics and characteristics.
- iv. Describe how Boone County is affected by the issue(s) to be addressed by the proposed program.

b. Target Population:

- i. Describe who will be served by this proposed program including a description of the characteristics and demographics.
- ii. Why will this population be served?
- iii. Describe any impediments in serving this target population.
- iv. Within the target population, is there a segment of the population the program will be unable or challenged to serve?

c. Description of Program Service(s):

- i. What are the goals of the proposed program?
- ii. Provide detailed information about the services to be provided in the proposed program.
- iii. Provide detailed information about the location(s) in which services will be provided and the hours of operation (e.g. Monday – Friday, 8:00 am – 5:00 pm).
- iv. Provide detailed information about the program requirements (e.g. accreditation, licensing, minimum standards, etc.).
- v. Identify and describe the evidence-based practice(s) and/or best practice(s) that will be used and any relevant evidence supporting the efficacy of proposed program. In doing so, please use proper citations. If there is no evidence to support efficacy of the proposed program, please provide rationale for utilizing the service(s).
- vi. Describe unique or innovative service technique(s) and/or special staff training initiative(s) that will enhance access to and/or the quality effectiveness of the program service.
- vii. Describe partnerships or collaborations that enhance access to and/or the quality and effectiveness of the proposed program.
- viii. If MOUs, contracts, and/or agreements are in place please attach at the end of the proposal.
- ix. Explain if there will be an eligibility criteria (e.g. income, age, etc.) utilized for

determining eligibility for the proposed program.

- x. Will program consumers be charged a fee for the proposed program services? If yes, please provide the unit rate and the justification for the rate utilizing an established public funding rate. If no, please provide rational why no fee will be charged for this service(s).
- xi. Will any of these service(s) provided be billable to any third party payer? (e.g. health insurance, state subsidy) If yes, indicate the third party payer(s) to be billed, the services for which they will be billed. If no, explain why service(s) will not be billed to a third party payer.
- xii. Will the proposed program utilize any type of sliding fee schedule? If yes, describe a rationale for use and structure of the fee schedule. If no, describe a rationale why no fee schedule will be utilized.
- xiii. What option will a child/family have if they are underinsured (e.g. high deductible) or if they are uninsured?

d. Description of Program Service(s) Need:

- i. State the reason why the proposed program is needed in the community?
- ii. Is this program service(s) provided by other organizations in the County? If yes, state the reason(s) why your program service is not duplicative in nature.
- iii. Describe how funding from the County's Children's Services Fund will fill a gap in funding from other funding sources, expand program service capacity, and/or enable the organization to access other funding sources.

5. ACCESS TO SERVICES PROGRAM CONCEPTS NARRATIVE

Please provide descriptions to the following concept ideas to be developed in an "Access to Service" Program (refer back to 3.7. Scope of Work, Deliverables, and BCCSB Expectations) If this information was covered previously, please indicate such and only address the concepts that have not been previously covered in this application:

- a. Program Administration - Board Representation, Memorandums of Understanding/Inter-Governmental Agreements, Multi-Funding of Access to Services Program
- b. Target Population - Children and Youth 19 years of Age or Less and Their Families, Focus on Prevention and Intervention
- c. Informed Choice Access - Non-Conflicted Assessment and Referral, More Right Doors
- d. Integrated Information Management System - Coordination, Evaluation, Software
- e. Terms of Participation - Voluntary, Consumer Choice

6. IMPLEMENTATION

- a. Describe the approach that will be used to evaluate the effectiveness of the program.

- b. Discuss the plan for the implementation of the program including how the requested funds will be used for the program in the implementation process.
- c. Describe how and with what organizations you will collaborate with to implement the program. Are there any community collaborations that enhance the quality and effectiveness of the program service(s)? If so, please describe. Discuss what other agencies you have collaborated with in the development of the idea of an innovative service(s).
- d. Outline the timeline for key steps in the implementation process.
- e. Input – Clinical Expertise:
 - i. Discuss the capacity to execute the proposed program.
 - ii. Provide a list of staff positions for this program and their responsibility for implementing the program.
 - iii. Provide the information for the staff qualification chart like the one below.

POSITION OR TITLE (DO NOT USE NAMES)	QUALIFICATION (BA, BS, LICENSED, ETC.)	FTE*	SALARY RANGE (WAGES, SOCIAL SECURITY, MEDICARE)	BENEFITS	OTHER COMPENSATION FROM ORGANIZATION

*FTE = Full Time Equivalent (i.e. = 1.0 FTE. Half-Time = 0.5 FTE, etc) FTE = number of direct program service hours worked by employee per /2080 hours (e.g. 1040/2080 = .5 FTE)

7. EVALUATION

Program Performance Measures Worksheet to be attached (see Attachment A)

- a. Services/Activity: Describe each service that will be offered to the consumer.
- b. Output:
 - i. List how much service/activity will be offered, and
 - ii. Number of individuals to be served.
- c. Outcomes:
 - i. Describe the beneficial change in consumers.
 - ii. Identify a timeline for each outcome.
- d. Indicators:
 - i. Identify and describe the item(s) of information by which the program’s level of success is measured.
 - ii. Identify the performance target of these indicators and why this indicator was chosen.
- e. Method of Measurement:
 - i. Describe instrument or technique used for each service/activity to gather the information needed to measure the program’s success.
 - ii. Describe why this specific instrument or technique was chosen over others.

8. BUDGET

a. Budget Worksheets to be attached:

- i. Organization Financial Worksheet (see Attachment B).
- ii. Program Budget Worksheet (see Attachment C).

b. Budget Narrative - Please explain each line of the budget worksheets from Attachments B/C.

9. SUSTAINABILITY

Please describe the sustainability plan for the “**Access to Services**” program.

10. AGENCY ASSURANCE, CERTIFICATION, AND WORK AUTHORIZATION SHEETS

Please review, sign, and return the Agency Assurance Sheet (see Attachment D), the Certification Sheet (See Attachment E), and the Work Authorization Sheet (see Attachment F) with the proposal. The sheets outline the expectations and requirements for any agencies requesting and/or receiving funds through the Boone County Children’s Services Fund.

ATTACHMENT A

Program Performance Measures Information Worksheet

The following synonyms, definitions, and examples may help you completing the required program performance measures information:

	Service	Output	Outcome	Indicator	Method of Measurement
Synonyms	<i>Service = Activity</i>	<i>Output = Product</i>	<i>Outcome = Change</i>	<i>Indicator = Measure</i>	<i>Method of Measurement = Information gathering instrument or technique</i>
Definitions	A <i>Service</i> is the program activity or sub activity being provided	An <i>Output</i> is expressed as the NUMBER of things produced by a service and the number people for whom it is provided	An <i>Outcome</i> describes a beneficial CHANGE in people	An <i>Indicator</i> is the specific item of information by which a program's LEVEL OF SUCCESS is measured	A <i>Method Of Measurement</i> is the instrument or technique used to gather the information needed to measure the program's success.
Example	Service = Before/after school youth enrichment programming Sub-Service =Tutoring	150 hours of tutoring sessions for 30 children	Child's academic performance improves	Number and percent of participants who receive better grades following participation in program as compared to period prior to participation	Utilize school report card data pre and post participation in the program.

ATTACHMENT A

Program Name: _____

Service	Output(s)	Outcome(s)	Indicator(s)	Method of Measurement

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ATTACHMENT D

2015 AGENCY ASSURANCE SHEET

(Please complete and return with Proposal Response)

I, the undersigned, certify that the statements in this request for funding proposal application are true and complete to the best of my knowledge, and accept, as to any funds awarded, the obligation to comply with the Boone County Children's Services Board (BCCSB) and any of the Boone County Children's Services Fund's conditions specified in the funding award and contract.

I, the undersigned, certify that in addition to the conditions mentioned above, will maintain accepted accounting procedures to provide for accurate and timely recording of receipt of funds, expenditures, and of unexpended balances. I, the undersigned, further certify I have and will make available, upon request, the following documentation for accuracy and validity:

- Proof of 501(c)(3)
- Certificate of Corporate Good Standing
- Articles of Incorporation
- Organizational Chart
- Most Recent 990 Federal Form
- Agency Strategic Plan
- Copies of Agency Accreditations
- Most Recent Agency Independent Audit
- Agency Policy of Non-Discrimination
- Agency Policy for Screening of Staff and Volunteers for Child Abuse and Neglect
- Agency Statement of Confidentiality
- Memorandums of Understanding (not currently needed for Contingency Funds Request)

Certifications:

Please indicate that the above named organization:

- a. Is a registered corporation in good standing with the State of Missouri. yes no
- b. Agrees to comply with all applicable provisions of: the Fair Labor Standards Act, as amended; the Employment Practices Act, as amended; the Civil Rights Act of 1964, as amended; the Rehabilitation Act of 1973, as amended; the Age Discrimination Act of 1990, as amended; the Omnibus Reconciliation Act of 1981, as amended; the Americans with Disabilities Act of 1990, as amended; and all other applicable Federal and State laws which prohibit discrimination in employment and the delivery of services including the discrimination in employment and the delivery of services on the basis of race (racism), color, national origin, ancestry, sex, religion, disability, age (employment), and familial status (housing). yes no
- c. If deemed a religious or denomination institution or organization or operated for religious purposes which is supervised or

controlled by or in connection with a religious or denomination institution or organization; and agrees that, in connection with the provision of services and employment practices that it will not discriminate against any employee or applicant for employment on the basis of religion and will not employ or give preference in employment to persons on the basis of religion; it will not discriminate against any persons seeking services on the basis of religion and will not limit such services or give preference to persons on the basis or religion; and it will provide no religious instruction or counseling, conduct no religious worship or services, engage in no religious proselytizing, or exert no other religious influence in the provision of services under this agreement.

yes no

d. Prohibits discrimination in employment and the delivery of services on the basis of marital status, gender identity, and sexual orientation.

yes no

e. Prohibits discrimination in employment and the delivery of services on the basis of genetic information.

yes no

f. Has administrative and program facilities that are accessible to persons with disabilities per the Americans with Disabilities Act of 1990.

yes no

REQUIRED if the answer was no - upload an ADA Plan of Accommodation and Transition Plan.

Printed Name - Agency Executive Director/President/CEO

Date

Signature - Agency Executive Director/President/CEO

Date

Printed Name - Agency Board Chair

Date

Signature - Agency Board Chair

Date

ATTACHMENT E

(Please complete and return with Proposal Response)

Certification Regarding
Debarment, Suspension, Ineligibility and Voluntary Exclusion
Lower Tier Covered Transactions

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 29 CFR Part 98 Section 98.510, Participants' responsibilities. The regulations were published as Part VII of the May 26, 1988, Federal Register (pages 19160-19211).

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS FOR
CERTIFICATION)

- (1) The prospective recipient of Federal assistance funds certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.

- (2) Where the prospective recipient of Federal assistance funds is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Name and Title of Authorized Representative

Signature

Date

ATTACHMENT F

WORK AUTHORIZATION CERTIFICATION PURSUANT TO 285.530 RSMo (FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)

County of _____)
)ss
 State of _____)

My name is _____, I am an authorized agent of _____
 _____ (Bidder). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Affiant	Date
---------	------

Printed Name

Subscribed and sworn to before me this ___ day of _____, 20__.

Notary Public

Attach to this form the *E-Verify Memorandum of Understanding* that you completed when enrolling.

If you did not receive the Excel version of *Attachment B – Organization Financial Information and Budget Narrative* and *Attachment C – Program Budget Worksheet and Narrative*, please contact Melinda Bobbitt by e-mail:

Melinda Bobbitt, CPPO
Director of Purchasing
Boone County
613 E. Ash Street, Room 110
Columbia, MO 65201

E-mail: mbobbitt@boonecountymo.org

Phone: (573) 886-4391

CERTIFIED COPY OF ORDER

STATE OF MISSOURI }
 County of Boone } ea.

January Session of the January Adjourned

Term. 20 16

In the County Commission of said county, on the 12th day of January 20 16

the following, among other proceedings, were had, viz:

Now on this day the County Commission of the County of Boone does hereby approve the attached supplemental agreement between Boone County and the Missouri Highways and Transportation Commission related to Route 63 Outer Road and originally approved on Commission Order 257-2014.

The terms of the agreement are stipulated in the attached Missouri Highways and Transportation Commission First Supplemental Cost Apportionment Agreement. It is further ordered the County Commissioners are hereby authorized to sign said Agreement.

Done this 12th day of January, 2016.

ATTEST:

Wendy S. Noren
 Wendy S. Noren
 Clerk of the County Commission

Absent
 Daniel K. Atwill
 Presiding Commissioner

Karen M. Miller
 Karen M. Miller
 Acting Presiding Commissioner

Janet M. Thompson
 Janet M. Thompson
 District II Commissioner

CCO FORM: DE07-SUP
 Approved: 10/97 (BDG)
 Revised: 01/15 (MWH)
 Modified: 01/16 (AR)

Route: 63 Outer Road
 County: Boone
 Job No.: J5S3089

**MISSOURI HIGHWAYS AND TRANSPORTATION COMMISSION
 FIRST SUPPLEMENTAL COST APPORTIONMENT AGREEMENT**

THIS FIRST SUPPLEMENTAL COST APPORTIONMENT AGREEMENT is entered into by the Missouri Highways and Transportation Commission (hereinafter, "Commission") and Boone County (hereinafter, "County").

WITNESSETH:

WHEREAS, on May 29, 2014, the Commission and the County previously entered into a Cost Apportionment Agreement as to public improvements designated as Job No. J5S3089, for the replacement of Bridge G0739 over Gans Creek, (hereinafter, "Original Agreement"), which was approved in Boone County Commission Order 257-2014; and

WHEREAS, the Commission and the County now desire to revise the Original Agreement.

NOW, THEREFORE, in consideration of the mutual covenants, promises and representations contained herein, the parties agree as follows:

(1) REVISION: Paragraph 6(E) of the Original Agreement is removed in its entirety and replaced with the following:

(E) In the event that the actual costs for Job No. J5S3089 noted in Section 6(C and D) exceed the currently estimated construction costs, the additional construction costs shall be the sole responsibility of the County. The County hereby authorizes the Commission to allocate additional BRO funds, up to the available BRO funds amount, currently estimated at \$686,000, to cover the final actual construction costs, including the additional cost above the estimated construction costs noted in Section (6)(C and D). The estimated available BRO funds include the 2016 and 2017 allocations. If the available BRO funds are not sufficient to cover the final actual construction costs, the County shall make a cash payment for the difference between the final actual construction costs and the available BRO funds.

(2) ORIGINAL AGREEMENT: Except as otherwise modified, amended, or supplemented by this First Supplemental Agreement, the Original Agreement between the parties shall remain in full force and effect and the unaltered provisions of the Original Agreement shall extend to and apply to this First Supplemental Agreement.

[Remainder of Page Intentionally Left Blank]

IN WITNESS WHEREOF, the parties have entered into this First Supplemental Agreement on the date last written below.

Executed by the County this 12th day of January, 2016.

Executed by the Commission this _____ day of _____, 20____.

**MISSOURI HIGHWAYS AND
TRANSPORTATION COMMISSION**

BOONE COUNTY

By _____

Title: _____

Title: Presiding Commissioner

By Karen M. Muller

ATTEST:

ACTING PRESIDING
Title: Commissioner

Secretary to the Commission

By [Signature]

Title: Commissioner

Approved as to Form:

ATTEST:

Wendy S. Norton

Commission Counsel

Title: CLERK OF THE COUNTY COMMISSION

Approved as to Form:

[Signature]

Title: Boone County Counsel

CERTIFIED COPY OF ORDER

STATE OF MISSOURI }
County of Boone } ea.

May Session of the April Adjourned

Term. 20 14

In the County Commission of said county, on the 27th day of May 20 14
the following, among other proceedings, were had, viz:

Now on this day the County Commission of the County of Boone does hereby approve the attached Missouri Highways and Transportation Commission Cost Apportionment Agreement relating to Route 63 Outer Road.

The terms of the agreement are stipulated in the attached Agreement. It is further ordered the Presiding Commissioner is hereby authorized to sign said Agreement.

Done this 27th day of May, 2014.

ATTEST:

Wendy S. Noren
Wendy S. Noren
Clerk of the County Commission



Daniel K. Atwill
Presiding Commissioner



Karen M. Miller
District I Commissioner



Janet M. Thompson
District II Commissioner

CCO Form: DE07
Approved: 07/97 (DPP)
Revised: 09/11 (AR)
Modified:

Cost Apportionment Agreement
Route: 63 Outer Road
County: Boone
Job No.: J5S3089

MISSOURI HIGHWAYS AND TRANSPORTATION COMMISSION COST APPORTIONMENT AGREEMENT

THIS AGREEMENT is entered into by the Missouri Highways and Transportation Commission (hereinafter, "Commission") and Boone County (hereinafter, "County").

WITNESSETH:

WHEREAS, the Commission owns and maintains the 63 Outer Road, also known as Ponderosa St., in Boone County as part of the State Highway System; and

WHEREAS, the parties desire the improvement of Bridge G0739 over Gans Creek located on the 63 Outer Road, extending generally from 0.35 miles south of Discovery Parkway to 0.4 miles south of Discovery Parkway; and

WHEREAS, the County is willing to provide funding for a project to replace Bridge G0739 over Gans Creek (Job No. J5S3089); and

WHEREAS, the Commission will fully fund a project to replace Bridge G0740 over Bonne Femme Creek (Job No. J5S3090) located on the 63 Outer Road, extending generally from 2.0 miles south of Discovery Parkway to 2.05 miles south of Discovery Parkway; and

WHEREAS, the County shall fully fund a project to improve the Meyer Industrial/Tom Bass intersection located 1.5 miles south of Discovery Parkway; and

NOW, THEREFORE, in consideration of the mutual covenants, promises and representations in this Agreement, the parties agree as follows:

(1) PURPOSE: The purpose of this agreement is to coordinate participation by the County in the cost and construction of the Commission's public improvements for Bridge G0739 over Gans Creek, designated as Job No. J5S3089 located within Boone County.

(2) LOCATION: The transportation improvement that is the subject of this Agreement contemplated at the following location:

Beginning at Log mile 1.35, a point approximately 0.4 mile south of the intersection of Discovery Parkway, run in a generally southerly direction along existing Route 63 Outer Roadway to Log mile 1.4, a point approximately 0.45 miles south of Discovery Parkway. Length of improvement is 0.05 miles.

The general location of the public improvement is shown in "Exhibit A." The detailed

location of the improvement will be shown on the plans prepared by the Commission for the above-designated route and project.

(3) USE OF RIGHT-OF-WAY: The County grants the right to use the right-of-way of public roads, streets, and alleys as necessary for construction and maintenance of said public improvements.

(4) COMMISSION REPRESENTATIVE: The Commission's Central District Engineer is designated as the Commission's representative for the purpose of administering the provisions of this Agreement. The Commission's representative may designate by written notice other persons having the authority to act on behalf of the Commission in furtherance of the performance of this Agreement.

(5) PROJECT RESPONSIBILITIES: With regard to project responsibilities under this Agreement, the parties agree to contribute as follows:

(A) The Commission will be responsible for the preparation of detailed right-of-way and construction plans and project specifications for the improvements of Bridge G0739 over Gans Creek (Job No. J5S3089). This includes design, letting of project, and inspection of project. The plans shall be prepared in accordance with and conform to Commission requirements.

(B) The Commission will acquire right-of-way as needed for the project noted in Section 5(A) in accordance with Commission requirements.

(C) The Commission will be responsible for letting the work for the herein improvements noted in Section 5(A), which includes advertising the project for bids and awarding the construction contract. The Commission will solicit bids for the herein improvements in accordance with plans developed by the Commission, or as the plans may from time to time be modified in order to carry out the work as contemplated.

(D) The Commission will be responsible for construction of the herein improvements noted in Section 5(A), which includes administration of the construction contract and inspection of the project work. The project shall be constructed in accordance with and conform to Commission requirements.

(6) PAYMENT RESPONSIBILITIES: With regard to payment responsibilities under this Agreement, the parties agree to contribute as follows:

(A) The currently estimated cost of Job No. J5S3089 is six hundred sixty thousand dollars (\$660,000). The details of the estimated cost breakdown may be seen in "Exhibit B," which is incorporated herein and attached hereto. The total project cost will include preliminary engineering, right of way acquisition, utility relocation, project construction, and work inspection.

(B) The Commission will be responsible for the costs associated with preliminary engineering, right of way acquisition, utility relocation, administration of the construction contract and inspection of the work performed by the construction contractor, at no cost or expense to the County. The estimated cost for this work is ninety-five thousand dollars (\$95,000). [See Exhibit B]

(C) The County shall be solely responsible for the costs associated with construction and construction contingencies. The estimated cost for this work is five-hundred sixty-five thousand dollars (\$565,000).

(D) The County has a soft match credit balance in excess of the 20% matching funds equal to the amount of one hundred thirteen thousand dollars (\$113,000). The County authorizes the Commission to allocate up to a maximum of five hundred sixty-five thousand dollars (\$565,000) of Bridge Replacement Off-System (BRO) funds to fund 100% of the estimated construction costs for Job No. J5S3089, of which 20% will come from the county's BRO soft match credit balance. [See Exhibit B]. If the County fails to authorize the funding allocations, the Commission is under no obligation to continue with this portion of the project. Upon completion of the project, any excess funds shall be returned to the BRO funds balance.

(E) In the event that the actual costs for Job No. J5S3089 noted in Section 6(C and D) exceed the currently estimated construction costs, the additional costs shall be the sole responsibility of the County.

(7) ADDITIONAL FUNDING: In the event the Commission obtains additional federal, state, local, private or other funds to construct the improvement being constructed pursuant to this Agreement that are not obligated at the time of execution of this Agreement, the Commission, in its sole discretion, may consider any request by the County for an off-set for the deposited funds, a reduction in obligation, or a return of, a refund of, or a release of any funds deposited by the County with the Commission pursuant to this Agreement. In the event the Commission agrees to grant the County's request for a refund, the Commission, in its sole discretion, shall determine the amount and the timing of the refund. Any and all changes in the parties' financial responsibilities resulting from the Commission's determination of the County's request for a refund pursuant to this provision must be accomplished by a formal contract amendment signed and approved by the duly authorized representative of the County and the Commission.

(8) AMENDMENTS: Any change in this Agreement, whether by modification or supplementation, must be accomplished by a formal contract amendment signed and approved by the duly authorized representatives of the County and the Commission.

(9) ASSIGNMENT: The County shall not assign, transfer or delegate any interest in this Agreement without the prior written consent of the Commission.

(10) LAW OF MISSOURI TO GOVERN: This Agreement shall be construed according to the laws of the State of Missouri. The County shall comply with all local, state and federal laws and regulations relating to the performance of this Agreement.

(11) APPROVAL OF FHWA AND AVAILABILITY OF FUNDS: This Agreement is entered into subject to the approval of the Federal Highway Administration and is further subject to the availability of federal and state funds for this construction.

(12) CANCELLATION: The Commission may cancel this Agreement at any time for a material breach of contractual obligations or for convenience by providing the

County with written notice of cancellation. Should the Commission exercise its right to cancel this Agreement for such reasons, cancellation will become effective upon the date specified in the notice of cancellation sent to the County.

(13) COMMISSION RIGHT OF WAY: All improvements made within the state-owned right-of-way shall become the Commission's property, and all future alterations, modifications, or maintenance thereof, will be the responsibility of the Commission, except as otherwise provided by this Agreement or a separate written agreement.

(14) VENUE: It is agreed by the parties that any action at law, suit in equity, or other judicial proceeding to enforce or construe this Agreement, or regarding its alleged breach, shall be instituted only in the Circuit Court of Cole County, Missouri.

(15) SOLE BENEFICIARY: This Agreement is made for the sole benefit of the parties hereto and nothing in this Agreement shall be construed to give any rights or benefits to anyone other than the Commission and the County.

(16) NO INTEREST: By contributing to the cost of this project or improvement, the County gains no interest in the constructed roadway or improvements whatsoever. The Commission shall not be obligated to keep the constructed improvements or roadway in place if the Commission, in its sole discretion, determines removal or modification of the roadway or improvements, is in the best interests of the state highway system. In the event the Commission decides to remove the landscaping, roadway, or improvements, the County shall not be entitled to a refund of the funds contributed by the County pursuant to this Agreement.

(17) AUTHORITY TO EXECUTE: The signers of this Agreement warrant that they are acting officially and properly on behalf of their respective institutions and have been duly authorized, directed and empowered to execute this Agreement.

(18) SECTION HEADINGS: All section headings contained in this Agreement are for the convenience of reference only and are not intended to define or limit the scope of any provision of this Agreement.

(19) ENTIRE AGREEMENT: This Agreement represents the entire understanding between the parties regarding this subject and supersedes all prior written or oral communications between the parties regarding this subject.

(20) NO ADVERSE INFERENCE: This Agreement shall not be construed more strongly against one party or the other. The parties to this Agreement had equal access to, input with respect to, and influence over the provisions of this Agreement. Accordingly, no rule of construction which requires that any allegedly ambiguous provision be interpreted more strongly against one party than the other shall be used in interpreting this Agreement.

(21) VOLUNTARY NATURE OF AGREEMENT: Each party to this Agreement warrants and certifies that it enters into this transaction and executes this Agreement freely and voluntarily and without being in a state of duress or under threats or coercion.

[remainder of page intentionally left blank]

IN WITNESS WHEREOF, the parties have entered into this Agreement on the date last written below.

Executed by the County this 27th day of May, 2014.

Executed by the Commission this _____ day of _____, 20____.

**MISSOURI HIGHWAYS AND
TRANSPORTATION COMMISSION**

By _____

Title _____

ATTEST: (Commission seal)

Secretary to the Commission

APPROVED AS TO FORM:

Commission Counsel

BOONE COUNTY

By [Signature]

Title PRESIDING COMMISSIONER

By [Signature]

Title Director, Resource Management

By _____

Title _____

ATTEST: (County seal, if existing)

By Wendy S. Noren

Title CLERK OF THE COUNTY COMMISSION

APPROVED AS TO FORM:

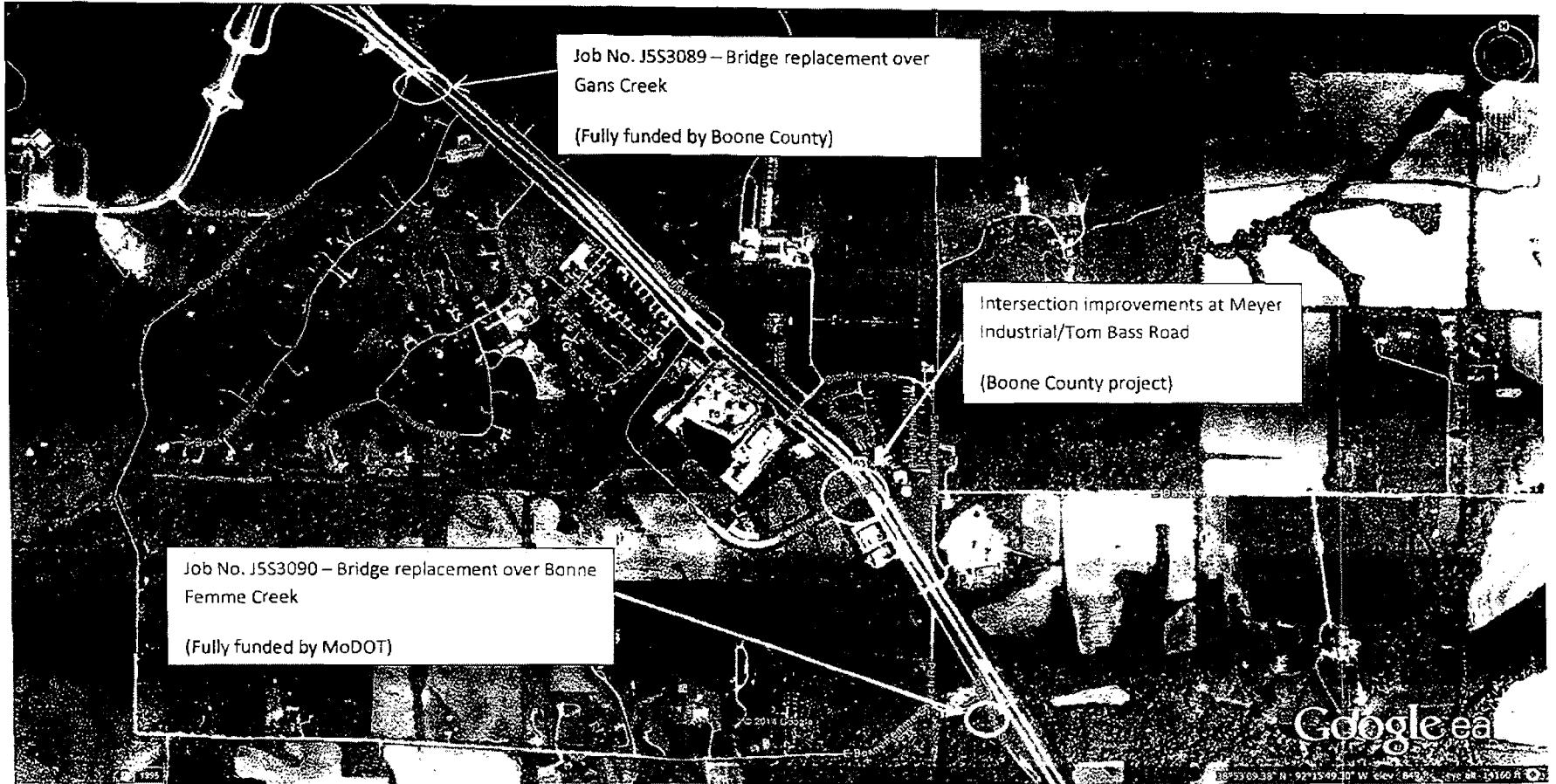
By [Signature]

Title County Counsel

CERTIFICATION:

I certify that this contract is within the purpose of the appropriation to which it is to be charged and there is an unencumbered balance of such appropriation sufficient to pay the costs arising from this contract.

June E. Pitchford 5/27/14
Auditor by of Date



Job No. J5S3089 – Bridge replacement over Gans Creek
(Fully funded by Boone County)

Intersection improvements at Meyer industrial/Tom Bass Road
(Boone County project)

Job No. J5S3090 – Bridge replacement over Bonne Femme Creek
(Fully funded by MoDOT)

Exhibit A: Location Sketch
Job No. J5S3089 & J5S090, Boone County, Route 63 OR

**EXHIBIT B
ESTIMATE COST BREAKDOWN**

Project Name:

MoDOT Job Number: J5S3089

Description: Bridge improvement over Gans Creek on Route 63 OR

Total Project Cost Estimate:

	Current Estimate
Preliminary Engineering	\$56,000
Right of Way	\$0
Right of Way Incidentals	\$0
Utilities	\$0
Construction	\$554,000
Construction Contingency	\$11,000
Construction Engineering	\$39,000
Total	\$660,000

Project Responsibilities:

Design	MoDOT
Right of Way Acquisition	MoDOT (if necessary)
Letting	MoDOT
Inspection	MoDOT

Financial Responsibilities:

Total Project Cost	\$660,000
Central District Contribution (P.E. & C.E.)	<u>- \$95,000</u>
Remaining Project Costs (Construction & Contingencies)	\$565,000
Boone County Contribution Off-System Bridge Funds – BRO (100% of \$565,000) *	<u>\$565,000</u>
Total Local Contribution	\$565,000

***Boone County's soft-match credit balance will be reduced by \$113,000 (20% of \$565,000)**

How are overruns and underruns handled?

Boone County will be responsible for all over runs and will receive the savings on the construction costs.

MoDOT – Central District is responsible for all over runs and under runs on PE, CE, R/W and Utilities.

CERTIFIED COPY OF ORDER

STATE OF MISSOURI

January Session of the January Adjourned

Term. 20 16

County of Boone

} ea.

In the County Commission of said county, on the

12th

day of

January

20 16

the following, among other proceedings, were had, viz:

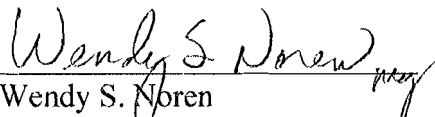
Now on this day the County Commission of the County of Boone does hereby approve the attached General Consultant Services Agreements for 2016 for the following:

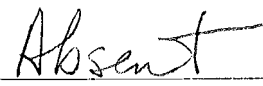
- Allstate Consultants
- Bartlett & West Inc.
- CBB
- CFS Engineers
- CM Engineering, Inc.
- Crockett Engineering Consultants, LLC
- Crockett Geotechnical-Testing Lab
- Engineering Surveys and Services, LLC
- Horner & Shifrin, Inc.
- Howe Company, LLC
- Malicoat-Winslow Engineers, PC
- Poepping, Stone, Bach & Associates, Inc.
- Ross & Baruzzini
- Trekk Design Group, LLC


The terms of the agreements are stipulated in the attached General Consultant Services Agreements. It is further ordered the Acting Presiding Commissioner is hereby authorized to sign said Agreements.

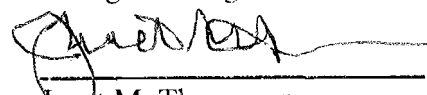
Done this 12th day of January, 2016.

ATTEST:


 Wendy S. Noren
 Clerk of the County Commission


 Daniel K. Atwill
 Presiding Commissioner


 Karen M. Miller
 Acting Presiding Commissioner


 Janet M. Thompson
 District II Commissioner

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 13th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Allstate Consultants (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 **Time for Completion** - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 **Compensation** - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

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IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

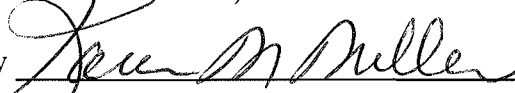
ALLSTATE CONSULTANTS

By 

Title President

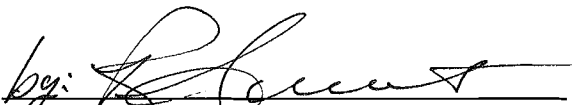
Dated: 12/23/2015

BOONE COUNTY, MISSOURI

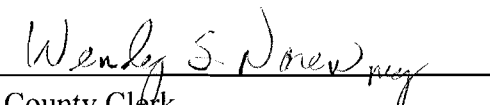
By 
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

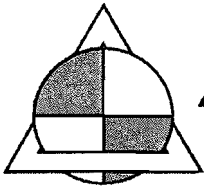
by: 
County Attorney

ATTEST:


County Clerk

APPROVED:


Director, Boone County Resource Management



**ALLSTATE
CONSULTANTS**

Rate Schedule

Revised: May 22, 2015

<u>TITLE</u>	<u>RATE</u>
PRINCIPAL.....	\$140.00
ENGINEER III	\$130.00
ENGINEER II.....	\$120.00
ENGINEER I.....	\$110.00
WATER QUALITY SCIENTIST III	\$135.00
WATER QUALITY SCIENTIST II	\$100.00
WATER QUALITY SCIENTIST I	\$60.00
INVESTIGATIVE ENGINEER III	\$210.00
INVESTIGATIVE ENGINEER II	\$185.00
INVESTIGATIVE ENGINEER I.....	\$160.00
TECHNICIAN VI/SURVEYOR III	\$115.00
TECHNICIAN V/SURVEYOR II.....	\$105.00
TECHNICIAN IV/SURVEYOR I.....	\$90.00
TECHNICIAN III.....	\$80.00
TECHNICIAN II	\$60.00
TECHNICIAN I	\$45.00
CREW (1 MAN).....	\$120.00
CREW (2 MEN)	\$140.00
CREW (3 MEN)	\$150.00
INVESTIGATOR IV.....	\$120.00
INVESTIGATOR III	\$110.00
INVESTIGATOR II	\$85.00
INVESTIGATOR I.....	\$70.00
EXPERT TESTIMONY II	\$350.00
EXPERT TESTIMONY I.....	\$200.00
GPS RECEIVERS (PER UNIT).....	\$120.00/day
TRAFFIC COUNTERS (PER UNIT)	\$45.00/day
ATV (PER UNIT).....	\$120.00/day
MILEAGE	IRS Rate
EXPENSES (Lodging, Meals, Printing, Research, & etc.).....	Actual Cost

3312 LeMone Industrial Boulevard
Columbia, Missouri 65201
Phone 573/875-8799
Fax 573/875-8850
www.allstateconsultants.net

30601 Highway 5
Marceline, Missouri 64658
Phone 660/376-2941
Fax 660/376-3492
allstate@allstateconsultants.net

GEOTECHNICAL AND CONSTRUCTION OBSERVATION AND TESTING SERVICES

SUBSURFACE EXPLORATION

Mobilization of Truck Mounted Drill Rig and 2-Man Crew	\$2.50/mile (\$ 250.00 Min.)
Mobilization of ATV Mounted Drill Rig and 2-Man Crew	\$3.50/mile (\$ 350.00 Min.)
Mobilization of Water Truck or Support Vehicle.....	\$0.90/mile
Drilling and Sampling Using Thin-walled Tubes and/or Split Barrel Samplers in Soil & NX Coring in Rock (Truck Mounted Drill and 2-Man Crew).....	Actual Cost
Drilling and Sampling Using Thin-walled Tubes and/or Split Barrel Samplers in Soil & NX Coring in Rock (ATV Mounted Drill and 2-Man Crew).....	Actual Cost
ATV Mounted Drill Rig Surcharge (If Any).....	Actual Cost
Specialized In-Situ Tests.....	On Request
Subcontractors, Rentals, Supplies and Dozer Assistance	Actual Cost

LABORATORY TESTING SERVICES

Moisture Content.....	\$6.00/test
Dry Unit Weight.....	\$15.00/test
Unconfined Compressive Strength.....	\$35.00/test
With Stress vs. Strain Curve.....	\$60.00/test
Calibrated Penetrometer Test	\$4.00/test
Visual Soil Classification.....	\$5.00/test
Atterberg Limits (3 Pt. Liquid Limit).....	\$100.00/test
Sieve Analysis (with wet wash over No. 200 sieve)	\$100.00/test
Hydrometer Analysis	\$110.00/test
Combined Grain Size Analysis (Sieve and Hydrometer)	\$220.00/test
Specific Gravity Determination	\$80.00/test
Swell Potential (1 Surcharge Pressure)	\$200.00/test
Swell Potential and Swell Pressure	\$300.00/test
Consolidation Test with e log p Curve.....	\$525.00/test
With Time vs. Deformation Plots.....	\$50.00/plot
Standard Proctor Test.....	\$175.00/test
Modified Proctor Test	\$250.00/test
Laboratory CBR Test (Per Specimen).....	\$250.00/test
Concrete Compressive Strength Tests.....	\$20.00/test
Capping or Trimming Irregular Ends of Concrete Cylinders	\$10.00/each
Concrete Flexural Strength Tests	\$50.00/test
Other Specialized Tests (Triaxial Shear, Direct Shear, Hydraulic Conductivity etc).....	Actual Cost

3312 LeMone Industrial Boulevard
Columbia, Missouri 65201
Phone 573/875-8799
Fax 573/875-8850
www.allstateconsultants.net

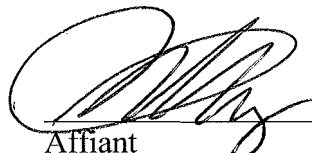
30601 Highway 5
Marceline, Missouri 64658
Phone 660/376-2941
Fax 660/376-3492
allstate@allstateconsultants.net

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Boone)
)ss
State of Missouri)

My name is Ron C. Sky. I am an authorized agent of Allstate
Consultant LLC (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

 12/23/2015
Affiant Date
Ron C. Sky
Printed Name

Subscribed and sworn to before me this 23rd day of December, 2015


Notary Public



DANIEL SCOTT WALLACE
My Commission Expires
April 29, 2016
Boone County
Commission #12478741



Allstate Consultants

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	✓
Civil Engineering	✓
Construction Management	✓
Electrical Engineering	
Geotechnical Engineering	✓
Lab Testing	✓
Mechanical Engineering	
Planning	✓
Structural Engineering	✓
Surveying	✓
Traffic	✓
Transportation	✓
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	
Environmental	✓
Forensic	✓
GIS	✓
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	✓

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Bartlett & West Inc. (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 Time for Completion - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 Compensation - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 Signatures - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. Compensation - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

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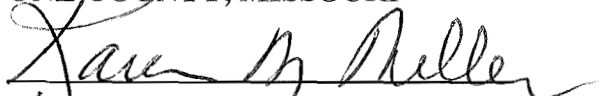
BARTLETT & WEST INC.

By 

Title Vice President


Dated: 1-4-16

BOONE COUNTY, MISSOURI

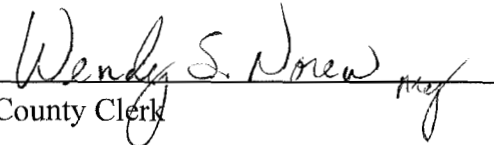
By 
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

by: 
County Attorney

ATTEST:


County Clerk

APPROVED:


Director, Boone County Resource Management

BARTLETT & WEST, INC.
2015 SCHEDULE OF HOURLY CHARGES
Effective January 1, 2015

Engineer XI/Landscape Arch XI	\$210.00	Right-of-Way Technician V	\$99.00
Engineer X/Landscape Arch X	194.00	Right-of-Way Technician IV	90.00
Engineer IX/Landscape Arch IX	182.00	Right-of-Way Technician III	82.00
Engineer VIII/Landscape Arch VIII	169.00	Right-of-Way Technician II	72.00
Engineer VII/Landscape Arch VII	156.00	Right-of-Way Technician I	62.00
Engineer VI/Landscape Arch VI	142.00		
Engineer V/Landscape Arch V	132.00	GIS Coordinator VIII	\$200.00
Engineer IV/ Landscape Arch IV	122.00	GIS Coordinator VII	190.00
Engineer III/Landscape Arch III	112.00	GIS Coordinator VI	180.00
Engineer II/Landscape Arch II	102.00	GIS Coordinator V	170.00
Engineer I/Landscape Arch I	92.00	GIS Coordinator IV	155.00
		GIS Coordinator III	140.00
		GIS Coordinator II	125.00
		GIS Coordinator I	115.00
Engineering Technician XI	\$165.00	GIS Developer/DBA V	\$155.00
Engineering Technician X	140.00	GIS Developer/DBA IV	145.00
Engineering Technician IX	125.00	GIS Developer/DBA III	135.00
Engineering Technician VIII	108.00	GIS Developer/DBA II	125.00
Engineering Technician VII	99.00	GIS Developer/DBA I	115.00
Engineering Technician VI	91.00		
Engineering Technician V	85.00	GIS Project Administrator V	\$140.00
Engineering Technician IV	78.00	GIS Project Administrator IV	130.00
Engineering Technician III	70.00	GIS Project Administrator III	120.00
Engineering Technician II	64.00	GIS Project Administrator II	110.00
Engineering Technician I	60.00	GIS Project Administrator I	100.00
Surveyor VIII	\$140.00	GIS Analyst V	\$125.00
Surveyor VII	131.00	GIS Analyst IV	115.00
Surveyor VI	121.00	GIS Analyst III	105.00
Surveyor V	109.00	GIS Analyst II	95.00
Surveyor IV	98.00	GIS Analyst I	85.00
Surveyor III	88.00		
Surveyor II	77.00	GIS Technician IV	\$86.00
Surveyor I	67.00	GIS Technician III	77.00
		GIS Technician II	67.00
		GIS Technician I	56.00
Survey Technician VI	\$85.00	Systems Analyst	\$130.00
Survey Technician V	75.00	IS Support Specialist	69.00
Survey Technician IV	66.00	Computer Systems Technician III	79.00
Survey Technician III	58.00	Computer Systems Technician II	69.00
Survey Technician II	53.00	Computer Systems Technician I	55.00
Survey Technician I	48.00		
		Project Coordinator	\$115.00
Field Representative X	\$135.00	Administrator V	\$110.00
Field Representative IX	122.00	Administrator IV	97.00
Field Representative VIII	112.00	Administrator III	82.00
Field Representative VII	102.00	Administrator II	74.00
Field Representative VI	93.00	Administrator I	66.00
Field Representative V	85.00		
Field Representative IV	77.00	Administrative Technician V	\$70.00
Field Representative III	70.00	Administrative Technician IV	63.00
Field Representative II	63.00	Administrative Technician III	56.00
Field Representative I	57.00	Administrative Technician II	51.00
		Administrative Technician I	45.00
Right-of-Way Specialist IV	\$180.00		
Right-of-Way Specialist III	150.00		
Right-of-Way Specialist II	130.00		
Right-of-Way Specialist I	115.00		

Kelle Westcott - Re: Bartlett & West Hourly Rates

From: Steve Schultz <steve.schultz@BARTWEST.COM>
To: Kelle Westcott <kwestcott@boonecountymo.org>
Date: 1/6/2016 10:30 AM
Subject: Re: Bartlett & West Hourly Rates

We have not updated our hourly rates. Please use those.

Thanks,

Steve Schultz, P.E,
Employee-Owner, Sr. Project Manager
Bartlett & West, Inc.
1719 Southridge Drive, Suite 100
Jefferson City, MO 65109

Direct: (573) 659-6738
Cell: (573) 552-2922
Main: (573) 634-3181

On Jan 6, 2016, at 8:29 AM, Kelle Westcott <kwestcott@boonecountymo.org> wrote:

Hi Steve,

When I opened to print I noticed says "2015 Schedule of hourly charges - Effective January 1, 2015."

Thanks,
Kelle

Kelle Westcott
Budget Administrator
573-886-4480

>>> Steve Schultz <steve.schultz@BARTWEST.COM> 1/5/2016 8:16 PM >>>
Kelle,

I'm pretty sure I forgot to include our hourly rates in the contract package I dropped off yesterday. Please add this to the packet.

Thanks,

Steve Schultz, P.E.
Employee-Owner; Sr. Project Manager
Bartlett & West, Inc.
1719 Southridge Drive, Suite 100
Jefferson City, MO 65109

Mobile: (573) 552-2922

Direct: (573) 659-6738

Main: (573) 634-3181

This e-mail and any files transmitted with it are confidential and intended solely for the use of the addressee. If you receive this transmission in error, please notify the sender and delete this e-mail. No employee or agent is authorized to conclude any binding agreement on behalf of Bartlett & West, Inc. with another party by e-mail.

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Colo)
)ss
State of Mo)

My name is Robert A. Gilbert . I am an authorized agent of Bartlett & West, Inc.
_____ (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

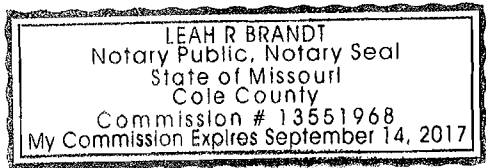
Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

[Handwritten Signature] 1-4-16
Affiant Date

Robert A. Gilbert
Printed Name

Subscribed and sworn to before me this 4 day of Jan, 2016.

[Handwritten Signature]
Notary Public





Bartlett & West Inc.
Discipline List

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	✓
Civil Engineering	✓
Construction Management	
Electrical Engineering	✓
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	✓
Planning	
Structural Engineering	✓
Surveying	✓
Traffic	✓
Transportation	✓
Acoustical	
Building Enclosure Consulting	
Control System Integration	✓
Design/Build	✓
Environmental	
Forensic	
GIS	✓
Industrial	
Interior Design	
Landscape Architecture	✓
Natural Gas	✓
Photogrammetry	
Telecommunications	
Water Resources	✓

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and CBB (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide reasons why the Consultant cannot provide or respond to the request for optional

services.

2.2 **Time for Completion** - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 **Compensation** - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this calendar year without the written authorization of the Owner. Payments shall be made within

thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance exhibiting the coverage as specified above within thirty (30) days of execution of this agreement

and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

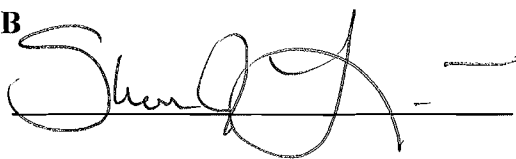
11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

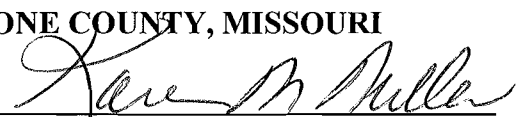
13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

CBB
By 
Title VICE PRESIDENT

Dated: DEC 23, 2015

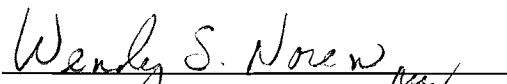
BOONE COUNTY, MISSOURI
By 
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

By: 
County Attorney

ATTEST:


County Clerk

APPROVED:


Director, Boone County Resource Management



2016 FEE SCHEDULE*
For Contracted Services

<u>Classification</u>		<u>Hourly Rate</u>
Sr. Principal		\$210.00
Senior Engineer	Level V	\$155.00
Senior Engineer	Level IV	\$150.00
Senior Engineer	Level III	\$145.00
Senior Engineer	Level II	\$140.00
Senior Engineer	Level I	\$135.00
Project Engineer	Level V	\$130.00
Project Engineer	Level IV	\$125.00
Project Engineer	Level III	\$120.00
Project Engineer	Level II	\$115.00
Project Engineer	Level I	\$110.00
Staff Engineer	Level IV	\$100.00
Staff Engineer	Level III	\$95.00
Staff Engineer	Level II	\$90.00
Staff Engineer	Level I	\$85.00
Jr. Engineer		\$80.00
Sr. Eng. Tech	Level II	\$100.00
Sr. Eng. Tech	Level I	\$90.00
Designer		\$85.00
CADD Tech	Level II	\$75.00
CADD Tech	Level I	\$65.00
Construction Inspector		\$75.00
Field Tech	Level II	\$65.00
Field Tech	Level I	\$55.00
Financial Admin.		\$80.00
Marketing Coordinator		\$70.00
Office Admin.		\$50.00

Other Direct Costs (ODC)

Mileage	IRS Standard Rate/Mile
Xerox Copies	\$ 0.12/Copy
Plan Sheets (Standard)	\$ 1.25/Sheet
Plan Sheets (Color or Enlarged)	Varies
Overnight Mail/Express	Actual Cost
Miscellaneous	Actual Cost

* Note: Effective January 1, 2016
 Rates subject to change January 1 of each calendar year.

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of St. Louis)
)ss
State of Missouri)

My name is SHAWN LEIGHT I am an authorized agent of GEORGE L. CRAWFORD AND ASSOCIATES DBA CBB.
(Consultant). This business is enrolled and participates in a federal work

authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Shawn Leight DEC 23, 2015
Affiant Date

SHAWN LEIGHT
Printed Name

Subscribed and sworn to before me this 23rd day of December, 2015.

Diane M. Miromonti
Notary Public

DIANE M. MIROMONTI
Notary Public - Notary Seal
STATE OF MISSOURI
St. Louis County
My Commission Expires 6/18/2017
Commission # 13477607



**CBB
Discipline List**

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	
Civil Engineering	X
Construction Management	
Electrical Engineering	
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	
Planning	
Structural Engineering	
Surveying	
Traffic	X
Transportation	X
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	
Environmental	
Forensic	
GIS	
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and CFS Engineers (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 Time for Completion - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 Compensation - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 Signatures - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

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calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

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6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

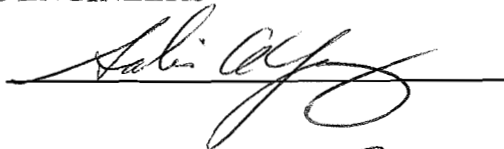
12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.


CFS ENGINEERS

By 

Title Senior Vice President

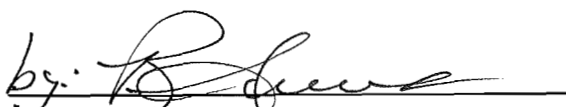
Dated: 12/30/15

BOONE COUNTY, MISSOURI

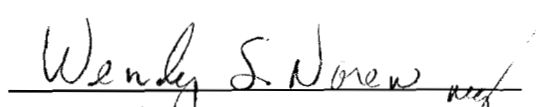
By 
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

by: 
County Attorney

ATTEST:


County Clerk

APPROVED:


Director, Boone County Resource Management



2016 RATE SCHEDULE

COOK, FLATT & STROBEL ENGINEERS, P.A.

<u>LABOR CATEGORY</u>	<u>HOURLY RATE</u>
Principal	\$245.00
Project Manager	\$215.00
Senior Engineer	\$172.00
Engineer	\$140.00
Junior Engineer	\$110.00
Senior Design Technician	\$135.00
Senior Technician	\$120.00
Technician	\$108.00
Junior Technician	\$77.00
Licensed Surveyor	\$140.00
Survey Chief	\$110.00
Survey Technician	\$108.00
Survey Crew (2 men)	\$185.00
Survey Crew (3 men)	\$265.00
Construction Manager	\$136.00
Construction Supervisor	\$120.00
Senior Construction Technician	\$100.00
Construction Technician	\$88.00
Junior Construction Technician	\$77.00
Administrative	\$76.00
Clerical/Support	\$55.00
<u>Direct Expenses</u>	
Mileage	\$0.54/mile
Motel	At Cost
Meals	\$51.00/Day
Printing (Black & White)	\$0.25/sq.ft.
Printing (Color)	\$2.00/sq.ft.
Mylars	\$3.00/sq.ft.

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Jackson)
 State of Missouri)ss

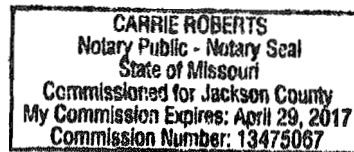
My name is Sabin Yanez. I am an authorized agent of Cook, Flatt, & Strobel Engineers (consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

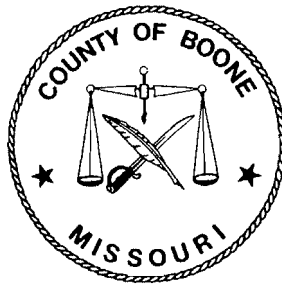
Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

[Signature] 12/30/15
 Affiant Date
Sabin Yanez
 Printed Name

Subscribed and sworn to before me this 30 day of December 2015.

[Signature]
 Notary Public





CFS Engineers
Discipline List

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	✓
Civil Engineering	✓
Construction Management	✓
Electrical Engineering	
Geotechnical Engineering	✓
Lab Testing	✓
Mechanical Engineering	
Planning	✓
Structural Engineering	✓
Surveying	✓
Traffic	✓
Transportation	✓
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	✓
Environmental	
Forensic	
GIS	✓
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	✓

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and CM Engineering, Inc (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

CM ENGINEERING, INC

By K. S. Hays

Title CEO

Dated: 12.29.2015

BOONE COUNTY, MISSOURI

By Steve M. Miller
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

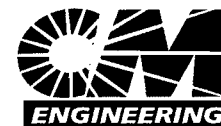
by: [Signature]
County Attorney

ATTEST:

Wendy S. Norenberg
County Clerk

APPROVED:

[Signature]
Director, Boone County Resource Management



Fee Schedule

"Bright Solutions in Engineering"

Principal Engineer	\$160.00 / hour
Project Manager	\$100.00 / hour
Project Engineer	\$90.00 / hour
Designer	\$70.00 / hour
Drafter	\$60.00 / hour
Administrative / Clerical	\$55.00 / hour

Expenses

Fee Charge

Outside Reproduction		Cost plus 10%
Inside Reproduction	24 x 36 Bond	\$1.98/sheet (.33 Sq. Ft.)
	30 x 42 Bond	\$2.89/sheet (.33 Sq. Ft.)
Out of Area Travel		Current Federal allowance per mile plus expenses
Subcontractors and Consultants		Cost plus 10%
We require all payments 30 days from the invoice date. Payments not received by the due date shall bear interest at the rate of 10% per annum.		

Insurance Limits

Comprehensive General Liability:		
Each Occurrence		\$2,000,000
General Aggregate		\$4,000,000
Products/Completed Operations Aggregate		\$4,000,000
Automobile Liability (Hired and Non-Owned Autos):		
Combined single limit for property damage and bodily injury		\$2,000,000
Commercial Umbrella Liability:		
Each Occurrence		\$1,000,000
Aggregate Limit		\$1,000,000
Workers Compensation:		
State		Statutory
Employers Liability		\$1,000,000
Professional Liability:		
Per Claim		\$1,000,000
Aggregate Limit		\$2,000,000
Deductible Each Claim		\$10,000
Deductible All Claims		\$30,000

Professional Liability Insurance shall be continued in force for 3 years after completion of the services provided. CM Engineering, Inc. will furnish upon request any necessary certificates showing the type, amount, effective dates and dates of expiration of policies. Cancellation notice will be given as per the terms and conditions of the policy. Higher limits may be purchased on a per project basis and will be charged to the customer accordingly.

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Boone)
)ss
State of Missouri)

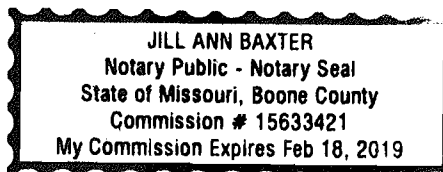
My name is Kent E. Hayes . I am an authorized agent of CM
Engineering, Inc.(Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Kent E. Hayes 12.30.2015
Affiant Date
Kent E. Hayes
Printed Name

Subscribed and sworn to before me this 30 day of December, 2015.

Jill Ann Baxter
Notary Public





CM Engineering

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	
Civil Engineering	
Construction Management	X
Electrical Engineering	X
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	X
Planning	
Structural Engineering	
Surveying	
Traffic	
Transportation	
Acoustical	
Building Enclosure Consulting	
Control System Integration	X
Design/Build	X
Environmental	
Forensic	
GIS	
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	X
Photogrammetry	
Telecommunications	
Water Resources	

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of JANUARY, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Crockett Engineering Consultants, LLC (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 Time for Completion - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 Compensation - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 Signatures - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. Compensation - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.


12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

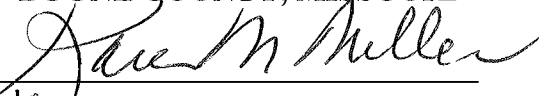
13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

CROCKETT ENGINEERING CONSULTANTS, LLC BOONE COUNTY, MISSOURI

By 
TIMOTHY CROCKETT

By 
ACTING
Presiding Commissioner

Title PARTNER

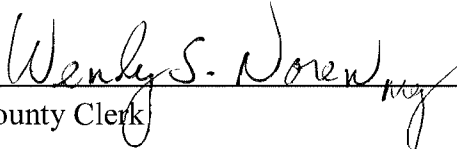
Dated: 1/1/16

Dated: 1-12-16

APPROVED AS TO FORM:

ATTEST:

by: 
County Attorney


County Clerk

APPROVED:


Director, Boone County Resource Management

FEE SCHEDULE

PERSONNEL

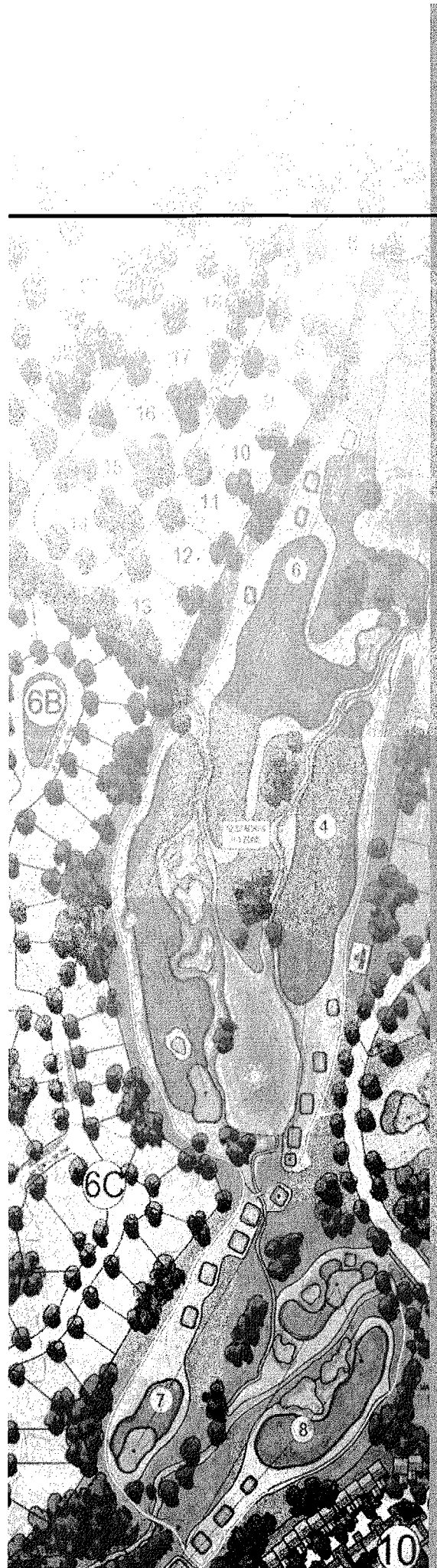
COST/HOUR

Professional V	\$140
Professional IV	\$120
Professional III	\$105
Professional II	\$90
Professional I	\$80
Technician III	\$90
Technician II	\$75
Technician I	\$60
Clerical	\$50
Field Technician II	\$125
Field Technician I	\$115

EXPENSES:

Mileage	\$ 0.57/mile
All Other Expenses	At Cost Plus 10%

Effective through December 31, 2016



**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Boone)
 State of MISSOURI)ss
)

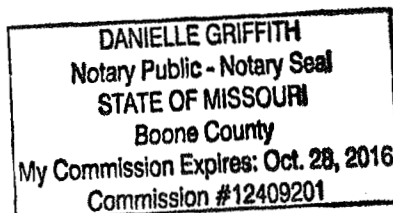
My name is Timothy CROCKETT. I am an authorized agent of CROCKETT ENGINEERING CONSULTANTS, LLC (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Timothy CROCKETT 1/1/16
 Affiant Date
Timothy CROCKETT
 Printed Name

Subscribed and sworn to before me this 1 day of JANUARY, 2016.

Danielle Griffith
 Notary Public





**Crockett Engineering
Consultants, LLC**

*Instructions: Please place a check mark in the
Services Offered box next to those disciplines
provided by your firm*

Discipline	Services Offered
Architecture	
Bridge Design	
Civil Engineering	X
Construction Management	X
Electrical Engineering	
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	
Planning	X
Structural Engineering	X
Surveying	X
Traffic	
Transportation	X
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	X
Environmental	
Forensic	
GIS	
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	X

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of JANUARY 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Crockett Geotechnical-Testing Lab (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 **Time for Completion** - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 **Compensation** - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

CROCKETT GEOTECHNICAL-TESTING LAB

BOONE COUNTY, MISSOURI

By Eric A. Jhalal

By Karen M. Puller
ACTING
Presiding Commissioner

Title PARTNER

Dated: 1/11/16

Dated: 1-12-16

APPROVED AS TO FORM:

ATTEST:

by: [Signature]
County Attorney

Wendy S. Norew
County Clerk

APPROVED:

[Signature]
Director, Boone County Resource Management

CROCKETT

GEOTECHNICAL - TESTING LAB

2015 FEE SCHEDULE

PERSONNEL

Clerical.....	\$	50.00 /hour *
Technician I.....	\$	50.00 /hour *
Technician II.....	\$	55.00 /hour *
Technician III.....	\$	60.00 /hour *
Technician IV.....	\$	70.00 /hour *
Services of AWS Certified Welding Inspector.....	\$	80.00 /hour *
Professional I.....	\$	80.00 /hour
Professional II.....	\$	90.00 /hour
Professional III.....	\$	105.00 /hour
Professional IV.....	\$	120.00 /hour
Professional V.....	\$	130.00 /hour

EXPENSES, EQUIPMENT, AND SUPPLIES

Mileage (if outside Columbia City Limits).....	\$	0.56 /mile
Trip Charge (In lieu of mileage).....		by quote
Supplies.....		Cost
Per Diem.....	\$	120.00 /man/day
Concrete or Asphalt coring - technician.....	\$	60.00 /hour
Core drilling machine and generator.....	\$	75.00 /day
Rebar Locator.....	\$	105.00 /day

CONCRETE AND MASONRY

Compressive Strength of Cylinder (ASTM C 39).....	\$	14.00 each
Special capping for irregular surface (contractor made).....	\$	10.00 each
Compressive Strength of 3x6 inch Grout Prism.....	\$	24.00 each
Compressive Strength of 2 inch Mortar Cube or 3 inch diameter cylinder.....	\$	24.00 each
Flexural Strength of Concrete Beam.....	\$	60.00 each
Splitting Tensile Test (6" Cylinders).....	\$	40.00 each
Concrete core density, measurement and strength.....	\$	55.00 /core
Compressive Strength and Dimensions of Masonry (ASTM C 140) (minimum of 3).....		by quote
Net Area, Absorption, Specific Gravity and Moisture (ASTM C 140).....	\$	75.00 /block
Compressive Strength of Masonry Block Prism (Hollow).....		by quote
Compressive Strength of Masonry Block Prism (filled with grout).....		by quote
Concrete or Mortar Mix Verification.....	\$	275.00 each
Chloride Ion Content (ASTM C 1218).....	\$	200.00 each
Trial Batch.....	\$	500.00 each
Shrinkage Test.....		by quote

AGGREGATES

Sieve Analysis (ASTM C 136).....	\$	73.00 each
Analysis of Material finer than #200 Sieve (ASTM C 117).....	\$	45.00 each
Organic Impurities - Colorimetric (ASTM C 40).....	\$	41.00 each
Lightweight Particles or Chert Analysis (ASTM C 123) :		
Fine.....	\$	80.00 each
Coarse.....	\$	140.00 each
Chert.....	\$	140.00 each
Clay Lumps (ASTM C 142).....	\$	55.00 each
Soundness (ASTM C 88) (5 cycles).....	\$	315.00 each
Abrasion (ASTM C 131).....	\$	190.00 each
Specific Gravity (ASTM C 127 or 128).....	\$	50.00 each
Absorption Analysis (ASTM C 127 or 128).....	\$	50.00 each
Unit Weight (ASTM C 29).....	\$	50.00 each
Scratch Hardness Test.....	\$	50.00 each

CROCKETT

GEOTECHNICAL - TESTING LAB

2015 FEE SCHEDULE (continued)

METALS

Anchor Bolt Load Testing Equipment.....	\$	100.00 /day
Ultrasonic Examination of Welds - Equipment and Consumables.....	\$	100.00 /day
Magnetic Particle or Dye Penetrant Examination - Equipment and Consumables.....		by quote

SOIL LABORATORY TESTING

Atterberg Limits Determination (LL, PL).....	\$	55.00 /test
Density Determination (Shelby tube sample).....	\$	12.00 /test
Moisture Content Determination.....	\$	10.00 /test
Unconfined Compression.....	\$	30.00 /test
Visual Engineering (USCS) Classification.....	\$	6.50 /test
Standard Proctor (ASTM D 698).....	\$	145.00 /test
Standard Proctor with Fly Ash (2 hour Delay).....	\$	195.00 /test
Modified Proctor (ASTM D 1557).....	\$	165.00 /test
Additional charge for Standard or Modified Proctor Coarse Aggregate Correction.....	\$	20.00 each
Laboratory CBR.....	\$	300.00 /test
Swell Test single pressure.....	\$	125.00 /test
Absorption/Pressure Swell Test (ASTM STP 479).....	\$	250.00 /test
Hydrometer Analysis.....	\$	72.00 /test
Combined Analysis (Hydrometer and Sieve).....	\$	100.00 /test
Organic Content (by heating).....	\$	45.00 /test
Sieve Analysis (Unwashed).....	\$	65.00 /test
Sieve Analysis (Washed over #200 sieve).....	\$	75.00 /test
Specific Gravity Determination.....	\$	68.00 /test
Soil Suction (ASTM D-5298).....	\$	35.00 /test
Sand Equivalent.....	\$	135.00 /test
NX and NQ Core Compressive Strength.....	\$	40.00 /test
Relative Density (ASTM D 4253 & D 4254 wet or dry method).....	\$	260.00 /test
Constant Head Permeability Test.....	\$	325.00 /test
Falling Head Permeability Test.....	\$	260.00 /test

* Hourly charges will be billed in 0.5 hour increments. There is a minimum charge of 2 hours.

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Boone)
State of MISSOURI)^{SS}

My name is ERIC LIDHOLM I am an authorized agent of CROCKETT
geotechnical testing (Consultant). This business is enrolled and participates in a federal work
authorization program for all employees working in connection with services provided to the
County. This business does not knowingly employ any person that is an unauthorized alien in
connection with the services being provided. Documentation of participation in a federal work
authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in
writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter
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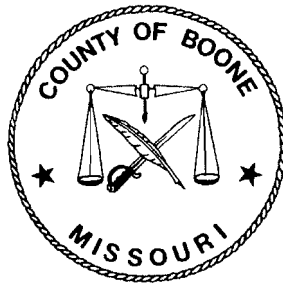
Eric Lidholm 1/1/14
Affiant Date

ERIC LIDHOLM
Printed Name

Subscribed and sworn to before me this 1 day of JANUARY, 2014.

Danielle Griffith
Notary Public

DANIELLE GRIFFITH
Notary Public - Notary Seal
STATE OF MISSOURI
Boone County
My Commission Expires: Oct. 28, 2016
Commission #12409201



**Crockett Geotechnical-
Testing Lab**

*Instructions: Please place a check mark in the
Services Offered box next to those disciplines
provided by your firm*

Discipline	Services Offered
Architecture	
Bridge Design	X
Civil Engineering	
Construction Management	
Electrical Engineering	
Geotechnical Engineering	X
Lab Testing	X
Mechanical Engineering	
Planning	
Structural Engineering	
Surveying	
Traffic	
Transportation	
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	X
Environmental	
Forensic	
GIS	
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	

CONSTRUCTION MATERIALS
TESTING

X

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Engineering Surveys and Services, LLC (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

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reasons why the Consultant cannot provide or respond to the request for optional services.

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2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

ENGINEERING SURVEYS AND SERVICES, LLC

BOONE COUNTY, MISSOURI

By

David H. Bennett

By

Jane M. Miller
ACTING
Presiding Commissioner

Title

President

Dated:

12/28/2015

Dated:

1-12-16

APPROVED AS TO FORM:

ATTEST:

by: *A. Lewis*
County Attorney

Wendy S. Nowell
County Clerk

APPROVED:

Sam Stamm
Director, Boone County Resource Management

Engineering Surveys and Services

Consulting Engineers, Scientists, and Land Surveyors
Analytical and Materials Laboratories

1113 Fay Street
Columbia, Missouri 65201
Telephone 573-449-2646
Facsimile 573-499-1499

E-Mail ess@ESS-Inc.com
<http://www.ESS-Inc.com>

HOURLY FEE SCHEDULE

January 1, 2016

<u>Services of:</u>	<u>Rate:</u>
Firm Principal	\$140-180 /hour
Registered Professional Engineer	\$130-170 /hour
Registered Professional Land Surveyor	\$120-150 /hour
Project Surveyor	\$ 70-100 /hour
Engineer Intern	\$ 90-120 /hour
Technician I	\$ 70-80 /hour
Technician II	\$ 60-70 /hour
CAD Operator	\$ 70-100 /hour
Chemist	\$ 70-90 /hour
Administrative Assistant	\$ 55-65 /hour
Computer	\$ 50 /day
Survey Equipment	\$100-200 /day
Drill Rig	\$ 150-\$250 /hour
Large Format Copies	\$ 4-\$6 each
Photocopies	\$ 0.25 each
Travel	\$ 0.60/mile

NOTES

1. Since charges are based on salary multiplier, all rates are subject to minor fluctuations as salaries change.
2. Overtime charges at 1.4 times above rates.

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Boone)
)ss
State of Missouri)

My name is David A. Bennett. I am an authorized agent of Engineering Surveys & Services (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

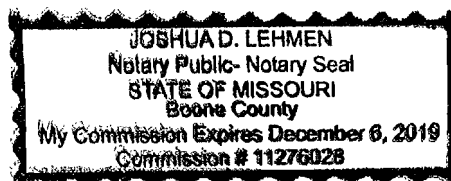
Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

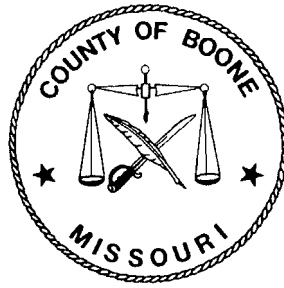
David A. Bennett 12/28/2015
Affiant Date

David A. Bennett
Printed Name

Subscribed and sworn to before me this 28th day of December, 2015.

Joshua D. Lehmen
Notary Public





**Engineering Surveys and
Services, LLC**

*Instructions: Please place a check mark in the
Services Offered box next to those disciplines
provided by your firm*

Discipline	Services Offered
Architecture	
Bridge Design	
Civil Engineering	✓
Construction Management	✓
Electrical Engineering	
Geotechnical Engineering	✓
Lab Testing	✓
Mechanical Engineering	
Planning	✓
Structural Engineering	
Surveying	✓
Traffic	
Transportation	✓
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	✓
Environmental	✓
Forensic	
GIS	✓
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	✓

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Horner & Shifrin, Inc (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 **Time for Completion** - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 **Compensation** - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

HORNER & SHIFRIN, INC

By *Janet R. Hopkins*

Title *Vice President*

Dated: *12-29-15*

BOONE COUNTY, MISSOURI

By *Karen M. Miller*
ACTING
Presiding Commissioner

Dated: *1-12-16*

APPROVED AS TO FORM:

By: *R. Lewis*
County Attorney

ATTEST:

Wendy S. Nowak
County Clerk

APPROVED:

Sam Starnow
Director, Boone County Resource Management

WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)

City
~~City~~ of St. Louis)
)ss
State of Missouri)

My name is Linda R. Hopkins. I am an authorized agent of _____
Horner & Shifrin, Inc. (Consultant). This business is enrolled and participates in a federal work
authorization program for all employees working in connection with services provided to the
County. This business does not knowingly employ any person that is an unauthorized alien in
connection with the services being provided. Documentation of participation in a federal work
authorization program is attached hereto.

Furthermore, all subcontractors working on this contract shall affirmatively state in
writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter
be in violation and submit a sworn affidavit under penalty of perjury that all employees are
lawfully present in the United States.

Linda R Hopkins 12/29/15
Affiant Date

Linda R. Hopkins
Printed Name

Subscribed and sworn to before me this 29 day of December, 2015

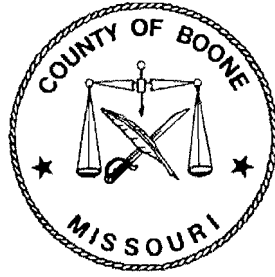
Vanessa Davis
Notary Public

VANESSA DAVIS
Notary Public, Notary Seal
State of Missouri
St. Louis County
Commission # 13722667
My Commission Expires June 02, 2017

HORNER SHIFRIN

Billing Rates*

Labor Category	Rate	Labor Category	Rate
AP1 PROFESSIONAL 1	\$225	SP1 VP STRUCTURAL ENGR	\$200
AT1 CLERICAL 1	\$95	SP2 AVP STRUCTURAL ENGR	\$153
AT4 CLERICAL 3	\$64	SP3 SR PM STRUCTURAL ENGR	\$146
AT6 CLERICAL 6	\$42	SP5 SR PM STRUCTURAL ENGR	\$128
BP1 AVP BUILDING SERVICES	\$186	SP6 PM STRUCTURAL ENGR	\$108
BP3 SR PM BUILDING SERVICES	\$169	SP11 DESIGN ENGR STRUCTURAL ENGR	\$89
BP4 SR PM BUILDING SERVICES	\$145	SP13 ENGR STRUCTURAL ENGR	\$77
BP8 PM BUILDING SERVICES	\$120	ST1 PROF DESIGNER STRUCTURAL ENGR	\$89
BT1 PROF DESIGNER BUILDING SERVICES	\$116	ST4 CAD TECH STRUCTURAL ENGR	\$71
BT2 SR DESIGNER BUILDING SERVICES	\$86	SU2 PROFESSIONAL LAND SURVEYOR	\$105
BT3 DESIGNER BUILDING SERVICES	\$81	SU3 PROFESSIONAL LAND SURVEYOR	\$85
BT5 CAD TECH BUILDING SERVICES	\$68	SU4 SURVEY INSTRUMENT TECHNICIAN	\$75
CP1 DEPT MGR CONSTR ADMIN	\$111	TES2 ENV SCIENTIST TRANSPORTATION ENG	\$136
CP3 PROJ ENGR CONSTR ADMIN	\$85	TP1 VP TRANSPORTATION ENGR	\$200
CP4 CONSTRUCTION OBSERVER	\$77	TP2 AVP TRANSPORTATION ENGR	\$174
EP1 VP ENVIRONMENTAL ENGR	\$200	TP3 ENGR MGR TRANSPORTATION ENGR	\$167
EP2 AVP ENVIRONMENTAL ENGR	\$169	TP5 SR PM TRANSPORTATION ENGR	\$141
EP4 SR PM ENVIRONMENTAL ENGR	\$153	TP6 PM TRANSPORTATION ENGR	\$116
EP6 PM ENVIRONMENTAL ENGR	\$135	TP7 PM TRANSPORTATION ENGR	\$107
EP7 PM ENVIRONMENTAL ENGR	\$127	TP8 SR PE TRANSPORTATION ENGR	\$104
EP9 PE ENVIRONMENTAL ENGR	\$116	TP9 PE TRANSPORTATION ENGR	\$94
EP10 PE ENVIRONMENTAL ENGR	\$104	TP11 DESIGN ENGR TRANSPORTATION ENGF	\$84
EP11 DESIGN ENGR ENVIRONMENTAL ENGR	\$81	TP12 DESIGN ENGR TRANSPORTATION ENGF	\$78
EP12 DESIGN ENGR ENVIRONMENTAL ENGR	\$72	TP13 ENGR TRANSPORTATION ENGR	\$72
EP13 ENGINEER ENVIRONMENTAL ENGR	\$68	TP14 ENGR TRANSPORTATION ENGR	\$66
ET1 PROF DESIGNER ENVIRONMENTAL ENGF	\$95	TT1 PROF DESIGNER TRANSPORTATION ENG	\$108
ET3 DESIGNER ENVIRONMENTAL ENGR	\$71	TT2 SR DESIGNER TRANSPORTATION ENGR	\$79
ET4 CAD TECH ENVIRONMENTAL ENGR	\$58	TT3 DESIGNER TRANSPORTATION ENGR	\$66
ET5 CAD TECH ENVIRONMENTAL ENGR	\$55		
ET6 CAD TECH ENVIRONMENTAL ENGR	\$47		
GP1 ENGINEERING MANAGER	\$169		
GP2 ASST MGR - GENERAL STAFF	\$95		
GT4 COORDINATOR	\$58		
IP1 AVP - GIS/IT/SURVEY	\$174		
IP2 SENIOR GIS/IT PROFESSIONAL	\$96		
IT3 GIS ANALYST	\$70		
IT4 GIS ANALYST	\$65		
IT5 GIS/IT Technician	\$65		



Horner & Shifrin, Inc
Discipline List

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	✓
Civil Engineering	✓
Construction Management	✓
Electrical Engineering	✓
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	✓
Planning	✓
Structural Engineering	✓
Surveying	✓
Traffic	✓
Transportation	✓
Acoustical	
Building Enclosure Consulting	✓
Control System Integration	✓
Design/Build	✓
Environmental	✓
Forensic	✓
GIS	✓
Industrial	✓
Interior Design	
Landscape Architecture	
Natural Gas	✓
Photogrammetry	✓
Telecommunications	✓
Water Resources	✓

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Howe Company, LLC (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 Time for Completion - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 Compensation - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 Signatures - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. Compensation - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

HOWE COMPANY, LLC

By Shannon Howe

Title Manager/Owner of LLC

Dated: 12/30/2015

BOONE COUNTY, MISSOURI

By Lane Miller
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

by: [Signature]
County Attorney

ATTEST:

Wendy S. Noren
County Clerk

APPROVED:

[Signature]
Director, Boone County Resource Management



Engineers & Land Surveyors
 Macon, Missouri

**2016
 HOURLY RATE FOR
 PROFESSIONAL SERVICES**

DESCRIPTION	HOURLY RATE
ENGINEER	\$ 85.00
PROFESSIONAL ENGINEER I	\$ 90.00
PROFESSIONAL ENGINEER II	\$ 120.00
PROFESSIONAL ENGINEER III	\$ 130.00
PROFESSIONAL ENGINEER IV	\$ 135.00
PROFESSIONAL ENGINEER V	\$ 140.00
PROFESSIONAL ENGINEER VI	\$ 155.00
STRUCTURAL ENGINEER I	\$ 125.00
STRUCTURAL ENGINEER II	\$ 135.00
STRUCTURAL ENGINEER III	\$ 145.00
SURVEY PARTY CHIEF II	\$ 105.00
SURVEY PARTY CHIEF I	\$ 70.00
LICENSED SURVEYOR-IN-TRAINING	\$ 85.00
PROFESSIONAL LAND SURVEYOR I	\$ 115.00
PROFESSIONAL LAND SURVEYOR II	\$ 130.00
ADMINISTRATIVE TECHNICIAN	\$ 55.00
ENGINEERING TECHNICIAN	\$ 50.00
ENGINEERING TECHNICIAN I	\$ 65.00
ENGINEERING TECHNICIAN II	\$ 75.00
ENGINEERING TECHNICIAN III	\$ 90.00
ENGINEERING TECHNICIAN IV	\$ 95.00
SENIOR ENGINEERING TECHNICIAN	\$ 105.00
CONSTRUCTION OBSERVER I	\$ 65.00
CONSTRUCTION OBSERVER II	\$ 80.00
CONSTRUCTION OBSERVER III	\$ 105.00
TECHNICIAN	\$ 35.00

Specialized services will be billed for on a case-by-case basis

ALL DIRECT JOB EXPENSES AND MATERIALS OTHER THAN NORMAL OFFICE SUPPLIES
 WILL BE BILLED AT ACTUAL COST PLUS 10 PERCENT

WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)

County of MACON)
)ss
State of MISSOURI)

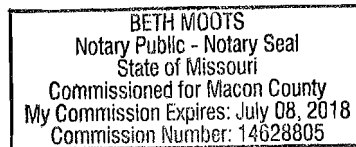
My name is Shannon J. Howe. I am an authorized agent of Howe Company, LLC (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

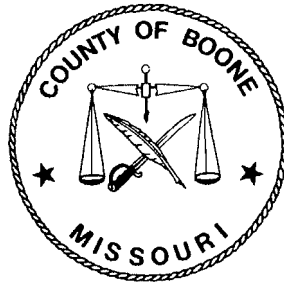
Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Shannon J. Howe 12/30/2015
Affiant Date
Shannon J. Howe
Printed Name

Subscribed and sworn to before me this 31ST day of December, 2015.

Beth Moots
Notary Public





Howe Company, LLC

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	✓
Civil Engineering	✓
Construction Management	
Electrical Engineering	
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	
Planning	
Structural Engineering	✓
Surveying	✓
Traffic	
Transportation	✓
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	
Environmental	
Forensic	
GIS	
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	✓

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Malicoat-Winslow Engineers, P.C. (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 **Time for Completion** - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 **Compensation** - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

MALICOAT-WINSLOW ENGINEERS, P.C.

By Freddie Malicot

Title President

Dated: January 4, 2016

BOONE COUNTY, MISSOURI

By Jan M. Miller
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

By: [Signature]
County Attorney

ATTEST:

Wendy S. Noen
County Clerk

APPROVED:

[Signature]
Director, Boone County Resource Management

MALICOAT-WINSLOW ENGINEERS, P.C.
MECHANICAL AND ELECTRICAL ENGINEERS

5649 NORTH CLEARVIEW ROAD
COLUMBIA, MISSOURI 65202-9687

FREDDIE L. MALICOAT, P.E
email: fredm@mwengrs.com

Phone: 573-875-1300
Fax: 573-875-1305

HOURLY RATES

PRINCIPAL ENGINEER	\$150/hr
PROFESSIONAL ENGINEER	\$120/hr
ENGINEER-IN-TRAINING	\$90/hr
CADD SUPERVISOR	\$70/hr
CADD TECHNICIAN	\$70/hr
CLERICAL	\$70/hr

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Boone)
)ss
State of Missouri)

My name is Freddie Malicoat. I am an authorized agent of Malicoat-Winslow Engineers (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

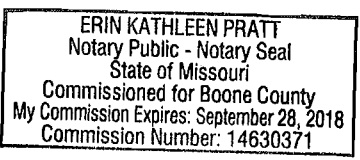
Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Freddie Malicoat 1-4-16
Affiant Date

Freddie Malicoat
Printed Name

Subscribed and sworn to before me this 4 day of January, 2016.

Erin Kathleen Pratt
Notary Public





Malicoat-Winslow Engineers,
P.C.

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	
Civil Engineering	
Construction Management	
Electrical Engineering	✓
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	✓
Planning	
Structural Engineering	
Surveying	
Traffic	
Transportation	
Acoustical	
Building Enclosure Consulting	
Control System Integration	✓
Design/Build	
Environmental	
Forensic	
GIS	
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Poepping, Stone, Bach & Associates, Inc. (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 **Time for Completion** - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 **Compensation** - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** - When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.


12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

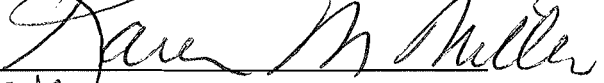
13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

POEPPING, STONE, BACH & ASSOCIATES, INC. BOONE COUNTY, MISSOURI

By 

By 
ACTING
Presiding Commissioner

Title Vice President

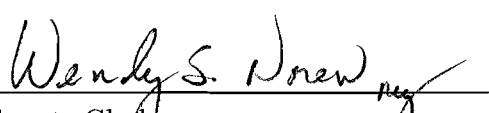
Dated: 12-31-2015

Dated: 1-12-16

APPROVED AS TO FORM:

ATTEST:

by: 
County Attorney


County Clerk

APPROVED:


Director, Boone County Resource Management

**BOONE COUNTY
PROFESSIONAL FEE SCHEDULE**

<u>CLASSIFICATION</u>	<u>RATE PER HOUR</u>
14. ADMINISTRATIVE	\$60.00
13E/13A. ENGINEERING/ARCHITECTURAL AID	\$7500
12E/12A. ENGINEERING/ARCHITECTURAL/GIS TECHNICIAN I	\$85.00
11E/11A. ENGINEERING/ARCHITECTURAL/GIS TECHNICIAN II	\$90.00
10E/10A. SENIOR ENGINEERING/ARCHITECTURAL/GIS TECHNICIAN/S.I.T.	\$100.00
9. SUPERVISING TECHNICIAN	\$60.00
8. LAND SURVEYOR	\$110.00
7E/7A. ENGINEER/ARCHITECT/GIS SPECIALIST I	\$80.00
6E/6A. ENGINEER/ARCHITECT/GIS SPECIALIST II	\$90.00
5E/5A. ENGINEER/ARCHITECT/GIS SPECIALIST III	\$100.00
4E/4A. ASSOCIATE ENGINEER/ASSOCIATE ARCHITECT	\$110.00
3E/3A. PROJECT ENGINEER/PROJECT ARCHITECT	\$115.00
3C. SENIOR PROJECT ENGINEER/ PROJECT ARCHITECT	\$135.00
2D. PROJECT MANAGER	\$150.00
2C. SENIOR PROJECT MANAGER	\$160.00
1. PRINCIPAL OF FIRM	\$195.00

OUT-OF-POCKET EXPENSES

PHONE CALLS, MEALS AND LODGING	COST
BLUEPRINTS, AERIAL PHOTOS, PHOTOCOPIES	COST + 15%
MILEAGE	CURRENT IRS RATE

FIELD PARTY EXTRAS

STAKES, IRON PINS, CONCRETE MONUMENTS, ETC	COST + 15%
GPS - \$/HOUR	\$11.00

SPECIAL ITEMS

COMPUTER TECHNICAL TIME/HOUR	\$11.00
COURT TESTIMONY BY LICENSED PROFESSIONALS	\$2,100 PER DAY
SPECIAL CONSULTANTS	COST + 15%
COMPUTERIZED RENDERING AND ANIMATION	\$175/HOUR

THESE RATES EFFECTIVE UNTIL DECEMBER 31, 2016

- | | | | | |
|---|--------------|---------------------------|----------------------|--------------------|
| <input type="checkbox"/> 100 SOUTH 54TH STREET | P.O. BOX 709 | • QUINCY, IL 62306 | • PHONE 217/223-4605 | • FAX 217/223-1546 |
| <input type="checkbox"/> 3523 MAIN STREET | P.O. BOX 817 | • KEOKUK, IA 52632 | • PHONE 319/524-8730 | • FAX 217/223-1546 |
| <input type="checkbox"/> 801 BROADWAY SUITE 248 | P.O. BOX 190 | • HANNIBAL, MO 63401 | • PHONE 573/406-0541 | • FAX 217/223-1546 |
| <input type="checkbox"/> 224 W MAIN STREET | | • BOWLING GREEN, MO 63334 | • PHONE 573/324-6868 | • FAX 217/223-1546 |

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of Marion)
)ss
State of Missouri)

My name is Michael J. Purci. I am an authorized agent of Pepping
Stone, Bach & Assoc. (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

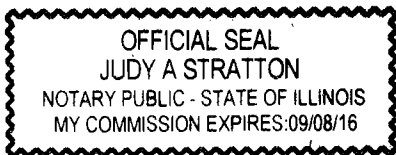
Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

Michael J. Purci 12-31-15
Affiant Date

Michael J. Purci
Printed Name

Subscribed and sworn to before me this 31 day of December, 2015.

Judy A. Stratton
Notary Public





**Poepping, Stone, Bach &
Associates, Inc.**

*Instructions: Please place a check mark in the
Services Offered box next to those disciplines
provided by your firm*

Discipline	Services Offered
Architecture	✓
Bridge Design	✓
Civil Engineering	✓
Construction Management	✓
Electrical Engineering	✓
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	
Planning	✓
Structural Engineering	✓
Surveying	✓
Traffic	✓
Transportation	✓
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	✓
Environmental	
Forensic	
GIS	✓
Industrial	✓
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	✓

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and Ross & Baruzzini (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 Time for Completion - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 Compensation - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 Signatures - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. Compensation - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

10. **Owner Authorization** -When the term Owner is used in this agreement, it shall mean the government of Boone County, Missouri or the Boone County Commission, as the context requires. Authorization by the Owner shall mean authorization obtained by recorded majority vote of the Boone County Commission. It is further understood and agreed that no person or party is authorized to bind the Owner to any proposed agreement for services under the auspices of this agreement without having obtained the prior approval of the Boone County Commission by recorded majority vote for such authorization. In this regard, it is understood and agreed that the Consultant shall not be entitled to rely upon verbal or written representations by any agent or employee of the Owner in deviation to the terms and conditions of this agreement, or as authorization for compensation for services except as may be approved by recorded vote of the Boone County Commission. When the term Owner's representative is used, it shall mean the Director of the Boone County Resource Management Department or his designee as specified in writing. It shall be presumed that such representative shall have all necessary decision making authority with respect to services provided under this agreement and Owner approved proposals for services except such representative shall have no authority to make decisions concerning changes to the Consultant's compensation or reimbursement, or with respect to services to be performed under this agreement or Owner approved proposal for services which involve or affect cost, expense or budgetary allowances.

11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.


ROSS & BARUZZINI

By 
Michael E. Shea, AIA

Title Senior Vice President

Dated: 1/4/16


APPROVED AS TO FORM:


County Attorney

APPROVED:

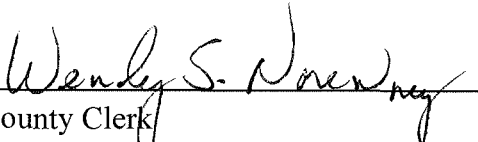

Director, Boone County Resource Management

BOONE COUNTY, MISSOURI

By 
ACTING
Presiding Commissioner

Dated: 1-12-16

ATTEST:


County Clerk

Ross & Baruzzini

STANDARD HOURLY RATES

As of January 1, 2016
 Good through December 31, 2016

<i>Classification</i>	<i>Rates</i>
Project Principal	\$235.00
Senior Design Consultant	\$200.00
Senior Project Manager	\$175.00
Design Consultant	\$164.00
Project Manager	\$156.00
Commissioning Authority	\$150.00
Senior Engineer/Architect	\$142.00
Construction Engineer/Architect	\$130.00
Project Engineer/Architect	\$125.00
Commissioning Agent	\$120.00
Engineer	\$112.00
Architect	\$106.00
Senior Designer	\$95.00
Commissioning Field Engineer	\$82.00
Designer	\$80.00
Senior Project Coordinator	\$78.00
Interior Designer	\$65.00
Technician	\$60.00
Project Coordinator	\$58.00
Intern	\$45.00

**WORK AUTHORIZATION CERTIFICATION
PURSUANT TO 285.530 RSMo
(FOR ALL AGREEMENTS IN EXCESS OF \$5,000.00)**

County of St. Louis)
)ss
State of Missouri)

My name is Michael E. Shea . I am an authorized agent of Ross + Baruzzini (Consultant). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the County. This business does not knowingly employ any person that is an unauthorized alien in connection with the services being provided. Documentation of participation in a federal work authorization program is attached hereto.

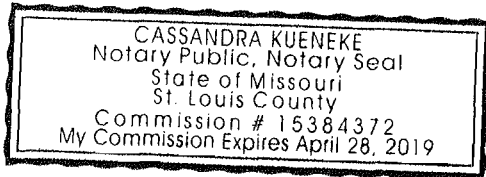
Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1, shall not thereafter be in violation and submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.

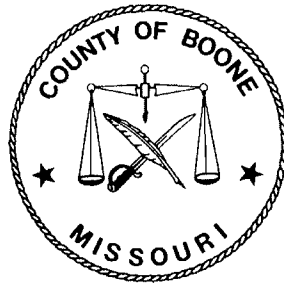
[Signature] 1/4/16
Affiant Date

Michael E. Shea, AIA
Printed Name

Subscribed and sworn to before me this 4th day of January 2016.

[Signature]
Notary Public





Ross & Baruzzini

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	✓
Bridge Design	
Civil Engineering	
Construction Management	
Electrical Engineering	✓
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	✓
Planning	✓
Structural Engineering	
Surveying	
Traffic	
Transportation	✓
Acoustical	
Building Enclosure Consulting	✓
Control System Integration	✓
Design/Build	✓
Environmental	
Forensic	
GIS	
Industrial	✓
Interior Design	✓
Landscape Architecture	
Natural Gas	✓
Photogrammetry	
Telecommunications	✓
Water Resources	

GENERAL CONSULTANT SERVICES AGREEMENT

THIS AGREEMENT dated this 12th day of January, 2016, by and between Boone County, Missouri, a first class county and political subdivision of the state of Missouri through its County Commission, (herein "Owner") and TREKK Design Group, LLC (herein "Consultant").

IN CONSIDERATION OF the performance of the services rendered under this Agreement and payment for such services, the parties agree to the following:

1. **Agreement duration** – This contract shall be in effect beginning January 1, 2016 or the date of full execution; which ever is later and run through the calendar year ending on December 31, 2016. This agreement may be terminated in accordance with the terms and conditions set forth in this agreement.

2. **Services** - As authorized by the Owner in writing, the Consultant shall provide the Owner all engineering, surveying, and other professional services for the benefit of the Owner as prescribed by the Owner based upon requests for proposals for projects assigned during the term of this agreement and the Consultant shall provide the Owner, as applicable, with the services, reports, studies, surveys, plans, specifications, and other work required by the Owner's request for proposal. Consultant agrees to provide all such services in a timely manner as established by the Owner in writing for each assigned project, or in the absence of the designation, within a reasonable time after receipt of Owner directives. Consultant agrees to provide services by and through qualified personnel under standards and conditions generally accepted by professionals in the field or occupations for which services are provided. Services shall be provided based only upon requests for proposals provided to the Consultant by the Owner or Owner's representative and to which the Consultant prepares and submits a written proposal for services which is approved by the Owner in writing. No work shall be performed nor shall compensation be paid for Consultant work performed without an Owner approved written proposal for professional services. Proposals for services shall be in written form, as required by the request for proposal, and shall be specifically responsive to the criteria provided by the Owner in its request for proposal. All work performed by the Consultant, based upon Owner approved proposals submitted by the Consultant, shall be subject to the terms and conditions of this agreement unless otherwise specifically agreed upon by the Owner and Consultant in writing. All proposals for work submitted by the Consultant to the Owner for work shall at a minimum contain the following:

2.1 **Scope of Services** - Each proposal for services shall contain a detailed description of work to be performed by the Consultant. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to the request with the same or greater level of specificity required by the request for proposal. The Consultant shall specifically identify services which are included as basic services and those services which are excluded from basic services in the proposal. Services which the Consultant does not identify as excluded from basic services under the proposal and which are necessary for successful completion of the work in the judgment of the Owner shall be presumed to be a part of basic services under the proposal. If a request for proposal requires the Consultant to provide optional services, the Consultant's proposal shall respond to the options requested, or provide

reasons why the Consultant cannot provide or respond to the request for optional services.

2.2 **Time for Completion** - Each proposal for services shall contain a detailed description of the estimated time to complete each task or item of work to be performed by the Consultant under the proposal. When the Owner provides the Consultant with a written and/or graphic request for proposal, the Consultant's proposal shall be responsive to any request for estimated or maximum completion times for work with the same or greater level of specificity required by the request for proposal.

2.3 **Compensation** - Each proposal for services shall state the basis of compensation on either: (1) an hourly fee plus expense basis with a statement of a maximum compensation to be charged, or, (2) a lump sum payment of compensation for all work to be performed, or, (3) a payment based upon unit prices. Proposals for compensation for an hourly fee plus expense basis shall provide detailed time and expense estimates to support a maximum contract amount to be charged and shall be consistent with the hourly rates, unit prices and reimbursement rates made a part of this agreement by reference in paragraph two (2) below. When the Owner or Owner's representative requests the Consultant to provide work on an hourly fee plus expense basis, the Consultant's proposal shall be responsive to the request and shall not propose payment on another basis unless otherwise authorized. Unit price proposals shall identify and estimate the quantity of units as a part of the proposal when they can be identified and estimated, or as necessary in response to a particular request for proposal requesting such information. Each proposal for services shall also state a proposed payment schedule at a frequency no greater than monthly in such amounts as are consistent with amount of work to be performed and billed. Reimbursable expenses proposed shall be specifically identified and estimated as a part of the proposal with a statement of the maximum amount to be charged unless the Owner's request for proposal specifies otherwise.

2.4 **Signatures** - Consultant proposals for services under this agreement shall be signed and dated by the Consultant or an authorized representative of the Consultant (as applicable), and shall be considered binding offers to contract open for acceptance by the Owner for an indefinite duration unless limited in the proposal or withdrawn prior to acceptance by the Owner. All proposals for services under this agreement shall be on forms approved by the Owner; use of the signature block shown in this agreement on a proposal for services shall be considered an adequate signature block. In the absence of an Owner provided form, the signature block shall contain a signature line for Boone County, Missouri by its Presiding Commissioner, a signature line for attestation by the County Clerk, a signature line approving the proposal by the Director of Resource Management, and a signature line for the County Attorney approving the proposal as to legal form. In addition, the signature block shall contain a line for insertion of the date the proposal is approved by the Owner.

3. **Compensation** - In consideration for the Consultant's provision of services under this agreement, the Owner agrees to compensate the Consultant for services rendered in accordance with the hourly rates, unit prices and reimbursement rates for expenses set forth in the schedule for hourly rates and expense charges to be in effect for the calendar year of this agreement which is either attached to this agreement or maintained on file with the Boone County Resource Management Department and is hereby incorporated by reference. No increases in the rates and charges set forth in the attached schedule shall be permitted for this

calendar year without the written authorization of the Owner. Payments shall be made within thirty (30) days of receipt of invoice by the Owner. Invoices shall be submitted periodically as mutually agreed upon by the Owner and Consultant, or in the absence of such agreement, upon completion of the work constituting the task or project for which services are provided. Invoices for services on an hourly fee plus expense basis shall individually describe the task or project by name, show hours expended by classes of personnel in increments of not less than one-half hour and rates applied, as well as describe work performed during the invoice period; reimbursable expenses shall be itemized. Invoices for services performed on a unit price basis shall identify the task or project by name, identify and quantify units charged for services during the invoice period. Invoices for services on a lump sum basis shall identify the task or project by name and the invoiced amount. Periodic invoices shall not exceed the amounts permitted in the Consultant's proposal approved by the Owner. The Owner reserves the right to withhold payment for inadequately documented invoices until documented as required herein. The Owner further reserves the right to withhold payments for unperformed work or work not performed on a timely basis in accordance with the Consultant's proposal when delays in performance of services are not attributable to the Owner, or as a result of a billing dispute between the Owner and Consultant. However, Owner agrees to pay interest at a rate of nine percent (9%) annum on any disputed billed amounts for which payments are withheld beyond thirty (30) days of invoice if and to the extent that those disputed amounts are resolved in favor of the Consultant.

4. **Owner Responsibilities** - Owner agrees to furnish Consultant with all current and available information for each task or project assigned to Consultant, along with any information necessitated by changes in work or services initiated by the Owner which may affect services rendered thereunder.

5. **Coordination of Work and Work Product** - Consultant shall coordinate all work with the Owner's designated representative for each task or project assigned to Consultant and submit to the Owner's representative all work product in written or graphic form (and in electronic form if requested) as applicable or required. All reports, surveys, test data, memoranda, samples, plans, specifications, and other documents or materials submitted by or to the Owner shall be considered the property of the Owner. When available and requested by the Owner, work product shall be provided in electronic form at actual cost in media compatible for use with Owner software and equipment.

6. **Insurance** - Consultant shall procure and maintain professional liability insurance in such amounts as are deemed mutually agreeable to the parties and approved by the Owner or the Owner's representative in writing within thirty (30) days of this Agreement. Consultant shall also maintain general public liability insurance with coverage's no less than \$2,000,000.00 per occurrence, and worker's compensation insurance as required by state law. Failure of Consultant to obtain or maintain such insurance during this contract, or to provide proper proofs thereof upon request of the Owner, shall not diminish, waive or otherwise reduce the Consultant's obligations to maintain such insurance coverage and Consultant shall indemnify and hold the Owner and all its personnel harmless from and against any and all claims, damages, losses and expenses, including reasonable attorney's fees and litigation costs, arising out of or resulting from the performance of services, provided that any such claim, damage, loss or expenses, is caused in whole or in part by the negligent act, omission and or liability of the Consultant, its agents or employees. The Consultant shall provide the Owner with certificates of insurance

exhibiting the coverage as specified above within thirty (30) days of execution of this agreement and thereafter within five (5) working days after request by the Owner. All certificates of insurance shall contain provision that insurance provided shall not be canceled or altered except upon ten (10) days written notice to the Owner.

7. **Delegation and Subcontracting** - Unless otherwise proposed and approved in the Consultant's proposal for services, the Consultant shall not delegate or subcontract any work to be performed by the Consultant under this agreement to any other person, business or entity without the express advance written approval of the Owner for such delegation or subcontract work.

8. **Records and Samples** - To the extent not otherwise transferred to the Owner's possession, Consultant agrees to retain and provide the Owner with reasonable access to all work product, records, papers and other documents involving transactions and work related to or performed under this agreement for a period of three (3) years after this agreement expires. When services involve testing or sampling, Consultant agrees to either retain all test products or samples collected by or submitted to Consultant, or return same to the Owner as mutually agreed upon. In absence of agreement, Consultant shall not dispose of test samples or products without notice to or consent by the Owner or the Owner's representative.

9. **Additional Services** - No compensation shall be paid for any service rendered by the Consultant considered an additional service beyond the scope of services approved by the Owner unless rendition of that service and expense thereof has been authorized in writing by the Owner in advance of performance of such service. Any additional services performed by the Consultant prior to such authorization by the Owner shall be deemed a part of basic services for work performed under an Owner approved proposal for services governed by this agreement, whether enumerated in this agreement or not, for which the Consultant shall be entitled to no additional compensation.

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11. **Termination** - The Owner may and reserves the right to terminate this agreement at any time with or without cause by giving the Consultant written notice of termination. Upon receipt of such notice, Consultant shall discontinue all services in connection with the performance of services authorized under this agreement or Owner approved proposal for services and Owner shall upon invoice remit payment for all authorized services completed up to the date of termination notice. Upon payment of this invoice, the Consultant shall deliver any and all work product including drawings, plans, and specifications, or other documents, prepared as instruments of service, whether complete or in progress. It is further agreed that if services are terminated the Consultant shall be compensated for all services rendered through the date of termination not to exceed the amount authorized for services through the date of termination. If the Owner questions the extent of work on a final invoice, the Consultant shall give the Owner the opportunity to review and evaluate all work upon which the invoice is based in the offices of the Consultant prior to payment. This agreement or work performed under the provisions of this agreement may also be terminated by the Consultant upon not less than seven days written notice in the event the Owner shall substantially fail to perform in accordance with the terms and conditions of this agreement, through no fault of the Consultant. In the event of termination by the Consultant, the other provisions concerning termination contained in this paragraph shall be applicable.

12. **Governing Law** - This agreement shall be governed by the laws of the state of Missouri and it is agreed that this agreement is made in Boone County, Missouri and that Boone County, Missouri is proper venue for any action pertaining to the interpretation or enforcement of any provision within or services performed under this agreement.

13. **Certification of Lawful Presence / Work Authorization** - Consultant shall complete and return the Work Authorization Certification attached hereto, and if applicable, the other required lawful presence documents for an individual Consultant.

14. **Miscellaneous** - This agreement constitutes the entire agreement of the parties superseding all prior negotiations, written or verbal, and may only be amended by signed writing executed by the parties through their authorized representatives hereunder.

IN WITNESS WHEREOF, the parties have executed this agreement by their duly authorized signatories effective the date and year first-above written.

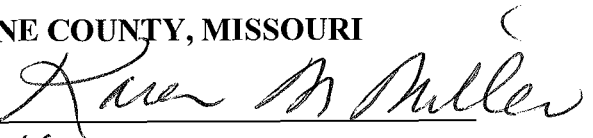
TREKK DESIGN GROUP, LLC

By 

Title Managing Member

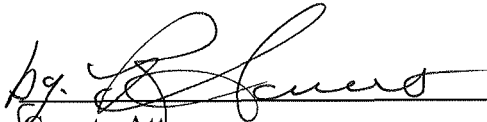
Dated: 12/29/2015

BOONE COUNTY, MISSOURI

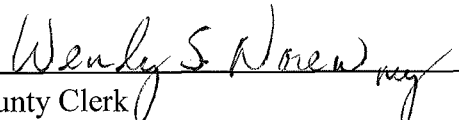
By 
ACTING
Presiding Commissioner

Dated: 1-12-16

APPROVED AS TO FORM:

by 
County Attorney

ATTEST:


County Clerk

APPROVED:


Director, Boone County Resource Management



TREKK Design Group, LLC
Discipline List

Instructions: Please place a check mark in the Services Offered box next to those disciplines provided by your firm

Discipline	Services Offered
Architecture	
Bridge Design	
Civil Engineering	X
Construction Management	X
Electrical Engineering	X
Geotechnical Engineering	
Lab Testing	
Mechanical Engineering	
Planning	
Structural Engineering	
Surveying	X
Traffic	X
Transportation	X
Acoustical	
Building Enclosure Consulting	
Control System Integration	
Design/Build	X
Environmental	
Forensic	
GIS	X
Industrial	
Interior Design	
Landscape Architecture	
Natural Gas	
Photogrammetry	
Telecommunications	
Water Resources	X



Attachment A
2016 Compensation for Professional Engineering Services¹
TREKK Design Group, LLC (TREKK)

The **OWNERS's** payment to the **ENGINEER** shall be due and payable as follows:

- I. For Engineering Services, Plan Review, Surveying, Construction Inspection, and Meetings, when authorized and agreed upon in writing, an amount based upon hourly rates plus expenses, in accordance with Section III below, or a negotiated amount as agreed upon.
- II. For **Other Services**, when authorized and agreed upon in writing, an amount based upon hourly rates plus expenses or unit rates, in accordance with Section III below, or a negotiated amount as agreed upon.

III. Hourly Billing Rates and Expenses:

Project Principal	\$180.00/hr - \$225.00/hr
Division Lead	\$120.00/hr - \$185.00/hr
Project Manager	\$ 90.00/hr - \$220.00/hr
Assistant Project Manager	\$ 90.00/hr - \$220.00/hr
Quality Manager	\$ 90.00/hr - \$200.00/hr
Project Coordinator	\$ 70.00/hr - \$140.00/hr
Industry Specialist	\$120.00/hr - \$200.00/hr
Asset Manager	\$ 90.00/hr - \$155.00/hr
Senior Professional Engineer	\$130.00/hr - \$220.00/hr
Professional Engineer	\$ 90.00/hr - \$170.00/hr
Project Engineer I	\$ 90.00/hr - \$145.00/hr
Project Engineer II	\$ 80.00/hr - \$120.00/hr
Staff Engineer	\$ 65.00/hr - \$ 95.00/hr
Project Designer	\$ 90.00/hr - \$120.00/hr
CADD Technician I	\$ 65.00/hr - \$100.00/hr
CADD Technician II	\$ 35.00/hr - \$ 80.00/hr
Office Technician I	\$ 70.00/hr - \$100.00/hr
Office Technician II	\$ 50.00/hr - \$ 80.00/hr
Office Technician III	\$ 35.00/hr - \$ 70.00/hr
Senior Administrator	\$ 70.00/hr - \$125.00/hr
Administrator	\$ 40.00/hr - \$ 80.00/hr
Field Operations Manager	\$ 90.00/hr - \$125.00/hr
Field Manager	\$ 70.00/hr - \$115.00/hr
Field Technician I	\$ 60.00/hr - \$100.00/hr
Field Technician II	\$ 45.00/hr - \$ 80.00/hr
Field Technician III	\$ 35.00/hr - \$ 65.00/hr
GIS Analyst I	\$ 70.00/hr - \$100.00/hr
GIS Analyst II	\$ 50.00/hr - \$ 90.00/hr
Construction Observation Manager	\$100.00/hr - \$120.00/hr
Senior Construction Observer	\$ 65.00/hr - \$ 95.00/hr
Construction Observer	\$ 40.00/hr - \$ 85.00/hr
Survey Manager (PLS)	\$100.00/hr - \$120.00/hr
Professional Land Surveyor (PLS)	\$ 90.00/hr - \$120.00/hr
Survey Party Chief	\$ 60.00/hr - \$100.00/hr
Utility Locator	\$ 60.00/hr - \$100.00/hr
Survey Crew	\$145.00/hr
Field Crew	\$125.00/hr
Mileage	\$00.56/mi
Color Photocopies	\$00.25/each
Black-and-White Photocopies	\$00.12/each
Out-of-Pocket Expenses, Supplies, Reproductions, etc.....	Cost

Note 1: The above hourly rates and unit prices are good through December 31, 2016.

CERTIFIED COPY OF ORDER

STATE OF MISSOURI

} ea.

January Session of the January Adjourned

Term. 20 16

County of Boone

In the County Commission of said county, on the

12th

day of

January

20

16

the following, among other proceedings, were had, viz:

Now on this day the County Commission of the County of Boone does hereby re-appoint the following:

Name	Board	Period
June Pitchford	Health Trust Committee	January 1, 2016 through December 31, 2018
Tom Schauwecker	Health Trust Committee	January 1, 2016 through December 31, 2018
Karen Miller	Health Trust Committee	January 1, 2016 through December 21, 2016

Done this 12th day of January, 2016.

ATTEST:

Wendy S. Noren
 Wendy S. Noren
 Clerk of the County Commission

Absent

Daniel K. Atwill
 Presiding Commissioner

Karen M. Miller
 Karen M. Miller
 Acting Presiding Commissioner

Janet M. Thompson
 Janet M. Thompson
 District II Commissioner